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1 **Synthesis and Performance Evaluation of Hydrocracking Catalysts:**
2 **A Review**

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12 **Abstract**

13 This review provides a comprehensive summary of current hydrocracking applications, and
14 presents recent advances in the synthesis and structure/composition control of various
15 nanomaterials used in hydrocracking catalysts. Although a wide range of feeds are considered in
16 this review, particular focus is placed on hydrocracking of aromatic and paraffinic compounds.
17 The significance, concepts and principles of the hydrocracking process are first discussed focusing
18 on its wide range of industrial applications. Then, recent advances in the synthesis of
19 hydrocracking catalysts are presented, including different types of zeolites and metal promoted
20 catalysts. Finally, we compare the performances of a wide range of hydrocracking catalysts, and
21 discuss how their intrinsic properties (e.g. surface area, porosity, acidity, morphology and
22 structure) can be controlled to achieve optimal catalytic performance in hydrocracking of aromatic
23 compounds, heavy petrochemicals, paraffinic hydrocarbons and vegetable oils.

24 **Keywords:** hierarchical zeolite; catalysis; hydrocracking; hydroprocessing; fuel; bi-functional
25 catalyst

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27 **1. Introduction**

28 World-wide, petrochemicals and fuels are prepared from coal, petroleum oil and natural gases [1].
29 Hydrocracking is a process by which heavy petroleum products are converted into lighter
30 chemicals with lower boiling points in the presence of hydrogen and a suitable catalyst. Compared
31 to thermal cracking, hydrocracking is performed at relatively low temperatures [2], has better
32 catalytic activity, and facilitates the conversion of fuels into high-quality products with high
33 hydrogen to carbon ratio and low content of impurities (e.g. metals) [3]. This eliminates the post-
34 treatment processes usually required in thermal cracking and thus reduces the total processing cost
35 significantly [4].

36 **1.1 Hydrocracking applications in the past and the present**

37 Hydrocracking was first developed in Germany between 1915 and 1945 to convert coal into liquid
38 fuels [5]. After World War II, the interest in hydrocracking coal for fuel production decreased with
39 the presence of crude oil in the Middle East. In 1960, a new hydrocracking technology known as
40 'Isocracking' was developed and commercialized by Chevron Research Company [5]. This
41 significant advancement in hydrocracking was directly linked to the development of zeolite-based
42 catalysts which showed significant improvements in terms of catalytic activity, gasoline selectivity
43 and ammonia tolerance. Until today, zeolite-based catalysts continue to play a dominant role in
44 commercial hydrocracking, particularly de-aluminated and low-sodium, or high-silica type-Y
45 zeolites. These zeolites are often embedded in an amorphous matrix, acting as a binder, and are
46 typically loaded with metals on the zeolite and the matrix [6].

47 Although hydrocracking is predominantly used in petroleum refining, the rapid advancement of
48 nanotechnology in the last decade has opened new pathways for catalyst synthesis and paved the
49 way towards new hydrocracking applications such as biofuel production from vegetable oils,
50 biomass and municipal solid wastes.

51 In petrochemical plants, hydrocracking reactors are needed to convert heavy oils (e.g. heavy
52 vacuum gas oils, tar, etc.) into lighter and more useful fuels. Furthermore, hydrocracking is also
53 an effective process to convert by-products of oil refining processes [7] into lighter, high-value
54 products, such as the conversion of polycyclic aromatic hydrocarbons into benzene, toluene and xylene

55 (BTX) [8]. Although it is often considered a demanding process, various studies have attempted
56 to produce high yield of BTX from hydrocracking of complex aromatic chains [9]–[11].

57 Due to the ever-increasing demand for renewable energy sources, biofuels have become more and
58 more popular in recent years and are seen as viable alternatives to fossil fuels [12]. However, 95%
59 of the world's biodiesel is currently made from edible oil, which puts the global food supply chain
60 under pressure [13]. Recent studies [14], [15] have demonstrated that hydrocracking processes can
61 be effectively used to convert non-edible vegetable oil into lighter hydrocarbons, thereby providing
62 a promising alternative pathway for biofuel production.

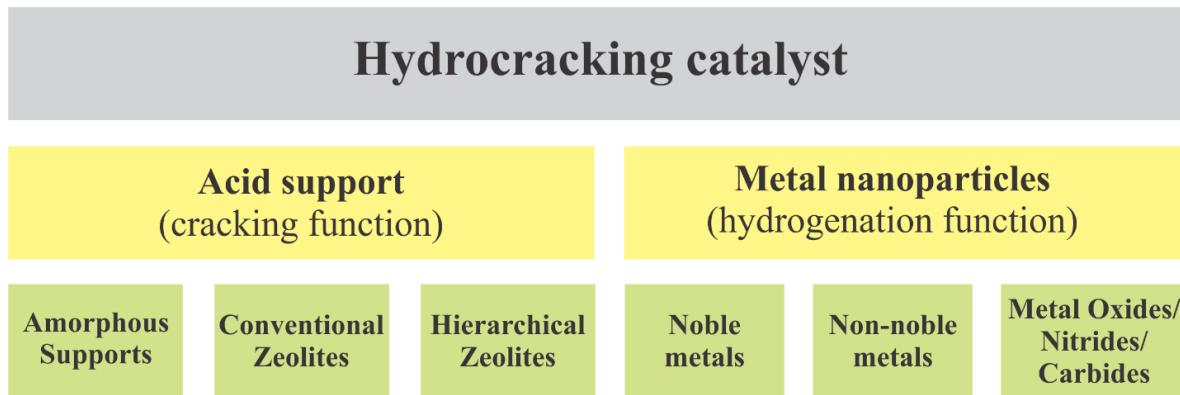
63 Hydrocracking processes have also been used in the conversion of municipal solid wastes into
64 fuel. Such wastes contain a significant amount of hydrocarbons, originating from food leftovers
65 and plastics. Due to the environmental concerns associated with landfilling or combustion of such
66 wastes, it has been suggested to convert these wastes into useful products such as crude oil through
67 pyrolysis [16]. However, the obtained crude oil cannot be immediately used because of its low
68 fluidity and complex structure, and would rather need further processing [17]. Hydrocracking is a
69 favoured catalytic process to refine such crude oil into lighter fuels [18]. Unlike crude oil from
70 petroleum, the pyrolysis crude oil from municipal waste includes nitrogen and oxygen rich
71 compounds, for which the hydrocracking requires a special catalyst that involves
72 hydrodesulfurization (HDS), hydrodenitrogenation (HDN), and hydrodeasphaltenization (HDA)
73 processes [19]. Hydrocracking is also employed for plastic waste degradation, as the latter gives
74 rise to serious environmental hazards. Zeolite-based catalysts proved to be effective for plastic
75 waste degradation, specifically due to their porosity tuning feature [20], which enables the
76 selection of the optimal zeolite porosity depending on the given reaction required.

77 Furthermore, hydrocracking of lignin in biomass, a renewable energy source, has become a
78 promising pathway for the production of green fuels [21]. Depolymerization of lignin, which is
79 aromatic in nature [22], is a key process in effectively utilizing biomass which is usually done
80 through gas and liquid phase pyrolysis [23], but the low aromatic product yield and considerable
81 char formation are major drawbacks of these methods [24]. To overcome these issues, metal
82 supported catalysts and zeolites were used in hydrocracking of lignin, reporting significant
83 reductions in char formation and substantial improvements in the yield of liquid products [25]–
84 [30].

85 The references cited above show that the types of feeds used in hydrocracking processes can vary
86 substantially, and thus, operating conditions (e.g. temperature, hydrogen partial pressure, hourly
87 feed velocity, etc.) widely differ depending on the feed and the desired products. Regardless of the
88 process conditions and feed used, the performance of a hydrocracking process relies on the
89 presence of a bi-functional catalyst which is the focal point of our discussions in the following
90 section.

91 **1.2 The notion of a bi-functional catalyst**

92 Hydrocracking is typically performed using a bi-functional catalyst where the cracking function is
93 provided by the acidic support and hydrogenation-dehydrogenation function is provided by
94 impregnated metals, as shown in Figure 1. The support offers large surface area for catalysis, and
95 enables uniform dispersion of the active metal particles. In general, supports are desirably porous
96 materials with high thermal stability [1].

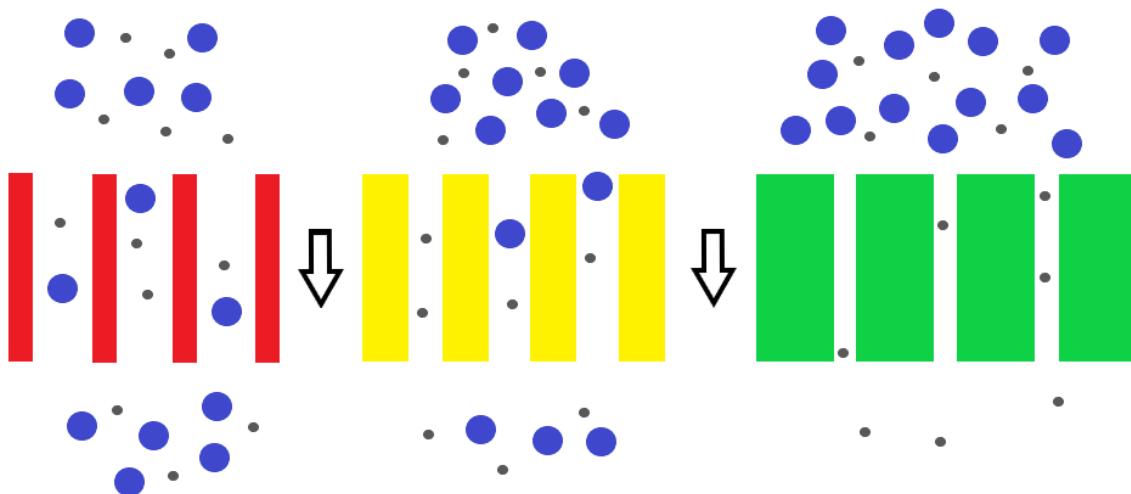


97
98 **Figure 1.** Composition of a bi-functional catalyst for hydrocracking applications

99
100 Amorphous silica-alumina as well as conventional and hierarchical zeolites, or combinations
101 thereof, are all potential acidic supports for active noble (e.g. Pt, Pd, etc.) and non-noble (e.g. Co,
102 Ni, Mo, W, etc.) metals. However, zeolites are widely preferred over other supports because of
103 their stronger acidity, higher thermal and hydro-thermal stability, higher resistance to sulfur and
104 nitrogen compounds, reduced coke production tendency and higher regeneration capability [31].

105 The porosity of zeolites gives rise to their unique shape selectivity characteristic, as certain
106 reactions are facilitated while others are suppressed due to the high or low accessibility of reactants
107 to reaction sites, and slow transport of products out of these sites. Their small pores allow for the
108 diffusion of only small molecules through the pores (see Figure 2), whereas larger molecules can
109 possibly be cracked by the silica-alumina matrix. Variations in catalytic activity and selectivity
110 can potentially reflect differences in cavity sizes and confinement effects, as well as acidity
111 differences. Acidity can significantly influence the selectivity of catalysts. For instance, the
112 distribution of microporous Brönsted acidity affects the shape-selectivity in a catalyst [32], and
113 thus, a balance between acid functions and metal functions can lead to optimal catalytic
114 performance [33]–[36]. Confinement effects can be favourable as with the case of using medium-
115 pore zeolites to easily form aromatics from feeds such as, light paraffins and olefins, with minimal
116 coking rate [31]. Figure 2 schematically illustrates molecule diffusion within macropores,
117 mesopores, and micropores, colored in red, yellow, and green, respectively [37].

118



119

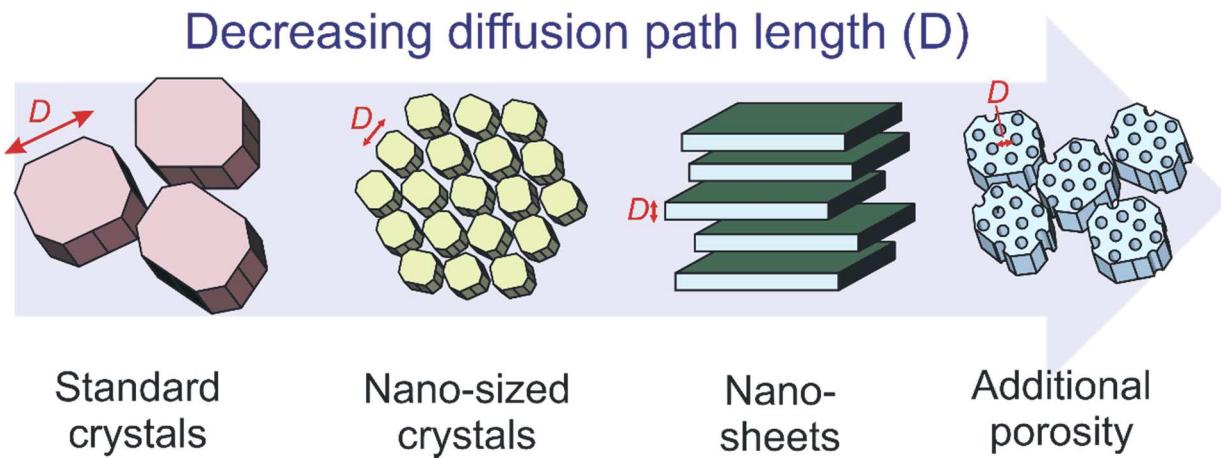
120 **Figure 2.** Schematic illustration of the diffusion of molecules within macropores (red),
121 mesopores (yellow), and micropores (green) of a zeolite.

122

123 Although the microporosity of zeolite is beneficial in terms of shape selectivity, it has inherent
124 diffusion limitations, resulting in slow mass transport and reduced reaction rates [38]. The

125 reduction in diffusivity enables the conversion of reagents into undesired by-products (e.g. coke
126 precursors), resulting in blocking of micropores and catalyst deactivation. Subsequently, the
127 external part of the zeolite takes part in the reaction with the internal part being catalytically
128 inactive. To avoid this issue, efforts have been made to synthesize zeolites with hierarchical pore
129 structure involving secondary porosity (often mesopores) to enhance access of larger molecules to
130 active sites, while preserving the zeolite's acidity and crystallinity [38]. Hierarchical pore systems
131 in zeolites can be obtained either through creating intracrystalline mesopores in the microporous
132 zeolite [39], or through a system of carefully tailored nano-sized zeolite crystals [39] that result in
133 intercrystalline mesoporosity (see Section 2.1 for further details). As shown in Figure 3, decreasing
134 the crystal dimensions, and thus the diffusion path length, such as synthesizing nanocrystal
135 zeolites, was reported to improve the catalyst lifetime [37]. Furthermore, introducing additional
136 porosity, at a smaller scale, shortens the diffusion paths and therefore, enhances catalyst lifetime
137 and inhibits catalyst deactivation [37].

138



140 **Figure 3.** Sketch showing the decrease of diffusion path length in hierarchical zeolites.

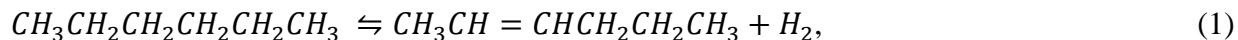
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142 **1.3 Overview of reaction mechanisms**

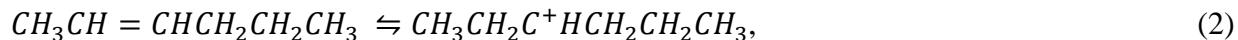
143 The details of the hydrocracking chemistry strongly depend on the type of feed used and thus,
144 different reaction mechanisms occur for hydrocracking of paraffinic (alkanes), naphthenic
145 (cycloalkanes) and aromatic (arenes) compounds. To narrow down the focus of the discussion, the

146 reaction pathways in hydrocracking of paraffinic compounds are explained in detail in the
147 following [40]; for hydrocracking of other types of feeds, the reader is referred to the literature
148 [40].

