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## **Efficacy and Safety of NI-0101, an Anti-Toll Like Receptor 4 Monoclonal Antibody, in Patients with Rheumatoid Arthritis After Inadequate Response to Methotrexate: A Phase 2 Study**

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## Abstract

**Objectives:** Anti-citrullinated protein antibodies (ACPA) form immune complexes with citrullinated proteins binding toll-like receptor (TLR) 4, which has been proposed as a mediator of rheumatoid arthritis (RA). NI-0101 is a first in class humanized monoclonal antibody blocking TLR<sub>4</sub>, confirmed by inhibition of *in vivo* LPS-induced cytokine release in healthy volunteers. This study was design to confirm preclinical investigations supporting a biomarker driven approach for treatment of RA patients who present positive for these immune complexes.

**Methods:** Placebo-controlled, double-blind, randomized (2:1) trial of the tolerability and efficacy of NI-0101 (5 mg/kg, q.2.w. for 12 weeks) vs placebo in ACPA positive RA patients with inadequate response to methotrexate. Efficacy measures included Disease Activity Score (28-joint count) with C-reactive protein (DAS28-CRP), European League Against Rheumatism (EULAR) good and moderate responses and American College of Rheumatology (ACR) 20, ACR50 and ACR70 responses. Subgroup analyses defined on biomarkers were conducted. Pharmacokinetics, pharmacodynamics and safety were reported.

**Results:** 90 patients were randomised (NI-0101 (61) and placebo (29)); 86 completed the study. No significant between-group difference was observed for any of the efficacy endpoints. Subgroups analyses using baseline parameters as co-variants did not reveal any population responding to NI-0101. Treatment-emergent adverse events occurred in 51.7% of patients who received placebo vs 52.5% for NI-0101.

**Conclusions:** We demonstrate for the first time that in RA, a human immune mediated inflammatory disease, blocking the TLR<sub>4</sub> pathway alone does not improve disease parameters.

Successful targeting of innate immune pathways in RA may require broader and/or earlier inhibitory approaches.

**Key words:** TLR<sub>4</sub>, Innate Immunity, ACPA, Rheumatoid Arthritis, Phase 2

## Introduction

Both innate and adaptive immune pathways are implicated in the pathogenesis of rheumatoid arthritis (RA).<sup>1</sup> Anti-citrullinated protein antibodies (ACPA) are characteristic of RA and may be present prior to the emergence of clinical symptoms of the disease.<sup>2,3</sup> Citrullinated proteins and ACPA form immune complexes<sup>4,5</sup> which belong to the damage-associated molecular pattern (DAMPs) family<sup>6</sup>. DAMPs are important regulators of innate inflammatory responses. They drive pathogenic processes in RA by activating both immune and stromal cells by stimulating cellular receptors, including toll-like receptor (TLR) 4.<sup>7,8</sup> This pattern recognition receptor can be activated by immune complexes formed by citrullinated proteins, including matrix-derived molecules (e.g., citrullinated-fibrinogen) and their associated auto-antibodies (ACPA).<sup>9, 10, 11, 12, 13</sup> These molecules are up-regulated in some RA patients and are expressed in the synovium.<sup>14</sup> Numerous preclinical mechanistic studies have shown the potential role for TLR4 and its ligands in RA.<sup>15,16,17,18,19,20,21,22,23,24</sup>

Biologic agents currently approved for the treatment of RA block the actions of tumor necrosis factor (TNF) - $\alpha$  or interleukin (IL) -6 receptor, directly interfere with the actions of T-cells or deplete B cells.<sup>25</sup> T cell inhibition by abatacept and cytokine signaling reduction by Janus kinase inhibitors has also demonstrated efficacy for the treatment of RA.<sup>26</sup> Numerous targeted therapies are available, but unmet needs in the management of RA remain. Partial and loss of response are common and drug-free remission cannot be achieved in most patients.<sup>27</sup> Moreover, patients who fail one biologic may receive even less benefit when switching to a second agent, even with a different mechanism of action.<sup>28</sup> This may in part reflect accrual of

irreversible articular damage mediating chronicity in synovial pathology.<sup>28</sup> Some patients ultimately become resistant to all currently available therapeutics – so called difficult-to-treat RA,<sup>29</sup> requiring new therapeutic solutions. Given the evidence supporting a role for TLR<sub>4</sub> in RA pathogenesis, we explored inhibition of this pathway as a potential treatment target.

