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# Ecological and evolutionary consequences of metabolic rate plasticity in response to environmental change

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## 1 Summary

2  
3 Basal or standard metabolic rate reflects the minimum amount of energy required to maintain body  
4 processes, while the maximum metabolic rate sets the ceiling for aerobic work. There is typically up  
5 to three-fold intraspecific variation in both minimal and maximal rates of metabolism, even after  
6 controlling for size, sex and age; these differences are consistent over time within a given context,  
7 but both minimal and maximal metabolic rates are plastic and can vary in response to changing  
8 environments. Here we explore the causes of intraspecific and phenotypic variation at the organ,  
9 tissue and mitochondrial level. We highlight the growing evidence that individuals differ predictably  
10 in the flexibility of their metabolic rates and in the extent to which they can suppress minimal  
11 metabolism when food is limiting but increase capacity for aerobic metabolism when a high work  
12 rate is beneficial. It is unclear why this intraspecific variation in metabolic flexibility persists –  
13 possibly because of trade-offs with the flexibility of other traits – but it has consequences for the  
14 ability of populations to respond to a changing world. It is clear that metabolic rates are targets of  
15 selection, but more research is needed on the fitness consequences of rates of metabolism and their  
16 plasticity at different life stages, especially in natural conditions.

17  
18

## 19 **1. Introduction**

20 A fundamental animal function is the metabolic conversion of food into a form of energy – ATP –  
21 that is usable by the body's cells; the rate of metabolism thus forms a nexus between environmental  
22 resources and animal fitness [1]. An animal's metabolic rate is usually recorded in terms of whole-  
23 animal oxygen consumption. This is really a proxy for the underlying process of cellular respiration,  
24 in which ATP is generated from nutrient molecules. While it is possible to produce ATP in the  
25 absence of oxygen (through glycolysis), this yields less ATP per molecule of energetic substrate than  
26 the alternative of oxidative phosphorylation, and so most multicellular organisms produce the  
27 majority of their ATP through a process that consumes oxygen. As a consequence, measurements of  
28 oxygen uptake by the body (which are relatively easy to make) can give a relative measure of the  
29 animal's overall rate of cellular respiration (which is more difficult to quantify).

30 The minimum level of sustainable metabolism occurs when an animal is non-reproductive,  
31 unstressed, inactive and not digesting food; this is termed the standard metabolic rate (SMR) in  
32 ectotherms and the basal metabolic rate (BMR) in endotherms if they are within their thermoneutral  
33 zone. The highest rate of aerobic metabolism that can be achieved is termed the maximum metabolic  
34 rate (MMR), although endotherms have a second form of maximal metabolism, summit metabolism  
35 (M-sum), which is the maximum aerobic rate induced by exposure to cold. Relatively little time may  
36 be spent operating at these minimal or maximal extremes, but they nonetheless have biological  
37 significance: BMR or SMR defines the minimal 'cost of living' that cannot be avoided, while  
38 maximum rates are measures of the individual's capacity for work (MMR) or heat generation (M-  
39 sum). The difference between the minimal and maximal rates (termed the aerobic scope) defines the  
40 maximum amount of oxygen available for activities such as muscular work or digestion.

41 All species appear to show significant among-individual variation in both minimal and  
42 maximal rates of metabolism even under standardised conditions [2-5]. The scale of this variation is  
43 at first sight puzzling since metabolic rates have fitness consequences [6], but is likely due to the  
44 optimal metabolic rate being context-dependent [2, 7]. It should be noted that while the different

45 forms of metabolic rates are often found to be correlated, especially when comparing among species  
46 [8, 9], minimal and maximal metabolic rates are best treated as independent traits since they are  
47 under different selection pressures that may vary in parallel but can be uncoupled [10-12].

48 Metabolic rates can appear to be (and are often treated as) consistent and repeatable traits of  
49 an individual. However, the repeatability of metabolic rates declines over time [13-15] and is weaker  
50 in more variable environments [16]. Moreover metabolic rates are known to show plasticity in  
51 response to environmental conditions [17]. This is a topical point given the increasing rate of  
52 environmental change especially in terms of temperature; temperature-induced changes in metabolic  
53 rates (principally of ectotherms) have been suggested to be a key likely cause of population failure  
54 and local extinction in a warming world [18, 19]. Greater plasticity is likely to increase resilience – a  
55 concept that has been tested among species [20] but is lacking empirical evidence among individuals  
56 of a species. Linked to plasticity is the phenomenon of acclimation – physiological traits such as  
57 metabolic rate may show an acute change in response to an environmental perturbation (e.g. in  
58 temperature) but this change can reduce with exposure time [21, 22].

