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1 **Techno-economic assessment and optimization of a hybrid renewable earth - air heat**
2 **exchanger coupled with electric boiler, hydrogen, wind and PV configurations**

3
4 Mohammad Reza Akhtari^{1*}, Iman Shayegh¹, Nader Karimi²

5 ¹Department of Mechanical and Aerospace Engineering, Shiraz University of Technology,
6 Shiraz 71557-13876, Iran (*Corresponding Author: MR.Akhtari@sutech.ac.ir and
7 Akhtari.Reza@gmail.com; I.Shayegh@sutech.ac.ir)

8 ²School of Engineering, University of Glasgow, Glasgow G12 8QQ, United Kingdom
9 (Nader.Karimi@glasgow.ac.uk)

10
11 **Abstract**

12 Energy sectors are responsible for most of greenhouse gas emission in the world. Significant
13 amount of energy is consumed by the residential sector, highlighting the importance of developing
14 sustainable energy technologies for this sector. In the current study, the behavior of an earth - air
15 heat exchanger is simulated numerically, and the results are validated against the existing
16 experimental data. To improve reliability and sustainability, the heat exchanger is coupled with a
17 hybrid renewable energy system, including wind, solar and hydrogen. The performance of this
18 coupled hybrid energy system is explored in continuous and intermittent modes over a period of
19 one month. The results show that nearly half of the drop in total performance of the system occurs
20 during the first working day. Further, running the system intermittently result in an 8% rise in the
21 effectiveness and around 31.55 MJ energy delivery over the period of one month. This implies that

22 the long-term behavior of the system can be determined by monitoring its behavior on the first day
23 of operation. It is also demonstrated that adding geothermal energy to hybrid renewable energy
24 system can lead to an improvement of about 5.5% of the renewable fraction and decrease emissions
25 and diesel consumption by almost 48%.

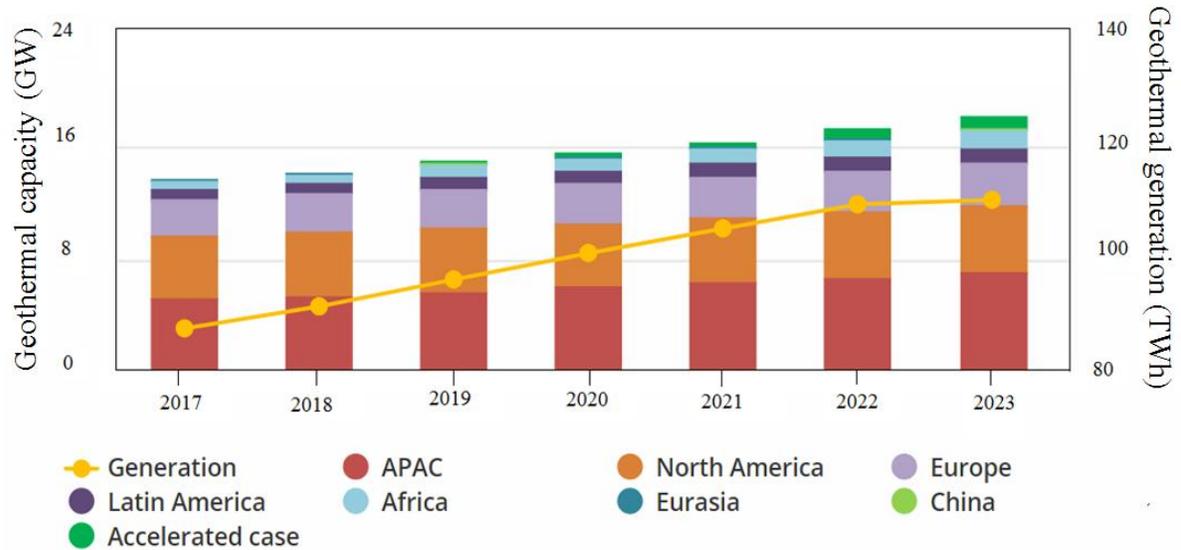
26 **Keywords:** Earth-air heat exchanger (EAHE); Thermal soil saturation; Renewable Energy;
27 Geothermal energy; Hybrid renewable energy system (HRES)

28

Nomenclature	
A	cross-sectional area of a pipe (m^2)
A_0	amplitude of air temperature (k)
AC	alternating current
ACC	annualized capital cost (\$/yr)
ACH	air exchanges per hour (m^3/h)
AGC	annual net grid charge (\$/yr)
AMC	annual maintenance cost (\$/yr)
ARC	annual replacement cost (\$/yr)
ASE	annual supplied electricity (kWh)
BV	buoyancy-driven ventilation
COE	cost of energy (\$/kWh)
C_p	specific heat at constant pressure (J/kg.K)
C_R	replacement cost (\$/kW)
DC	direct current
$EAHE$	earth-air heat exchanger
E_{nonren}	non-renewable electrical production (kWh/year)
E_{served}	total electrical load served (kWh/yr)
f_{ren}	renewable energy factor
GRBM	generalized RBM model
H	convective heat transfer
H_{nonren}	nonrenewable thermal production (kWh/year)
HOMER	hybrid optimization model for electric renewable
HRES	hybrid renewable energy system
H_{served}	total thermal load served (kWh/yr)
Hybrid2	hybrid power system simulation model
IEA	international energy agency
iHOGA	improved hybrid optimization by genetic algorithms
IRR	internal rate of return
k_s	soil thermal conductivity (W/m.K)
L	length of buried pipe (m)
$LCOE$	levelized cost of energy
\dot{m}	mass flow rate (kg/s)
n	specific day from January 1 st
n_0	number of the coldest day
NPC	net present cost (\$)
NREL	national renewable energy laboratory
NTU	number of transfer units
Nu	Nusselt number
Pr	Prandtl number
PV	photovoltaic
q	air flow rate (m^3/h)
$Q_{trans.}$	amount of transferred heat (kJ)
r_i	inner radius the pipe (m)
r_o	outer radius the pipe (m)
RF	renewable fraction
SAM	system advisor model
$T_{\alpha,in}$	inlet air temperature (k)
t	Pipe thickness (m)
$T_{\alpha,l}$	air temperature through the pipe (k)
$T_{\alpha,out}$	outlet air temperature (k)
t_R	time during project lifetime
$T_{s,z}$	soil temperature at the depth of z (k)
T_{wall}	wall temperature of pipe (k)
u	Inlet air velocity (m/s)
U_t	overall heat transfer coefficient (W/m ² k)
V	volume of room (m^3)
z	depth of soil (m)
α	heat penetration coefficient of soil (W/m ² k)
δ	soil layer thickness (m)
ε	rate of heat transfer
Δt	temperature differences between the inlet and outlet air temperature
Δt_{lm}	logarithmic mean temperature difference
η_R	times during project lifetime
ρ	air density (kg/m ³)
ρ_s	soil density (kg/m ³)

30 **1. Introduction**

31 The staggering increasing rate of energy consumption and the subsequent environmental issues
32 have become the greatest concerns of the world [1]. Unfortunately, the largest amount of energy
33 demand continues to be provided by fossil fuels and the resultant global warming makes permanent
34 environmental and socioeconomic damages. It is projected that the world energy consumption will
35 hit the pick of $216.5E+12$ MW in 2035 [2]. Thus, it essential to adopt a new policy to encourage
36 using an alternative sources of energy, which are cleaner and renewable, and, more importantly,
37 curb fossil fuels [3]. The thermal energy stored in the earth is a well-established source of
38 renewable energy and there are currently about 1.1 million installations worldwide [4,5].
39 According to International Energy Agency (IEA) and Fig. 1 [6], geothermal power generation was
40 estimated at about 84.8 TWh, in 2017, and is projected to soar over 17 GW by 2023 with the biggest
41 capacity additions predicted in Indonesia, Kenya, Philippines and Turkey. This is while the
42 cumulative capacity reached 14 GW in 2017 and, most importantly, geothermal energy provides
43 93 thousand jobs opportunities worldwide, approximately 35,000 in the USA and 25,000 jobs
44 around Europe [7].



45

46 **Fig. 1.** Geothermal power generation and cumulative capacity by region, 2017-2023 [8].

47

48 1.1. Literature review

49 The temperature of soil oscillate in a shallow layer [9] and remains almost constant in a depth of
 50 2-3 meters or more and is called earth's undisturbed temperature, which is roughly the average of
 51 summer and winter temperatures [10,11]. This figure varies with soil moisture, thermal
 52 conductivity, location and so on [12]. Chow et al. [13] and Bortoloni et al. [14] explored the ground
 53 temperature and found that temperature is sinusoidal throughout the year and depend on the depth.
 54 Elminshawy et al. [15] analyzed compactness level of soil on the thermal performance and
 55 revealed that the soil compactness of 91.17% could decline air temperature by 21°C.