NI-0101 is a humanized immunoglobulin (Ig) G<sub>1k</sub> monoclonal antibody (mAb) engineered to bind to and block the activation of human TLR<sub>4</sub>. It interferes with TLR<sub>4</sub> dimerization, preventing signal transduction through the TLR<sub>4</sub> cytoplasmic pathway.<sup>30</sup> It has been demonstrated to inhibit effects of lipopolysaccharide administered to healthy volunteers, which is dependent on FcγRII.<sup>31</sup> Results from *in vitro* studies have demonstrated a correlation between levels of TLR<sub>4</sub> ligands and blockade of innate inflammatory responses by NI-0101.<sup>9</sup>

## **Methods**

### Study Design

This was a phase 2, proof-of-concept (PoC), randomized (2:1), placebo-controlled, double blind, international multicenter study in patients with moderate-to-severe ACPA-positive RA that previously responded inadequately to MTX. Patients received addition of NI-0101 (5 mg/kg administered every 2 weeks for 12 weeks) or placebo to ongoing MTX treatment for 12 weeks. Patients in both treatment arms were stratified on the basis of FcγRIIIa genotype (RR/RH and HH) and CRP level (above and below 0.7 mg/dL, with a maximum of 25% below 0.7 mg/dL). Patients were followed for 12 weeks after NI-0101 was stopped. All relevant study

documentation and amendments were approved by Independent Ethics Committees. The study was conducted in accordance with the principles set forth in the Declaration of Helsinki, the Guidelines of the International Council for Harmonisation (ICH) on Good Clinical Practice (GCP) Guideline E6 (R2) (EMA/CPMP/ICH/135/95), European Union (EU) Directive 95/46/EC, and other applicable regulatory requirements. Patients provided informed written consent prior to any study procedures.

### Patients

Male and female patients  $\geq 18$  years old and with body mass indices (BMI)  $< 30$  and  $> 18$  kg/m<sup>2</sup> with a diagnosis of RA according to 2010 American College of Rheumatology (ACR)/ European League Against Rheumatism (EULAR) criteria, ACPA positive and disease duration  $\geq 6$  months since formal diagnosis were eligible for enrollment. Patients had active RA at screening, characterized by  $\geq 6$  of 66 swollen joints and  $\geq 6$  of 68 tender joints, confirmed synovitis in  $\geq 1$  of the 6 swollen joints, C-reactive protein (CRP)  $> 0.7$  mg/dL or CRP level between 0.3 mg/dL and 0.7 mg/dL if ESR  $\geq 30$  mm/hr, and to have been receiving MTX for  $\geq 3$  months and a stable dose/regimen for  $\geq 6$  weeks prior to screening.

Patient participation was excluded by a history of autoimmune disease other than RA, prior receipt of a cytotoxic agent other than MTX or immunosuppressive drugs  $\leq 3$  months prior to screening (see Supplementary data for more details).

### Patient and Public Involvement

It was not appropriate or possible to involve patients or the public in the design, or conduct, or reporting, or dissemination of our research.

## Assessments

### *Efficacy*

Efficacy measures included OMERACT RA core outcome set and clinical study reported according to EULAR recommendations on conducting/reporting of clinical trials. Efficacy measures included mean values and changes from baseline in Disease Activity Score including 28-joint count using CRP or erythrocyte sedimentation rate (ESR) (DAS28-CRP, DAS28-ESR); Simplified Disease Activity Index (SDAI) and Clinical Disease Activity Index (CDAI) scores; and proportions of patients achieving EULAR good, moderate and no response or ACR20, ACR50, and ACR70 responses. Subgroup analyses included assessment of the effects of baseline (Study Day 0 prior first treatment administration) patient characteristics and biomarkers (APCA, citrullinated peptide-specific APCA, circulating TLR<sub>4</sub> ligands, rheumatoid factor [RF]) on clinical outcomes.