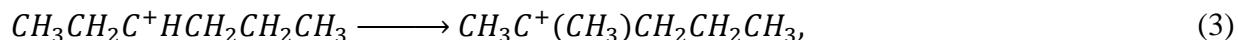
149 As sketched in Figure 4, the process starts with physisorption of the feed molecules from the fluid
150 phase into the pore system of the catalyst. The feed molecule then migrates to a metal particle on
151 the zeolite, chemisorbs at its center and then dehydrogenates to an alkene as follows:



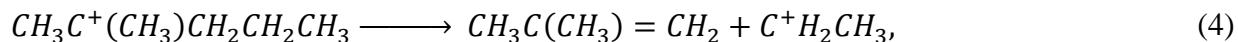
152 The latter alkene leaves the metallic center and migrates to a Brønsted acid site where it is
153 protonated into a carbenium ion according to



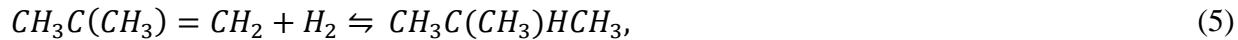
154 Subsequently, the produced paraffinic carbenium ions undergo isomerization reactions, such as
155 alkyl shifts or protonatedcyclopropane (PCP) steps (see Figure 4), to form more stable isomerized
156 carbenium ions. PCP steps increase the degree of branching in the carbenium ions, e.g.:



157 Consequently, β -scission of the isomerized carbenium ion takes place to form an olefin and a
158 shorter carbenium ion as follows:



159 The olefin can either be further cracked on an acid site of the catalyst, or it can undergo a
160 hydrogenation reaction over a metal particle via



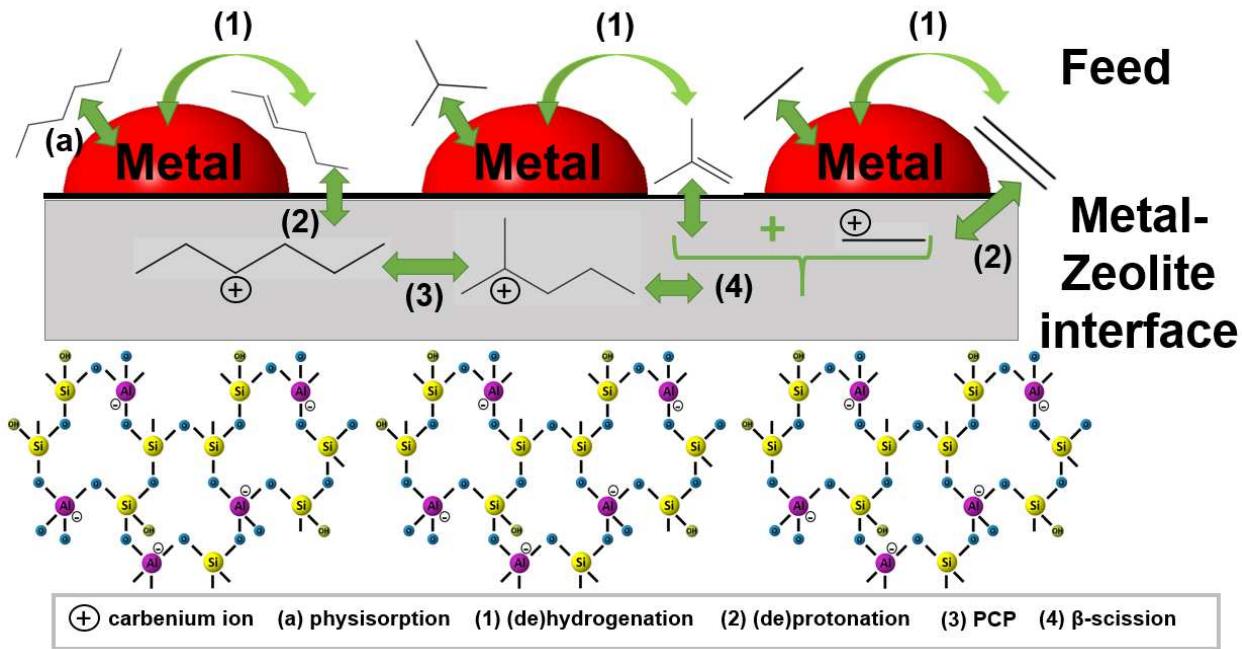
161 The shorter carbenium ions, produced by eq. (4), can be deprotonated on an acid site of the catalyst
162 to form an olefin; e.g.



163 The olefins produced by eq. (6) can migrate to a metal particle and form a paraffin through the
164 following hydrogenation reaction



165



166

167 **Figure 4.** Graphical illustration of the reaction mechanism for hydrocracking of a paraffin
168 (yellow circles: Si, purple circles: Al, blue circles: O, gold circles: OH)

169

170 Protonation step, as explained in eq. (2), involves the transformation of an olefin into a carbenium
171 ion by an attack of H^+ at a C=C bond. As compared to other reactions on acid sites, such as PCP
172 and β -scission, this reaction is much faster and is close to equilibrium under commercial operating
173 conditions [41]. The deprotonation step converts carbenium ions to olefins, through the breakage
174 of a C-H bond into H^+ and an olefin. Thus, to analyse these steps from kinetic or thermodynamic
175 perspective, it is important first to understand the nature of the reactive intermediates on acid sites
176 in e.g. zeolite-catalysed hydrocracking in the case of paraffinic carbenium ions. Tertiary
177 alkylcarbenium ions exist in higher concentrations as compared to secondary alkylcarbenium ions
178 due to stability issues. This facilitates the conversion of tertiary alkylcarbenium ions at the highest
179 rates in comparison to the secondary alkylcarbenium ions, even though the activation energies of
180 reactions for the former ions are higher than for the latter ones, which leads to the general

conclusion that reaction pathways through the activation of tertiary carbon atoms proceed at higher rates than reaction pathways through activation on secondary carbon atoms [42]. Kinetic models of hydrocracking of n-octane on Pt/H-USY were carried out based on two contradicting assumptions: the concentration of the reactive intermediates on the acid sites were once considered negligible and once not. The second assumption was closer to experimental data, where the standard protonation enthalpy for tertiary alkylcarbenium ion formation was -94 kJmol⁻¹ and that for secondary alkylcarbenium ion formation was -59.2 kJmol⁻¹. It was also concluded that the alkylcarbenium ions present in the zeolite channels, reduce the micropore volume available for alkane physisorption. Moreover, variations in physisorption and protonation behavior caused by the different nature of the zeolite used also contribute to the differences in relative alkylcarbenium ion concentrations [42].

Heats of protonation at the surface of the catalyst, ΔH^{sur} , as shown in eq. (8), is the sum of the heats of stabilization of carbenium ions from gas phase to the catalyst surface, ΔH^{gas} , and negative of the relative heat of stabilization of the carbenium ions, Δq , (determined as the difference in the heat of stabilization of a proton and a carbenium ion), and is highly dependent on the acidity of the catalyst [43]:

$$\Delta H^{sur} = \Delta H^{gas} + \Delta q. \quad (8)$$

The entropy of protonation is usually estimated through statistical thermodynamics on the basis of the loss of various degrees of freedom (DOF) in the protonation step. The entropy corresponding to three translational DOFs, S_t , is given by

$$S_t/R = \ln(Q_t) + 5/2, \quad (9)$$

where R is the universal gas constant and Q_t is the translational partition function. When protonation occurs, the olefinic and aromatic species from the sorbed phase become attached to the acidic sites, causing the change in the entropy from the sorbed state to the protonated state to become interesting [43]. Further details on mechanistic kinetic modelling of hydrocracking reactions can be found in the literature [44]–[46].

205 **1.4 Scope and structure of this review**

206 In this review paper, we present and discuss the findings of recent studies concerned with the
207 synthesis, characterization and testing of hydrocracking catalysts for upgrading fossil and
208 renewable fuels. Apart from the catalytic results that are presented, this paper also provides a
209 comprehensive overview of the current state-of-the-art in catalyst design and synthesis, and should
210 appeal to the novice and expert alike.

211 The content of this paper is organized as follows. First, we present recent advances in the synthesis
212 of hydrocracking catalysts with emphasis on the synthesis of meso-porous zeolites. Then, attention
213 is focused on the performance of bi-functional catalysts in hydrocracking of selected types of feeds
214 including aromatic and paraffinic hydrocarbons, heavy petrochemical feedstock and vegetable oil.
215 The discussions highlight the effects of the catalyst's characteristics, such as structure,
216 composition and morphology, on the conversion, yield, selectivity and deactivation resistance of
217 the catalyst. The paper concludes with a summary of the major advances in the design and selection
218 of hydrocracking catalysts including directions for future research in this field.

219 **2. Synthesis of hydrocracking catalysts**

220 Synthesizing a hydrocracking catalyst often involves two major steps, namely synthesis of a
221 support material with acid sites (e.g. zeolite) and subsequent deposition of metal nanoparticles on
222 the support. In the following, we elaborate on both these steps with a focus on the synthesis of
223 zeolites as an acidic support of the catalyst.

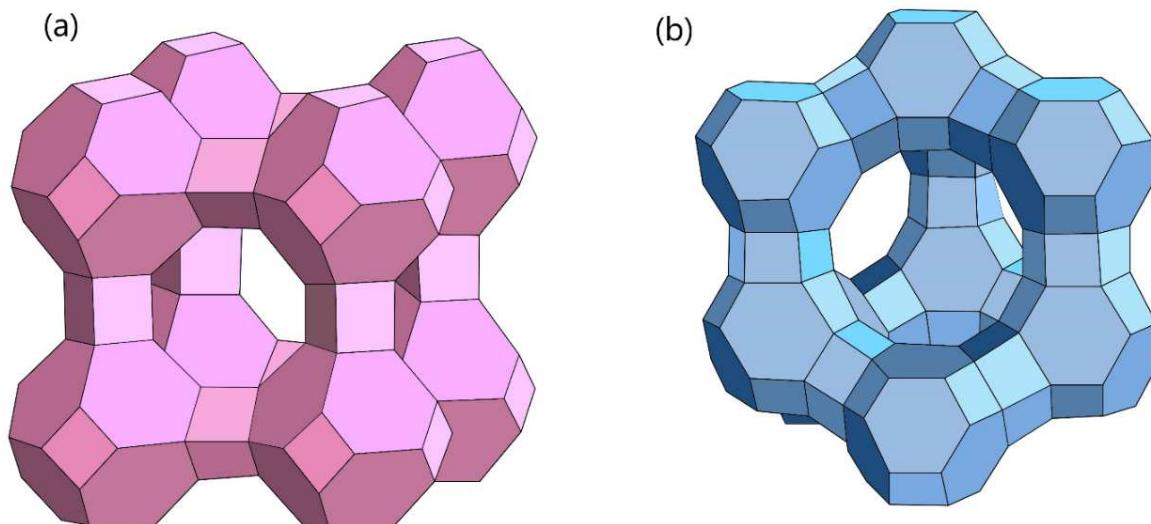
224 **2.1 Synthesis of zeolites**

225 In this section, we present an overview of recent advances in the synthesis of zeolites for
226 hydrocracking applications. The discussion includes strategies for creating and tailoring the size,
227 shape and distribution of mesopores in hierarchical zeolites.

228 Zeolites are crystalline alumino-silicates with a well-defined structure and high surface area. They
229 are essential components of many hydrocracking catalysts since they provide the cracking function
230 through their acid sites (see Section 1.2). A key structural feature of zeolites is their microporosity
231 which results from voids in the crystal lattice formed by the silica-alumina tetrahedral, as shown
232 in Figure 5. Zeolites have been widely used for industrial hydrocracking applications [47] because

233 of (i) their strong Brønsted acidity due to the bridging OH groups, (ii) their shape selectivity arising
234 from molecular sieving property as a result of the uniform crystal pore sizes, and (iii) being
235 relatively environmentally friendly compared to other acidic catalysts [31]. The most widely used
236 zeolite in industrial hydrocracking is the Y-type zeolite (part of the Faujasite zeolite family) treated
237 post-synthetically to obtain the ultra-stable form with higher Si/Al ratio and enhanced thermal
238 stability [31]. Bifunctional catalysts based on ultra-stable zeolite-Y (USY) were tested for
239 cyclohexane hydrocracking under industrially relevant hydrocracking conditions, in an attempt to
240 quantify the inhibition of Brønsted acid sites caused by nitrogen-containing molecules [48]. The
241 results demonstrated that under the chosen reaction conditions, the fraction of inhibited Brønsted
242 sites exceeded 98% due to the adsorption of ammonia, showing that the Brønsted sites are nearly
243 saturated by NH₃ molecules. Alternatively, raising the temperature from 600 to 640K triplicated
244 the amount of vacant Brønsted sites due to the significant endothermicity of ammonia desorption.
245 The effect of temperature on the inhibition of acid sites by NH₃ is zeolite dependent, particularly,
246 related to the zeolite structure and composition through the number and strength of acid sites [48].

247



248

249 **Figure 5.** Representative zeolite frameworks: (a) zeolite A, (b) zeolite Y

250

251 Synthesis of conventional (microporous) zeolites commonly involves hydrothermal crystallization
252 of alumina-silicate gels, or solutions in basic medium [49]. The majority of zeolites is crystallized
253 in mediums having pH values ranging from 9 to 13, where the OH⁻ anions act as catalysts for the
254 mineralization process. Increased alkalinity is required to reach the supersaturated state, decreasing
255 the induction period while increasing both nucleation and growth rate, leading to a decrease in the
256 Si/Al ratio [50]. Moreover, silicate species in aluminosilicate gels become more deprotonated at
257 increased alkalinity reducing the condensation rate.

258 Recent studies have focused on the synthesis of hydrocracking catalysts composed of zeolites with
259 hierarchically porous architecture, enhancing the catalyst's surface area and facilitating access to
260 larger molecules at the active sites of the zeolite. As shown in Figure 6, hierarchical zeolites can
261 be obtained either by creating inter- or intra-crystalline hierarchical pore systems. We refer to inter-
262 crystalline mesoporosity, if the mesopores are represented by the spaces between adjacent zeolite
263 crystals, while in case of intra-crystalline mesoporosity, the periodic arrangement of atoms in the
264 zeolite is interrupted by larger holes that are obtained, for example, by selectively removing atoms
265 from the lattice structure of the microporous zeolite [39].

