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1 **Obesity a risk factor for severe COVID-19 infection: multiple potential mechanisms**

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3 **Running title: Mechanisms for COVID Severity in Obesity**

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20 Tweet: Obesity may increase COVID-19 severity by lowering cardiorespiratory reserve and
21 increasing immune severity

22
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1 The Coronavirus Disease 2019 (COVID-19) pandemic has led to worldwide research efforts
2 to identify people at greatest risk of developing critical illness and dying. Initial data pointed
3 towards older individuals being particularly vulnerable, as well as those with diabetes or
4 cardiovascular (including hypertension), respiratory or kidney disease. These problems are
5 often concentrated in certain racial groups (e.g. African Americans and Asians) which also
6 appear to be more prone to worse COVID-19 outcomes.¹ Increasing numbers of reports have
7 linked obesity to more severe COVID-19 illness and death.¹⁻³ In a French study, the risk for
8 invasive mechanical ventilation in patients with COVID-19 infection admitted to Intensive
9 Treatment Unit was more than seven-fold higher for those with Body Mass Index (BMI) >35
10 compared with BMI <25 Kg/m².² Among individuals with COVID-19 aged less than 60 years
11 in New York City, those with a BMI between 30-34 Kg/m² and >35 Kg/m² were 1.8 times
12 and 3.6 times more likely to be admitted to critical care, respectively, than individuals with a
13 BMI <30 Kg/m².³

14 We suggest obesity or excess ectopic fat deposition may be a unifying risk factor for severe
15 COVID-19 infection, reducing both protective cardiorespiratory reserve as well as
16 potentiating the immune dysregulation that appears, at least in part, to mediate the
17 progression to critical illness and organ failure in a proportion of COVID-19 patients
18 (**Figure**). Whether obesity is an independent risk factor for susceptibility to infection requires
19 further research.

20 From a cardiovascular perspective, trial and genetic evidence conclusively show that obesity
21 (and excess fat mass) are causally related to hypertension, diabetes, coronary heart disease,
22 stroke, atrial fibrillation, renal disease and heart failure. Obesity potentiates multiple
23 cardiovascular risk factors, the premature development of cardiovascular disease and adverse
24 cardiorenal outcomes. There is also a metabolic concern. In individuals with diabetes, or at
25 high risk of diabetes, obesity and excess ectopic fat lead to impairment of insulin resistance
26 and reduced beta-cell function. Both the latter limit ability to evoke an appropriate metabolic
27 response upon immunologic challenge, leading some diabetes patients to require substantial
28 amounts of insulin during severe infections. Overall, the integrated regulation of metabolism
29 required for the complex cellular interactions, and for effective host defense, are lost, leading
30 to functional immunologic deficit. COVID-19 virus may also directly disrupt pancreatic beta
31 cell function through an interaction with ACE2. Furthermore, obesity enhances thrombosis,
32 which is relevant given the association between severe COVID-19 and pro-thrombotic
33 disseminated intravascular coagulation and high rates of venous thromboembolism.

34 Beyond cardiometabolic and thrombotic consequences, obesity has detrimental effects on
35 lung function, diminishing forced expiratory volume and forced vital capacity (**Figure**).
36 Higher relative fat mass is also linked to such adverse changes, perhaps relevant to emerging
37 reports of greater critical illness from COVID-19 in certain ethnicities e.g. Asians.¹ Asians
38 often display lower cardiorespiratory fitness and carry proportionally more fat tissue at lower
39 BMIs. With extreme obesity (e.g. BMI >40Kg/m²), care for individuals admitted to intensive
40 therapy units is often impeded as these patients are more difficult to image, ventilate, nurse
41 and rehabilitate.