56 There are various methods to harness ground thermal energy, among which earth-air heat
 57 exchanger (EAHE) has received considerable attention due to its simplicity and low cost [16]. On
 58 account of consuming of about 40% of total energy in building section [17,18], EAHE has been

59 more prevalent and can be seen in private and public constructions [19]. In experimental research
60 of Trombe et al. [20], they investigated using buried pipes in order to chill a hospital naturally. In
61 1992, Tzaferis et al. [21] compared their analyses with eight methods to evaluate the efficiency of
62 proposed EAHE. Santamouris et al. [22] defined the impact of length, radius, velocity and depth
63 of pipe as well as air velocity through it on greenhouse's efficiency. In another study by Ghosal et
64 al. [23], the performance of a greenhouse over a year was reported while using EAHE. They
65 depicted that the average temperature of greenhouse is about 6-7 °C lower and 3-4 °C higher in
66 winter and summer respectively, a higher increase in supplied air, about 12 °C, has been seen
67 [24,25] and, interestingly, an increment of 16°C was reported in Germany [26]. Besides, Chel and
68 Tiwari [27] simulated vault roof building integrated with EAHE and found that there was an
69 approximately 5 and 15°C difference in inside and outside air temperate in summer and winter
70 respectively, and can be higher in the lower ambient air temperature [28]. Further, pre-heating and
71 pre-cooling were explored in winter and summer in Europe for ventilation goals [29].

72 Considering soil features, Xinguo et al. [30] investigated the effects of moisture and thermal
73 properties of soil upon the system. Balghouthi et al. [31] focused on dry and moist soil in different
74 conditions and demonstrated that surface temperature in wetted soil fluctuates more than a dry
75 one. Kumar et al. [32] utilized a genetic algorithm for their design and examined different
76 parameters to calculate outlet air temperature. Their figures revealed that the outlet temperature is
77 highly dependent on the temperature of ambient air and surrounding soil. Cucumo et al. [33] put
78 forward a 1D transient analytical model so as that they could predict the temperature of the adjacent
79 soil. Multi-dimensional models have been developed as well [34]. To decrease the outlet
80 temperature of air in the summer by about 5°C, Xamána et al. [35] applied thermal insulation in
81 the final part of underground channels. Diverse kinds of soil have just 2% impact on thermal

82 performance if the moisture is at the maximum amount [36]. In one numerical simulation [37],
83 sandy soil was realized to be the best ground cover in Malaysian climate, followed by sandy clay
84 loam, clay, loam and silty clay soil. The effect of combined heat and moisture transfer in Gan [38]
85 article. As it was reported, in a depth of 1.5 m, the seasonally averaged heat transfer was 17% more
86 in sandy soil and 14.5% lower in clay soil than in loamy sand one.

87 Estimating the temperature of soil in various time and depths, Muehleisen [39] proposed an
88 equation to work out outlet air temperature and the sufficient length to design buried pipe. In this
89 research, the temperature of the pipe assumed to be as high as that of soil. Misra et al. [40] and
90 Bansal et al. [41] introduced a new concept as derating factor (determined by the air temperature
91 drops in transient and steady-state conditions) to compare steady with the transient mode. Their
92 analysis was carried out just in 24 hours after starting the system. Therefore, the productivity of
93 configuration was not investigated over a long time and they found that the thermal performance
94 of EAHE fall gradually if the system runs continuously. Moreover, Goswami and Dhaliwal [42]
95 determined the outlet temperature of air within the first 24 working-hour numerically and validate
96 their data with the experimental results. In an experimental study, Vaz et al. [43] used averaged
97 hourly ambient temperature (sinusoidal function) as an inlet temperature to EAHE.
98 Comprehensive data have been reported about the temperature of the soil, ambient and output.
99 May and February have been implied as the appropriate months to take advantage of EAHE for
100 heating and cooling purposes, respectively.

101 EAHE can be used in the open and closed-loop configuration. Even though the former one is
102 mainly considered to provide fresh air, especially in spacious buildings (e.g. hospitals, public
103 buildings), the latter has better energy efficiency [44,45]. Thanu et al. [46] introduced the
104 correlations between outlet and inlet air temperature to have high effectiveness in summer by

105 conducting experimental research. Achieving optimized design, Ozgener et al. [47–50] carried out
106 some research on a closed-loop EAHE. Nonetheless, the use of EAHE systems was not so
107 prevalent among people owing to some initial cost-related problems and in high-density housing
108 area, the cost of land and construction are of great importance to capital cost and find location. The
109 soil type and moisture content, relating to the thermal [51]. Uddin et al. [52] compared life-cycle
110 cost between mild steel and polyvinyl chloride pipe. Combining a closed-loop EAHE with heat
111 pump has been studied in a large number of investigations as well [53–55].

112 Benhammou et al. [56] combined wind tower and EAHE for passive cooling of building to
113 analyze the thermal performance and illustrated the better performance of the hybrid system than
114 each one alone. Also, the thermal performance of EAHE coupled to RSM (response surface
115 methodology) has been better than individual system [57]. Mathur et al. [58] examined straight
116 and spiral EAHE in Jaipur, India. Cooling and heating potential in summer were 343 and 363 kWh
117 and 405 and 418 kWh in winter for straight and spiral arrangement respectively. In two studies
118 [59,60] authors probed into passive EAHE air conditioning system coupled with solar collector
119 and chimney, which could provide the driving force of flow circulation [61]. It was realized that
120 indoor thermal comfort in an appropriate range. In 2018, Lekhal et al. [62] analyzed the thermal
121 performance of a residential house with a direct solar floor and EAHE.

122 Ghasemi-Fare et al. [63] found that saturated ground cannot be completely elaborated by
123 conductive heat transfer and the heat transfer through temperature-induced pore fluid should be
124 taken into account in the evaluation of thermal performances. In wet configurations, heat transfer
125 rate per unit surface area was measured to be higher than a dry one and comparing dry with 20%
126 moist system, maximum rise in daily heat transfer rate was reported in April, May and June
127 (22.7%, 23.7% and 24.1% respectively) [64]. Fuxin et al. [65] examined the thermal saturation of

128 soil and temperature fields around the buried pipe. These authors investigated the recovery of
129 surrounding soil by using the system periodically. However, they did not report the interaction
130 between soil and interior air temperature simultaneously in the long-run. Yang et al. [66] addressed
131 this issue by putting forward an analytical method to evaluate the performance of EAHE system.
132 The system faced a periodically fluctuating ambient and soil temperature and the model considered
133 the oscillation in temperature around the pipe. This accounted for wave transmission from the
134 ground surface and that of pipes and the model predicted 3000W in a summer day.

135 In a numeric study using ANSYS Fluent, Mathur et al. [67] run the system for 12 hours
136 continuously and intermittently, 60 minutes on and 20 minutes off and 60 minutes on and 40
137 minutes off for 12 hours of working. They also considered different types of soil and found that
138 the intermittent operating system has better performance with a poor thermal conductivity of soil
139 than the continuous mode. Intermittent operating cause the soil temperature and cooling capacity
140 to be recovered among the soil layers by natural heat conduction [68]. In Misra et al. [69] work,
141 the result of continuous (24 hours) and intermittent (three hours on and three hours off periodically)
142 operation in winter revealed that the temperature of soil at the inlet in 0.025 m far from pipe wall
143 fall by 10.1 K, 7.3 K and 5.3 K for thermal conductivity of 4, 2 and 0.52 W/m/K for soil during
144 continuous and 5.6 K, 2.9 K and 1.6 K during intermittent operation, respectively. Stored heat in
145 soil near the pipes can be minimized by using system intermittently and with higher thermal
146 conductivity soil, it could be run continuously, however, the EAHE system must be used in an
147 intermittent mode with lower thermal conductivity soil [70]. There are other investigations on
148 EAHE operating intermittently to assess this method [71,72].

149 In a recent work, Amanowicz [73] investigated multi-pipe EAHE flow numerically and
150 experimentally to realize the influences of geometry on the pressure losses and flow uniformity.

151 In this research, 6-36% lower pressure losses were observed in U-type structure than Z-type and
152 coefficients of air flow division uniformity were 11-80% higher. Wei et al. [74] coupled EAHE
153 and buoyancy-driven ventilation (BV) of buildings and developed the first quantitative theoretical
154 model to consider nonlinear coupling effect of the EAHE and BV. Mehdid et al. [75] proposed a
155 new transient semi-analytical model as Generalized RBM model (GRBM) for predicting air
156 temperature through EAHE in continuous behavior. In another study, the EAHE with concrete
157 pipes has been studied in hot-dry weather [76]. Habibi and Hakkaki-Fard [77] evaluated the initial
158 installation cost of four disparate ground heat exchangers: linear, spiral, horizontal and vertical.
159 They showed that for a single arrangement, the linear one has the highest heat exchange rate per
160 pipe unit length, while the spiral configuration has the smallest initial installation expenditure.
161 Generally, altering the center-to-center, pitch and pipe arrangement could make considerable
162 differences in thermal performance [78]. Also, heat exchange rate escalates by having an increment
163 in center-to-center and decrement in pitch.