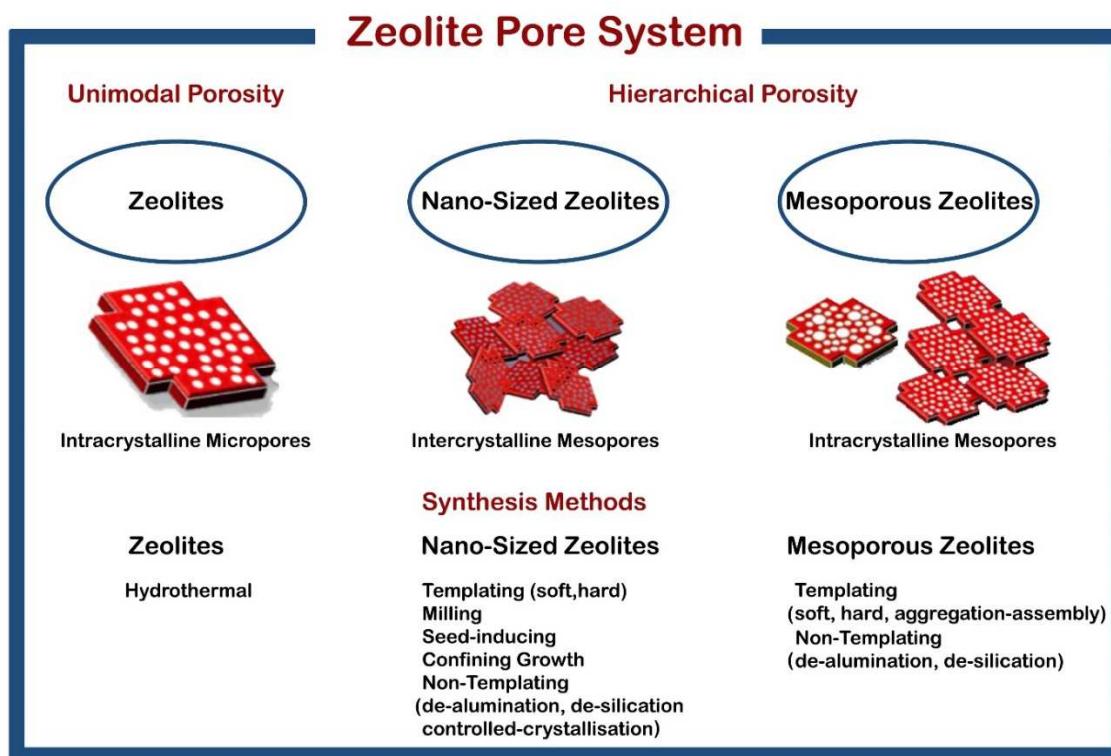


Figure 6. Zeolites' pore systems and synthesis techniques. Intra-figures reproduced with permission from [51]

269

In general, creating additional porosity at a larger scale in zeolites can be accomplished by either templating method or post-synthetic treatment (see Figure 6). The latter method includes de-alumination and de-silication which often leads to a partial collapse of the periodic zeolite structure, due to the difficulty in creating uniform mesopores with this method [52]. On the other hand, the use of mesoporous templates in the synthesis of zeolites enables more accurate control of the size of the mesopores, and these templates can be roughly classified as soft and hard templates [53]. Soft templates, such as surfactant micelles and silylated polymers, must keep a sufficient level of affinity with the zeolite framework so that separated phases won't be formed. On the other hand, hard templates, such as carbon materials, have reduced effect on the zeolite structure due to the weaker interaction with synthesis materials. This is indeed recommended for preserving the zeolites' high crystallinity. In addition, being present in different forms, the resulting mesoporosity can be tailored by changing the form and properties of the carbon material used. In particular, carbon nanotubes (CNTs), having high aspect ratio and modifiable diameter, were efficiently used as hard-templates in hierarchical zeolite synthesis [52]. Depending on whether the zeolite particles were synthesized outside or inside the CNTs, an intra or inter-crystalline network of mesopores can be obtained, respectively. In Figure 6, hierarchical zeolite pore systems are classified and the corresponding synthesis methods for each classification are listed [51]. In addition, nano-sized zeolites can be synthesized by templating, milling, seed-inducing and confining growth methods [51]. Non-templating methods can also be utilized to synthesize nanozeolites, where the reaction is allowed to run under optimum conditions of temperature and type of precursor without using templates. The latter method has the advantage of being scalable and thus can be used for a wider range of applications. Seed-inducing method involves the addition of zeolite seeds to a synthetic gel and can produce high yield of the desired zeolite. For example, Lewis acidic nano-MFI zeolite was synthesized at low temperature conditions, resulting in five-fold reduction in particle size as compared to conventionally produced MFI via hydrothermal synthesis [54].

296 A mesoporous Y-zeolite was synthesized using a novel aggregation-assembly method where block
297 copolymers were used as templates to direct the alumino-silicate gel to align forming mesoporous
298 zeolite [55]. The synthesized zeolite consisted of two types of mesoporosities classified, according
299 to their sizes, into small (6.5 nm) and large (35 nm) mesopores. The acidity of the synthesized
300 zeolites was adjusted, in which the total number of acid sites decreased in the mesoporous samples,
301 and the acidity strength (strong/weak acid ratio) decreased as well. It was reported that the
302 mesoporosity in the catalyst facilitated the mass transport to the active sites, lowering the creation
303 of coke and simultaneously increasing the yield of useful products. These results prove the
304 significance of structural properties of the mesoporous zeolites in achieving high catalytic
305 performance and activity.

306 Recent studies have shown that zeolite particle size and crystallinity has been actively controlled
307 using the graphitic structure of graphene [56].The random orientation of zeolite nanocrystals
308 templated on molecular assemblies result in an increase of surface area ($520\text{ m}^2/\text{g}$) and controlled
309 porosity in the range of 2 to 20 nm [57]. Nanoporous zeolite-Y was synthesized using
310 polyelectrolyte functionalized graphene oxide [58]. Graphene oxide nanosheet based assembly
311 was utilized to synthesize zeolite-Y/GO composite, which was then purified into zeolite-Y by
312 functionalizing the GO with cationic electrolyte.

313 Hierarchical zeolites having a densely interconnected network of micropores combined with meso-
314 and/or macro-porous zeolites are interesting materials for hydrocracking as they tend to improve
315 the selectivity and resist deactivation [59]. High FAU-content Faujasite nanocrystals with varying
316 molar compositions were synthesized from organic-free sols in [60]. The effects of synthesis
317 conditions on the content of FAU/EMT and the size of nanocrystals formed were both examined.
318 The results confirm the precursor nanoparticle evolution and highlight the significance of solution
319 composition at pre-nucleation and post-nucleation phases of aggregative crystal growth. A
320 structural study has been performed on hierarchical zeolite X using TEM imaging and diffraction
321 in [61]. The proposed conceptual model proves that the synthesized material is an intergrowth of
322 FAU and EMT, where the growth of FAU occurs through a small percentage of EMT in an atypical
323 morphology of assembled sheets with properly determined intersection angles.

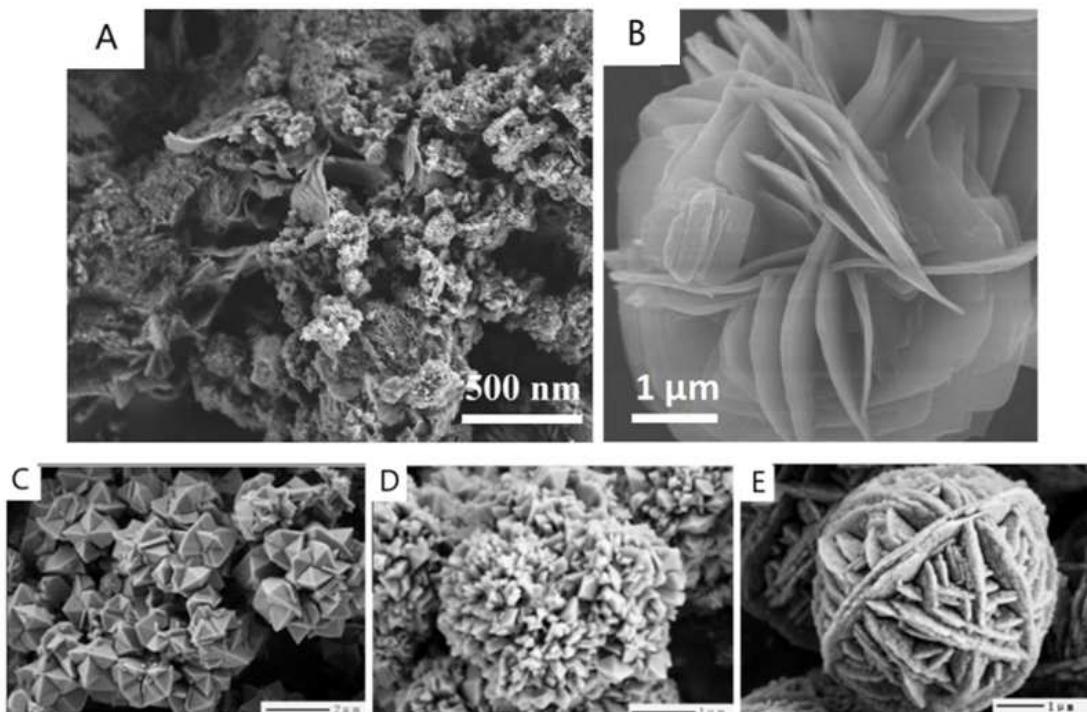
324 An effective strategy for tailoring the structure and morphology of mesoporous zeolites is to
325 introduce appropriate surfactants (i.e. soft templates) in the synthesis method. Figure 7 shows

326 SEM images of various hierarchical zeolites with different morphologies including nanospunge
327 MFI zeolite (7A), multi-lamellar ZSM-5 (7B) and NaP zeolite with diamond (7C), cactus-like (7D)
328 and wool ball-like (7E) morphology. The MFI zeolite nanospanges, shown in Figure 7A, were
329 synthesized using the micropore-mesopore di-quaternary ammonium surfactant $C_{18}H_{37}-N^+(CH_3)_2-$
330 $C_6H_{12}-N^+(CH_3)_2-C_4H_9$ in [62]. The mixture was converted through a dry-gel process, initially at
331 60°C, to form a mesoporous material that resembles the MCM-41 structure. It was then filtered,
332 dried and heated at 150°C with monitored humidity and precursor pH to ensure complete zeolite
333 crystallization. The resulting MFI zeolite consisted of 2.5 nm thick nanolayers arranged in 3D
334 networks with high surface area and mesopore diameters of around 4 nm. It was observed that the
335 original gel morphology was preserved during crystallization under monitored optimum chamber
336 relative humidity of 80%, and optimum gel composition of 100 SiO₂/1 Al₂O₃/7.5 C₁₈₋₆₋₄/28.6
337 Na₂O/15 H₂SO₄/6000 H₂O. In comparison, at humidity levels as high as 100%, the sizes of the
338 zeolite nanospange particles were relatively large. It is worth mentioning that the synthesized dry-
339 gel zeolite had comparable quality to that formed from conventional hydrothermal synthesis, with
340 the advantage of remarkably reducing the autoclave size, thus allowing easier and faster large-
341 scale synthesis.

342 Similarly, ZSM-5 zeolite with multi-lamellar structure, as shown in Figure 7B, was synthesized
343 using dual-functional quaternary ammonium surfactant for high mesoporosity [63]. The
344 synthesized zeolite exhibited higher surface area, larger volume of mesoporosity, increased
345 catalytic lifetime, greater amount of active sites, and lower diffusion limitation, resulting in easier
346 accessibility of acid sites. The catalytic performance results of the hierarchical MFI zeolite of thin
347 nanosheets morphology suggested that improved mass transport and catalytic activity were
348 associated with the given catalyst structure, in comparison to large crystal size MFI zeolite
349 particles. The proposed zeolite synthesis method using the dual-functional surfactant provides
350 guidance for potentially synthesizing various hierarchically-structured zeolites with tailored
351 mesoporosity. On the other hand, hierarchical lamellar zeolites were synthesized using sequential
352 intergrowth induced by the variation of synthetic gel composition [64]. Compared to classical
353 approaches, a rather simple gel composition was used. The proposed composition lacked sulfate
354 salts, particularly sodium sulfate, and limited the amount of di-quaternary ammonium surfactant
355 template used. The results showed that mesoporosity of the product increases with higher content

356 of ammonium surfactant, but stays rather constant with the change in Si/Al ratio of the starting
357 materials.

358 ZSM-5 zeolite in different particle sizes, and in the form of nanosheets were also synthesized in
359 [56], [65]. The experimental conditions were varied to obtain uni-lamellar and multi-lamellar
360 zeolite sheets; for further information on these conditions, the reader is referred to [56]. In both
361 nanosheet zeolite preparation methods, a bromide form of the surfactant was used. In contrast to
362 the findings of [63], the zeolite nanosheets had considerably lower inner surface area and path
363 lengths of the micropores when compared to the zeolite particles, implying potentially decreased
364 contact between the reactants and the acid sites of the catalyst which caused its lower catalytic
365 activity in cracking n-heptane [65].

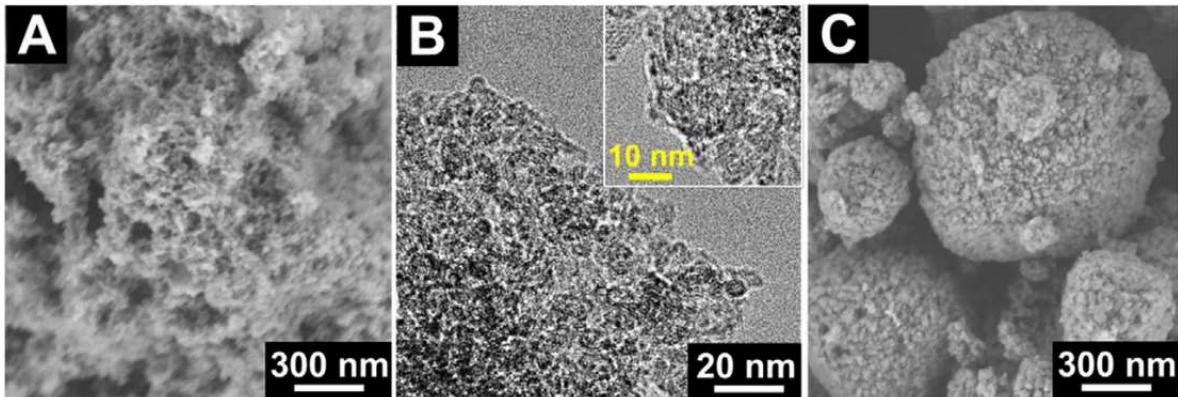


366

367 **Figure 7.** Various zeolite morphologies: (A) nanosponge MFI zeolite [62], (B) multi-lamellar
368 ZSM-5 zeolite [63], (C) diamond morphology (D) cactus-like morphology, and (E) wool ball-
369 like morphology of zeolite NaP [66]. Reproduced with permission from [62], [63], [66]

370

371 In a different study, nano-sponge beta zeolite was prepared using a surfactant of a meso-micro
372 hierarchical structure, resulting in 10-20 nm thick interconnected nanocrystals [67]. The nano-
373 sponge morphology of the zeolite involved large surface area and even mesopores leading to high
374 accessibility of active acid sites and relatively easy diffusion of reactants and products via the
375 mesopores. Figure 8A and 8B represent SEM and HR-TEM images, respectively, of the nano-
376 sponge beta zeolite with Si/Al ratio of 110, and Figure 8C presents an SEM image of a
377 conventional beta zeolite of Si/Al ratio of 103 [67]. Figure 8A indicates that the synthesized zeolite
378 consists of thin nanocrystals of approximately 10-20 nm, that are arbitrary interconnected to
379 produce a nanosponge-like assembly, with no clear additional phases. As shown in Figure 8C, the
380 conventional beta zeolite composed of aggregates, in the range of 500 to 1000 nm, of the particles
381 with a diameter range of 30-50 nm.



382

383 **Figure 8.** SEM (A) and HR-TEM (B) images of nanosponge zeolite (Si/Al=110), SEM image
384 (C) of conventional zeolite (Si/Al=103). Reproduced with permission from [67]

385

386 Other authors synthesized a Co-based composite zeolite, MOR/ZSM-5, using a solvent-free
387 synthesis recipe for several crystallization periods [68]. Different morphologies were observed for
388 different crystallization times, with the optimal time being 72 hours of crystallization at which
389 perfect crystal structure was obtained.

