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1 **“Solar Septic Tank”**: Evaluation of innovative decentralized treatment of black water in
2 **developing countries**

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12

13 **Abstract**

14 An innovative decentralized wastewater treatment system, namely the “Solar Septic Tank

15 (SST)”, was constructed and tested at the household-scale in a community in central

16 Thailand. This study aimed to investigate the long term performance of the SST in treating

17 black water subject to year-round variation. Results of the three-year continuous operation

18 and monitoring showed significant improvement in the SST effluent quality with the potential

19 to minimize environmental problems and public health risks. The SST achieved significantly
20 higher total chemical oxygen demand (TCOD), soluble chemical oxygen demand (SCOD),
21 total biochemical oxygen demand (TBOD), soluble biochemical oxygen demand (SBOD),
22 total kjeldahl nitrogen (TKN), total solid (TS) and total volatile solid (TVS) removal
23 efficiencies than a conventional septic tank (CST). The average TBOD concentration of the
24 SST effluent was 150 ± 75 mg/L, meeting the Thai discharge standard (less than 200 mg/L of
25 TBOD), while the average TBOD concentration of the CST was 240 ± 140 mg/L, higher than
26 the Thai discharge standard. The *E. coli* inactivation in the SST was 1-2 log reduction more
27 than that in the CST. The removal efficiencies of TBOD and pathogens exhibited positive
28 correlation with the ratios of the SST temperature.

29 **Keywords:** Solar Septic Tank; Reinvented Toilet Technology, WASH ; Blackwater
30 treatment; Performance evaluation; Long-term study

31

32 **Introduction**

33 More than one billion people in developing countries still lack proper sanitation facilities and
34 access to basic sanitation systems, resulting in more than a million deaths annually due to

35 waterborne diseases (WHO, 2017). To achieve the United Nations Sustainable Development
36 Goal 6 (SDG 6) requires urgent action to protect human health and ensure that all people
37 enjoy prosperity by 2030. Water pollution related to sanitation, particularly in developing
38 countries (or low-middle income countries), is a pressing issue directly affecting both public
39 and environmental health (Ryals et al., 2019). A principal reason for this problem is the
40 uncontrolled discharge of untreated wastewater. Domestic wastewater management in
41 developing countries usually involves inadequate treatment facilities and lack of proper
42 wastewater treatment system (Areias et al 2020,). Centralized wastewater treatment as being
43 practiced in most developed countries is one of the solutions to treat those wastes, but,
44 because of high investment cost and requirement of skilled operation, it seems to be
45 inappropriate for low-middle income countries. Considering the case of Thailand, restricted
46 local budgets or funding, coverage of wastewater treatment plants in many small and isolated
47 villages is still inadequate. Moreover, in developing countries, large capital investment for
48 sewer system and pumping costs is one of the barriers for construction of centralized
49 wastewater management systems (Massoud et al., 2009). In this respect, even existing
50 decentralized or on-site wastewater treatment technologies (such as conventional septic tanks
51 (CST) or cesspools) are a better option for developing countries to treat wastewater close to

52 the source. Although the CST does not require a huge budget for installation, it can not
53 perform effectively to protect human health or environment (Polprasert et al. 1982).

54 Because of the low investment cost and less-complicated installation, since 1995, the
55 government of Thailand has been promoting the application of CST or cesspools as a stand-
56 alone wastewater treatment to treat black water or toilet wastewater for new housing or
57 isolated residential/commercial establishments. However, a spatial survey by Koottatep et al.
58 (2014) found the treatment efficiencies of the CST to be low (less than 60 % removal of
59 organic matter) and the CST effluent still contained high concentrations of organic matter and
60 pathogens (about more than 200 mg/L of TBOD and 10^6 no./mL of *E.coli*). The CST effluent
61 has been identified as a major source of surface and ground water pollution in Thailand
62 (Chaiwong et al. 2020). Since the CST can be influenced by high sludge accumulation and
63 daily peak flow, direct discharge of effluent with high concentrations of solids, particulate
64 organic matter and also pathogens to the surrounding environment is another potential
65 problem (Gray, 2004; Sarathai et al. 2010). Thus, these issues require the Governments of
66 Thailand and other developing countries to find appropriate solutions for wastewater
67 treatment urgently. Up to the present, there are many reports of pollution and health problems
68 caused by unsanitary managed technology (Heinss et al., 1999).

70 To address the global sanitation problems, the Bill & Melinda Gates Foundation has invested
71 one hundred million US\$ to reinvent toilet technologies. The Foundation's goals, since 2011,
72 have focused on development of effective onsite sanitation technologies that poor people can
73 access, and also to create a platform for global sustainability. From this perspective,
74 alternative treatment systems such as the “Solar Septic Tank (SST)” could be one of the most
75 effective solutions for developing countries (Pussayanavin et al., 2015; Connelly et al., 2019).

76 The SST is a modified CST with a solar-heated water system to create higher temperature
77 than ambient inside the septic tank. The enhancement of temperature promotes the
78 biodegradation of organic matter and methane formation. Further, temperature also has a
79 significant effect on the settleability and degradation of biological solids and pathogen
80 inactivation (Polprasert et al. 2018; Keating et al. 2016; Connelly et al. 2017). SSTs have
81 been applied for the treatment of black water (or toilet wastewater) because they are simple to
82 install and do not require high skill to operate and maintain. At present, there is no scientific
83 evidence to demonstrate the long-term performance of the SST. Thus, the goal of this study
84 was to compare the long-term performance of the SST and CST systems (time-series study),

85 and to determine the systems' capability to operate under variations in tropical temperatures
86 and the change of seasons.

87 **Methodology**

88 *Prototypes description*

89 Field testing of an SST and a CST unit was conducted at a housing community in central
90 Thailand (Latitude:13.618795, Longitude:100.530521) (Fig.1e). The units were tested from
91 2015 to 2017 (the SST started in operation on May 2015 and the CST started in operation on
92 August 2015) under actual conditions of fluctuating flowrates and climatic conditions (Fig.1
93 a and b). Each experimental unit directly received wastewater from a water-saving toilet with
94 a 3-litre per flush (LPF) mechanism and served a family of 3 – 5 people. Fig.1 c and d show a
95 photographic view and schematic sketch of the SST and CST. A magnetic counter (OMRON
96 H7EC-N, Japan) was used to measure the number of uses per day, while the daily water
97 usage of each unit was measured using an analogue flow meter (GMK, Asahi, Thailand).
98 Ambient temperatures at the test-site were recorded hourly using temperature sensors (PT-
99 100 type HDP/7, SWK Technology, Thailand) installed above ground. The in-tank

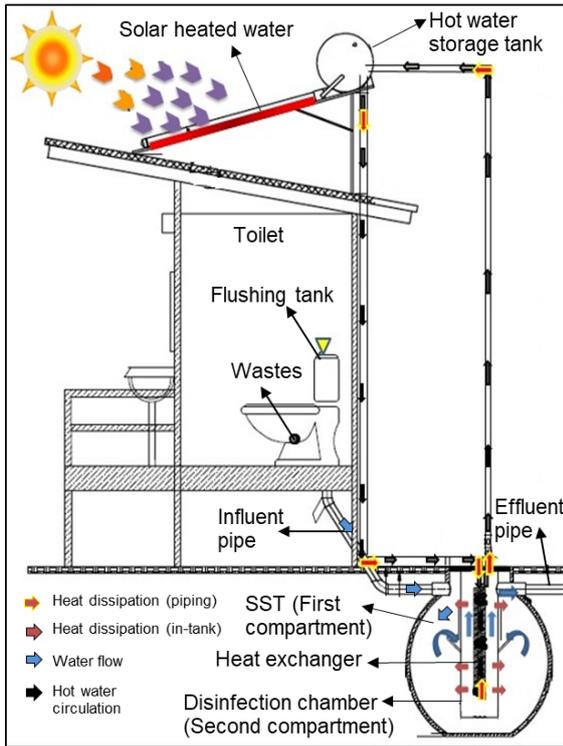
100 temperature of the SST was also recorded hourly using a temperature sensor positioned in the
101 centre of the tank.