164 Supply of energy from completely renewable resources is inevitable in the not-too-distant
165 future and, the demand for such energy is ramping up [79]. More importantly, global warming
166 should be addressed effectively by all nations chiefly by imposing and levying emission taxes to
167 curb the detrimental outcome of fossil fuels [80–82]. This is of vital significance to Iran due to the
168 annual energy consumption of 4.4 times greater than the global average [83]. Iran is located on the
169 world's Sun Belt, with a solar radiation range from 5.4 to 5.5 kW/m² per day) and a majority
170 number of sunny days. Iran also has good potentials for generating electricity from wind at about
171 18,000 MW [84]. This production for wind and solar energy is about 0.5 and 0.1 TWh with annual
172 growth of 10.9% and 119.7%, respectively in 2017 [85].

173

174 1.2. Objective

175 There has been a wide range of studies on geothermal energy and EAHE system, as one of the
176 available technologies to be replaced with those that are based on fossil-fuel. Also, some research
177 has been done to increase the efficiency of the EAHE and provide comprehensive information
178 about the nature of this alternative energy system by coupling it with solar energy. Beyond
179 supplying demands in a stand-alone HRES, the extra generated renewable energy known as excess
180 (or surplus) energy and electricity should be also considered. This is particularly encountered at
181 the peak of renewable energy production in HRES. To increase sustainability and reliability of
182 HRES, this excess electricity should be managed effectively. This can be done through utilization
183 of storage bank to be later used in low-generated energy or high-demand periods, selling to grid
184 or converting to heat in an electric boiler. Moreover, HRES may not be able to meet the demands
185 at peak times or nights when solar radiation is not available. The current work addressed this issue
186 by adding EAHE to HRES. The purpose of this investigation is to analyze a hybrid renewable
187 energy system comprising wind, hydrogen and solar energy to enhance efficiency and reliability
188 of the system and supply the electricity and thermal energy demand to a user. First, the thermal
189 performance of EAHE is analyzed and then the impact of EAHE on hybrid renewable energy
190 system is discussed.

191

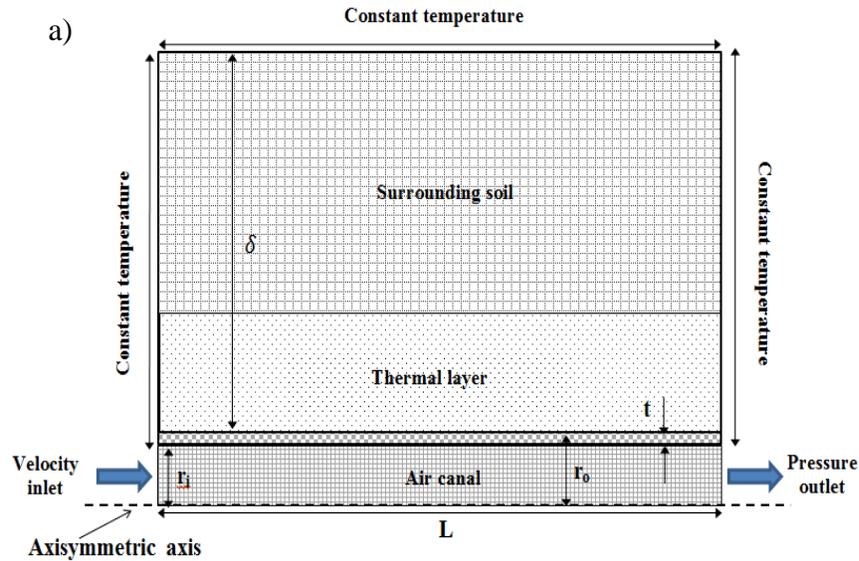
192 **2. Earth - air heat exchanger (EAHE) analysis**

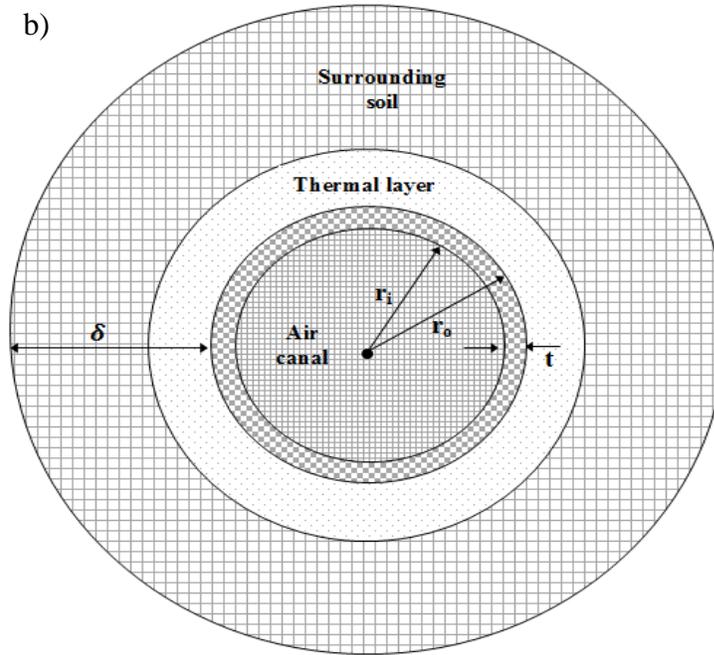
193 In this section we will discuss EAHE in details and it will be coupled with HRES in the next
194 section. A numerical method was employed to analyze the problem and generate geometry and
195 mesh, Gambit 2.4.6 (grid generator software) was used and the performance of earth to air heat

196 exchanger system assessed by commercial ANSYS FLUENT 6.3.26, which has been widely used
197 in computational fluid dynamic projects.

198 2.1. Geometry and boundary condition

199 The front side and cross-section view of the simulated domain is demonstrated in Fig. 2,
200 including pipe and nearby soil. In this figure, L , t , r_i and r_o are the length, thickness, inner and outer
201 radius of the pipe, and δ is the thickness of soil. The boundary condition at inlet and outlet is
202 defined as velocity inlet and pressure outlet, respectively. Further, the temperature of the border
203 of the soil domain is considered constant. Thermal and physical properties of soil assumed
204 unchanged over the simulation. No-slip condition with coupled heat transfer is considered on the
205 walls.





207

208

209 **Fig. 2.** (a) Front side and (b) cross-section view of the computational domain.

210

211 2.2. Model data

212 For evaluating the problem, the environmental data (such as ambient, soil and inlet air
 213 temperature) of Tehran as a city with a moderate climate in Iran is selected. These data are
 214 achievable from Mehrabad synoptic station [86] and illustrate that the warmest year happened in
 215 2010. Inlet air temperature was defined as a periodic function with the lowest of 24.2°C and highest
 216 of 38°C , which have occurred in June and July in 2010, respectively. The comprehensive
 217 information about the EAHE system is provided in Table 1, also applied in the experimental work
 218 by Goswami and Dhaliwal [42].

219

220

221 **Table 1**

222 Geometric parameters and properties

Parameters	Unit	Value
Pipe		
Length	(m)	25
Diameter	(m)	0.3048
Thickness	(m)	0.002
Buried depth	(m)	2.25
Air		
Inlet velocity	(m/s)	1.475
Specific heat	(J/kg-K)	1205
Thermal conductivity	(W/m-K)	0.028
Density	(kg/m ³)	1.214
Prandtl number		0.77
Soil		
Thermal conductivity	(W/m-K)	1.16
Thermal diffusivity	(m ² /s)	45.6E-7
Buried temperature	(K)	292.039

223

224 2.3. Governing equations

225 Continuity, momentum and energy equations as well as the k-ε model are employed to carry
 226 out the present numerical study [87] in transient mode. Muehleisen [39] estimated the outlet
 227 temperature (theoretical method) from ducts by predicting soil temperature in a depth over time.

$$T_{a,L} = T_{s,z} + (T_{a,in} - T_{s,z})e^{\left(\frac{-2\pi r_i L U_t}{\dot{m} c_p}\right)}, \quad (1)$$

228
 229 where $T_{a,L}$, $T_{a,in}$, \dot{m} and U_t represent air temperature through the pipe, inlet air temperature, mass
 230 flow rate and overall heat transfer coefficient, which can be obtained from the following equation
 231 for the pipe with the outer radius of r_o , inner radius of r_i and convective heat transfer coefficient
 232 of h between air and inner surface of the pipe.

$$U_t = \left(\frac{1}{h} + \frac{1}{2\pi k_t} \ln \frac{r_o}{r_i}\right)^{-1}. \quad (2)$$

233
 234 Soil temperature ($T_{s,z}$) can be achieved by the average yearly temperature of ambient air as follow:

$$T_{s,z} = T_m + A_0 e^{(-z\sqrt{\frac{\pi}{365\alpha}})} \sin\left(\frac{2\pi(n - n_0)}{365} - z\sqrt{\frac{\pi}{365\alpha}} - \frac{\pi}{2}\right) \quad (3)$$

235
 236 In equation (3), T_m is the average yearly temperature of the air, z the depth of soil, n a specific day
 237 from January 1st, n_0 number of the coldest day, A_0 amplitude of air temperature (equal to half of
 238 the differences between the average temperature of the warmest and coldest months of a year) and
 239 α is the heat penetration coefficient of soil and is defined as:

$$\alpha = \frac{86.4k_s}{\rho_s(0.73 + 4.18(\frac{w}{100}))} \quad (4)$$

240

241 In this equation, k_s , ρ_s and w depict soil thermal conductivity, soil density and soil moisture, which
 242 is equivalent to the ratio of water to soil mass.