390 Moreover, a hydrocracking catalyst carrier made of amorphous binder and zeolite Y of Si/Al ratio
391 of 10 was patented in [69]. The uniqueness of the patented support is due to the calcination step
392 performed at high but narrow range of temperatures; between 700 to 900°C, after which loss of

393 zeolite crystallinity occurs. The optimal calcination duration was found to be between 30 minutes
394 to 4 hours, at ambient pressure in air.

395 The synthesis of CNT/zeolite hybrid catalyst often involves the growth of one of the components
396 on the other. For instance, CNTs were grown on a mixture of zeolite and Co precursor by methane
397 decomposition, in a process similar to chemical vapour deposition (CVD) [70]. Calcination in air,
398 reduction in hydrogen, and then methane decomposition were carried out consecutively at various
399 temperatures (300 to 500°C) and for different durations (15 to 60 min). It was realized that when
400 the calcination temperature or time was decreased smaller quantity of the metal was formed and
401 thus CNT formation decreased. Also, reducing the reduction temperature favoured the formation
402 of smaller metal particles, and thus CNTs with smaller diameters were produced causing lower
403 carbon content in the final catalyst. However, given that CNTs will grow on the Co metal in
404 catalytic reactions where the exposure of Co particles is necessary, this method would rather need
405 modifications. Alternatively, the zeolite can be allowed to grow on CNTs by modifying the
406 hydrothermal method of zeolite synthesis. It was proposed in [71] to add previously treated and
407 sonicated CNTs into fumed silica and sodium hydroxide solution before the addition of the mixture
408 to a separately prepared sodium aluminate solution. The typical hydrothermal method is then used
409 to grow sodium zeolite Y on the CNTs to obtain the composite catalyst, Na-ZY/CNT. Although
410 this method was successful, SEM images showed that the CNTs were not uniformly dispersed in
411 the composite, limiting the periodicity of the mesopores formed by the CNTs [71].

412 One method for tuning the zeolite properties is by varying the template molecules such that to
413 control the distribution of Al atoms within the zeolite framework. According to the *Löwenstein*
414 *rule*, the formation of Al-O-Al linkages is prohibited within the zeolite framework, even though it
415 was proposed that some violations to this rule are theoretically possible [72]. Yet, even without
416 breaking this rule, the presence of Al in Al-O-Si-O-Al or Al-O-(Si-O)_n-Al (n=2,3) is crucial for
417 the catalytic properties and for stabilizing the transition metal cations. For example, a pair of Al
418 atoms close to each other can balance the charge of a divalent cation, while an isolated Al atom
419 cannot [72].

420 **2.2 Metal loading on acid supports**

421 Metal particles catalyse both hydrogenation and dehydrogenation reactions in hydrocracking
422 processes and are typically supported by the microporous or mesoporous zeolite. Various

423 combinations of metal particles have been tested and presented in the literature, and it has been
424 shown that the catalytic activity and selectivity are often improved by placing the metal as close
425 as possible to the acid sites on the supports of the bi-functional catalyst [73]. The latter condition
426 can be achieved by synthesizing nano-sized metal particles, thus increasing the contact area
427 between the metal and the support and facilitating the spillover and back-spillover of species in
428 the hydrocarbon reactions [74]–[77]. The optimal catalyst activity is reached through maximizing
429 active sites density, while preserving the access required for the feed molecules [78].

430 Metal loading on the acid support is often done through metal impregnation or co-impregnation.
431 Metal precursors in the form of salts (e.g. metal nitrates) are dissolved in deionized water in order
432 to prepare solutions with predetermined metal quantity. The solution is then stirred and added to
433 the previously prepared support. After absorbing the metal, the catalyst is then dried and finally
434 calcined [79].

435 Various factors contribute to the chemistry of impregnation. The pH, for instance, is a significant
436 parameter in the impregnation process due to the presence of a mixture of acidic and basic species
437 in the solution subjected to various pH-dependant reactions of association and dissociation until
438 the equilibrium point. Furthermore, the pH determines the sign of the global surface charge and
439 the amount of charge sites on the solid side, and affects, both thermodynamically and kinetically,
440 the dissolution of the oxide support [80]. An appropriate selection of pH would facilitate the
441 deposition of the most abundant species in the solution to the support. HNO_3 , carboxylic acids or
442 NH_3 are convenient choices as pH adjusters since they can be easily removed post-synthetically
443 with thermal treatments. As for incipient wetness impregnation, the pH is regulated by acido-basic
444 surface hydroxyls of the support, known as *buffer effect*, since the solutions are neither very acidic
445 nor very basic. However, pH control inside the pore system is still challenging particularly for
446 non-equilibrium conditions, such as in the cases where the composition of the solution is non-
447 uniform inside the pellet. In addition to the pH of the solution, precursor-support interactions are
448 also important parameters for the study of active phase dispersion. For low precursor
449 concentration, the interactions between metal ions and oxide surface are the driving force for
450 individual metal ion adsorption, whereas for high precursor concentration, the interacting species
451 with the surface act as seeds for the salt crystallization upon drying [80]. The main interactions
452 between the chemical species during the process of impregnation and drying can be described by

453 adsorption (from electrostatic interactions to grafting), and the formation of mixed phases; for
454 further details, the reader is referred to the literature [80].

455 Electrostatic adsorption is an adsorption mechanism at the molecular level in which charged
456 species adsorb into an oxide support from an aqueous solution. In other words, solid surfaces tend
457 to acquire electrical charge when contacting an aqueous phase creating a microenvironment of
458 electrical potential imbalance at the solution-surface interface, affecting the distribution of the
459 surrounding ions [81]. Various hydrous metal oxides and organic compounds comprise ionisable
460 functional groups at their surfaces that might disassociate developing surface charge (e.g. OH
461 groups). In order to preserve electro-neutrality, the total net charge of the support surface and the
462 solution must be balanced from a macroscopic perspective, leading to what is called point of zero
463 charge (PZC). The surface charge depends in the first place on the *pH* of the surrounding solution.
464 The fact that amphoteric surface groups exist on the surface of oxides can allow electrostatic
465 adsorption of anions at low pH values and cations at high pH values for the same support [82].
466 This is because, in alkaline mediums, the net charge on the surface is negative, while under acidic
467 conditions, excess protons are held by the surface resulting in a net positive charge [81]. Beside
468 the pH, other factors can also affect the electrostatic adsorption. *Temperature*, for example, has an
469 effect on the surface charge of the adsorbent material and thus, its point of zero charge.
470 Additionally, metal complexation by organic ligands with more than one functional group typically
471 improves metal adsorption as the other functional groups bond to the solid surface, indicating an
472 effect of the *solute speciation* on the electrostatic adsorption process [81].

473 The double layer theory shall be utilized to formulate the electrostatic adsorption, in which the
474 first layer represents the support surface, being positively or negatively charged based on whether
475 it is protonated or deprotonated, while the second layer is the region of the solution where the
476 electrostatic potential created by the surface charge significantly affects the ion concentrations
477 [82]. The double layer model is illustrated in Figure 9 (a), and an example of the three possible
478 scenarios for solution pH (relative to PZC) and type of charge adsorbed is shown in Figure 9 (b).

479 The electric potential of a surface's slipping plane relative to the bulk solution created in a double
480 layer, also known as zeta potential, is used to quantify the charge magnitude. Zeta potential curves
481 for zeolites normally drop down with an increase in the *pH of the medium*, and the *temperature*
482 [83].

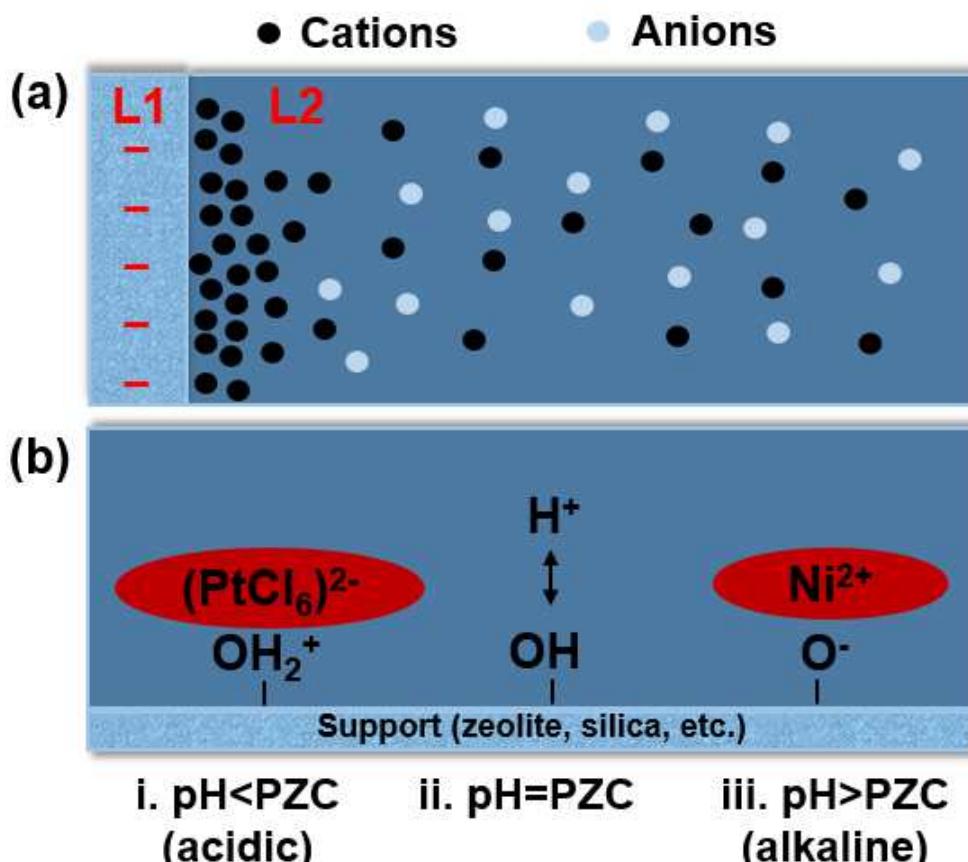


Figure 9. Double layer model representing electrostatic adsorption of (a) cations from an aqueous solution layer (L2) on a negatively charged support layer (L1), and (b) three possible adsorption scenarios (i, ii, and iii) based on the solution pH

487 Memory effects are claimed to exist in the synthesis of supported catalysts, in which the system
488 may remember for a long time the preparation conditions initially applied. Although this claim is
489 still not very well established, one can rely on specific cases and examples in which these effects
490 hold. In these cases, controlled changes in the metal deposition mechanism translates into
491 variations in the final catalyst, and thus unified recipes for the catalyst preparation have to be
492 followed each time the same catalyst is to be synthesized [82]. For instance, in the preparation of
493 the bimetallic system, $[PtCl_6]^{2-}WO_xAl_2O_3$, the alumina surface is first modified by tungstate
494 deposition after which washing, drying, chloroplatinate deposition, drying again and thermal
495 treatments are carried out [82]. During these preparation steps, the sequence of events happening
496 was found to highly depend on the nature of the tungstate salt, initially used for deposition, and on
497 the deposition mechanism. Monotungstate $(WO_4)^{2-}$ was deposited in small quantities by an *inner-*

498 *sphere* mechanism, while Paratungstate ($H_2W_{12}O_{42}$)⁻¹⁰ followed specific adsorption as *outer-*
499 *sphere* complexes with charge overcompensation. Metatungstate ($H_2W_{12}O_{40}$)⁻⁶ was generally
500 electrostatically adsorbed and stayed mobile on the surface. Inner-sphere mechanism is one in
501 which a bridging ligand is formed to transfer electrons between complexes involving breakdown
502 and formation of bonds. Outer sphere, on the contrary, is an electron transfer mechanism between
503 complexes that do not undergo substitution and involves no bond breakdown or formation.

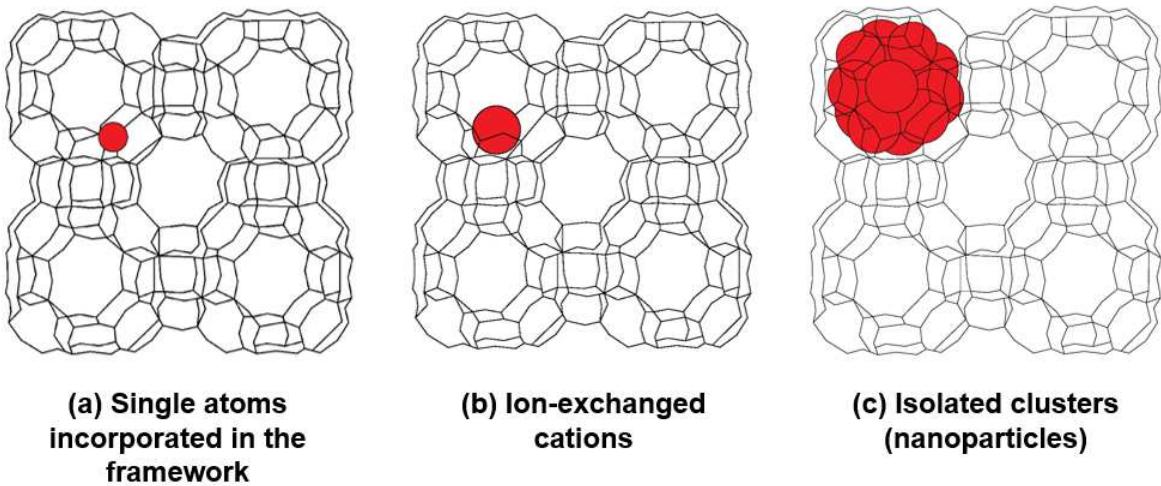
504 Alternatively, metal-supported catalysts can be prepared by the deposition-precipitation (DP)
505 method. The success of this method relies on several parameters that need to be pre-determined,
506 such as temperature, stirring rate, synthesis duration (DP time), concentration of the support, urea,
507 and nitric acid [84]. The standard DP method involves the use of excess amount of the metal
508 precursor and then changes the DP time in order to regulate the amount of metal loading. Instead,
509 the starting metal precursor concentration can be selected in such a manner to control the metal
510 loading, and the DP duration can then be set for an excess time [85]. Generally, a solution of the
511 metal precursor with pre-determined concentration is first prepared. The support (e.g. zeolite) is
512 then added to the majority (around 4/5) of the metal solution, and the slurry formed is heated to
513 70°C under agitation. Then, urea and nitric acid are added to the remaining metal solution forming
514 a new solution that is consequently added dropwise to the heated slurry. Next, the temperature is
515 increased to 90°C and kept for as long as the desired DP time with continuous stirring. Finally, the
516 slurry is quenched in an ice bath, vacuum filtered, washed with deionized water, dried overnight,
517 and calcined.

518 Remarkable thermal stability has been shown for silica supported metal catalysts prepared by DP
519 as compared to their impregnation counterparts [86]. The urea DP method has been most widely
520 used to prepare supported Ni-catalysts, but a range of other metals have been successfully
521 deposited by this method. Copper deposition on silica using urea, however, has been exceptionally
522 challenging especially with the use of copper nitrate precursor, $Cu_2(NO_3)_2(OH)_3$, which precipitates
523 at pH of 5 when the interaction with the silica support is poor [86]. Extended precipitation time is
524 required in such case to dissolve the basic copper nitrate and precipitate another copper compound
525 at elevated pH having good interaction with the support at which time copper hydro-silicate is
526 formed. Although in divalent transition metals hydrolysis takes place at very low pH values
527 preventing proper interaction with silica, typically favoured at pH>5, these metals can generally

528 be deposited using urea DP [86]. For instance, La(III)oxide was successfully deposited onto silica
529 by adding NH₄OH solution starting with pH of 4 which was gradually increased until 10 in [87].
530 The results revealed an interaction between La(OH)₃ and SiO₂, whereas the XRD results showed
531 that the La phase was amorphous.