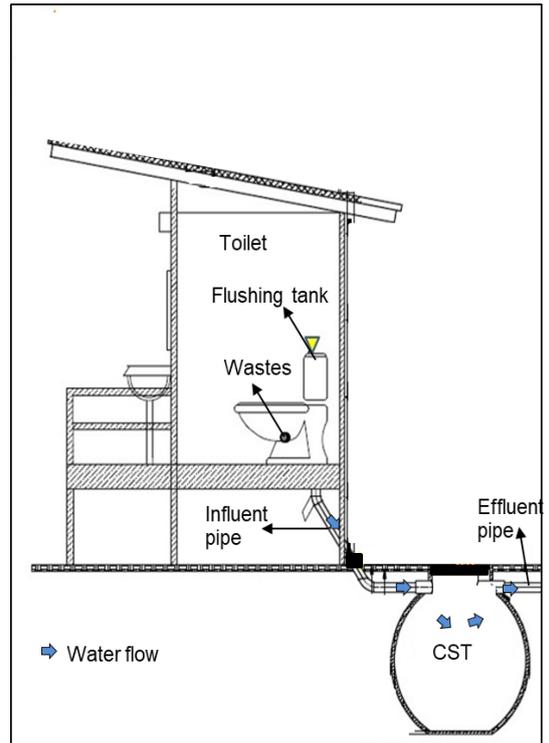
(a)



(b)



(c)



(d)



(e)

Fig.1 Field testing of SST (a), Field testing of CST (b), Schematic configuration of SST (c), Schematic configuration of CST (d) and Testing site: housing community in central Thailand

(e)

102 Both the SST and CST units were made of polyethylene (PE) polymer, each with a working
103 volume of 1,000 L (with about 70% of effective volume) in a spherical shape, and received the
104 black water (faeces, urine and anal cleansing water) whilst greywater was discharged
105 separately. The SST unit consists of two compartments (Fig.1 a), while the CST has only one
106 compartment (Fig.1 d). The first compartment of the SST was designed for solid settling and
107 anaerobic digestion of collected solids (Fig.1c). The second compartment, defined as the
108 disinfection chamber, was made of stainless steel (diameter 0.3 m and high 1.2 m) and
109 functions as a polishing unit (Table 1). The disinfection chamber was designed to minimize
110 the effect of short-circuiting from impulse flow and to maintain the temperature in the
111 disinfection chamber more than 40 °C (during day time), which could simultaneously
112 enhance inactivate pathogens in the effluent. Temperature difference in the first and second
113 compartments was typically about 5 °C. Temperatures of the SST were increased by
114 circulating hot water generated from a 6 m² solar water heating device through a heat
115 exchanger copper spiral; the hot water was pumped through the heat exchange system at a

116 rate of 5 L/min. A sensor in the hot water storage tank and an electric-circuit controller were
117 used to control the flow circulation when the temperature of the hot water storage tank
118 reached the target of 50 °C or more.

119 ***Performance evaluation***

120 Influent and effluent samples were collected bi-weekly during the first year and monthly
121 during the second and third years to obtain key physical and chemical monitoring data of the
122 system performance. The influent and effluent samples were collected in sealed buckets; for
123 the influent samples, the inflow to the septic tanks was disconnected via a sampling valve for
124 a period of 24 h. Physical and chemical (total chemical oxygen demand (TCOD), soluble
125 chemical oxygen demand (SCOD), total biochemical oxygen demand (TBOD), soluble
126 biochemical oxygen demand (SBOD), total kjeldahl nitrogen (TKN), total solid (TS) and
127 total volatile solid (TVS)) and bacteriological parameters (*E.coli*) were analyzed according to
128 the standard methods (APHA, 2017). Open source R software was used for statistical
129 analyses and graphics of this study. Statistical difference (at a 95% confidential level) of the
130 relationship between the treatment units and its efficiencies was done by analysis of the
131 variance (ANOVA), and comparing the multiple means was assessed using poc-host test

132 (Tukey's Honest Significant Difference (HSD). The time-series plots were used in
133 developing the local polynomial regression to build up a generalization of moving average (in
134 line), a function that describes the deterministic part of the variation in the data . Treatment
135 performance of the SST and CST was evaluated based on removal efficiency (RE) shown in

136 Eq 1

$$137 \quad \text{Removal efficiency (RE)} = \frac{S_i - S_e}{S_i} \times 100 \quad \text{Eq 1}$$

138 where; S_i is concentration in influent (mg/L) and S_e is concentration in effluent (mg/L).

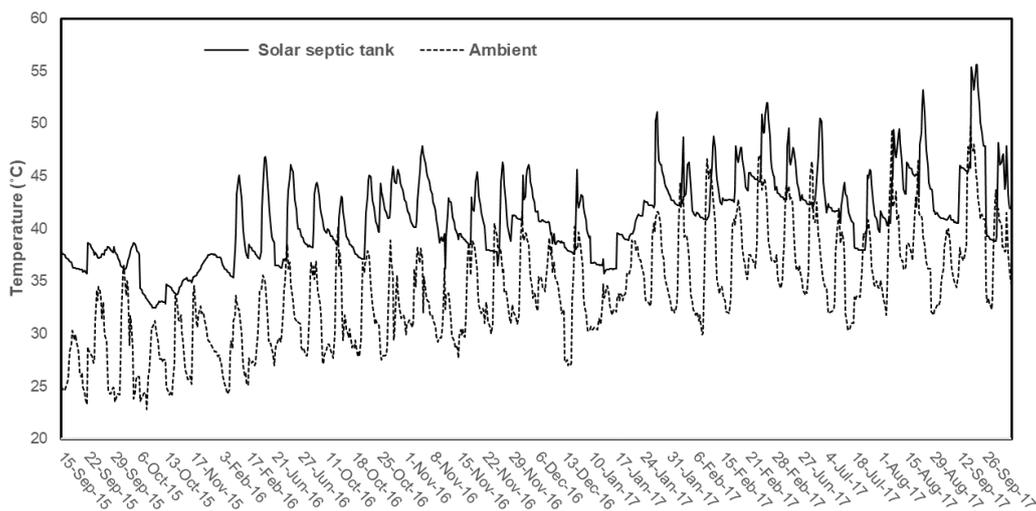
139 **Results and discussion**

140 **System Operation:** The climate in Thailand is considered to be in the “tropical range”, the
141 temperature at the daytime is greater than 36 °C, in the nighttime, the temperature is dropped
142 to 31 °C. The average yearly ambient temperatures were found to have a steadily increasing
143 trend during the operation period from 2015-2017, depending on the annual climatic
144 conditions.