243 Paepe and Janssens [88] indicated the effect of various parameters on the thermal and hydraulic
 244 performance of EAHE by the 1D theoretical model. In this method, heat loss along pipe and heat
 245 transfer between air and pipe wall are calculated via the following:

$$\dot{Q} = \dot{m}c_p(T_{a,out} - T_{a,in}), \quad (5)$$

246

$$\dot{Q} = hA\Delta T_{lm}, \quad (6)$$

247

248 where ΔT_{lm} is logarithmic mean temperature difference and define as:

$$\Delta T_{lm} = \frac{(T_{a,in} - T_{a,out})}{\ln[(T_{a,in} - T_{wall}) / (T_{a,out} - T_{wall})]}, \quad (7)$$

249 in which T_{wall} is the wall temperature of pipe, identical to the soil temperature in buried depth.

250 Thus, the outlet air temperature can be specified by:

$$T_{a,out} = T_{wall} + (T_{a,in} - T_{wall})e^{\left(\frac{-2\pi r_i L h}{\dot{m} c_p}\right)}. \quad (8)$$

251

252 The effectiveness and number of transfer units (NTU), used to calculate the rate of heat transfer in
253 heat exchangers, are as follows.

$$\varepsilon = \frac{T_{a,out} - T_{a,in}}{T_{wall} - T_{a,in}}, \quad (9)$$

254

$$NTU = \frac{hA}{\dot{m}c_p}, \quad (10)$$

255

256 in which, A represents the cross-sectional area of a pipe:

$$A_l = 2\pi r_i L. \quad (11)$$

257

258 Hence, equation (8) can be rewritten as:

$$\varepsilon = 1 - e^{-NTU}. \quad (12)$$

259

260 To compute the heat transfer coefficient the following equation is used:

$$h = \frac{Nuk}{2r_i}, \quad (13)$$

261

262 where the Nusselt number (Nu) is 3.66 when the Reynolds number is less than 2300 otherwise it
263 is obtained from the following relation:

$$\left\{ \begin{array}{l} Nu = \frac{\xi/8(Re - 1000)pr}{1 + 12.7\sqrt{\left(\frac{\xi}{8}\right)}(Pr^{\frac{2}{3}} - 1)} \\ 2300 < Re < 10^6, \quad 0.5 < Pr < 10^6 \end{array} \right. \quad (14)$$

264

265 and

$$\xi = (1.82 \text{ Log } Re - 1.64)^{-2}. \quad (15)$$

266

267 Inlet air flow rate can be obtained by air change ratio, defined as:

$$\text{ACH} = \frac{3600\dot{m}}{\rho V}, \quad (16)$$

268

269 where \dot{m} , ρ and V are air mass flow rate, air density and the volume of room, respectively.

270 Therefore, the air flow rate is calculated in m^3/h and by:

$$q = V \text{ ACH}. \quad (17)$$

271

272 Knowing about the volume of target space and its kind, inlet air flow rate is delineated, from which

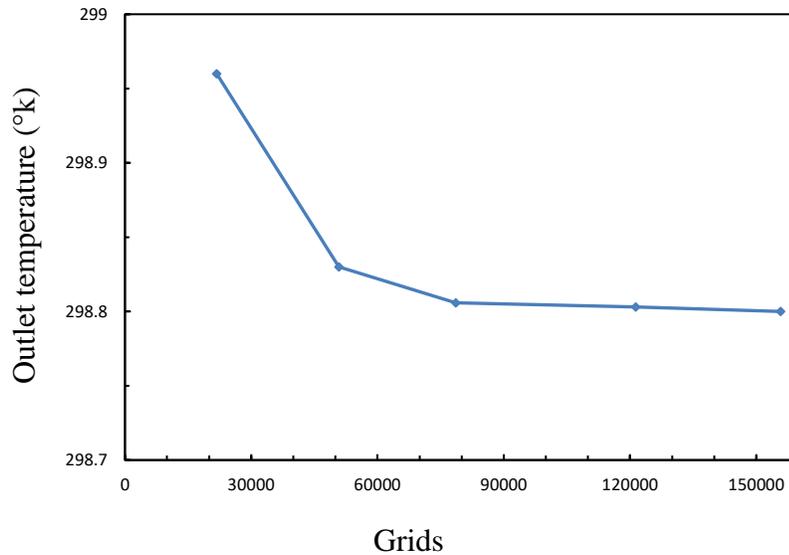
273 air inlet velocity is calculable:

$$u = \frac{\dot{m}}{\rho A} \quad (18)$$

274

275 2.4. Grid study and independence

276 In order to attain independent results from the grid, five different grid networks are considered.
277 As can be seen in Fig. 2, selecting 78,554 computational nodes can be reasonable for the current
278 study. In a fluid zone (air through the canal), a larger number of grids are used than solid zone
279 (soil), where thermal energy conducted among soil layers.



280
281 **Fig. 2.** Outlet air temperature computed by coarse and progressively refined grids.
282
283 Determining optimum time step for transient cases, lead to decreasing simulation time at
284 appropriate cost. The time step independence study is carried out to reach the appropriate one.
285 According to Table 2, by declining time step size less than 10 seconds, the outlet air temperature
286 remains almost constant. Further, the number of iterations for each time step is selected to be 20
287 to have a reasonable computation. The soil thickness around the pipe has been explored and it was
288 realized that considering the thickness of 2 m soil is enough to have the uninterrupted temperature
289 at the frontier of soil boundary conditions.

290 **Table 2**

291 Air temperature at the outlet (time step independence).

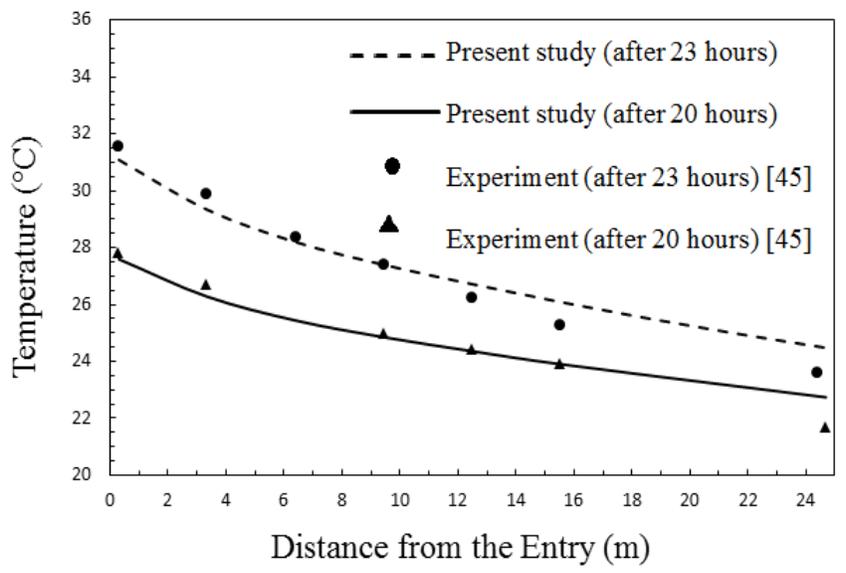
Time step (second)	Temperature (K)	Iteration per time step	Temperature (K)
0.5	298.804	10	298.807
5	298.805	20	298.805
10	298.805	40	298.805
20	298.806	80	298.804
30	298.81		
60	298.82		

292

293 2.5. Validation

294 The present numerical study is assessed by comparing with the experimentally generated
 295 figures [42] and using data of Table 1. Air temperature through the pipe is depicted 20 and 23
 296 hours after running the system in Fig. 3. As can be seen, the present work data and those of
 297 experiment are in a good concordance and get better by passing the time. A little variation between

298 the two approaches can be attributed to radiation from the walls and inability to determine the
299 exact properties of soil.