532 Another method for metal loading would be to encapsulate the metal in the support structure *in*
533 *situ* during the support synthesis [88]. For zeolite supports, an alumina-silicate gel is prepared in
534 the desired composition, and an aqueous solution of the metal precursor is added to the gel, at
535 60°C, dropwise with continuous stirring for 1 hour. After that, the conventional procedure for the
536 zeolite synthesis is followed. Whether *in situ* or as post-synthesis modification, once the active
537 phase is dispersed inside the zeolite, the zeolite framework protects the metal from sintering even
538 at increased temperatures [72]. Such an approach was employed to synthesize Pt nano-particulates
539 supported on ZSM-5 *nano-shells* having extremely thin walls [89]. The Pt nanoparticles having
540 diameters of 2-3 nm were entrapped and highly dispersed in the zeolite nanoshells, which resulted
541 in high thermal stability up to 750°C owing to their immobilization.

542 Based on the details of the synthesis method, various configurations of the metal-zeolite
543 composites can be obtained, each having specific chemical, physical and catalytic properties.
544 *Isolated metal nanoparticles, ion-exchanged cations* (stabilized in the [AlO₄]⁻ tetrahedra), and
545 *single heteroatoms* substituted in the zeolite framework are three conceptually different transition
546 metal-zeolite composites schematically illustrated in Figure 10. Well-defined *single-atom*
547 *catalysts* can be prepared by chemical vapour deposition (CVD) method, in which a volatile and
548 reactive metal precursor is allowed to react with a zeolite under anhydrous conditions and elevated
549 temperatures [72].



550

551 **Figure 10.** Metal-zeolite composites in three possible configurations: (a) single heteroatoms, (b)
 552 ion-exchanged cations, (c) isolated metal nanoparticles

553

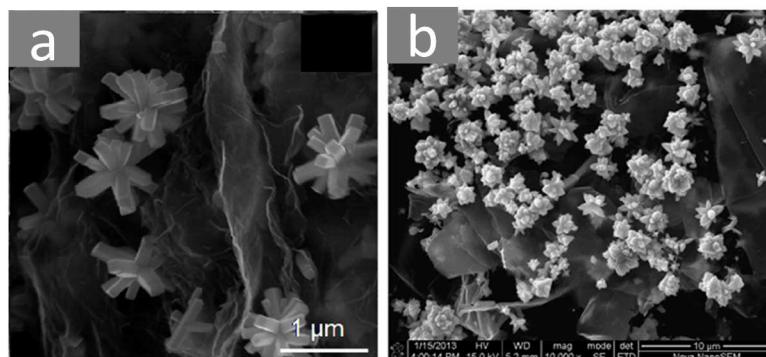
554 For hydrocracking of heavy oil, Molybdenum and Tungsten sulfides are used as active species in
 555 metal-supported catalysts with Ni and Co being the promoting species [90]. These active species
 556 are then dispersed on an acid support, such as alumina [57], [91], alumino-silicates [92], [93] or
 557 zeolites. Despite being efficient catalysts for hydrocracking, these metal-supported catalysts are
 558 also used for hydro-desulfurization (HDS) [94] and hydro-demetallation (HDM) processes [95].
 559 However, metal supported materials are unfavourable catalysts for hydrocracking extra-heavy oil,
 560 due to rapid coke and sulfur formation on active sites, which in turn causes catalyst deactivation
 561 [96]. Instead, un-supported, highly dispersed metal catalysts are rather used for extra-heavy oil
 562 hydrocracking such as nickel or cobalt molybdenum sulfides [97]–[102].

563 A novel hydrocracking catalyst and preparation method comprising a support, an active metal and
 564 carbon is patented [103]. With respect to the total weight of the catalyst, the support is present in
 565 60-90 wt%, while the active metal component in metal oxide is 15-40 wt%, and the carbon element
 566 is 1-5 wt%. The steps involved in this innovative synthesis are: (i) carbon deposition reaction to
 567 obtain a carbonized support by allowing the support to be in contact with a carbon source; (ii)
 568 treating the carbonized support in an oxygen-containing gas (0.5-8 vol%) at 250°C-390°C in order
 569 to obtain a decarbonized support of carbon content between 20-80% of that in carbonized support;

570 (iii) introduce the active metal precursor into the decarbonized support and allow to dry; (iv) heat
571 treatment to convert the precursor into active metal oxide, while keeping the carbon in the support.

572 Recently, various research groups reported the formation of unique metal oxide architectures;
573 nanosheets [104], nanoflakes [105], nanoplates [106], flower like nanoparticles [101], [107], [108]
574 and porous nanoparticles [109], on CNT/graphene templates as shown in Figure 11. These types
575 of particles have enhanced porosity and surface area as compared to particles of nearly spherical
576 shape, but their performance in hydrocracking applications has yet to be proven.

577



578

579 **Figure 11.** Scanning electron microscopy (SEM) image of (a) flower-like SnO₂/graphene [108]
580 (b) flower-like ZnO/RGO composites [110]

581 **2.3 Pelletized catalysts for industrial use**

582 Matrix materials or binders (e.g. clays, aluminas and silicas) are often used in industrial
583 applications to disperse the catalyst into desired formable shapes (e.g. granules, trilobes, cylinders,
584 rings, etc.) enhancing their mechanical and attrition resistance [111]. They are particularly used
585 when the stability and mechanical strength of the catalyst need to be improved for certain reaction
586 conditions. Although catalysts in fundamental studies are often carried out in powder form for
587 simplicity, industrially-used catalysts involve the preparation of pelletized-catalysts including the
588 active components of the catalyst and a binder. Thus, it is important to highlight the preparation
589 methods for pellets and their effect on hydrocracking reactions.

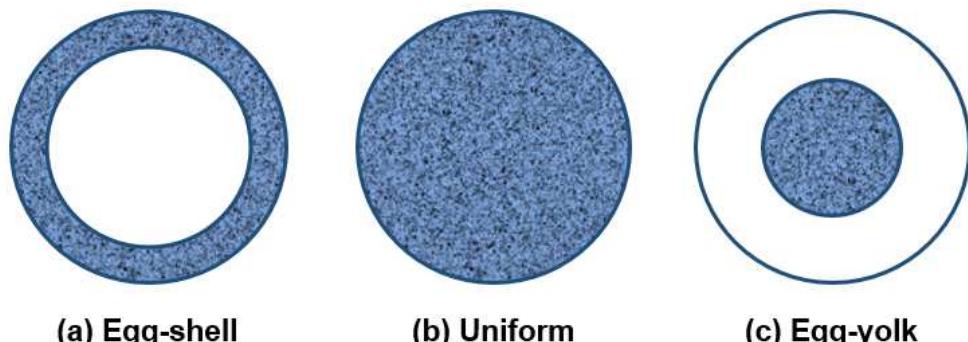
590 **2.3.1 Pellet preparation**

591 Mulling-extrusion method is the most commonly used shaping method for zeolites, in which the
592 binder used is typically alumina or silica as shaped zeolites possess poor mechanical resistance
593 [112]. The preparation steps briefly involve mixing of zeolite, binder, and methylcellulose (organic
594 binder) powders in an aqueous solution of nitric acid, kneading the mixture and adding an aqueous
595 solution of ammonium, extruding the paste, and finally drying and calcining the extrudates [112],
596 [113]. The metals can later be deposited on the shaped zeolite following simple impregnation
597 method. Moreover, pelletization of zeolites can be done by agglomeration, in which materials with
598 good binding properties, such as the sodium form of bentonite clay, can be utilized for this
599 preparation technique. Simply, the zeolite and bentonite are suspended in water with mild heating
600 and continuous agitation, then dried, and later grinded and sieved (to collect the particles of desired
601 size), before being calcined [114]. However, in this method further processing is required to obtain
602 the acid-form of the agglomerated zeolite, which can be achieved by ion-exchanging Na^+ several
603 times with NH_4Cl under agitation at mild temperature followed by calcination. Finally, the metals
604 can be incorporated in the catalyst by impregnation method [115]. Also, extrudates preparation
605 has been reported with controlled deposition of platinum by changing the sequence of the
606 preparation steps: Pt impregnation on the zeolite, catalyst synthesis, and catalyst shaping [116].
607 The study indicated that the alternative ways of Pt deposition on the extrudates had significant
608 effects on the metal-to-acid site ratio; the binder presence reduced the acidic properties of the
609 zeolite due to changes in the solid-state ion exchange between zeolite protons and clay sodium.
610 Also, the morphology, metal particle size, total acidity, and mechanical strength of the shaped
611 catalysts were highly affected by the preparation method adopted. The study showed that the
612 strength of the extrudate increased with increasing Pt concentration, and that Pt particle size was
613 reduced in all types of extrudate due to extrusion [116]. Thus, the mechanical strength of the
614 extrudates depends on the binder type, ratio of the support and the binder used for preparation, the
615 particle size and the calcination step.

616 From the aforementioned, it would be beneficial to shed more light on metal-loading of pellets at
617 a molecular-level. The conditions applied during the impregnation and drying steps, and the type
618 of competitor molecules (i.e. molecules that enhance the metal distribution) introduced in the
619 impregnation, lead to various ways of precursor distribution on the support, namely egg-shell,
620 uniform, and egg-yolk distributions, as shown in Figure 12 [80]. Egg-shell distribution (Figure

621 12a) can be obtained when a highly viscous solution of the precursor is used during impregnation
622 leading to strong adsorption of the precursor. The same distribution can also be obtained in the
623 case of low viscosity solutions if slow drying regime is adopted. When equal competition exists
624 between the precursor and competitor with the support surface, a rather uniform distribution
625 (Figure 12b) occurs. Uniform distribution can also be the result of weakly interacting precursor
626 accompanied with room temperature drying. In contrast, egg-yolk distribution takes place when
627 the competitor interacts more strongly with the surface as compared to the precursor favouring the
628 diffusion of precursor solute towards the center of the pellet, or when fast drying regime is applied
629 with predominant back-diffusion [80]. For instance, Eggshell and uniformly distributed Pt
630 catalysts were prepared via the post synthesis and in-situ synthesis methods, respectively [116].
631 The post synthesis method in this context refers to the impregnation of Pt on the extrudates after
632 shaping by extrusion, whereas in-situ synthesis involves Pt impregnation prior to shaping and
633 extrusion, i.e. Pt deposition on the zeolite and/or binder. Intimacy effects for metal-mesoporous
634 solid acids catalysts were studied by preparing mesoporous supports with or without binders. For
635 further information the reader is referred to [117].

636



637 **Precursor distribution inside the circular support**

638 **Figure 12.** Types of possible metal precursor distribution during the wetness impregnation
639 method of catalysts preparation

640

641

642 **2.3.2 Binder's effect on catalyst properties**

643 Even though they might be seen as inert components, binders can have substantial effect on the
644 catalyst performance in hydrocracking. The major effects of the binder on the catalyst properties
645 and performance are summarized as follows [111].

646 *Alteration of the catalyst porosity:* The presence of an additional phase in a catalyst is clearly
647 expected to modify its porosity and thus causes certain changes in the reaction performance.
648 Binders were reported in some studies to induce meso- or macro- porosity to the zeolites causing
649 beneficial selectivity effects attributed to improved diffusion as metals disperse into the meso- or
650 macro- pores of the binder [111]. The development and application of hierarchical zeolites in large-
651 scale industrial processes typically involve binders and this was considered in a number of studies
652 [118], [119]. The main observations in these studies were that mesoporosity was generated in the
653 zeolite-binder catalyst arising from the inter-crystalline voids of alumina crystallites [118].
654 Although this improved the performance of the catalyst, it reduced the dispersion of metal too, and
655 therefore, optimizing the binder percentage in the catalyst becomes necessary. Similar findings
656 were reported in [119] where the presence of the binder increased the mesopore volume and the
657 average pore volume, whereas the micropore volume and the surface area were both reduced.

658 *Alteration of the catalyst's coking resistance:* Several studies demonstrated that coking was
659 reduced with the addition of a binder to the catalyst since coke precursors were trapped by the
660 binder. For example, the stability of de-aluminated mordenite catalyst in converting methanol to
661 light olefins significantly increased with the addition of a binder due to the trapping of coke
662 precursors by the binder [111]. Investigating the pure phases showed that coke accumulation on
663 the zeolite decreased while that on the binder increased. In contrast, the presence of the binder
664 caused a reduction in the catalyst activity, and acidity, as the strongest proton sites were neutralized
665 by alkaline earth metals coming from the binder during the catalyst synthesis. Yet, not all studies
666 involving binders reported a reduction in coke level with the presence of binders, but rather
667 correlated coking level with the nature of the binder used. Alumina binders resulted in an increase
668 in the coke deposition on the zeolite phase, kaolin binders did not cause noticeable change, whereas
669 silica binders decreased the deactivation caused by coking due to a simultaneous decrease in
670 external and intra-crystalline acidity of the zeolite [111].

671 *Trapping poisons:* The presence of metal components in hydrocracking feedstock is a well-known
672 problem that leads to poisoning and possibly catalyst deactivation. The presence of binders, fillers
673 and matrices has been shown to somehow mitigate the effect of metal presence in the feed and
674 enhance the tolerance of the catalysts [111]. For example, the presence of a basic compound, such
675 as magnesia in magnesia-alumina matrix, can trap H₃VO₄ protecting the zeolite from the effect of
676 vanadium.

677 *Altering the thermal characteristics of the catalyst:* Although minimal experimental validation has
678 been carried out, it has been reported that binders can act as heat sinks in exothermic reactions.
679 This potential characteristic of the binders may be beneficial for regulating the reaction conversion
680 and/or selectivity with the possibility of preserving the integrity of catalytically active phases, such
681 as by reducing sintering [111].

682 *Enhancement of physical durability:* One of the main advantages of adding binders is to improve
683 the mechanical strength of the catalysts by shaping them into different forms including granules,
684 pellets, extrudes and monoliths, depending on the desired application. Based on the degree of the
685 thermal treatment and thus, the dehydration of the binder during synthesis, terminal hydroxyl
686 group cross-linking is induced, leading to an increase in the mechanical strength of the catalyst
687 [111].

688

689 **3. Performance of hydrocracking catalysts**

690 A large body of literature now exists that demonstrates the effectiveness of zeolite-based bi-
691 functional catalysts in hydrocracking of a wide variety of feedstocks. In order to maximize the
692 process performance, it is paramount to tailor the composition, structure, morphology, acidity and
693 porosity of the catalyst to the process conditions and structure of the feed molecules. The process
694 conditions indeed play an important role on the performance of a hydrocracking process. An
695 increase in temperature typically results in faster cracking on acid sites, yet, very high temperatures
696 limit the hydrocracking of aromatic compounds. Increasing the hydrogen partial pressure increases
697 the conversion of aromatics into saturated products, thus, enhancing the quality of jet and diesel
698 fuels with remarkably high viscosity index [120]. Hydrocracking aromatic hydrocarbons is

699 optimally performed at high pressure and hydrogen to feed ratio, combined with the lowest
700 possible temperature.