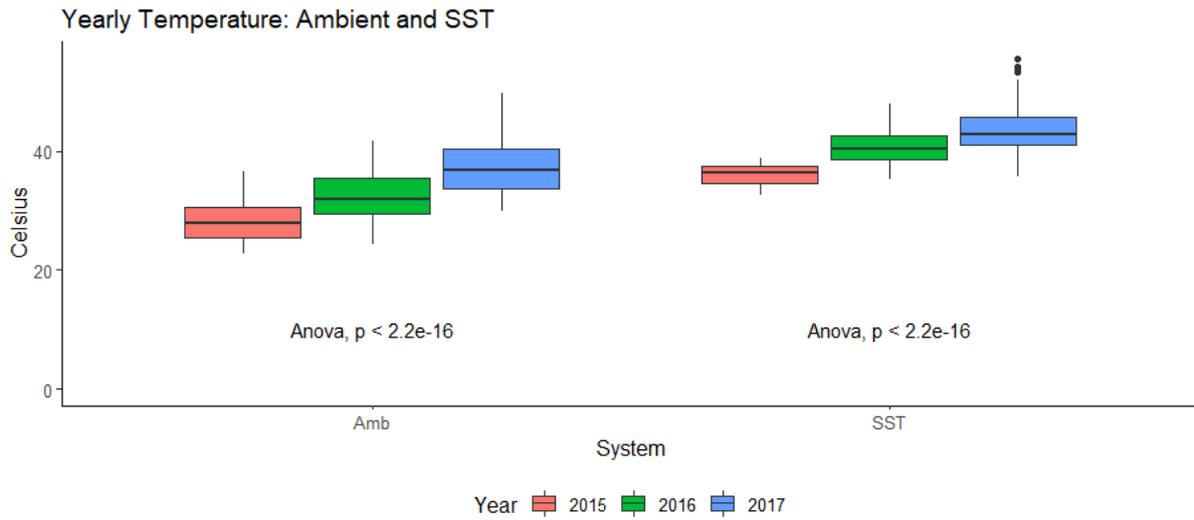
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146 The temperature profile of the SST over the course of the experiment is presented in Fig.2,
 147 and the calculated mean in-tank temperature over the course of the study was 41 ± 4.0 °C
 148 which was satisfactory for the thermophilic condition. It is obvious from Fig.2 that the
 149 average SST temperature was between 41.5 ± 4.5 in the daytime and 40.3 ± 3.1 in the
 150 nighttime. The performance of the solar system was effective in daytime and sufficient to
 151 maintain temperature in the nighttime (Fig 2 a). The difference in daytime and nighttime
 152 temperatures of the SST was between 1-2 °C, which should not have much effects on the
 153 microbial actives inside the tank.

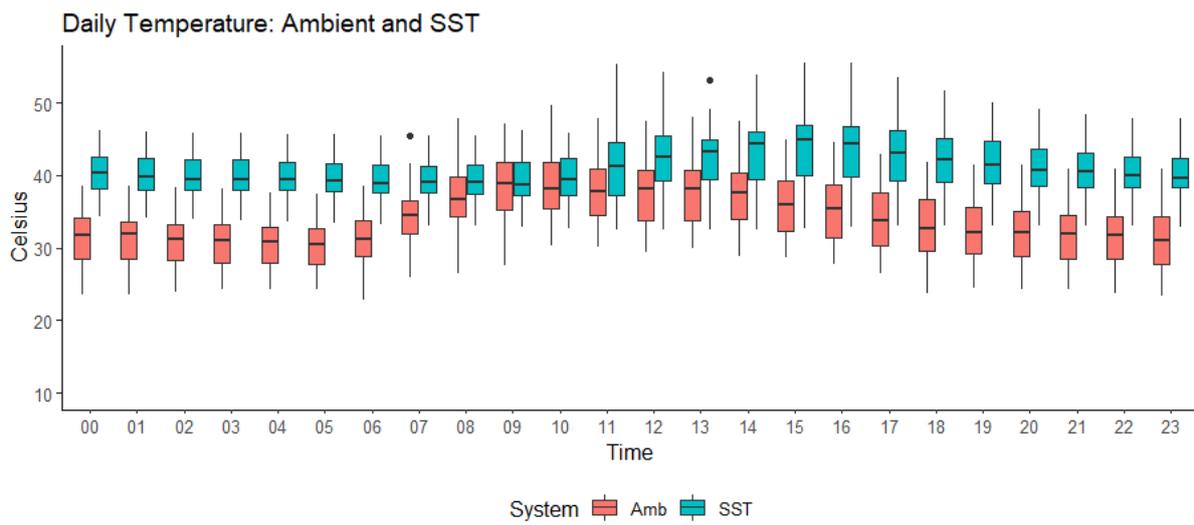
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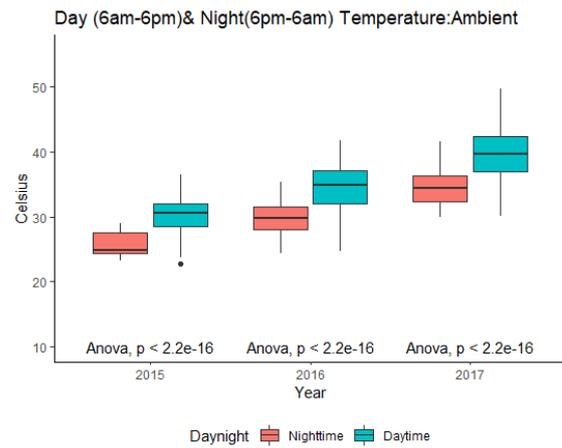
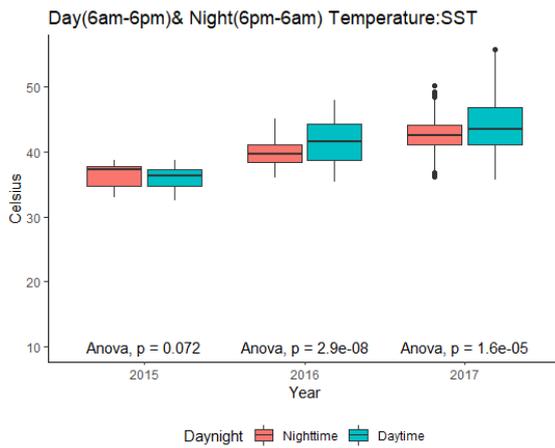
(a) In-tank temperature of SST and ambient temperature (Time series)



(b) Average yearly temperature



(c) Daily temperature profile



(d) Yealy daytime (6am - 6pm) and nighttime (6pm-6am) temperature:SST

(e) Yealy daytime (6am - 6pm) and nighttime (6pm-6am) temperature:Amb

155

Fig.2 Temperatures of SST and ambient (Amb)

156

157

158 Operation of the SST and CST units was commenced in 2015 by feeding the blackwater

159 without additional inoculation i.e. the systems were seeded from the microbes present in the

160 blackwater entering the system only. The average values of wastewater flow and number of

161 users were found in the same magnitude of about 98.8-110.0 L/d and 17-23 persons,

162 respectively.