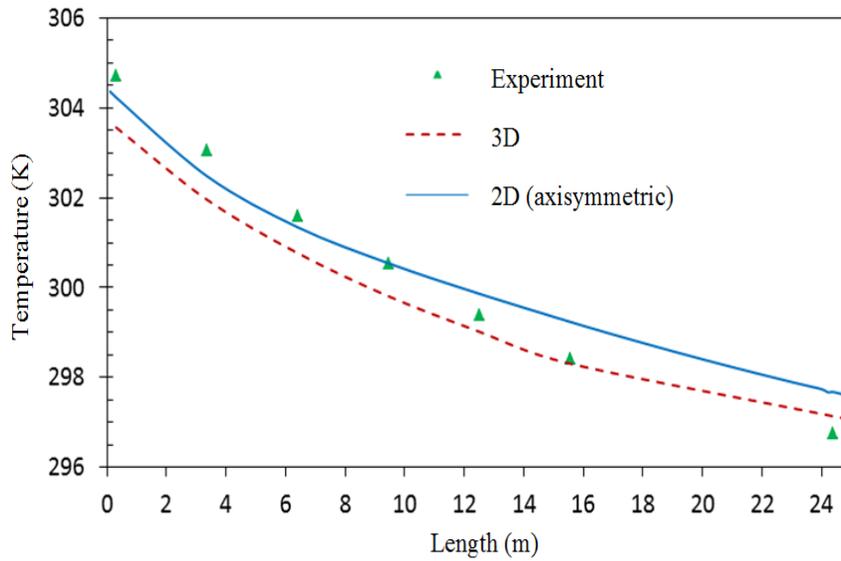


300
301 **Fig. 3.** Comparison of air temperature of the current work and those reported experimentally [42]
302 20 and 23 hours after initiation of the operation.

303
304 2.6. Three-dimensional analysis

305 More accurate result can be gained from 3D simulation in the numerical approach. To verify
306 the acceptability of the current 2D simulation, the air temperature in the pipe has been compared
307 with that predicted by 3D simulations after 23-hour operating. Fig. 4 clearly demonstrates that 2D
308 simulation can be used to minimize the computational time. Besides, the outlet air temperature
309 was compared with the output of TRNSYS (Transient System Simulation Tool), which shows 0.5
310 °C discrepancy at most, for 30-day running system and admits the output data from ANSYS
311 FLUENT (see Fig. 5).

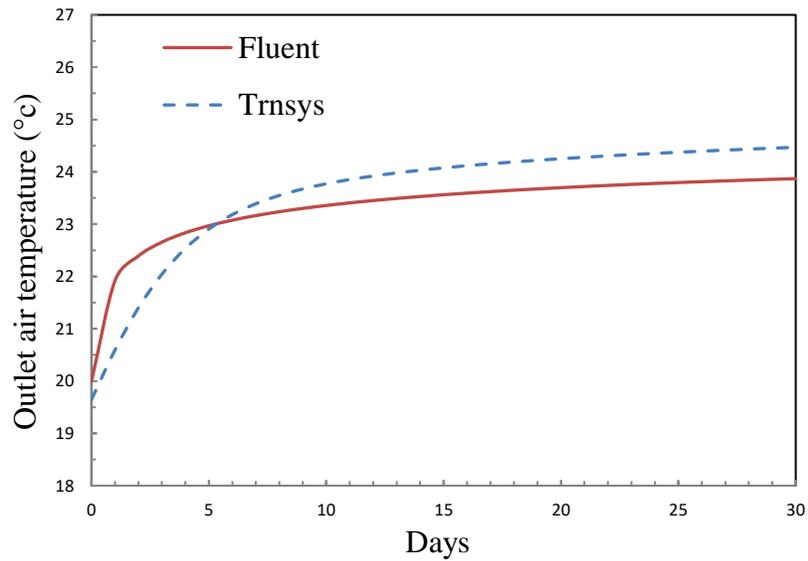
312



313

314 **Fig. 4.** Air temperature through the pipe, 23 hours after starting the system in 2D, 3D and
315 experimental study.

316



317

318 **Fig. 5.** Comparing outlet temperature predicted by ANSYS Fluent, Trnsys and experiments [42]
319 over the 30-day period.

320 3. Results and discussion

321 The air flow rate is defined for 3×4×3 m living room to supply air conditioning. The air
322 exchanges per hour (ACH) is between 3-6 [65,89] and the average value of 4.5 is assumed for the
323 current investigation. Hence, according to equation (17), the rate of 162 m³/hour air is needed to
324 supply sufficient air, while 306 m³/hour is required on the basis of cooling load demand [90].
325 Considering four ducts with 6 inches in diameter and 1.24 m/s (equation 18) inlet air flow can
326 satisfy the ACH and cooling load simultaneously.

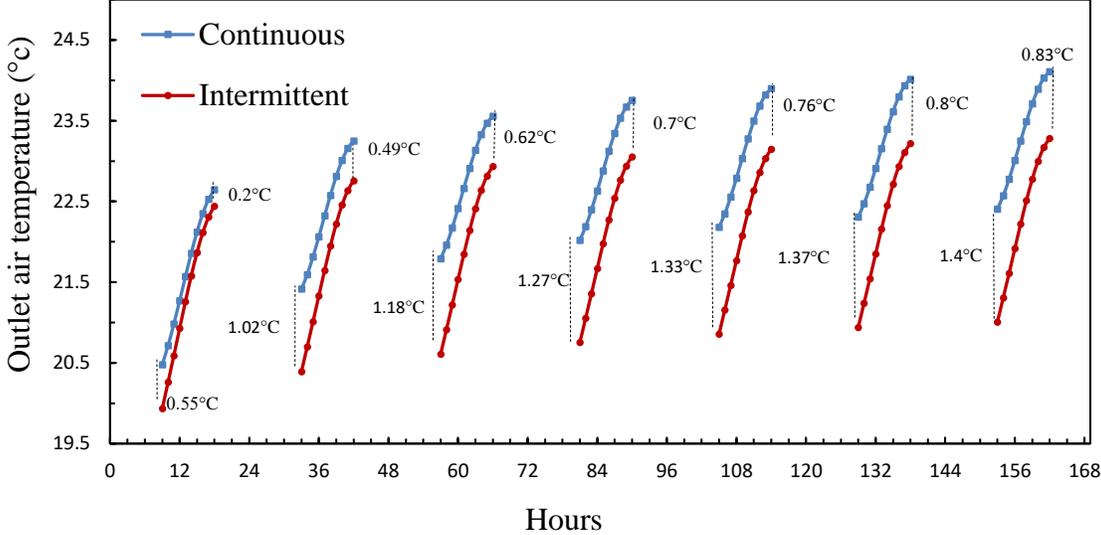
327

328 3.1. Thermal saturation issue and intermittent operating

329 As pointed out in the literature, over a period of time, the thermal interactions between the
330 pipe wall and inlet air heat up the surrounding soil and push it to reach its maximum temperature
331 (thermal saturation). Therefore, the intermittent system is adopted to avoid this phenomenon by
332 self-recovery of the system and have better performance in the long-run. To serve this purpose, the
333 EAHE system is switched on from 8 am to 18 pm and is off for the rest of a day while, this pattern
334 occurs every day for purging matter.

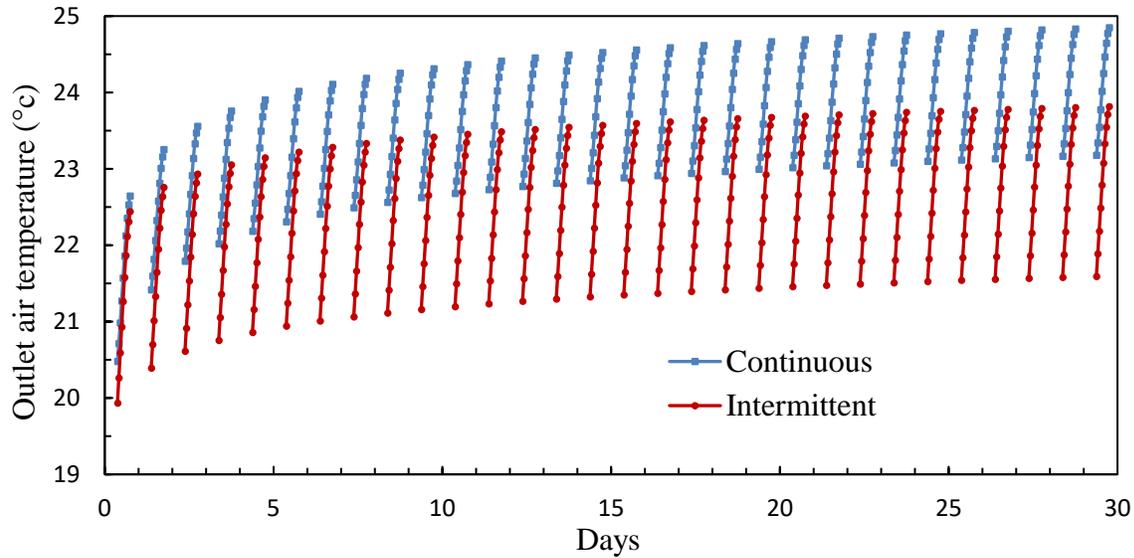
335 Fig. 7 compares the outlet air temperature in continuous with an intermittent mode for the first
336 seven days of operation. The diagram reveals the fact that the differences between the outlet air
337 temperatures of the two systems are maximal in the beginnings hours and this gap narrows
338 reaching the end of the day. The discrepancy between outlet temperatures of the two scenarios
339 after one-hour working is about 0.55°C on the first day and 1.02 and 1.18°C on the subsequent
340 days. This graph clearly illustrates the effect of soil thermal saturation on the outlet air temperature.
341 These data indicate that the greatest impact of self-recovery scenario can be seen on the first day

342 and the difference between two consecutive days becomes progressively smaller (0.47, 0.16, 0.9,
 343 0.6, 0.4 and 0.3 °C respectively for seven successive days of operation).