701 The evaluation of a catalyst performance in cracking processes is commonly based on a number
702 of reaction metrics. The conversion is the number of moles of a reactant that has been transformed
703 into products over the number of moles of that reactant fed, and can be expressed as

$$X = \frac{\text{number of reacted moles of a reactant}}{\text{number of introduced moles of that reactant}} \times 100 . \quad (10)$$

704 When new catalysts are developed, conversion is typically the first parameter to be measured
705 [121]. In complex reactions, conversion alone is insufficient for describing the catalyst
706 performance, and properties such as selectivity and yield need to be measured too. Selectivity is
707 the amount of certain product formed during the reaction; generally, it is defined as the number of
708 moles of a product formed over the number of moles of reactants converted to form the product:

$$S = \frac{\text{number of moles of a desired product formed}}{\text{number of moles of all products formed}} \times 100 . \quad (11)$$

709

710 However, the definitions for selectivity take different forms, and thus, precise definition is required
711 when reporting selectivity of a catalyst. Based on these definitions, it can be deduced that
712 selectivity depends on conversion, and although high selectivity of the desired product is favorable,
713 it is also desirable to achieve large enough reactant conversion. Therefore, a third characteristic
714 property, known as the yield, is often essential for catalyst evaluation. Yield, often measured in
715 percentage weight, is the ratio between number of moles of a product of interest and the number
716 of moles of reactants fed, and can be calculated through the following formula:

$$Y = \frac{\text{no.of moles of a desired product formed from reacted moles of } i}{\text{no.of introduced moles of } i} \times 100 . \quad (12)$$

717 The challenge is to maximize the yield of the desired product through the use of highly active
718 catalysts that can facilitate high conversion rates. Optimum catalyst selection will certainly depend
719 on the required outcomes for the specific application of interest [122].

720 Apart from the metrics described above, catalyst lifetime is also an important consideration.
721 Catalyst deactivation deteriorates the aforementioned functional properties during the reaction. In

722 case of hydrocracking, the lifetime of a catalyst can vary from a few seconds up to a few years.
723 Several factors may contribute to catalyst deactivation, such as poisoning, coke formation, and
724 solid state transformations. Poisoning is often caused by chemisorption of impurities on the
725 catalyst, while coking results from the carbon formation and deposition on the catalyst. Carbon
726 can be formed as a product or intermediate product resulting from side reactions, in either case
727 blocking the active sites. Solid state transformation, however, may involve a number of possible
728 phenomena, such as carrier modification or promoter atoms' migration [121]. Both coke formation
729 and poisoning by heavy metals lead to catalyst deactivation during hydrocracking which may or
730 may not be reversible. Reversible deactivation arises from coke deposition and can therefore be
731 retreated by burning coke in the generator. On the other hand, irreversible deactivation takes place
732 due to four distinct but interconnected phenomena: zeolite de-alumination, zeolite decomposition,
733 matrix surface collapse and metal (such as sodium and vanadium) contamination [40]. Protonic
734 zeolites with high Si/Al ratio are considered stable, and prevent easy de-alumination. The most
735 problematic metallic contamination is iron carried in with the feed, which might be suspended or
736 combined with a molecule of heavy hydrocarbon. In both cases, such form of contamination does
737 not only cause catalyst deactivation, but more critically, plugs the catalyst cavities resulting in
738 considerable pressure drop [123]. Furthermore, other factors may also facilitate the deterioration
739 of hydrocracking catalysts. For instance, increasing feed rate and conversion, as well as decreasing
740 hydrogen partial pressure, reactor pressure, recycle gas rate and recycle gas purity all contribute
741 to reducing the life of the catalyst. The activity of the hydrocracking catalyst diminishes with time,
742 so in order to keep the design conversion rate, the catalyst temperature has to be increased.
743 Accordingly, catalyst manufacturers specify an 'end of run' temperature (EOR) corresponding to
744 the maximum temperature the catalyst can withstand, after which regeneration or discarding of the
745 catalyst should take place [123].

746

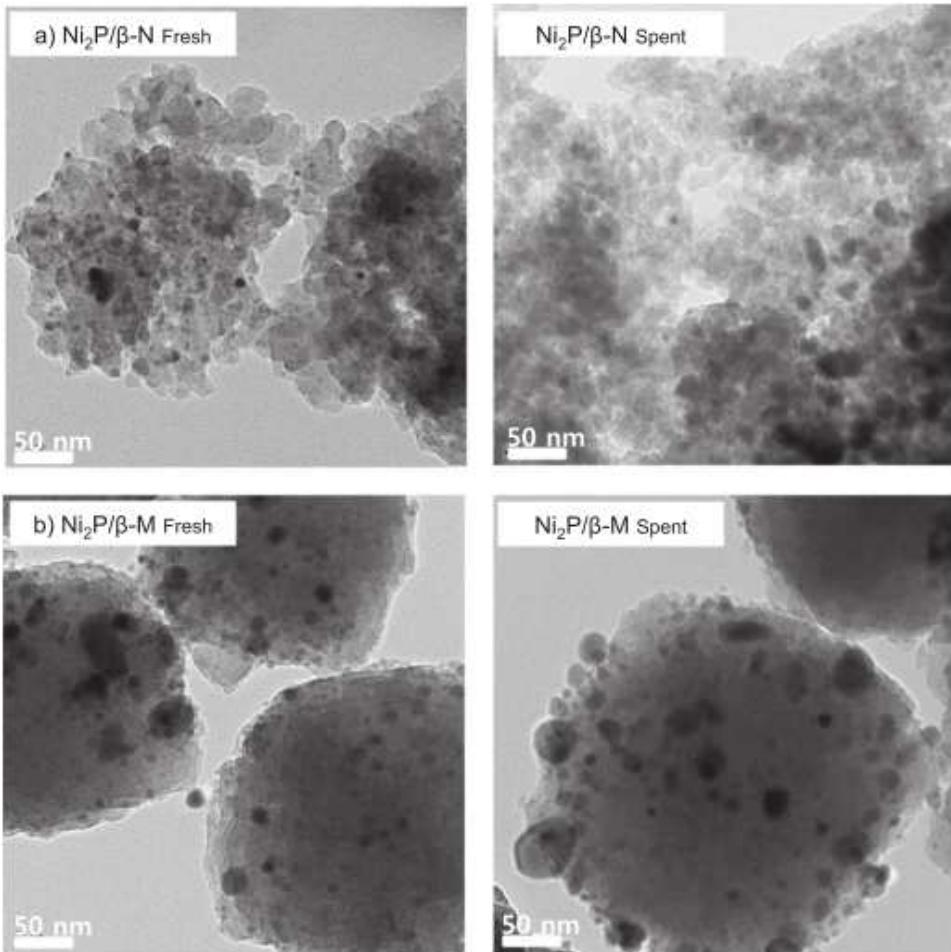
747 In the following sections, we provide a detailed review of recent literature concerned with the
748 design and performance evaluation of bi-functional catalysts in hydrocracking of a variety of feeds,
749 including aromatic compounds, heavy petrochemical feedstock, paraffinic hydrocarbons, and
750 vegetable oil. For each of these feeds we present, in the following sections, tables with references

751 to relevant studies which are meant to help the reader to identify common catalysts and products
752 obtained from a particular type of feed.

753 **3.1 Hydrocracking of aromatic compounds**

754 We proceed to examine the current literature for information related to the performance of metal
755 promoted zeolite-based catalysts in hydrocracking of aromatic compounds.

756 The effect of the zeolite's particle size on hydrocracking of 1-methylnaphthalene into benzene,
757 toluene, and xylene (BTX) was demonstrated in [124]. In the latter study, nano-sized β zeolite (β -
758 N) and micometer-sized β zeolite (β -M) were synthesized and loaded with Ni_2P for catalytic
759 conversion of the naphthalene feed into BTX. The yields of BTX from the two hydrocracking
760 processes were 42.3% and 30.5% for $\text{Ni}_2\text{P}/\beta$ -N and $\text{Ni}_2\text{P}/\beta$ -M, respectively. The use of nano-scale
761 crystal size zeolite catalyst not only resulted in higher yield of BTX, but also demonstrated
762 enhanced local and bulk structure stability and catalytic activity over the micro-sized crystal
763 zeolite. TEM images for fresh and spent catalysts $\text{Ni}_2\text{P}/\beta$ -N and $\text{Ni}_2\text{P}/\beta$ -M are shown in Figure 13.
764 The images clearly depict a variety of crystallite sizes, 20 nm for β -N and 0.5 μm for β -M. The
765 Ni_2P particles were smaller in $\text{Ni}_2\text{P}/\beta$ -N falling in the size range of 5–10 nm. In contrast, larger
766 Ni_2P particles were found in $\text{Ni}_2\text{P}/\beta$ -M of 10–50 nm size on the support. In addition, superior Ni_2P
767 dispersion, and higher accessibility to acid sites in $\text{Ni}_2\text{P}/\beta$ -N were enabled by the presence of inter-
768 crystalline mesopores, subsequently facilitating the arrival of feed molecules to active cracking
769 sites. In contrast, the hydrocracking over $\text{Ni}_2\text{P}/\beta$ -M catalyst suffered from the production of coke,
770 and had an overall poorer activity for both the hydrogenation and hydrocracking processes.



771

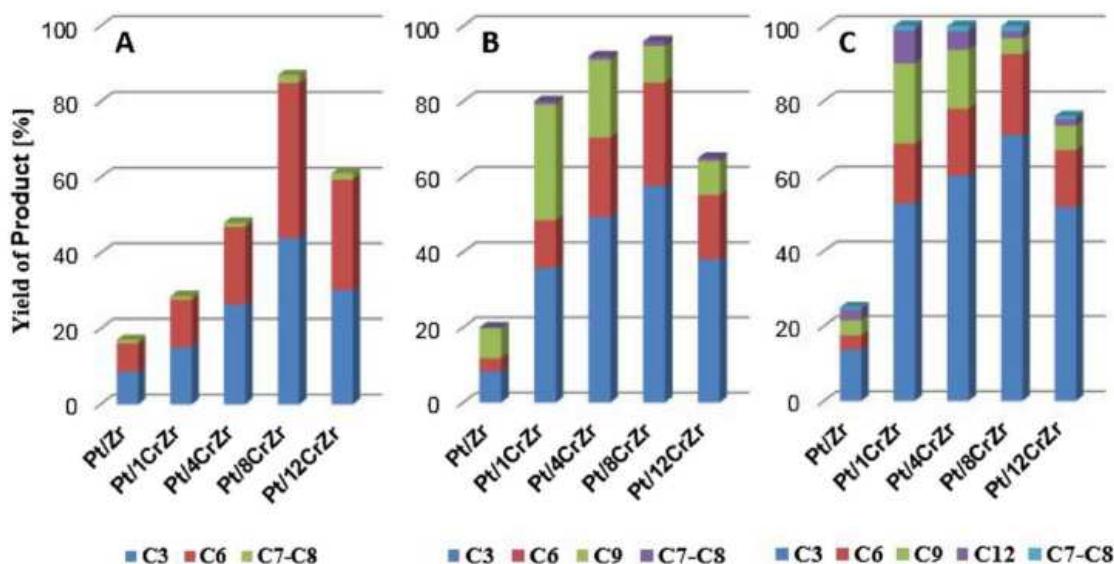
772 **Figure 13.** TEM images for fresh and spent catalysts $\text{Ni}_2\text{P}/\beta\text{-N}$ and $\text{Ni}_2\text{P}/\beta\text{-M}$. Reproduced with
773 permission from [124]

774

775 The performance of the catalytic conversion of naphthalene feeds into BTX not only depends on
776 the catalyst's particle size but also on the details of the zeolite structure. This was shown in [125]
777 where three types of zeolites, namely ZSM-5, β and USY were loaded with Ni_2P and used for
778 catalytic hydrocracking of naphthalene into BTX [125]. In terms of catalytic activity, the $\text{Ni}_2\text{P}/\beta$
779 catalyst was the best among those tested, exhibiting naphthalene conversion of 99%, and BTX
780 yield of 94.4%. The improvement observed from the use of the latter catalyst was attributed to the
781 proper dispersion of Ni_2P particles, and to the reasonable acidity and porosity of β zeolite. It is
782 worth mentioning that EXAFS and XRD results proved that the stability of the $\text{Ni}_2\text{P}/\beta$ catalyst was
783 maintained during the cracking process. On the other hand, loading SiO_2 , rather than zeolites, with

Ni₂P resulted in limited catalytic activity, and produced tetralin and decalin at 400°C and 30 bar from the hydrogenation of naphthalene.

Different types of catalyst compositions were studied in [126] where Cr₂O₃ was introduced in different amounts to Pt/ZrO₂ catalyst forming Pt/Cr₂O₃-ZrO₂. The obtained composite catalyst was used for hydrocracking isopropylbenzene (IPB), 1,4-diisopropylbenzene (DIPB) and 1,3,5-triisopropylbenzene (TIPB). The results indicated that by adding Cr₂O₃ to ZrO₂ the tetragonal phase of ZrO₂ and the bulk crystalline Cr₂O₃ were strengthened. Additionally, the acidity and surface area were both maximized for 8 wt% introduction of Cr₂O₃ but declined to some extent for 12 wt%. In particular, the Brønsted acid site concentration slightly decreased for the 12 wt% Cr₂O₃ loading, whereas the Lewis acid site concentration continued to increase with the Cr₂O₃ loading. Based on the FTIR results, the 8 wt% loaded catalyst had almost monolayer-dispersed Cr₂O₃ on the ZrO₂ surface. The same catalyst composition also exhibited the best catalytic activity in hydrocracking of IPB, DIPB, and TIPB at 250°C, and this can be attributed to the presence of highest concentration of protonic acid sites in this catalyst. The formation of protonic acid sites is, in turn, linked to the interaction between the chromium-oxygen double bond at 1035 cm⁻¹ and H₂. The presence of strong Lewis acid sites also contributed in stabilizing the electrons throughout the creation of protonic acid sites. The percentage yield of products, C₃-C₈, for the catalysts used in hydrocracking IPB, DIPB, and TIPB are shown in Figure 14.



802

803 **Figure 14.** Percentage yield of products in hydrocracking A) isopropylbenzene (IPB), B) 1,4-
804 diisopropylbenzene (DIPB) and C) 1,3,5-triisopropylbenzene (TIPB) for Pt/CrZr. Reproduced
805 with permission from [126]

806

807 The porosity of catalysts in cracking reactions is, in general, of great significance in determining
808 the effectiveness and preference of one catalyst over the other. For example, the catalytic cracking
809 of 1,3,5-triisopropylbenzene using a mesoporous aluminosilicate (HMAS-5) catalyst resulted in
810 much higher conversion, and higher selectivity towards benzene and isopropylbenzene, as
811 compared to that when HZSM-5 was used [127]. This was attributed to the difference in porosity
812 of the two catalysts, where the 1,3,5-triisopropylbenzene molecules were relatively large compared
813 to the narrow channels of HZSM-5, lowering the accessibility to these sites, while the
814 mesoporosity of HMAS-5 was more suitable for this type of reactants. The same cracking reaction
815 was performed for HMAS-5 and HA1-MCM-41 catalysts [127]. It was found that the former
816 catalyst showed better conversion, and this was because of its higher acidity with reference to the
817 latter catalyst.