### *Pharmacokinetics and Pharmacodynamics*

NI-0101 concentrations was measured pre-infusion, throughout the treatment, and until the end of the follow-up period. Changes from baseline in CRP, interleukin (IL) -6, IL-1 $\beta$ , IL-8, TNF- $\alpha$ , and C-X-C motif chemokine 10 (CXCL10) were evaluated.

### *Safety*

Safety assessments consisted of recording of adverse events (AEs), clinical laboratory values and vital signs; and testing for presence of antidrug antibodies (ADAs).

### Statistical Analysis

Study populations included the intent-to-treat-completer (c-ITT) analysis set, defined as all patients who were randomized and completed the treatment period; the per-protocol (PP) analysis set, defined as all patients in the c-ITT population without any major protocol deviations; and the safety (SAF) analysis set, defined as all patients who received at least part of the first infusion of NI-0101 or placebo. Patients were analyzed according to the actual treatment received.

Efficacy endpoints were analyzed by statistical models including treatment, score for each measure at baseline, and randomization stratification factors (FcγRIIIa genotype and CRP level at baseline) as fixed effect covariates. Other covariates, including country, duration of RA, use of nonsteroidal anti-inflammatory drugs and glucocorticoids at baseline, baseline joint counts, ESR values, VECTRA DA scores, and ACPA level could also be investigated in analyses of DAS28-CRP and ACR50 results.

Calculation of sample size for the randomized treatment arms was based on the change in DAS28-CRP between the NI-0101 and the placebo groups for RR/RH population at week 12 compared to pre-dose. It was estimated that 54 RR/RH patients (NI-0101:placebo; 36:18) gave a power of 80% at a two-sided significance level of 5% assuming a difference in DAS28-CRP of

1 point (standard deviation = 1.2) at 12 weeks between treatment and placebo (2:1 ratio).

Considering that the population includes  $\geq 66\%$  of RR/RH, the total number of patients required to complete the treatment was calculated to be 81 (NI-0101:placebo; 54:27) to ensure at least 54 RR/RH patients completed treatment. Ninety patients were randomized to compensate for drop outs.

## Results

### Patients and screening phase

Of 250 patients screened for eligibility, 90 were randomized (61 to NI-0101 and 29 to placebo group). All randomized patients received at least part of the first infusion of NI-0101 and 57 completed the week 12 visit along with 29 patients treated with placebo, all of these patients completed the follow-up phase to week 24 (Figure 1). Baseline demographic and disease characteristics are summarized in Table 1. There were no major imbalances between groups for most individual disease parameters. However patients in the NI-0101 group had a longer duration of RA (8.5 years vs 5.4 years for placebo) and were younger at the time of RA diagnosis (45.7 vs 51.2 years for placebo). The mean CRP level was also higher for patients allocated to receive NI-0101 (18.3 mg/L vs 13.4 mg/L for placebo) at baseline, whereas CRP levels at screening were slightly higher in the placebo group. CRP levels decreased between screening and baseline for most patients in each group, but the decline was greater for those who received placebo. Post-hoc analysis demonstrated that the magnitude of the CRP decrease was dependent on the recruitment site of origin.