344
 345 **Fig. 6.** Comparing the outlet air temperature in continuous and intermittent operation during the
 346 first seven days.

347
 348 As thermal saturation is a transient phenomenon, analysis of EAHE system over a long period is
 349 insurmountable to obtain better insight and knowledge. Fig. 7 represents the outlet air temperature
 350 over a month. Although the ambient temperature is marginally lower over nights than days and
 351 thus imposes less load on the system, switching EAHE off can bring about a desirable effect on
 352 the outlet temperature. More interestingly, it can be realized that about 55% of the outlet air
 353 temperature decrease occurs over the first two days (0.69 °C) and, collectively, 1.24 °C decline
 354 over a month can be seen by comparing the two modes. Thus, by analyzing the system over the
 355 first two days, the response of the intermittent scenario can be understood and estimated over a
 356 month. This explains the reason for focus on short-runs in many previous investigations.

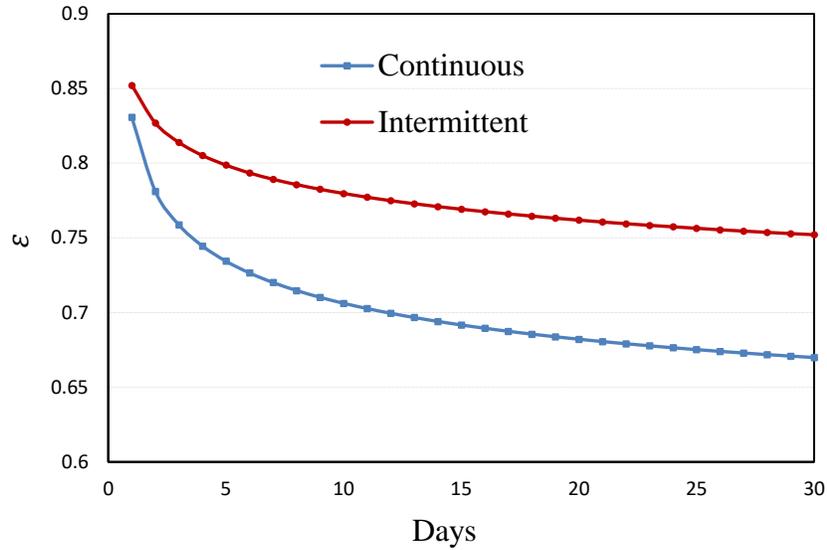


357

358 **Fig. 7.** Comparing the outlet air temperature in continuous and intermittent operation over the first
 359 month.

360

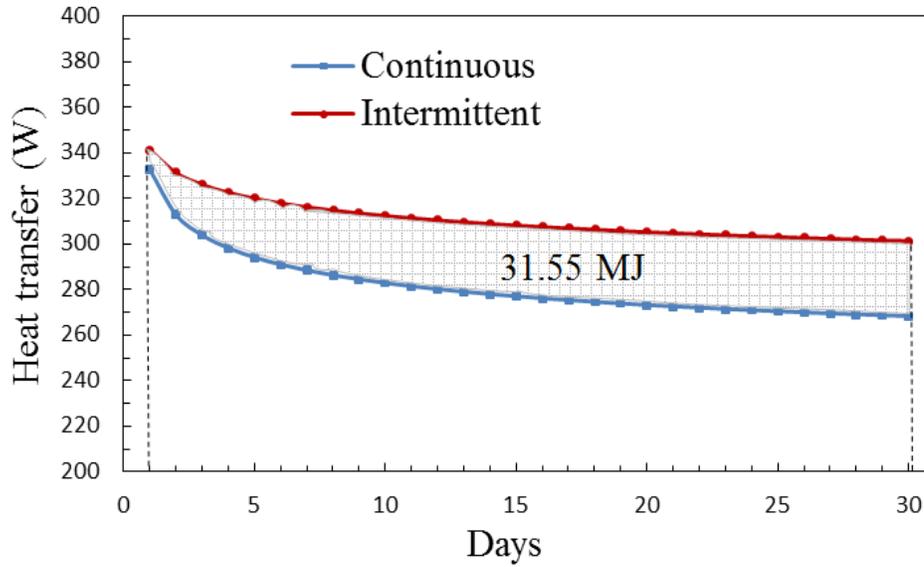
361 Fig. 8 illustrates the effectiveness of EAHE for a continuous and intermittent system in a period
 362 of one month. Approximately 8% increment can be seen when EAHE is operated under
 363 intermittent mode over a month. This indicates the superiority of intermittent operation to a
 364 continuous one. Besides, this scenario can supply 31.55 MJ heat more than a continuous one at the
 365 same time (Fig. 9). This positive point can not only provide better comfort but also contribute to a
 366 decrease in greenhouse gas emissions generated by burning fossil fuels.



367

368 **Fig. 8.** Comparing the effectiveness of the EAHE system in continuous and intermittent operation
 369 over the first month.

370



371

372 **Fig. 9.** Comparing the heat transfer of the EAHE system in continuous and intermittent operation
 373 over the first month.