818 The production of BTX-rich light aromatics from light cycle oil (LCO) via hydrocracking was
819 tested for a series of catalysts in [128]. Sulfided metals, NiMo-S, CoMo-S, and Mo-S were
820 supported on a hybrid zeolite mixture of H-Beta and H-ZSM-5 with different compositions.
821 Among the three catalyst analysed, Mo-S/H-Beta (90 wt%)-H-ZSM5 (10 wt%) yielded the most
822 quantity of BTX in the hydrocracking of tetralin. This favoured combination was attributed to the
823 moderate power of Mo-S in hydrogenation and the role H-ZSM-5 exhibited in promoting the
824 dealkylation of alkyl-aromatics into BTX. Therefore, this catalyst presented highly selective
825 hydrocracking behaviour, particularly at 6 MPa, since its metallic and acidic functions were
826 properly balanced to achieve high BTX selectivity. More studies related to LCO upgrading into
827 BTX can be found in the review article [129]. When naphtha is used as reformer feed in
828 hydrocracking it is first hydro-treated for olefins removal by saturation, and as well, hydro-
829 desulfurized and hydro-denitrogenated to remove sulfur and nitrogen compounds. This is
830 important because olefinic compounds are undesirable in reformer feeds since they act as
831 precursors for coke, while sulfur and nitrogen cause catalyst poisoning. During catalytic reforming,
832 the reducing atmosphere promotes the formation of hydrogen sulphide and ammonia, which can

833 compromise the catalyst performance. A patent is granted for an integrated hydrocracking process
834 for the production of ultra-low sulfur products of high octane gasoline and high aromatic naphtha
835 from high aromatic middle distillate streams [130]. The feed is initially subjected to hydro-
836 treatment, at a predetermined pressure, for the removal of heteroatoms such as sulfur and nitrogen.
837 A different integrated hydrocracking process is patented for the production of olefinic and aromatic
838 petrochemicals, in which the coker liquid produced after cracking is recycled in order to recover
839 the petroleum coke [131].

840 In addition, three patents related to novel catalyst composition are published for middle distillate
841 hydrocracking. The first is composed of 0.5-10 wt% zeolite β having an acidity of 20 to 400 nmol/g
842 (a measure of Brønsted acid sites density in a catalyst), and an average domain size from 800 to
843 1500 nm², 0-5 wt% zeolite USY having an acid site distribution index (ASDI) between 0.05 and
844 0.12, with higher zeolite β concentration than zeolite USY, a catalyst support and metal [132]. The
845 second patented catalyst is composed of zeolite β having an acidity of 20 to 50 μ mol/g and an
846 average crystal size between 300 to 800 nm, in addition to zeolite USY (with lower zeolite β
847 concentration than zeolite USY), a support containing an amorphous silica aluminate and a second
848 support material, and at least one metal [133]. While the third catalyst consists of 40 wt% to 70
849 wt% of a zeolite USY (ASDI between 0.05-0.18), amorphous silica alumina carrier, a second
850 alumina, and 0.1 to 10 wt % noble metal, forming a catalyst BET surface area between 450 to 650
851 m²/g [134].

852 Finally, we provide in Table 1, a summary of common catalysts and reaction conditions and
853 products in hydrocracking different aromatic feeds, and Decalin (a bicyclic organic compound).

854

855 **Table 1.** Common catalysts used for hydrocracking various aromatic compounds

Feed	Main product (Yield, wt.%)	Catalyst	Temperature (°C)	Pressure (MPa)	LHSV (L)/WHSV (W) (h ⁻¹)	Reference
Tetralin	BTX (54.3)	NiMo-S/H-Beta(90)-HZSM-5(10)	425	4	2 (W)	[135]

	(40.7)	Ni/H-Beta zeolite	450	4	2 (W)	[7]
	(48.1)	Ni-Sn/H-Beta zeolite	450	4	2 (W)	[136]
	(54.2)	CoMo/Beta zeolite	370	8	1.6 (L)	[11]
Aromatics (20.1 mol%)		NiMo-S/ Al ₂ O ₃ -Y	310	4	NA	[137]
Decalin isomers, light naphthenes (40.8, 21.2)		NiW/USY zeolite	340	4	2 (L)	[138]
Naphthenes (44.1)		NiW/Al ₂ O ₃ - USY and NiW/P-USY + Al ₂ O ₃ (phosphorus modified)	350	4	2 (L)	[139]
Decalin	C ₉ ⁻ hydrocarbons (40)	Ir/H,A-Beta zeolite (A: alkali metal cation)	272	5.2	0.4-0.5 (L)	[140]
	Isomerizatio n products (33.8)	MoS ₂ /MA (mesoporous alumino- silicates)	400	5	NA	[141]
Light cycle oil	High octane gasoline, ultra-low sulfur diesel (43.4, 52.5)	FC-24	400	8	1 (L)	[142], [143]
	High octane gasoline (NA)	NiMo/Al ₂ O ₃ - HY zeolite	400	7	1.3 (L)	[144]
	C ₅ ⁺ liquid yield (97.8)	NiMo/Al ₂ O ₃ - HY zeolite	400	8	0.8 (L)	[145]
	BTX (29.2)	Mo-S/ H- Beta (90 wt%)-H- ZSM5 (10 wt%) zeolite	425	6	2(W)	[128]

	Gasoil (80)	Pt/Y zeolite, Ir/Y zeolite	290-350	7	1-3 (W)	[146]
1-methylnaphthalene	BTX (42.3)	Ni ₂ P/Beta zeolite	380	6	0.5 (L)	[124]
	(alkyl)benzene (80)	NiMoS/alumina-mixed USY zeolites	360	5	NA	[8]
Naphthalene	BTX (94.4)	Ni ₂ P/Beta zeolite	400	3	3 (L)	[125]
	Benzene, toluene, ethylbenzene (33, 18, 13)	FeSO ₄ -H ₂ O (20 wt.%) Fe ₂ O ₃ (20 wt.%), Al ₂ O ₃ (20 wt.%) and sulfur (40 wt.%) mixture	425	10	NA	[147]
Pyrolysis fuel oil	BTX (31.3, 52)	CoMo/Beta zeolite	370	8	1.6 (L), 0.2 (L)	[11], [148]
Isopropylbenzene	C ₃ , C ₆ (43, 40)	Pt/Cr ₂ O ₃ -ZrO ₂	250	NA	NA	[126]
Bio-oil	Olefins, iso-paraffins (26.1, 23.7)	Ni/SiO ₂ -Al ₂ O ₃ + CuO	425	9.7	NA	[30]

* NA: information not available in the cited reference

3.2 Upgrading of heavy petrochemical feedstock

In this section, we present recent work concerned with catalyst design for hydrocracking of heavy petrochemical feeds.

The performance of vacuum gas oil hydrocracking was evaluated for the use of β zeolite with and without carbon nanotubes (CNTs) as the catalyst, along with Ni and Mo impregnated on the zeolite/alumina support [149]. The results of the study showed that by incorporating CNTs into the catalyst support, its mesoporosity and volume increased significantly, however, the total Brønsted acid sites decreased. Also, among the zeolites tested, the one in which carbon nanotubes were added exhibited highest hydrocracking activity due to the creation of mesopores that ease the accessibility to acid sites. Furthermore, when zeolite to alumina ratio was increased, the cracking

activity was improved and became even more pronounced for the catalyst synthesized using carbon nanotubes. Yet, for similar conversion levels, the selectivity to middle distillate and naphtha was considerably reduced for the CNT-based zeolite because of the simultaneous decrease in the Brønsted acid sites. Therefore, it is important to enhance the mesoporosity of zeolites without reducing the strength of their acid sites, in order to improve the catalytic conversion of vacuum gas in hydrocracking processes.

Other authors [150] used composite catalysts composed of different types of zeolites for hydrocracking of vacuum gas oil. In the latter study, Y- β zeolite-zeolite composites were synthesized with various β to Y zeolites ratios. The prepared composite was seen to have hierarchical pores system because of the Si and/or Al being extracted from the Y-zeolite, and the intercrystalline spaces due to the polycrystallinity of nano- β zeolites in the shell. After running the hydrocracking reaction for vacuum gas oil using the composite zeolites of a core-shell structure as catalysts, it was observed that the Si/Al ratio in the zeolite had a significant impact on the performance of the cracking process. Zeolites with comparatively high Si/Al ratio facilitated the hydrocracking by showing higher activity and enhanced selectivity of middle distillates oil. Also, these zeolites increased the yield of jet fuel and the aromatic content of naphtha, as compared to catalysts with lower Si/Al ratio because of the decrease in acid density and increase in external surfaces of the catalyst. Selectivity towards heavy naphtha, jet fuel and middle distillate over the composite catalysts were 14.34 wt%, 43.11 wt% and 73.47 wt% for higher Si/Al ratio, compared to 13.66 wt%, 41.97 wt% and 72.62 wt% for lower Si/Al ratio, respectively.

An invention related to a combined hydrodesulphurization (HDS) and hydrocracking process for a heavy hydrocarbon feed is presented in a patent [151], in which these processes are simultaneously carried out at a temperature of 350-475°C and a pressure of 2500-4500 kPa. The catalyst used in the proposed invention is composed of a solid acid catalyst and HDS catalyst. The solid acid catalyst is an alumino-silicate zeolite having a SiO₂/Al₂O₃ molar ratio of 50-120, whereas the HDS catalyst comprises of 1-30 wt% of one or more elements from Group 6 of the periodic table, and 0.1-10 wt% of one or more elements from groups 9 and 10 of the periodic table. Under the aforementioned conditions, the inventors claim an efficient HDS and hydrocracking of a heavy hydrocarbon that is rich in sulfur and aromatic compounds with a significantly improved selectivity to mono-aromatic hydrocarbons. Furthermore, the performance of Na-Y and H-Y

897 molecular sieves as hydrocracking catalysts for heavy oil has also been presented in the literature
898 [152]. The patented work for Na-Y provides an average grain diameter between 2-5 µm, and total
899 pore volume mostly accounting for pores having diameters in the range of 1-10 nm [152]. H-Y
900 molecular sieve, used as acidic component of the catalyst, provided improved cracking activity
901 and product selectivity due to its relatively large crystal grains.

902 An innovative patented method for two-stage hydrocracking of challenging feeds to produce jet
903 and diesel boiling range products is proposed by Dandekar et al. [153]. The challenging feedstock
904 includes high aromatics content, low American Petroleum Institute (API) gravity, and low cetane
905 number, and is hydrocracked to form distillate fuel products in a system having a minimum of two
906 stages. While the first stage carries out preliminary hydro-treatment and/or hydrocracking, the
907 second stage involves further hydrocracking in the presence of USY catalyst with supporting noble
908 metal allowing an increased yield production of the distillate fuel.

909 In most catalytic reactions, nano-catalysts have shown great advantages over bulk catalysts. For
910 example in cracking of tar, an undesirable by-product in biomass conversion, Ni-Co/Si-P nano-
911 catalysts succeeded in cracking 99% of the tar, which is a considerable improvement compared to
912 91% cracking from bulk catalyst of the same composition at catalytic bed temperature of 800°C
913 [154]. This improvement was attributed to several important features of the catalyst, such as
914 particle size, surface area and porosity. For example, their mesoporosity and large surface area
915 allowed the active Ni sites to be better dispersed and thus, became more accessible to larger tar
916 molecules, enhancing the selectivity towards smaller molecules such as H₂, CH₄, CO and CO₂.

917 A novel hydrocracking nano-catalyst consisting of nickel oxide nanoparticles supported on
918 alumina nanoparticles, with particle size range between 30-100 nm, is patented in [155]. The
919 alumina to nickel oxide nanoparticles weight ratio is in the range of 99-500, and the alumina
920 nanoparticles are present in an amount of 99 wt% of catalyst.

921 As a summary, we present in Table 2 a list of common catalysts and reaction products in
922 hydrocracking heavy petrochemicals. Detailed discussion on the use of MoS₂ as the main catalyst
923 for heavy oil upgrading is presented in the review article recently published [156].

924

Table 2. Common catalysts used for hydrocracking heavy petrochemicals

Feed	Main product (Yield, wt.%)	Catalyst	Temperature (°C)	Pressure (MPa)	LHSV (L)/WHSV (W) (h ⁻¹)	Reference
Vacuum gas oil	Middle distillates and naphtha (15-60, 12-26)	NiMoP/USY (acid leached zeolite)	345	10.3	1 (W)	[157], [158]
		NiMo/CNT-modified Beta zeolite	350	10.3	1-2 (W)	[149]
		NiMo/Y zeolite cores and Beta-zeolite shells	NA	15.7	1 (W)	[150]
		NiMo/Y zeolite-Al ₂ O ₃	410	16	0.71 (L)	[159]
Vacuum gas oil with wax	Liquid yield (95-98)	NiMo/zeolite-Al ₂ O ₃ (zeolite: Y, recrystallized Y, Beta)	410	16	0.71 (L)	[160]
		Zr/Y zeolite	360	8	2 (L)	[161]
		NiMo/SiO ₂ -Al ₂ O ₃	330-410	9.5	1.3 (L)	[162]
Vacuum residue	Vacuum gas oil, middle distillates (34.5, 25.7)	LMo(CO) ₃ (L: 5-phenyl-1-pentyne)	430	8	NA	[163]
	Vacuum gas oil (35.5)	Mo precursor-triphenylphosphine (TPP) ligands	410	11	NA	[164]
Gudao vacuum residue	Gasoline and diesel (56.6)	CoMo/CNT	430	8	NA	[165]
Coal tar	Liquid yield (94.8)	Mo/modified bauxite	430	12.5	NA	[166]
	Liquid yield (91.9)	Ni-W/Al ₂ O ₃	418	7.3	NA	[167]

Tar	Gaseous yield (H_2 24 vol %, CO 16 vol %)	Ni-Co/Si-P nanoparticles	800	NA	NA	[154]
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926 * NA: information not available in the cited reference

927

928 **3.3 Hydrocracking of paraffinic hydrocarbons**

929 Nanomaterials and nano-sized zeolites have become increasingly popular in the development of
930 catalysts for hydrocracking of paraffinic compounds, due to their superior performance as
931 compared to their conventional counterparts. Graphene nanoplatelets (GNPs), for instance, were
932 combined with reduced NiO and zeolite-Y to obtain a GNP/NiO-ZY composite catalyst for
933 heptane hydrocracking in [168]. The study revealed that with the incorporation of GNPs into the
934 catalyst, the conversion was improved by 31% at 350°C and by 6% at 400°C as compared to
935 reduced NiO-ZY without GNPs. The former catalyst also exhibited superior stability after 20 h of
936 time-on-stream, and favored the cracking into lighter molecules as shown by the selectivity
937 measurements. The highest selectivity was reported towards propane for reduced GNP/NiO-ZY,
938 whereas reduced NiO-ZY favored a high selectivity towards iso-butane and n-hexane.
939 Furthermore, the use of reduced GNP/NiO-ZY resulted in higher selectivity towards ethylene and
940 lower selectivity towards ethane as compared to reduced NiO-ZY, suggesting that the
941 hydrogenation of ethylene to ethane over the metal nanoparticles was not as effective for GNP/Ni-
942 ZY. The utilization of nano-zeolites was demonstrated, for example, in [169] and [170], where
943 heptane was hydrocracked at 350°C and 400°C using NiO-WO₃ particles supported by nano-sized
944 zeolite Y in both particle form [169] and fiber form [170]. The nano-sized zeolite [169] was
945 obtained by ball milling commercial zeolites, having silica to alumina ratio of 30, at optimized
946 conditions of 1000 rpm ball milling speed for short durations [171]. The nano-sized particles
947 exhibited superior performance with respect to the overall conversion rate as compared to micro-
948 sized zeolites. This is attributed to the higher surface area, and thus, increased active sites of the
949 nanoparticles.