163

164 The influent TCOD, SCOD, TBOD, SBOD, TKN, TS, TVS and *E.coli* concentrations of the
165 CST unit were 1,618±810 mg/L, 494±182 mg/L, 643±289 mg/L, 74±139, 295±95,
166 1,556±646, 943±471 mg/L and 9.7×10^8 MPN/100mL of *E.coli*, respectively. Similar trend
167 was noticed for influent concentrations of the SST units which were 3,834±2,828 mg/L of
168 TCOD, 879±495 mg/L of SCOD, 1,131±725 mg/L of TBOD and 399±183 mg/L of SBOD,
169 463±218 mg/L of TKN, 3,046±1,659 mg/L of TS, 2,351±1,450 mg/L of TVS and 1.1×10^8
170 MPN/100mL of *E.coli*. The influent black water quality (Table 1) was within the range of
171 “high strength” wastewater. In general, there was high variation of pollutant concentrations in
172 the black water , depending on the user behavior and characteristic of feces, urine and toilet
173 paper.

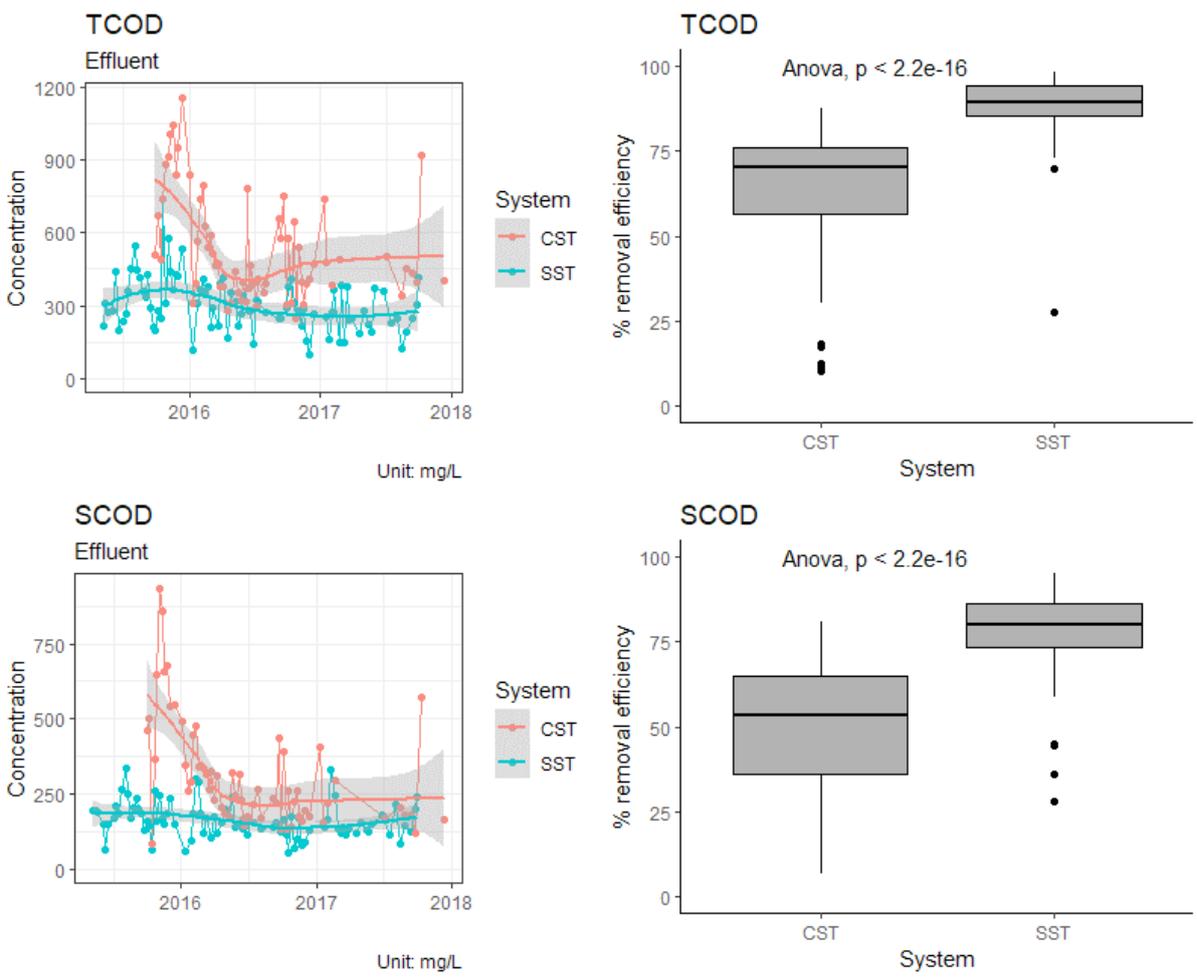
174 The operating conditions of the SST and CST from the field data are as shown in the
175 Supplementary material Table 1:

176 **System Performance:** Effluent concentrations of TCOD, SCOD, TBOD and SBOD in the
177 SST were consistently lower than that of the CST, particularly during the first four months of
178 operation indicating that heating the system enabled considerably more effective treatment
179 during start-up of new SST unit than that of the new CST systems. The removal efficiencies

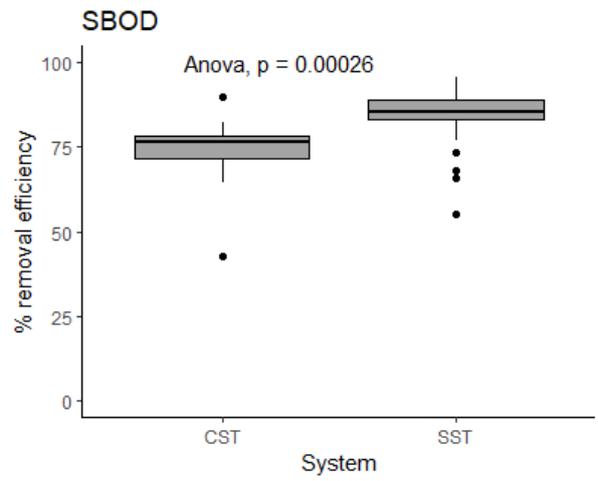
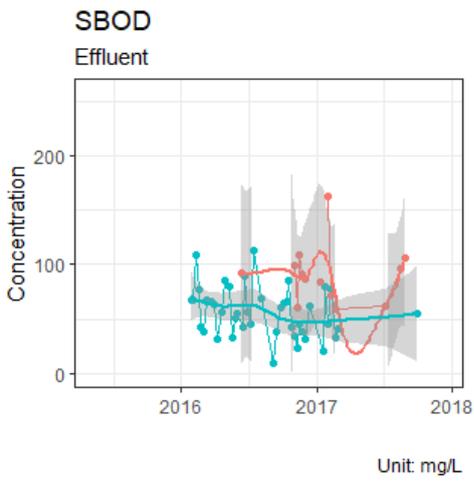
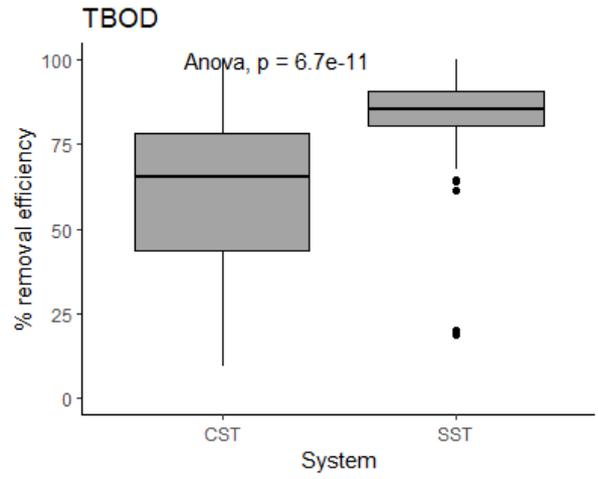
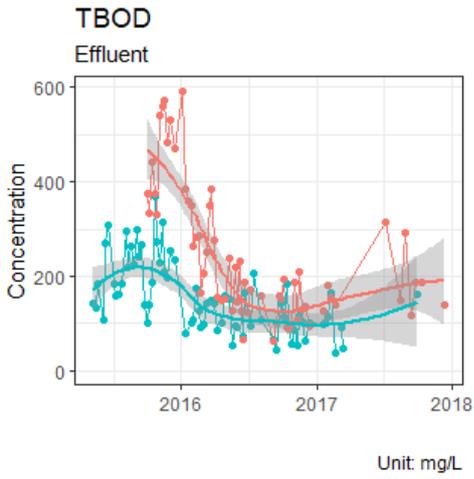
180 of TCOD and TBOD (Fig. 3 (a) and (b)) of the SST and the CST units improved over time
181 and increased to above 80% after 180 days of operation. In addition to promoting pathogen
182 inactivation and minimizing short-circuiting, the disinfection chamber installed in the SST
183 was hypothesized to serve as a polishing unit, contributing to the removal of residual
184 pollutants. Indeed, it was observed that during the three years of continuous operation, the
185 SST unit yielded significantly better performance (anova, $p < 0.05$) for each of the parameters
186 monitored (Fig.3). The SST performed with average treatment efficiencies of $88 \pm 9\%$ for
187 TCOD removal and $83 \pm 13\%$ for TBOD removal, more effective than the treatment
188 performance of the CST unit which were $61 \pm 24\%$ and $58 \pm 28\%$, respectively, resulting in the
189 effluent TCOD and TBOD concentration of 534 ± 216 mg/L and 240 ± 140 mg/L, respectively
190 (Fig.3). These data demonstrate that the performance of the SST for TCOD, SCOD, TBOD
191 and SBOD removal was typically 20-30% ($p < 0.05$) better than that of the CST, and, that the
192 removal efficiencies were generally more stable over time. The greater variability in removal
193 efficiency and discharge quality in the CST was probably affected by the hydraulic and
194 impulse loads, and that operation in the absence of heating resulted in lower amount of active
195 biomass responsible for the biodegradation of organic matter. In spite of fluctuation in the
196 operating temperatures (Fig.2), the effluent characteristics from the SST (mean \pm standard