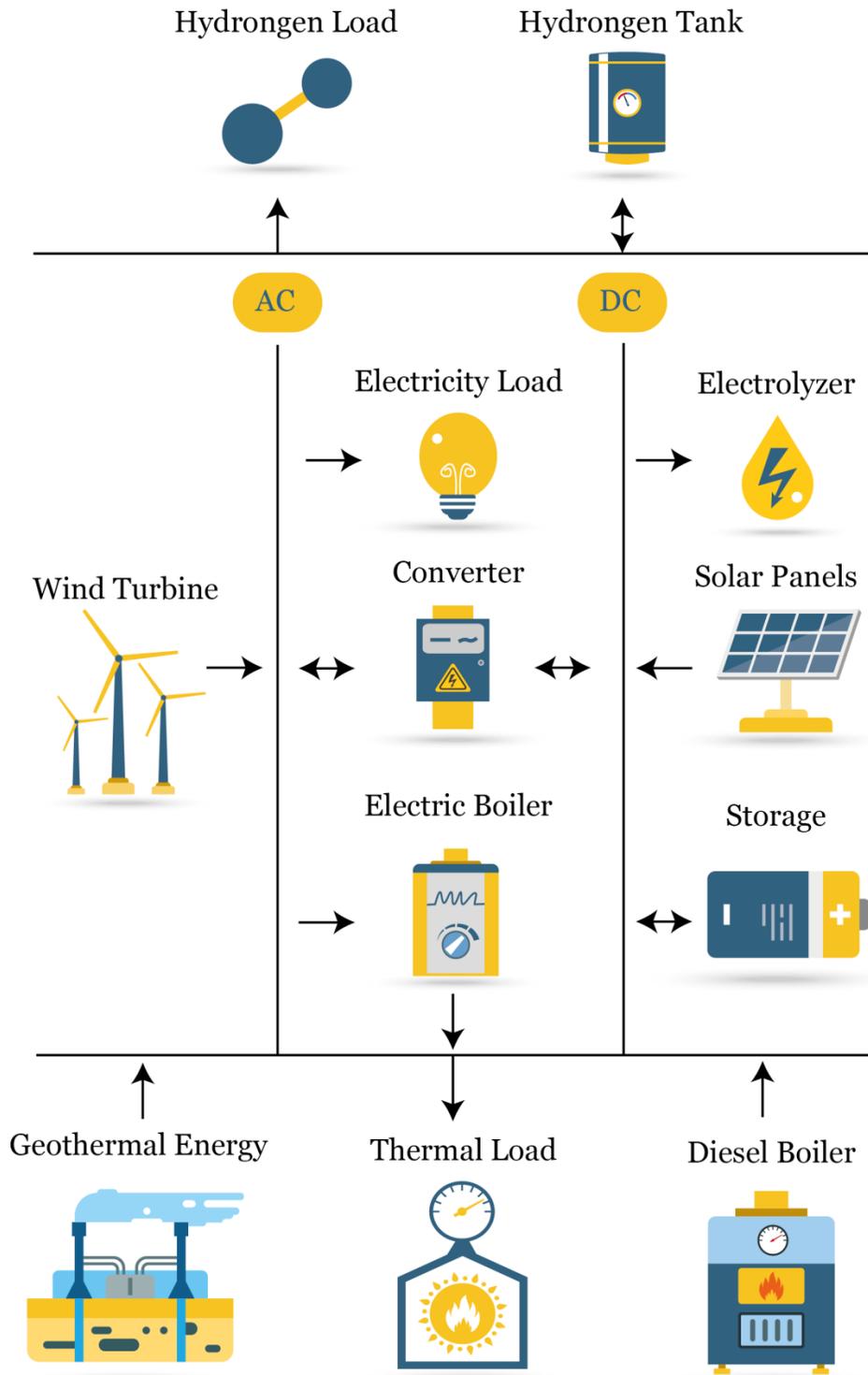
374

375 **4. Hybrid system Wind/PV/EAHE**

376 Generally, HRES includes various available renewable energy components, regarding
377 different situations such as irradiation, wind speed, hydro power, availability, capital and
378 maintenance cost. Considering the suitable irradiation and wind speed in Iran, the proposed HRES
379 includes photovoltaic panels, wind turbine, fuels cell and EAHE. For analysis of hybrid renewable
380 energy systems (HRES), there exists a number of software including RETscreen, HOMER (Hybrid
381 Optimization Model for Electric Renewable), iHOGA (Improved Hybrid Optimization by Genetic
382 Algorithms, Hybrid2 (Hybrid Power System Simulation Model) [91], PVsys, SAM (System
383 Advisor Model). Among these HOMER (an hourly optimization software) is a powerful software
384 developed by NREL (National Renewable Energy Laboratory) [92] and has been used by a variety
385 of scholars worldwide so as to conduct techno-economic analysis and select optimal HRES [93].
386 In this work, energy balance is adopted for HRES analysis by HOMER on an hourly basis. Energy
387 flow is estimated between generated energy, and thermal and electric load. Further, if the HRES
388 included batteries, it would be decided to charge or discharge the storage banks in each time step.
389 In experimental and numerical studies, HOMER was validated by Shah and Mundada [94] [95]
390 [96]. In the next part of the current work, HRES comprising PV and wind energy is investigated
391 by HOMER then and it will be coupled with EAHE to alleviate the thermal load of a residential
392 building situated in Tehran, Iran. This novel system performs a key role in reducing electricity
393 demand whereby the consumption of fossil fuels can be curtailed and witness emissions decline
394 correspondingly.

395 4.1. Components and loads

396 Fig. 10 depicts the general configuration of HRES. Average electricity consumption (according
397 to electricity bill), thermal and hydrogen load demand to supply the energy of residential building
398 are 13.8 kW/day (with a peak of 1.94 kW), 15.7 kW/day (peak 2.64 kW) and 8 kg/day (peak 1.65
399 kg/hour), respectively. The produced hydrogen from electrolyzer with the pressure of 4 bar can be
400 used in two electric cars, powered by fuel cells, to run about 333 km each day (12g of H₂ per
401 kilometer [97]). All of the surplus of electricity will pass through an electric boiler in HOMER, so
402 there is not any disused electricity, which is a favorable approach to run the HRES. In contrast, the
403 thermal energy may be generated more than what is needed, especially in the afternoon when the
404 PV electricity production is at its highest level. The diesel boiler works only when the electric
405 boiler fails to cover the thermal loads. In such cases, chiefly at nights when the PVs have no
406 production, there is no surplus electricity and the most favorable configuration is achievable.



407

408 **Fig. 10.** General configuration of HRES

409

410 To select an appropriate wind turbine considering weather condition, various wind turbines with
411 disparate power curves and total capacities are explored. According to Table 3, the Eocycle EO10
412 with annual electricity production of 17,108 kWh/year, rated capacity of 10 kW, the capacity factor
413 of 19.5 and the cost of energy of 1.7 \$/kWh features the greatest economical performance.

414

415 **Table 3**

416 The leveled cost of diverse wind turbines.

Name	Rated capacity (kW)	Production (kWh/year)	COE (\$/kWh)	Capacity factor (%)
aws hc 1.5 Kw	1.5	1,040	7.16	7.91
GENERIC 3 Kw	3	1,102	7.71	4.19
Bergey Excel 6	6	4,293	2.54	8.17
Eocycle EO10	10	17,108	1.7	19.5
Bergey Excel 10-R	10	5,904	2.27	6.74
Bergey Excel 10-R	10	5,859	2.28	6.69
Generic10 kW	10	4,234	2.99	4.83
Xzeres 7.2	10.4	6,587	2.15	7.23
NPS100C-24	95	88,045	4.49	10.6
Leitwind 77 850kW	850	1,049,916	28.24	14.1

417

418 Running renewable energy systems is often expensive and, in some cases, HRES performance is
419 highly dependent on weather condition. To analyze the system, technical details (Table 4) and the
420 cost of components are illustrated (Table 5) to calculate COE in HRES and find out the most cost-
421 effective one. Moreover, project lifetime is assumed to be 20 years, inflation rate 2%, diesel price
422 is 1.05 \$/l and the interest rate of 7% is considered. HOMER has a rich component library, which
423 has been used for many years by numerous researchers [98,99]; however, each component library

424 can be accommodated to reach a favorable one. Therefore, extensive data have been used from
 425 HOMER library and also the local market information for those devices that HOMER does not
 426 offer any technical details. The detailed datasheet is available for Eocycle EO10 wind turbine [100]
 427 and CELLCUBE® FB 30-130 battery [101]. Also, the Varmeteknikk AS electric boiler [102,103]
 428 is chosen for thermal energy production from surplus electricity.

429

430 **Table 4**

431 Type and costs of components.

Component	Lifetime	Capital cost	Replacement cost	Operation and maintenance
Flat plate PV	25 years	2000 \$/kW	2000 \$/kW	10 \$/kW/yr
System convert	20 years	300 \$/kW	300 \$/kW	0 \$/kW/yr
Wind turbine	20 years	2000 \$/kW	1800 \$/kW	500 \$/turbine/yr
Electrolyzer	20 years	5000 \$/kW	5000 \$/kW	0
Hydrogen tank	25 years	574 \$/kg	574 \$/kg	0 \$/kg/yr
Storage battery	20 years or 2628000 kWh	2300 \$/kWh	2250 \$/kWh	0 \$/h
Electric boiler	20 years	54 \$/kW	54 \$/kW	0 \$/kW/yr

432

433 **Table 5**

434 Technical data of the system.

Component		
Flat plate PV	Nominal operation cell temperature	47 °C
	Temperature coefficient	- 0.5 %/°C
	Efficiency at standard test condition	13%
	Derating factor	80%
System convert	Inverter efficiency	95%
	Rectifier efficiency	95%
	Rectifier capacity	100%
Eocycle EO10 wind turbine	Rated capacity	10 kW
	Rotor diameter (m)	15.8 m
	Tip speed	44 m/s
	Hub height	24 m
	Swept area	196.3 m ²
	Cut-in wind speed	2.5 m/s
	Cut-out wind speed	20 m/s
Generic electrolyzer	Efficiency	85%
	Minimum load ratio	0%
Generic hydrogen tank	Initial tank level	20%

CELLCUBE® FB 30- 130 battery	Nominal capacity	130 kWh
		2710 Ah
	Round trip efficiency	64%
	Nominal voltage	48 V
	Max charge current	583 A
	Max discharge current	911 A
Generic electrolyzer	Efficiency	85%
Generic hydrogen tank	Initial tank level	20%
Generic boiler	Efficiency	85%

435

436

437 4.2. Financial issue

438 Petrou [104] raised an interesting issue on the levelized cost of energy (LCOE). It was
439 mentioned that there are some variables that affect the cost of energy (COE) such as the capital,
440 operation and maintenance costs, and the economic lifetime and investment as well. Investment
441 cost including the material, foundation, transporting, assembling, installations, connection to
442 utilities and so on [105]. The local data, HOMER library as well as references for PV [106],
443 electrolyzer [107] and electric boiler [102] are used to obtain optimum system. COE is calculated
444 by [99]:

445

$$COE = \frac{ACC+ARC+AMC+AGC}{ASE}$$

$$COE = \frac{ACC + ARC + AMC + AGC}{ASE}$$
(19)

446

447 ASE, AMC and AGC are the annual supplied electricity, maintenance cost and net grid charge.

448 The annualized capital cost (ACC) and replacement cost (ARC) are determined as:

$$ACC = \frac{i(1+i)^N}{(1+i)^N - 1} I_0,$$

$$ACC = \frac{i(1+i)^N}{(1+i)^N - 1} I_0,$$
(20)

449

$$ARC = \frac{i(1+i)^N}{(1+i)^N - 1} \sum \eta_R \frac{C_R}{(1+i)^{t_R}},$$

$$ARC = \frac{i(1+i)^N}{(1+i)^N - 1} \sum_{\eta_R} \frac{C_R}{(1+i)^{t_R}},$$
(21)

450

451 where C_R , t_R and η_R are the replacement cost, time and times during project lifetime, respectively.