### Efficacy

Both treatment groups demonstrated similar decreases from baseline to week 12 in DAS28-CRP with no significant between-group difference (Figure 2A); a similar pattern was observed for DAS28-ESR (Figure 2B). CDAI and SDAI scores decreased by approximately 40% from baseline to week 12, again with no significant differences between treatment groups (Figure 2C and D). The proportion of patients achieving EULAR responses (good or moderate)

increased with treatment. By week 12, 27.6% and 26.0% of patients in the placebo and NI-0101 groups, respectively, had achieved EULAR good responses; and 55.2% and 53.6% had achieved EULAR moderate responses (Figure 3A). There were no significant between-group differences in ACR responses at week 12; 55.2% and 58.9% of patients in the placebo and NI-0101 groups, respectively, achieved ACR20 responses; 20.7% and 14.3% achieved ACR50 responses, and 10.3% and 10.7% achieved ACR70 responses (Figure 3B-D). Swollen and tender joint counts also declined from baseline in both treatment groups. The changes in swollen joints from baseline to week 12 were -6.1 and -7.1 for the placebo and NI-0101 groups, respectively; and the respective values for tender joints were -6.3 and -8.1.

Subgroup analysis indicated no significant effects upon stratification by CRP and FcγRIIa genotype for DAS28-CRP or ACR50 response. All subgroup analyses, based on levels of pre-specified biomarkers (ACPA, RF, cFb-IC, anti-citrullinated protein/peptide antibodies, TLR4 ligands) measured at baseline and post-hoc analyses using baseline disease-related parameters failed to demonstrate any significant treatment effects in any of the subgroups.

### Pharmacokinetics

The NI-0101 PK profile showed expected concentrations with an elimination was consistent with simulations. Throughout the treatment period, NI-0101 concentrations were maintained above the targeted threshold of 10,000 ng/mL in the majority of patients. The half-life for the linear elimination phase was estimated to be approximately 6.4 days.

## Pharmacodynamics

There were no significant differences between treatment groups for all biomarkers evaluated (Table 2). Analysis of changes in CRP levels from baseline to week 12 showed small increases for both treatment groups (see Supplementary data).

## Safety

NI-0101 infusions every 2 weeks elicited an acceptable safety and tolerability profile in RA patients. The Data Monitoring Committee did not request for changes in the conduct of the study and no deaths were reported. Treatment-emergent AEs (TEAEs) reported from baseline to week 24 occurred in similar proportions of patients in the placebo and NI-0101 groups; 51.7% and 52.5%, respectively. Five patients (5.6%) reported TEAEs considered to be related NI-0101. One patient in the placebo group and three patients in the NI-0101 group discontinued treatment due to TEAEs; however, only one of these TEAE (an IRR) was assessed as having a relationship with the administration of NI-0101. One patient in the placebo group experienced a serious AE (appendicitis and peritoneal abscess) as did three patients in the NI-0101 group (severe IRR, diagnosis of adenocarcinoma of the colon, and diagnosis of ovarian cancer). In 3 other patients of the NI-0101 group non serious events (mild dermatitis, moderate urinary tract infection and alanine aminotransferase grade 2 increase) were reported as related to NI-0101 but did not result in treatment discontinuation.

Infections were the most frequently reported AEs (11.5 and 13.8% in NI-0101 and placebo groups, respectively). None of the infections reported in the NI-0101 group were rated as severe or serious. Most were respiratory tract infections commonly observed during autumn

and winter. All were mild or moderate in intensity. Infections were not considered related to study treatment, except one moderate urinary tract infection.

No safety signals were identified for other safety parameters.

## Discussion

This is the first study to assess the efficacy of TLR<sub>4</sub> inhibition in RA patients or indeed with an immune mediated inflammatory disease. The efficacy analysis showed consistent, but moderate, improvements for all endpoints evaluated for both treatment groups but no significant differences between addition of NI-0101 or placebo to MTX. Response level observed in the placebo group was higher than typically reported for clinical studies in this population, particularly for moderate response measured either by EULAR criteria or by ACR<sub>20</sub> response. Good EULAR responses and achievement of ACR<sub>50</sub> and ACR<sub>70</sub> improvements in the placebo group were closer to values reported previously for patients with inadequate responses to MTX and continued on this treatment, although on the high end of such response rates.<sup>32,33</sup> In general, the NI-0101 treatment group showed similar or worse responses than the placebo group at week 12. Moreover, the improvements noted was lower than observed when other targeted DMARDs (biologics or small molecules) have been added to therapy in MTX-IR patients with RA.<sup>34,35</sup> Despite clinical improvement in both treatment groups, there was no significant reduction from baseline in CRP, an objective measure of inflammation, for patients receiving either placebo or NI-0101 added to MTX. A potential therapeutic response to MTX background therapy during screening was observed based on CRP decrease, possibly driven by higher adherence to background treatment between screening and randomization.