197 deviation of 310 ± 115 mg/L of TCOD, 160 ± 60 mg/L of SCOD, 150 ± 75 mg/L of TBOD and
 198 60 ± 20 mg/L of SBOD) were relatively stable (Fig.3 (a) and (b)), and, importantly, frequently
 199 satisfy the discharge standards of Thailand (MNRE 2010). It is noted, however, that the
 200 effluent TBOD concentrations during the monsoon season or cloudy conditions were
 201 occasionally above the discharge standards even for the SST.

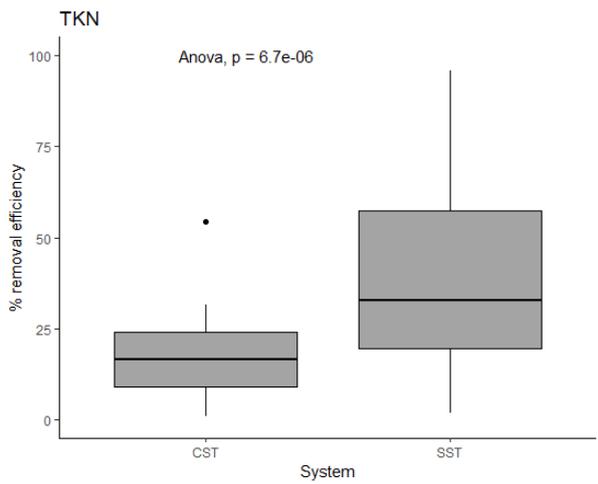
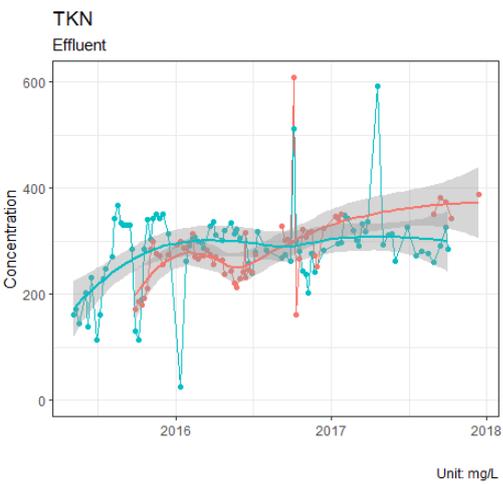
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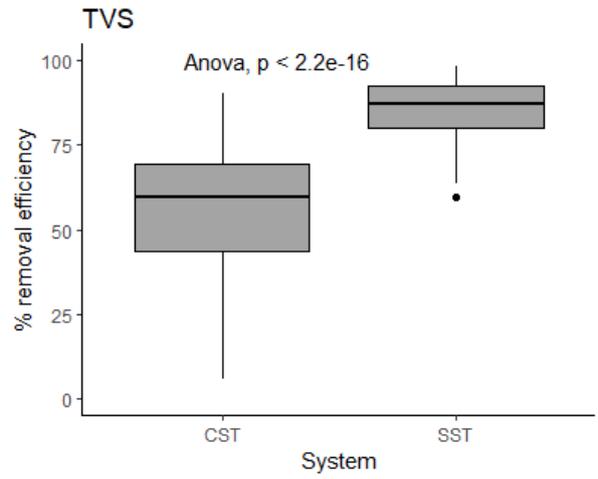
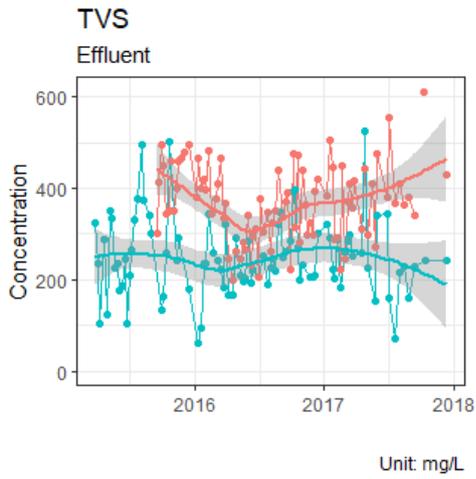
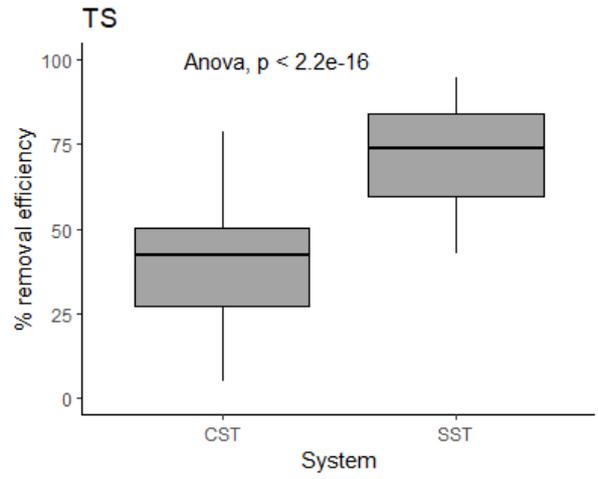
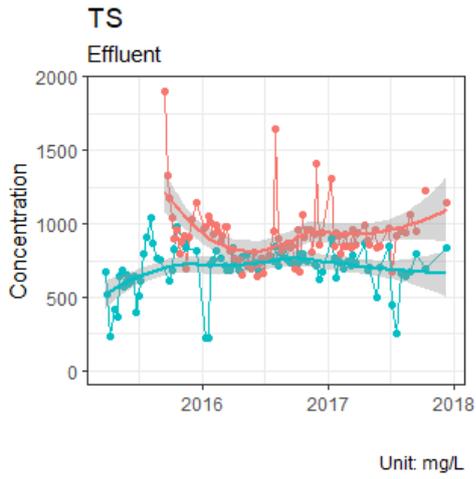
(a)