950 Both the structure and composition of the catalyst play a vital role in modifying the performance
951 of hydrocracking reactions. An example for this is presented in [172], where the inactive composite
952 TiO₂/Al₂O₃ aerogel made from Al₂O₃ nanofilaments was treated by hydrogen at high temperatures

953 of 650-850°C. As a result of the hydrogen treatment, the composite was activated and was later
954 used as an efficient catalyst for cracking of propane into alkenes. The boost in activation occurred
955 due to the self-organization of the composite nanofilaments into the η -Al₂O₃ nanocrystalline
956 hollow nanotubes. The number of nanotubes then increased and they became more oriented, and
957 bundles of these packed nanotubes were created. The TiO₂/Al₂O₃ composite had mesoporous
958 structure, and when used for the cracking of propane, yielded propylene as the main product, with
959 catalytic activity of 0.1 mmol/g×s at 650°C, and 68% selectivity. At higher temperatures,
960 specifically at 750°C, the yield of propylene increased to 0.4 mmol/g×s but for a lower selectivity
961 of 50%. Therefore, the changes in structure of the composite catalyst following the hydrogen
962 treatment not only activated them as catalysts for propane conversion, but also increased their
963 efficiency compared to commercial platinum/alumina supported catalyst.

964 Additionally, Pt/ZSM-22 catalyst was used for cracking n-decane, n-nonadecane and pristane, in
965 which its micropores resulted in creating mainly linear and cracked fragments [173]. The results
966 showed that the hierarchical ZSM-22 contributed to reorganizing the distribution of Brønsted
967 acidity, and thus achieving superior performance. For instance, in the hydrocracking of n-decane,
968 the selectivities towards the cracked products were substantially different among the conventional
969 and hierarchical ZSM-22. The composition of the C₅ cracked product fraction in conventional
970 ZSM-22 was composed of 60% n-pentane, and 40% isopentane till 90% hydrocracking, whereas
971 in the hierarchical ZSM-22, isopentane represented 55% and n-pentane 45% of the C₅ fraction.
972 The higher isopentane content in the cracked products from the hierarchical catalyst was attributed
973 to the higher content of dibranched isomers in the isodecanes.

974 Bi-functional Pt-loaded MFI zeolite nanosheets were synthesized and used as catalysts for the
975 hydrocracking of n-decane [174]. The results showed that the production of C₅ from the cracking
976 was inhibited because of the restricted shape-selectivity feature of the MFI type pores. This
977 observation was made for the MFI nanosheets, as well as for the bulk crystals ZSM-5 zeolite. In
978 addition, the formation of 2-methylnonane was reduced by the nanosheets as compared to the bulk
979 ZSM-5. This was caused by short diffusion path due to the short channels of the nanosheets,
980 leading to low diffusion of the 2-methylnonane product.

981 In Table 3, we present a list of recently developed catalysts for hydrocracking of paraffinic
 982 hydrocarbons, and the reaction conditions and products that were obtained in the referenced
 983 studies.

984

985 **Table 3.** Common catalysts used for hydrocracking various paraffinic hydrocarbons

Feed	Main product (Yield, wt.%)	Catalyst	Temperature (°C)	Pressure (MPa)	LHSV (L)/WHSV (W)(h ⁻¹)	Reference
n-paraffin	Linear paraffins, mono-branched compounds (NA)	Pt/SiO ₂ -Al ₂ O ₃	270-330	2-8	0.33-1 (W)	[175]
Heptane	Propane (NA)	GNP (graphene nanoplatelets)/NiO-Y zeolite	350-400	0.5	4.2 (L)	[168]
	n-hexane, iso-hexane (NA)	NiO-WO ₃ /Y zeolite	350-400	0.5	4 (W)	[169]
n-Heptane, n-Hexane	C ₁ -C ₅ products (66.7)	Activated WO ₃ /TiO ₂	250-350	0.5-2.5	0.8-2 (L)	[176]
Hexadecane	C ₅ -C ₁₅ products (51.9)	NiMo/USY-Al ₂ O ₃	340	4	4 (W)	[177]
	C ₉ -C ₁₅ products (86)	Pt-H-Beta-25	240	4.5	NA	[178]
n-Hexadecane	C ₄ -C ₇ (51.6)	Pt-H-ZSM-5 (Hierarchical)	280	9.65	NA	[179]
Propane	Propylene (35)	TiO ₂ /Al ₂ O ₃	800	NA	NA	[172]
Squalane	Middle distillates (NA)	NiMo/[γ -Al ₂ O ₃ + (Ni)/USY]	346-352	6	1 (L)	[180]

n-decane	C ₄ -C ₇ products (NA)	Pt-loaded MFI zeolite nanosheets	140-230	NA	NA	[174]
	C ₆ hydrocarbons (NA)	Ni– Mo/Cs _{1.5} H _{1.5} P W/Al ₂ O ₃	300	3	NA	[181]

986 * NA: information not available in the cited reference

987

988 **3.4 Hydrocracking of vegetable oils**

989 The cracking of palm oil into jet biofuel with high alkane and low arene yield was performed using
990 various Ni/zeolite catalysts [182]. Among the five tested zeolites, Ni/SAPO-34, Ni/MCM-41,
991 Ni/HY, Ni/SAPO-11 and Ni/H- β , the presence of SaPO-34 zeolite with Ni loading lead to the best
992 upgrading process. The Ni/SAPO-34 catalyst showed the maximum alkane selectivity of 65%, and
993 concurrently, the minimum arene selectivity of 11%. Furthermore, as the Si/Al weight ratio in the
994 zeolite decreased from 11 to 5, the resulting yield of alkanes in the biofuel increased, from 71% to
995 80% with a reduction in the arene yield from 29% to 20%. Varying the amount of Ni in the Ni/HY
996 catalyst had negligible impact on the content of alkane and arene in the final product.

997 Castor oil was hydro-processed in an attempt to produce a high yield of aviation fuel using Ni
998 supported on different acidic zeolites, i.e. zeolites of different forms and/or with various Si/Al ratio
999 [183]. It was shown that various fuel range alkanes, C₈-C₁₅, can be obtained when adjusting the
1000 degree of hydro-deoxygenation and hydrocracking. The catalysts with moderate acidity employed
1001 in the study were able to promote the conversion of castor oil into high yields of bio-aviation fuel
1002 (91.6 wt%) with high isomerization selectivity. For example, hydrocracking over 25% Ni/USY–
1003 APTES– MCM-41 (APTES, (3-Aminopropyl)-tri-ethoxysilane: 7.5%) catalyst at 300°C yielded
1004 80.3 wt% of C₈-C₁₅ hydrocarbons.

1005 Additionally, non-sulfided NiMoCe/Al₂O₃ catalyst was developed to catalyse the hydrocracking
1006 of Jatropha oil in an attempt to produce green diesel [184]. The produced oil mainly consisted of
1007 C₁₅ to C₁₈ straight chain alkanes having optimum catalytic performance at 370°C and 3.5 MPa. At
1008 these conditions the yield and selectivity of C₁₅ to C₁₈ were 80% and 90%, respectively, with a
1009 conversion of 89%. The results show that by doping a predetermined amount of Ce onto the NiMo/

1010 Al₂O₃ catalyst, improvements in the conversion and selectivity levels are visible, and a stable
1011 catalytic performance is noticed.

1012 Long chain hydrocarbons derived from safflower oil were hydrocracked by Ni–Mo, Ni–W
1013 carbides, Pt and triflic acid based catalysts supported on mesoporous SBA-15 [185]. The yield of
1014 naphtha, C₅ to C₉, was between 15 to 30 wt%, while that for light products was less than 5 wt%.
1015 Out of the catalysts prepared in this study, two acid-based catalysts exhibited outstanding
1016 performance producing naphtha and light products with yields higher than 30% and 15%,
1017 respectively, owing to the significant influence of surface acidic active sites on the cracking
1018 reactions of long chain hydrocarbons.

1019 Additionally, the use of composite catalysts for hydrocracking soybean oils was shown to improve
1020 the overall performance of the process as compared to using single catalysts [186]. Particularly,
1021 NiMo-supported zeolite–alumina catalysts were effective for the reaction, and the product
1022 selectivity was highly dependent on the kind of zeolite used. For instance, NiMo/USY-Al₂O₃
1023 catalyst exhibited high selectivity for diesel fraction, while NiMo/Beta-Al₂O₃ catalyst showed
1024 higher selectivity for the gasoline fraction, and thus, it was demonstrated that gasoline and diesel
1025 fractions could be produced selectively by selecting the proper kind of zeolite in the zeolite–
1026 alumina composite supported NiMo catalysts.

1027 A summary of the most common hybrid catalysts used for hydrocracking vegetable oil is shown
1028 in Table 4.

1029

1030 **Table 4.** Common catalysts used for hydrocracking vegetable oils

Feed	Main Product (Yield, wt.%)	Catalyst	Temperature (°C)	Pressure (MPa)	LHSV (L)/WHSV (W) (h ⁻¹)	Reference
Vegetable oil	n-C ₁₇ , n-C ₁₈ alkanes (NA)	sulfided NiMo/Al ₂ O ₃	400	9.2	NA	[187]
	C ₅ –C ₉ (15-30)	NiMo, NiW, and Pt/SBA-15	340	NA	NA	[185]

Castor oil	C ₈ -C ₁₅ (80.3)	25% Ni/USY–APTES–MCM-41 (APTES: 7.5%)	300	3	2 (W)	[183]
Palm oil	C ₁₅ -C ₁₇ (65)	10 wt.% Ni ₂ P/SiO ₂	380, 400	4	2 (W)	[188]
Rapeseed oil	C ₁₇ and C ₁₈ n-alkanes (~20, ~70)	sulfided NiMo/Al ₂ O ₃	260-340	7	1 (W)	[189]
Jatropha oil	C ₁₅ -C ₁₈ alkanes (80)	non-sulfided NiMoCe/Al ₂ O ₃	370	3.5	0.9 (L)	[184]
Sunflower oil-gas oil	C ₁₅ -C ₁₈ alkanes (NA)	NiO(3%)–MoO ₃ (12%)–γ-Al ₂ O ₃ with various amounts of β zeolite	330	6	2 (W)	[190]
Sunflower oil	High paraffin (73.7–73.9)	CoMo/Al ₂ O ₃	380	4-6	1 (L)	[191]
Soybean oil	Gasoline (NA)	Zeolite (ZSM-5) supported PtNiMo sulfides	420	>1	6.13 (W)	[192]
Methyl palmitate	Jet fuel (NA)	HPW (phosphotungstic acid)-Ni/MCM-41	390	2	NA	[193]

1031 * NA: information not available in the cited reference

1032

1033 4. Conclusions and perspectives

1034 In this paper, we examined the current literature on synthesis, characterization and testing of
 1035 hydrocracking catalysts for a wide range of applications. Our review showed that bi-functional
 1036 catalysts, consisting of an acid support and impregnated metal particles, are the dominant class of

1037 catalysts used in hydrocracking processes. Novel techniques for synthesizing such catalysts,
1038 especially zeolite supports, were identified in the literature and presented in detail. In addition,
1039 well-established performance criteria were used to evaluate and compare the performance of
1040 various hydrocracking catalysts, focusing on four major types of feeds: (a) aromatic compounds,
1041 (b) heavy petrochemicals, (c) paraffinic hydrocarbons and (d) vegetable oil. Based on the findings
1042 discussed in this review, it can be concluded that the efficiency of hydrocracking reactions relies
1043 on a number of intrinsic (catalyst-related) and extrinsic (process-related) factors:

1044 *i. Intrinsic factors:*

1045 It was shown that the catalysts' porosity, morphology, structure, shape selectivity and composition
1046 all had significant impact on the overall performance of hydrocracking different fuels. For most
1047 feed molecules considered herein, the use of nano-sized and/or mesoporous zeolites was associated
1048 with improved conversion and reaction rates as compared to conventional zeolites, due to their
1049 large surface area and high accessibility to acid sites. Moreover, incorporating CNTs in the zeolite
1050 synthesis was identified as a promising method to produce hierarchical zeolites having both micro-
1051 and mesoporosity leading to increased catalytic activity in hydrocracking reactions. However, with
1052 the addition of CNTs, the Brønsted acid sites in the catalyst decreased causing a reduction in the
1053 selectivity to middle distillate and naphtha, and thus, careful control over the amount of CNTs
1054 added is essential for a proper balance between acidity and induced mesoporosity. Moreover,
1055 variations of pore sizes of the zeolite allow different reaction routes to take place, since molecules'
1056 accessibility to the reaction sites may be facilitated or suppressed according to their relative size.
1057 The addition of binders to the catalyst also has various effects on the performance of hydrocracking
1058 as they change the overall catalyst's mechanical and thermal characteristics, acidity, coke
1059 deposition level, and porosity by inducing a mesoporosity to the catalyst. The positive impact of
1060 adding binders can sometimes match or exceed the effects of hierarchically structuring catalysts.

1061 It was noticed that Ni-based catalysts (often supported by β zeolite) have been extensively used
1062 for hydrocracking aromatic compounds for the production of BTX. Bimetallic Ni-Mo catalysts
1063 supported by zeolite Y have been widely used for heavy vacuum gas oil hydrocracking, whereas
1064 alumina supported Ni-Mo catalysts were favoured for hydrocracking of vegetable oil.
1065 Furthermore, catalyst deactivation is a vital parameter for assessing the material performance
1066 during the reaction. Nano-sized β zeolite loaded with Ni₂P, Ni₂P/ β -N, when compared to micro-

1067 sized β zeolite with similar loading, Ni₂P/ β -M, showed better stability and resistance to coke
1068 formation in the conversion of naphthalene into BTX, in addition to the improved catalytic activity.
1069 Also, increasing the Si/Al ratio of protonic zeolites increases their stability during the reaction and
1070 prevents easy de-alumination.

1071 *ii. Extrinsic factors:*

1072 The type of feed being hydrocracked significantly affects the reaction pathway and thus, each type
1073 of feed undergoes a distinct reaction mechanism. Apart from the feed nature, the process conditions
1074 play a crucial role in determining the performance of hydrocracking. Increasing the hydrocracking
1075 temperature typically results in faster cracking on acid sites, however, facilitates coking and
1076 catalyst deactivation. Additionally, very high temperatures limit the hydrocracking of aromatic
1077 compounds. Higher hydrogen partial pressure enhances the conversion of aromatics into saturated
1078 products, thus, the optimal conditions for hydrocracking aromatic hydrocarbons are high pressure,
1079 high hydrogen to feed ratio, and lowest possible temperature. Therefore, process conditions should
1080 carefully be selected according to the fuel type and products of interest.

1081 Advancement in zeolite and nanomaterial synthesis opens the door for further progress in the
1082 development of new catalysts, or improvement of current catalysts. It is expected that the
1083 development of nano-sized and/or mesoporous zeolite supports will continue to be the focal point
1084 of future research in this field. However, if current challenges, such as lacking scalability of
1085 material synthesis processes and difficulty in achieving hierarchically porous systems, cannot be
1086 overcome, the need for more innovative new material systems will arise in the future. One possible
1087 future direction in the development of new hydrocracking catalysts is the development of hybrid
1088 supports consisting of zeolites and carbon-based nanomaterials (e.g. CNTs, CNS, Graphene, GO,
1089 etc.) which possess large specific surface area and high thermal stability. Although nano-carbons
1090 cannot be solely used as supports for hydrocracking catalysts due to the missing acid sites, they
1091 could serve as templates for the synthesis of hierarchical zeolites and, in addition, support
1092 additional metal particles which catalyse hydrogenation and de-hydrogenation reactions.

1093 We hope that this review has given the reader an overview of recent advances in the development
1094 of hydrocracking catalysts and allowed identifying current needs and challenges involved in

1095 upgrading of fossil and renewable fuels. We also hope that it will inspire the reader and spark new
1096 ideas in the design of new catalysts to further advance this active field of research.

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