The absence of a significant effect of adding NI-0101 to MTX was further confirmed by the lack of treatment-associated changes in levels of cytokines downstream from TLR<sub>4</sub> and known to be involved in the inflammation characteristic of RA.<sup>36</sup> The lack of effect of NI-0101 vs placebo

on levels of inflammatory molecules evaluated in this study extended to IL-6, TNF- $\alpha$ , IL-8, and IL-1 $\beta$ , all of which have been shown to be elevated in monocytes from synovial fluid through TLR<sub>4</sub> signaling and blocked by exposure to NI-0101 *in vitro*.<sup>9,37</sup>

During the follow-up period, when the patient and treating physician knew that NI-0101 was no longer being administered (while remaining blinded to prior treatment allocation), results for all efficacy endpoints remained stable or decreased by similar amounts in both treatment arms. As the elimination half-life of NI-0101 is 6.4 days, it would have been reasonable to expect some continued benefit after treatment withdrawal, if it had significant efficacy.

Pre-planned subgroup analyses using baseline levels of TLR<sub>4</sub>-related biomarkers were conducted to test the hypothesis that RA patients with elevated levels of TLR<sub>4</sub> ligands (e.g., citrullinated protein immune complexes) would have an increased response to addition of NI-0101 to MTX. However, patient segmentation on the basis of the selected biomarkers failed to demonstrate any benefit of NI-0101 vs placebo. Furthermore, post-hoc subgroup analyses using baseline disease and demographic parameters including, but not limited to, baseline CRP levels and variations during screening, country of origin, and disease duration, were conducted to potentially identify confounding parameters, but none showed a statistically significant effect on any between-treatment differences. The PK results from this study and PK/pharmacodynamic analysis from a prior study<sup>31</sup> suggest that the levels of NI-0101 achieved in the patients in this trial were sufficient to achieve TLR<sub>4</sub> pathway blockade between two

dosing intervals, regardless of the Fc $\gamma$ RIIa polymorphism. Thus, it is unlikely that insufficient levels of NI-0101 contributed to the observed lack of clinical effect.

Given that NI-0101 has been shown to be a potent inhibitor of TLR<sub>4</sub>, demonstrated by the lack of induction of inflammatory cytokines after *in vivo* LPS administration in healthy volunteers after having received NI-0101 (Monnet, 2017) and that literature on pathogenic processes in RA reports the involvement of the stimulation of this receptor,<sup>7,8,9,10,11,12</sup> the lack of significant clinical and pharmacodynamic effects in this study are surprising. It is possible that redundancy in TLR signalling may underlie the lack of effect of TLR<sub>4</sub> blockade in this trial. In fact, TLR<sub>2</sub>, TLR<sub>4</sub>, TLR<sub>5</sub>, and TLR<sub>7</sub> have all been considered to be potentially involved in the pathology of RA.<sup>38</sup> It cannot be excluded that NI-0101 may provide clinical benefit when combined with other targeted agents. Indeed, the preclinical hypothesis tested in this study was supported by the observed correlation *in vitro* between NI-0101 response and the presence of specific immune complexes against citrullinated proteins.<sup>9</sup> The presence of antibodies against citrullinated proteins has been reported even before the first clinical manifestation of RA. It is conceivable, perhaps that immune complexes signaling through TLR<sub>4</sub> could play a significant pathogenic role in early RA, whereas other inflammatory processes are predominant when RA is already established and therefore blocking TLR<sub>4</sub> may not provide any benefit.