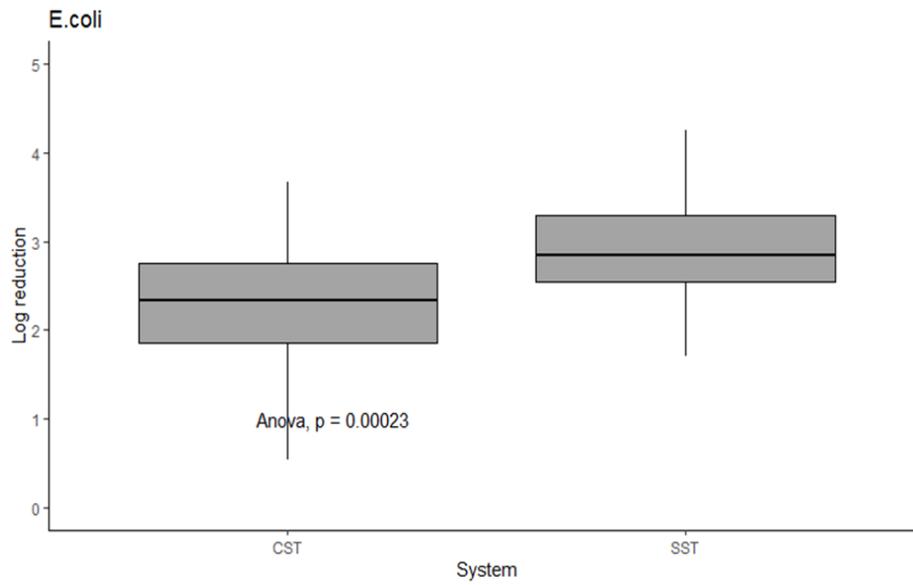
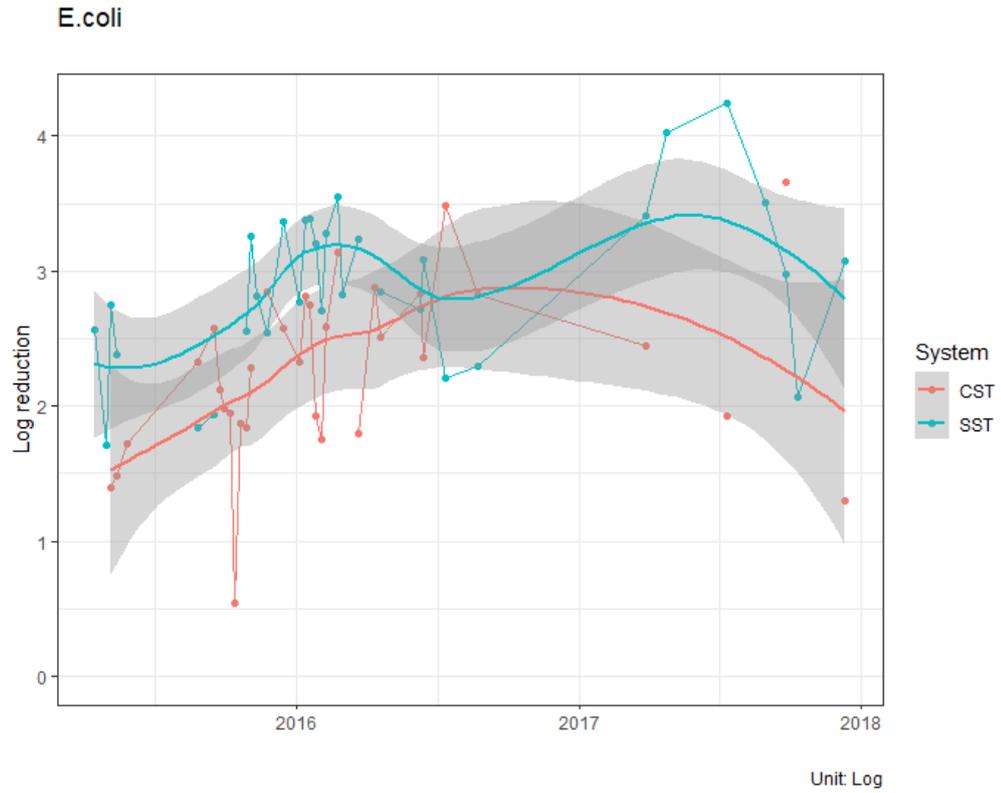
(b)



(c)



(d)



(e)

203

204

Fig.3 Treatment performance of SST and CST: (a) COD (b) BOD, (c) TKN, (d) TS

205

and TVS and (e) *E. coli*

206 Whilst mean removal rates were significantly higher in the SST than the CST, the mean
207 effluent TKN concentrations of the CST and SST (Fig.3 (c)) were observed to be in the same
208 magnitude of about 100-400,mg/L, respectively. The mean TS and TVS removal efficiencies
209 of the SST were 71 % and 85%, respectively, significantly higher ($p<0.05$) than those of the
210 CST which were 32 % and 52 %, respectively. Thus the CST was found to be less effective in
211 solids sedimentation than the SST. It could be hypothesized that higher temperatures in the
212 SST reduced the liquid density and viscosity, resulting in better sedimentation of the influent
213 TS and TVS.