1 With respect to the immune response, there is a clear association between obesity and basal
2 inflammatory status characterised by higher circulating Interleukin 6 and C-reactive protein
3 levels. Adipose tissue in obesity is “pro-inflammatory”, with increased expression of
4 cytokines and particularly adipokines. There is also dysregulated tissue leukocyte expression,
5 and inflammatory macrophage (and innate lymphoid) subsets replace tissue regulatory (M2)
6 phenotypic cells. Obesity *per se* is an independent and causal risk factor for the development
7 of immune mediated disease e.g. psoriasis,⁴ suggesting that such adipose state may have
8 systemic immune consequence upon additional environmental provocation. In terms of host
9 defense, obesity impairs adaptive immune responses to influenza virus,⁵ and conceivably
10 could do so in COVID-19. Obese individuals may exhibit greater viral shedding suggesting
11 potential for great viral exposure, especially if several family members are overweight. This
12 may be aggravated in overcrowded multigenerational households which are more common in
13 the socioeconomically deprived communities in which obesity is prevalent. All these
14 observations point towards a potential for obesity to give rise to a more adverse virus versus
15 host immune response relationship in COVID-19. Poorer nutritional status and
16 hyperglycaemia may further aggravate the situation in some obese individuals.

17 While much of the focus of COVID-19 has been in older people, it is important to remember
18 that while not necessarily obese, in the elderly weight and muscle mass start to decline at
19 advanced age but relative fat mass increases, particularly in those with comorbid diseases
20 such as cardiovascular and respiratory conditions. Older age is also associated with more
21 hypertension and diabetes due to stiffer vessels and impaired metabolic efficiency,
22 respectively. People who are older (e.g. >70 years of age), like younger obese individuals,
23 have less cardiorespiratory reserve to cope with COVID-19 infection. Immune senescence is
24 well recognised, as is the concept of *inflammageing*, and both may influence virus-host
25 dynamics in the elderly, and infection outcomes.

26 What are implications of these emerging observations for future research and public health
27 messaging? With respect to research, predictive instruments for those most at risk of severe
28 outcomes should consider BMI. Mechanistic understanding of the relationship between
29 obesity and COVID-19 may suggest therapeutic interventions (e.g. proven weight loss drugs,
30 low calorie diets) to potentially reduce the risk of developing severe COVID-19 illness. With
31 respect to public health, it is important to communicate risks without causing anxiety. People
32 worldwide should be encouraged to improve their lifestyle to lessen risk both in the current
33 and subsequent waves of COVID-19. In addition to increasing activity levels, there should be
34 improved messaging on better diet, focusing on simpler advice to help people adopt
35 sustainable changes. This is particularly challenging with current stay-at-home rules limiting
36 activity levels – the “lockdown cost of weight gain”. Even more worrying, the resultant
37 economic downturn may worsen obesity, especially in the most vulnerable, a risk that
38 governments need to address when we come out of the current pandemic. Indeed, this
39 pandemic has highlighted that more, not less, must be done to tackle and prevent obesity in
40 our societies for the prevention of chronic disease and greater adverse reactions to viral
41 pandemics.

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1 **Figure Legend**

2 This figure depicts multiple pathways by which obesity (and/or excess ectopic fat) may
3 increase the impact of COVID-19 infection. These include underlying impairments in
4 cardiovascular, respiratory, metabolic and thrombotic pathways in relation to obesity, all of
5 which reduce reserve and ability to cope with COVID-19 infection and the secondary
6 immune reaction to it. At the same time, there are several reasons why obese individuals may
7 have amplified or dysregulated immune response, linked both to greater viral exposure, as
8 well as the possibility that excess adipose tissue potentiates the immune response.

9 SES: socioeconomic status, FEV1: forced expiratory volume, FVC: forced vital capacity, BP:
10 Blood Pressure, CV: cardiovascular, COVID-19: Coronavirus Disease 2019

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12 **Conflict of Interest Disclosures**

13 NS reports personal fees from Amgen, AstraZeneca, Eli Lilly, Novo Nordisk, Pfizer and
14 Sanofi, and personal fees and research grant from Boehringer Ingelheim outside the
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