We demonstrate satisfactory safety and tolerability of TLR<sub>4</sub> inhibition with NI-0101. There were no significant differences between treatment groups in the frequency of AEs. The type and intensity of AEs reported in this study were similar to those observed in prior clinical trials

in similar patient cohorts<sup>39,40</sup> and of the three serious AEs (IRR, adenocarcinoma of the colon and ovarian cancer) reported in the NI-0101 group, only the IRR was related to NI-0101 administration.

TLR<sub>4</sub> has been shown to play an important role in immune response to Gram-negative bacteria.<sup>37</sup> However, the results suggest no increased risk for infections with NI-0101 and are consistent with findings from healthy volunteers who received NI-0101, as well as those obtained with other molecules targeting the same pathways.<sup>31,41,42</sup> No systemic Gram-negative infections were reported. The incidence of urinary tract infections (6.6%), all in female patients, appeared no greater than that reported for post-menopausal women who constitute the majority of the RA population.<sup>43,44</sup>

This study demonstrated that the blockage of TLR<sub>4</sub> is likely not a relevant target in RA patients with inadequate response to MTX, as shown by the absence of NI-0101 effect vs placebo on clinical endpoints or on changes in levels of inflammatory cytokines or chemokines. In addition, none of the subgroup analyses identified a subset of patients that received benefit from NI-0101. Results showed an expected PK profile, desired concentrations and no safety concerns for NI-0101. The lack of significant effect of NI-0101 in this well-controlled prospective clinical trial indicates that blocking the TLR<sub>4</sub> pathway alone is unlikely to benefit patients with established RA. The role of TLR<sub>4</sub> and of anti-citrullinated antibodies forming immune complexes prior diagnosis and in early RA remains to be established. The good NI-0101 safety and PK profiles support further exploration in other diseases, in particular when

microbial products are involved in inflammatory diseases or when high microbial translocation is observed (e.g. HIV).

## **Contributors**

EM, EC, IMcl, KdG, PJ, GL and CdM participated in the design of the study. EM, KdG, GL, TK participated in data collection. EM, KdG, GL participated in data analysis. EM, EC, IMcl, KdG, PJ, GL and CdM participated in interpreting the data, in writing and critically reviewing the manuscript. All authors approved the final version. EC and IMcl contributed equally to the study design and data interpretation.

## **Affiliations and financial support**

EM, KdG, GL and CdM were employees and stock options holders of Novimmune SA. PJ was consultant of Novimmune SA. EC and IMcl were consultants of Novimmune SA: EC received consultancy fees or grants from UCB, Pfizer, BioCancer, Biogen, Novartis, Roche, Amgen, Chugai, Eli Lilly, Sanofi, Abbvie, Janssen, Gilead, Bristol Myer Squibbs. IMcl received consultancy fees and grants from Celgen, Janssen, Novartis, Beorhinger Ingelheim, Abbvie, Eli Lilly, Bristol Myer Squibbs, GlaxoSmithKline, Pfizer. TK received Investigator fees from Novimmune SA to conduct the study. Novimmune SA and Genentech Inc entered into a collaboration agreement for the development of NI-0101, under this agreement Novimmune SA received funding from Genentech Inc. Novimmune SA was the sponsor of the study.