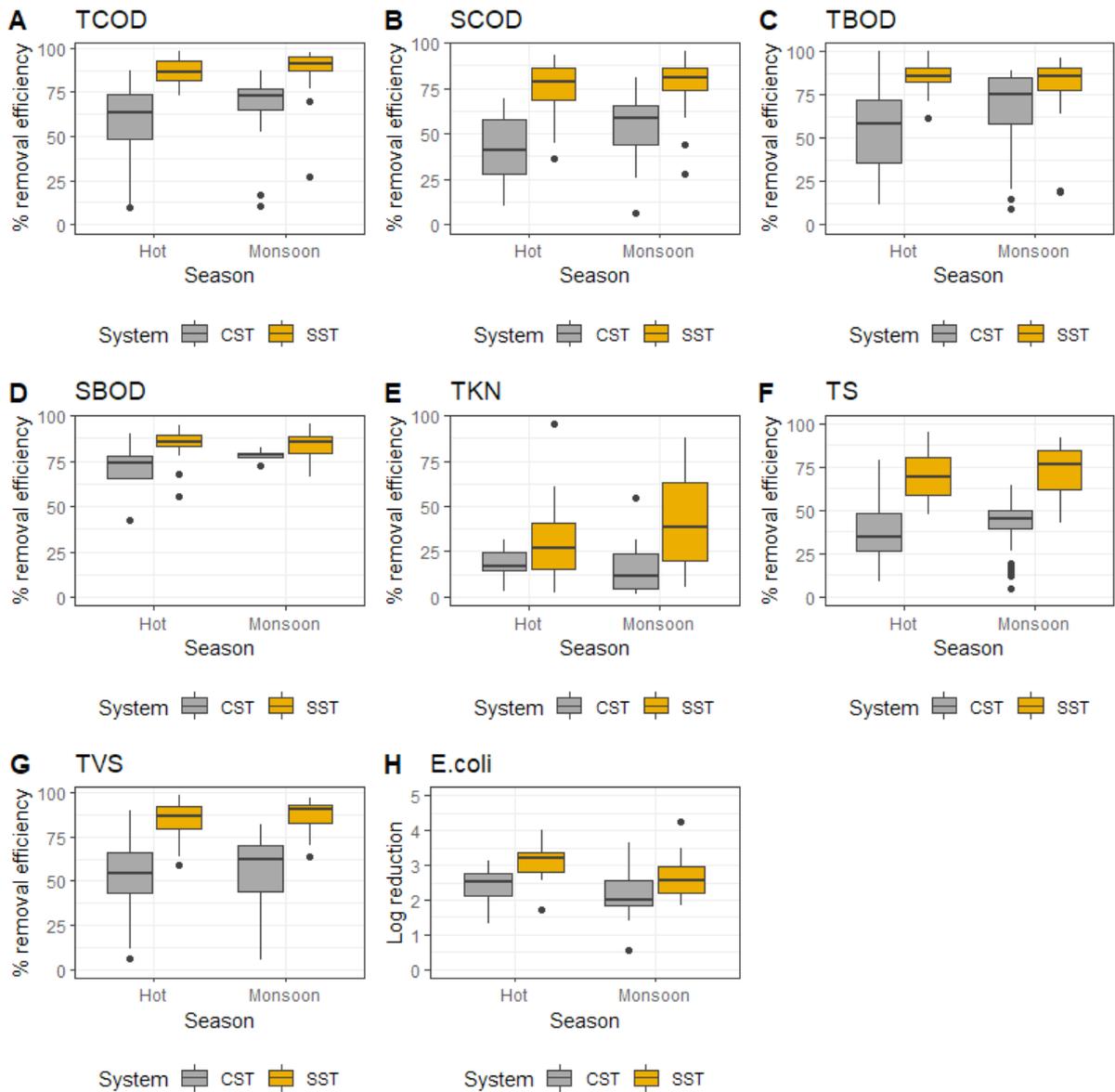
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215 The heated chamber in the SST targets coliform reduction by partial pasteurization, resulting
216 in the SST more 1-2 log of *E. coli* inactivation than in the CST (Fig.3). The statistical test
217 revealed that the *E. coli* inactivations differ significantly between the SST and CST ($p<0.05$).

218 It was observed, however, that the *E. coli* inactivation in both the SST and CST units was
219 influenced by the drop in temperature or daily temperature variation during the monsoon
220 season.

221 Fig.4 compares the treatment performance of the SST and CST during two different seasons,
222 i.e. hot (November to April) and monsoon (May to October). TukeyHSD analysis was
223 performed for each performance parameter to determine the statistical significance of
224 seasonal difference in performance of each system during each season at 95% confidence
225 interval using R program. Whilst seasonal effects were observed in each system, the analysis
226 demonstrates that in each season (SST:CST in monsoon season and SST:CST in hot season),
227 the SST performed significantly better than the CST for most of the parameters (except:
228 TCOD was found be insignificant ($p > 0.05$) between the SST and CST). The results
229 presented in Fig.5 show a clear evidence that the treatment efficiencies of SCOD, TBOD,
230 SBOD, TKN, TS and TVS of the SST were higher than those of the CST and significantly
231 different in the hot and monsoon seasons.

232



233

234

Fig.4. Comparison of treatment performance during hot and monsoon seasons

235

The removal efficiencies of the SST and CST of the five main important parameters, namely

236

TCOD (SST_TCOD and CST_TCOD), TBOD (SST_TBOD and CST_TBOD), SCOD

237

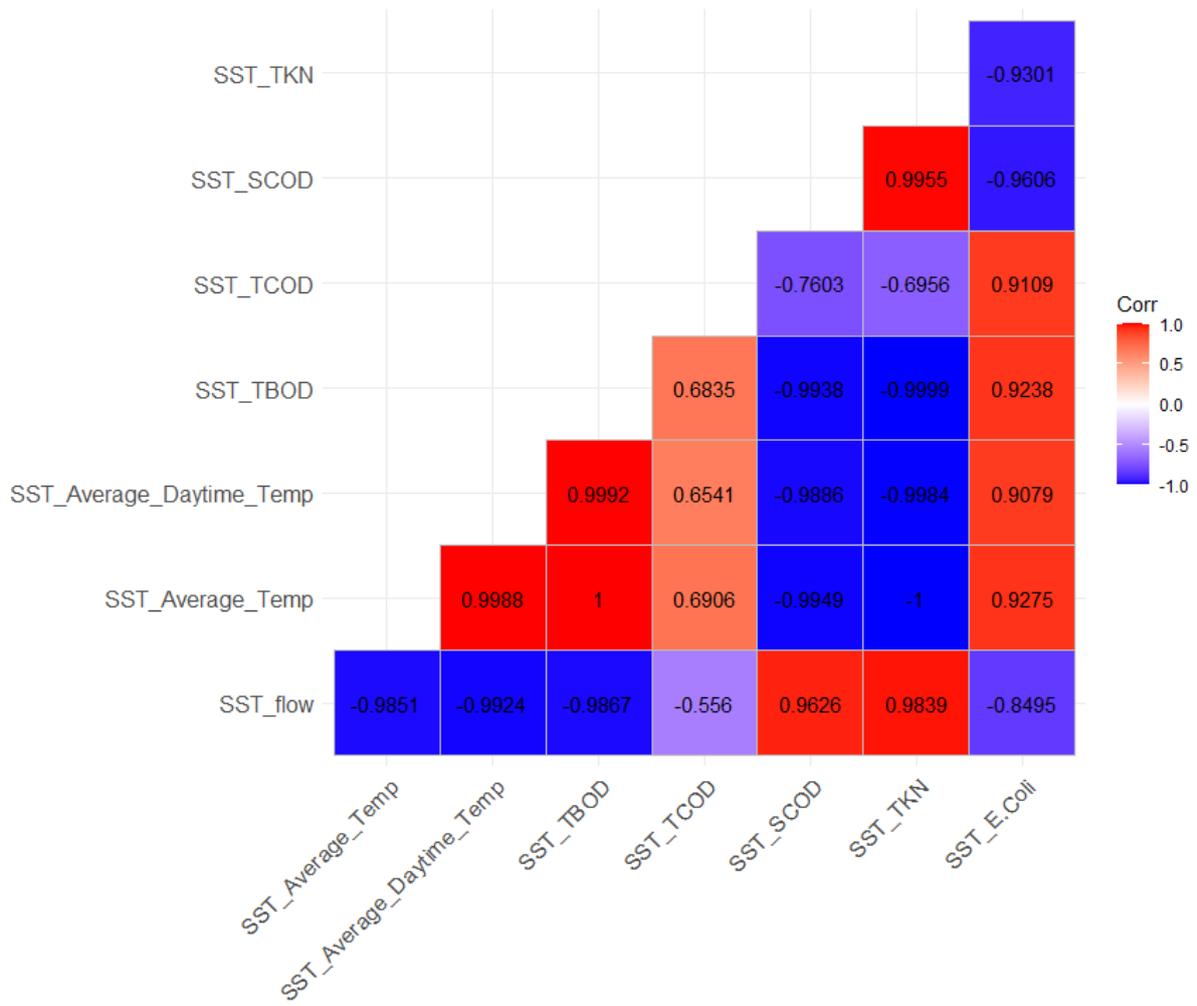
(SST_SCOD and CST_SCOD), TKN (SST_TKN and CST_TKN) and *E. coli* log reduction