452 When NPV is zero, the interest rate will reduce to the internal rate of return (IRR), which is

453 applicable in the evaluation of hybrid system [108]:

$$\sum_{t=1}^N \frac{R_t - C_t}{(1+i)^t} = I_0$$

$$\sum_{t=1}^N \frac{R_t - C_t}{(1+i)^t} = I_0$$
(22)

454

455 The higher the IRR, the more appealing is the project economically for stockholders. Another
 456 factor is the net present cost (NPC), which represents the life cycle cost of the system [109]. Costs
 457 include capital, replacement, operation and maintenance, fuel costs and emissions penalties.
 458 Renewable energy factor can be defined as [110]:

$$f_{ren} = 1 - \frac{E_{nonren} + H_{nonren}}{E_{served} + H_{served}} \quad (23)$$

$$f_{ren} = 1 - \frac{E_{nonren} + H_{nonren}}{E_{served} + H_{served}}$$

459
 460 where E_{nonren} is nonrenewable electrical production (kWh/year), H_{nonren} is nonrenewable thermal
 461 production (kWh/year), E_{served} is total electrical load served (kWh/year) and H_{served} is total thermal
 462 load served (kWh/year).

463
 464 **4.3. Optimization**

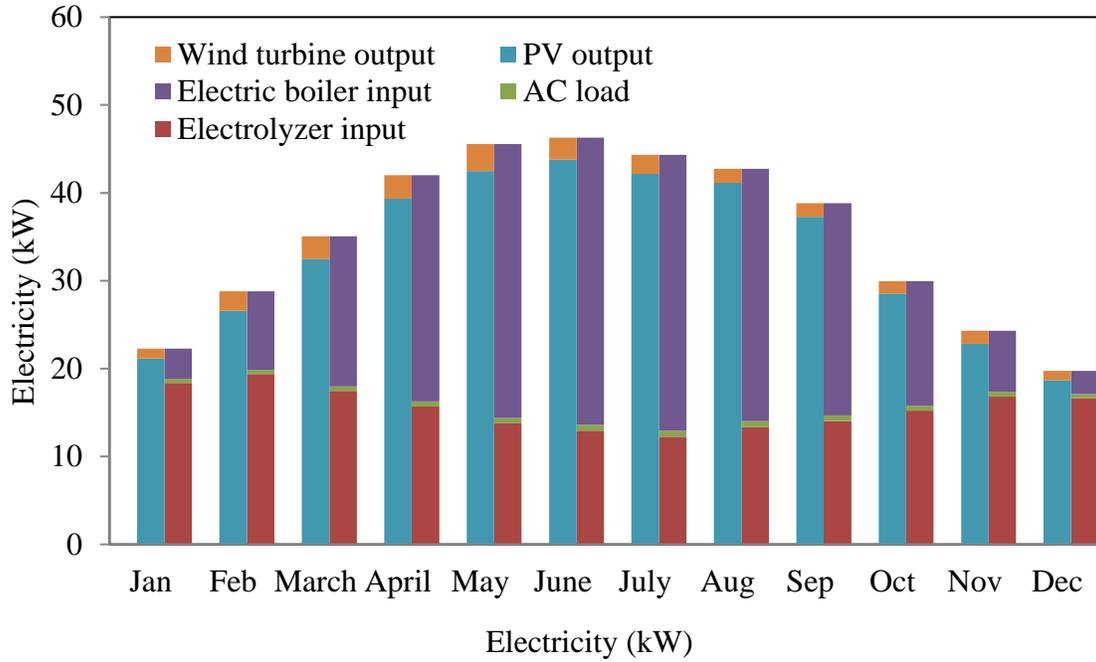
465 The two most optimized systems by HOMER are illustrated in Table 6, which are based on
 466 COE and are \$ 13.92 and \$ 14.28, and renewable fraction (RF) for these two configurations are
 467 84.3 and 86.2, respectively. The calculations in this part are irrespective of EAHE expenditure in
 468 the system. In order to diminish surplus of electricity in HRES, electric boiler, which can be
 469 defined as thermal load controller (TLC) in HOMER, is used to enhance the renewable fraction of
 470 the system and curb fossil fuels consumption correspondingly.

471
 472 **Table 6**
 473 **Optimized HRES**

PV (kW)	WT	Battery (quantity)	Electrolyzer (kW)	Hydrogen tank (kg)	TLC (kW)	Converter (kW)	NPC (\$)	Initial cost (\$)
221	1	1	65	38	154	8	889,816	888,728
247	0	1	65	38	163	2	913,164	919,414

474

475 The most optimum system has been chosen for further analysis. Fig. 11 depicts the amount of
476 electricity production by disparate parts of the HRES. The differences between these two figures
477 are known as excess electricity (or surplus of electricity), which is an issue in HRES. There are
478 numerous methods to curb this undesirable aspect such as selling this extra energy to the grid. This
479 approach not only can help the grid but also can generate revenues and make a profit for the owner.
480 However, it is impossible to access the grid in remote areas. Another possible way is to add a
481 handful of storage systems for peak demand or other purposes, which may impose a further cost
482 on an investor or it may be the case that there is not any other demand. A sensible way of curbing
483 this adverse outcome that is used in the present study is to convert excess (surplus) electricity to
484 another form of energy like thermal one and can be used for heating purposes. As mentioned
485 earlier, it can be achieved by the electric boiler and take a critical step in protecting the
486 environment.



487

488

489 **Fig. 11.** Electricity production and consumption by the optimal configuration.

490

491 By taking the advantage of EAHE, it is possible to supply a certain amount of thermal demand
 492 through geothermal energy, which leads to a decrease in diesel consumption by diesel boiler and,
 493 more importantly, less emissions through burning fossil fuels. The EAHE, on average, can provide
 494 223 kWh, which is about 8.7 kWh more than a continuous one, every month. Hence, it is logical
 495 to assume that every year about 2713.2 kWh thermal energy is contributed to HRES by geothermal
 496 energy. The amount of transferred heat can be computed by heat exchange equation [111,112]:

$$Q_{trans.} = mC_p\Delta T \quad (24)$$

497

498 where m , C_p and ΔT are the mass flow of air (kg), specific heat at constant pressure, which is about
 499 1 kJ/kg-K, and temperature differences between the inlet and outlet air temperature

$$\Delta T = T_i - T_o. \tag{25}$$

500

501 By coupling HRES with EAHE, it is possible to restrict emissions and boost renewable energy
 502 fraction. According to Table 7, adding EAHE to the current HRES can lead to an about 48% and
 503 49% fall in exhausted CO₂ and diesel consumption respectively, and a 5.5% increase in RF.

504

505 **Table 7**

506 EAHE impact on emission reduction and RF rise

HRES	Renewable Fraction (%)	Diesel (L/year)	Carbon dioxide (kg/year)	Sulfur dioxide (kg/year)
Without EAHE	84.3	202	535	1.33
EAHE	88.9	103	273	0.68

507

508 **5. Conclusion**

509 Thermal performance of EAHE was examined under continuous and intermittent (8 am to 18
 510 pm) operational modes. To validate the results, they were compared with the experimental and
 511 TRNSYS data. Working periodically aids the configuration to be self-recovered. This phenomenon

512 demonstrates its soundness in the long-run. The present study revealed that intermittent scenario
513 can improve the effectiveness of the system by about 8% in a month, which is almost 31.55 MJ
514 energy delivery more than the continuous mode. It should be noted that 55% of the outlet air
515 temperature reduction happens during the first two days of working. That is 0.69°C and reaches
516 1.24°C after one month operating.

517 Finally, EAHE was coupled with PV, hydrogen and wind energy to enhance renewable energy
518 factor and sustainability of the HRES. Additionally, this new hybrid system can enhance the
519 reliability of the system (geothermal energy is chiefly available everywhere at any time) and cut
520 many kinds of emission from fossil fuels. The effect of EAHE on HRES was investigated and the
521 investigation revealed that HRES+EAHE can enhance the renewable fraction by about 4.5% and
522 decrease the exhausted CO₂ and diesel consumption by approximately 48%. All the same, to enjoy
523 the most cost-effective renewable energy system, extensive studies are vital on this matter,
524 especially using hybrid systems to enhance the sustainability and reliability of energy systems.

525

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