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## Tables and Figures

Table 1. Baseline demographic and clinical characteristics

Baseline Characteristic	Measure	Placebo, n (%) (N=29)	NI-0101, n (%) (N=61)
Sex, n (%)	Males	6 (20.7)	11 (18.0)
	Females	23 (79.3)	50 (82.0)
Race, n (%)	White	29 (100.0)	61 (100.0)
Age (years)	Mean (SD)	57.1 (13.07)	54.6 (11.10)
	Median (range)	59.1 (20 - 79)	56.3 (23 - 76)
Weight (kg)	Mean (SD)	68.8 (15.46)	71.4 (13.30)
	Median (range)	66.5 (47.0 - 103.9)	70.8 (45.6 - 98.9)
BMI (kg/m <sup>2</sup> )	Mean (SD)	25.2 (4.01)	26.3 (3.43)
	Median (range)	25.9 (18.0 - 29.8)	26.3 (18.4 - 32.0)
Duration of RA	Mean years (SD)	5.4 (4.82)	8.5 (7.86)
	Range	0.5 - 17.1	0.5 - 33.1
Age at RA diagnosis	Mean years (SD)	51.2 (13.62)	45.7 (11.56)
	Range	18 - 69	21 - 67
Steroids dose category	No steroid given	9 (31.0)	20 (32.8)
	1 mg - 5 mg	8 (27.6)	6 (9.8)
	5 mg - 10 mg	12 (41.4)	35 (57.4)
MTX dose category (mg/week)	3.5 mg - 10 mg	2 (6.9)	2 (3.3)
	10 mg - 20 mg	25 (86.2)	55 (90.2)
	20 mg - 25 mg	2 (6.9)	4 (6.6)
CRP (mg/L)	Mean (SD)	13.4 (14.03)	18.3 (26.63)
ESR (mm/hr)	Mean (SD)	43.1 (16.51)	45.3 (24.26)
RF (IU/mL)	Mean (SD)	127.6 (146.36)	149.3 (175.72)
ACPA (U/mL)	Mean (SD)	962.6 (1730.87)	676.2 (1072.80)
DAS28-CRP	Mean (SD)	5.8 (0.82)	5.9 (0.94)
DAS28-ESR	Mean (SD)	6.6 (0.88)	6.6 (0.91)
68-tender joint counts	Mean (SD)	28.9 (14.07)	27.5 (15.89)
66-swollen joint counts	Mean (SD)	16.3 (7.92)	16.8 (8.96)

ACPA, anti-citrullinated protein antibody; BMI, body mass index; CRP, C-reactive protein;

DAS28, Disease activity score, including a 28-joint count; ESR, erythrocyte sedimentation rate;

MTX, methotrexate; RA, rheumatoid arthritis; RF, rheumatoid factor; SD, standard deviation

Table 2. Assessments of inflammatory markers

Parameter, pg/mL	Baseline value, all patients, mean (SD)	Change from baseline to W12, mean (SD)		p-value	
		Placebo (N=28)	NI-0101 (N=54)	Treatment effect	Baseline value effect
CRP	15.6 (17.27)	-0.3 (2.83)	0.6 (2.11)	0.7688	-
IL-6	19.3 (59.2)	-5.3 (38.04)	-2.4 (18.22)	0.3978	<0.0001
GM-CSF*	9.4 (0)	0 (0)	0 (0)	-	-
IL-17A*	15.4(0)	0 (0)	0 (0)	-	-
IL-10	0.8 (0.98)	0 (0.66)	0.3 (2.41)	0.5148	0.0319
IL-1 $\beta$	1.2 (0.06)	0 (0)	0.1 (0.58)	-	<0.0001
IL-8	23.7 (18.87)	0.3 (12.24)	-3.0 (15.73)	0.2698	<0.0001
INF $\gamma$	15.5 (30.05)	7.5 (31.50)	-0.2 (40.57)	0.7860	<0.0001
TNF $\alpha$	5.6 (11.99)	2.0 (11.49)	-0.1 (1.85)	0.5548	<0.0001
CXCL10	651.9 (542.8)	-17.4 (506.73)	-35.7 (338.77)	0.5624	<0.0001
MCP-1	422.9 (162.18)	13.4 (127.29)	-18.9 (124.58)	0.2667	0.0027

CRP, C-reactive protein; CXCL10, C-X-C motif chemokine 10; IL, interleukin; INF, interferon; IP-10: interferon gamma-induced protein 10; GM CSF, granulocyte-macrophage colony-stimulating factor; MCP, monocyte chemoattractant protein; SD, standard deviation; TNF: tumor necrosis factor; W, week; \*: values were below limit of quantification; "Baseline value effect" assesses the effect of variability at baseline on the tested outcome. Here baseline variability reportable for the measured cytokines is higher than the tested treatment effect.