238

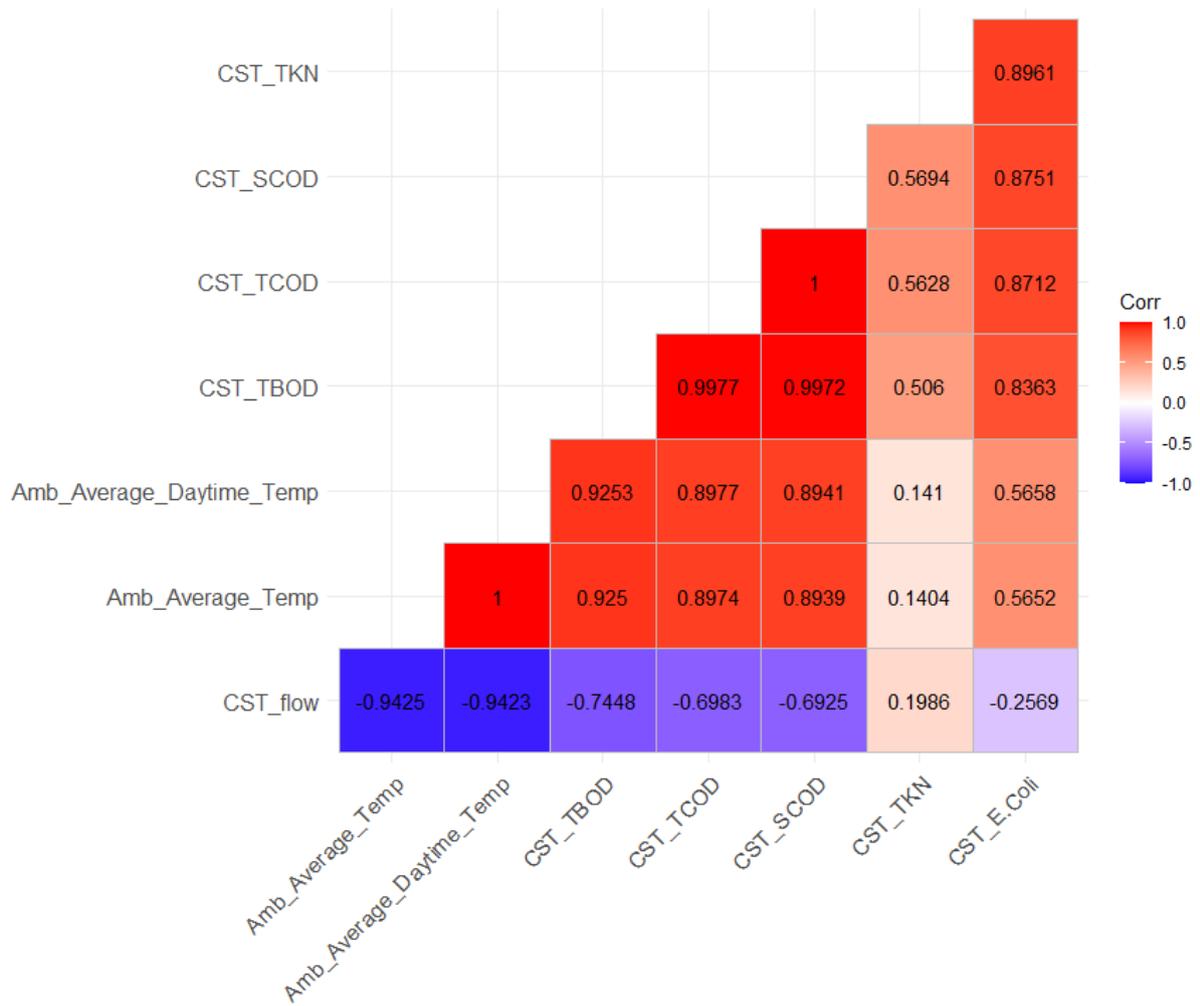
(SST_*E. coli* and CST_*E. coli*), were further evaluated by analyzing the yearly relationship

239 between operational conditions of the wastewater flow (SST_flow and CST_flow), the
240 average of SST temperature (SST_average_temp), the average of SST daytime temperature,
241 (SST_average_daytime_temp), the ambient temperature (Amb_Average_Temp) and the
242 daytime ambient temperature (Amb_Average_Daytime_Temp) during the operation of the
243 system, which could exhibit the influence between these parameters and system performance
244 (Fig. 5). The results indicated that there were high positive correlation values (corr) between
245 the SST temperature (SST_Average_temp and SST_Average_Daytime_Temp) for TBOD
246 (0.992 and 1, respectively) and *E. coli* log reduction (0.9725 and 0.9079, respectively) of
247 SST, and the correlation between the ambient temperature (Amb_Average_temp and
248 Amb_Average_Daytime_Temp) and TCOD, SCOD and TBOD of CST were found in high
249 correlation values ranging between 0.8939-0.9253. For the relationships between wastewater
250 flow and removal efficiencies, the SCOD and TKN removal efficiencies of the SST were
251 high correlation values, while, weak relationships between wastewater flow and the TCOD,
252 SCOD, TBOD, TKN and *E.coli* were observed. It seems that the TBOD removal efficiency
253 and pathogen inactivation of the SST and TCOD, SCOD and TBOD removal efficiencies of
254 the CST were mainly influenced by the operating temperatures.

255



(a) SST



(b) CST

257 **Fig. 5** Relationships between operational conditions (Temperature and daytime temperature

258 and wastewater flow) and removal efficiencies of SST and CST

259 (Correlation coefficient with a unit-free measure ranges from -1 to +1)

260 For the prototype of a single family, the SST system was estimated to cost about US\$ 740 (or

261 about 28% of the total cost), while the commercial solar heated water with other accessories

262 (electric-circuit controller and circulating pump) would cost about US\$ 1,840 (72%), or the
263 total investment of the integrated system would be in the range of US\$ 2,580. However, it
264 should be noted that the field test (or prototype unit) should thus be an integral part of the
265 design process and not be used only to consider as a final product and the commercialized
266 product.

267 **Conclusions**

268 The results of this study demonstrated the SST to be an effective on-site treatment technology
269 in reducing organic-chemical, solid pollutants and *E. coli* from the black water. During the
270 three years of continuous operation, the SST unit could achieve the treatment efficiencies of
271 $88\pm 9\%$ for TCOD and $83\pm 13\%$ for TBOD, and, the SST had TCOD, SCOD, TBOD and
272 SBOD removal efficiencies in the range 20-30% higher than those of the CST. Further, at the
273 mean operating temperature of 42 °C, the SST effluent characteristics typically achieved
274 discharge standards of Thailand although occasional failures were observed during the
275 monsoon season. The treatment efficiencies of the SST during the hot and monsoon seasons
276 were consistently significantly better than those of the CST. The operating temperature of the

277 SST had a high positive correlation values (corr) with TBOD (0.992 and 1, respectively) and
278 *E. coli* log reduction (0.9725 and 0.9079, respectively).

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