Table 3. TEAEs through 24 weeks

		Placebo, n (%) (N=29)	NI-0101, n (%) (N=61)
Pre-treatment AEs		1 (3.4)	2 (3.3)
TEAEs to week 24		15 (51.7)	32 (52.5)
TEAEs related to administered treatment		0	5 (8.2)
Serious TEAEs		1 (3.4)	3 (4.9)
TEAEs leading to treatment discontinuation		1 (3.4)	3 (4.9)
TEAEs leading to death		0	0
TEAEs related to potential IRRs		3 (10.3)	9 (14.8)
TEAEs related to infections		5 (17.2)	17 (27.9)
TEAEs by highest severity	Mild	6 (20.7)	12 (19.7)
	Moderate	9 (31.0)	17 (27.9)
	Severe	0	3 (4.9)
	Life threatening	0	0
	Fatal	0	0
	Missing	0	0
TEAEs experienced by ≥5% of patients in either treatment group			
Nasopharyngitis		2 (10.3)	3 (4.9)
Upper respiratory tract infection		1 (3.4)	4 (6.6)
Condition aggravated		0	5 (8.2)

IRRs, infusion-related reactions; TEAE, treatment emergent adverse event

## Figure legends:

Figure 1. Patient disposition

Data in boxes represent numbers of patients

\*Defined as patients who received at least five of the six scheduled infusions and had at least one evaluable efficacy data at week 12

Figure 2. A. DAS28-CRP scores. B. DAS28-ESR scores. C. CDAI scores. D. SDAI scores.

All values are means  $\pm$  95% confidence interval (CI)

Placebo, n= 28; NI-0101, n=54

Figure 3. A. Percentage of patients achieving EULAR good or moderate responses. B-D. Percentages of patients achieving ACR20, 50, and 70 responses

ACR, American College of Rheumatology; EULAR, European League Against Rheumatism

Placebo, n= 28; NI-0101, n=54

EULAR response at week 12: OR 1.36, 95% CI (0.51 ; 3.67), p-value 0.5381

ACR20 response at week 12: OR 1.07, 95% CI (0.42 ; 2.72), p-value 0.8948

ACR50 response at week 12: OR 0.63, 95% CI (0.18 ; 2.18), p-value 0.4665

ACR70 response at week 12: OR 0.94, 95% CI (0.20 ; 4.32), p-value 0.9318

## Key messages:

- What is already known about this subject?
  - Citrullinated proteins and ACPA forming immune complexes belong to the damage-associated molecular pattern (DAMPs) family, participating in innate immunity and are expressed in inflammatory conditions, such as in RA.
  - Immune and stromal cells are activated by these immune complexes via cellular receptors, including toll-like receptor (TLR) 4. NI-0101 is a humanized immunoglobulin (Ig) G1κ monoclonal antibody (mAb) engineered to bind to and block the activation of human TLR4, which has demonstrated a predictable pharmacokinetics, good safety profile and inhibition of in vivo LPS induced-cytokine production in healthy volunteers.
  
- What does this study add?
  - We assessed for the first time, in a placebo-controlled, double-blind, randomized study tolerability and efficacy of TLR4 blockade in RA patients with inadequate response to methotrexate. Study results indicated no significant differences between treatment arms for any of the clinical efficacy and pharmacodynamics endpoints, included in pre-specified subgroups positive for antibodies against selected citrullinated proteins
  
- How might this impact on clinical practice or future developments?

- This study demonstrated that the blockage of TLR<sub>4</sub> is likely not a relevant target in RA patients with inadequate response to MTX and established disease, its role remains to be determined.
- Successful targeting of innate immune pathways in RA, and potentially also in other chronic inflammatory diseases, may require broader or earlier inhibitory approaches.