59 In this review, we evaluate the evidence that metabolic rates are plastic traits and discuss the  
60 environmental features which drive changes in metabolism. We highlight increasing evidence that  
61 both populations and individuals within populations differ in the plasticity of their metabolic rates,  
62 and consider the physiological and cellular drivers of both intraspecific variation in metabolism and  
63 its plasticity. Through consideration of the costs and benefits of having flexible rates of metabolism  
64 we then evaluate how this will influence the capacity of species to cope with and adapt to  
65 environmental change, highlighting the gaps in knowledge that prevent a full understanding of this  
66 important subject.

67

## 68 **2. Evidence for plasticity in metabolic rates**

69 Metabolic rate, along with most physiological traits, exhibits phenotypic plasticity in response to  
70 changes in either the animal's internal state or its environment [17, 23, 24]. Alterations to metabolism

71 can be programmed so as to allow the animal to cope with predictable changes in its energetic state  
72 or demands, as when BMR is reduced when animals hibernate or aestivate [25], when M-sum is  
73 increased prior to migration in birds [17, 26], or when SMR is reduced in intertidal animals that shut  
74 down when the tide goes out [27]. Different aspects of metabolism can exhibit separate temporal  
75 rhythms, indicating independent controlling mechanisms: while both BMR and M-sum may be  
76 elevated over winter in small birds, the increase in M-sum has been found to precede that in BMR  
77 and it may last longer into the spring [28]. On top of these programmed changes, metabolism can  
78 vary in response to a stochastic change in the environment. As an example, metabolic rates of  
79 ectotherms increase after an acute rise in temperature but then usually drop again as the animal  
80 becomes acclimated to the new temperature in order to reduce maintenance costs [22]. Not all aspects  
81 of metabolism respond to the same extent: it has been proposed that the metabolic floor of  
82 ectothermic organisms (i.e. their SMR) is more plastic in response to increasing temperature than is  
83 their ceiling (MMR) [29], although this is not always the case [30]. Similarly, the BMR of  
84 endotherms generally increases after cold-acclimation and decreases after warm-acclimation [17, 31],  
85 and has been found to be much more flexible in response to temperature than either MMR [32] or M-  
86 sum [33]. Metabolic rate also exhibits plasticity in response to changes in food availability, with food  
87 restrictions leading to a reduction in SMR or BMR [34-38], but not necessarily in MMR [37].

88         Although a great deal of attention has been paid to individual variation in whole-animal  
89 (body-mass-adjusted) metabolic rate [2, 4], few studies have investigated variation in metabolic  
90 plasticity, but these are revealing significant variation in the extent to which animals can vary their  
91 metabolism. Plasticity varies across time within individuals (the BMR of rodents is more responsive  
92 to temperature in summer than in winter [31]), but it also varies among individuals and populations.  
93 At the population level, variation in metabolic rate plasticity occurs in response to temperature, diet  
94 quality and season: rufous-collared sparrows (*Zonotrichia capensis*) from Mediterranean ecosystems  
95 exhibit greater BMR flexibility in response to environmental temperature than do members of the  
96 same species from desert ecosystems, which have a largely inflexible BMR [39]. However, the BMR

97 of the desert sparrows show greater flexibility in response to diet than that of sparrows from the  
98 Mediterranean [40], emphasising how conclusions about metabolic rate flexibility depend on the  
99 environmental context. Greater plasticity in resting metabolic rate in response to temperature in high-  
100 *versus* low-latitude populations of cane toads (*Rhinella marina*) has been proposed to facilitate this  
101 species' invasion into higher latitude regions of Australia, due to an enhanced capacity to maintain  
102 critical physiological functions in the colder climate [41]. Similarly, cane toads from colder climates  
103 also exhibit greater plasticity in their lower temperature tolerance [42]. Across seasons, different  
104 subspecies of the stonechat (*Saxicola torquata*) exhibit differential plasticity in the annual cycle of  
105 their BMR when kept in a common environment with annually varying day length but constant  
106 temperature, indicating a genetic difference in programmed seasonal change in metabolic rate [43].

107 Evidence for population differences in metabolic rate plasticity in response to temperature has  
108 also been shown to exist at the cellular level: members of a high-latitude subspecies of the Atlantic  
109 killifish (*Fundulus heteroclitus*) increase their mitochondrial volume density and surface area  
110 relatively more than their low-latitude counterparts in response to cold acclimation [44], and these  
111 differences are reflected in high-latitude fish having a higher whole-animal metabolic rate [45].  
112 These findings indicate that variation in plasticity may be important for adaptation to a seasonally  
113 more variable environment, and possibly to a climatically more variable future as well (keeping in  
114 mind that plasticity in killifish has been shown to differ in response to the cold but not the warm  
115 [45]). Plasticity of mitochondrial respiration in response to temperature also differs between clones of  
116 *Daphnia pulex* from temperate and subarctic environments, but without showing a clear latitudinal  
117 pattern, although clonal differences in mitochondrial function is again more pronounced when  
118 assayed in cold conditions [46].

119 Among individuals, differential flexibility in metabolic rate among fish is linked to their  
120 growth rates: the brown trout (*Salmo trutta*) that either increase or decrease their SMR the most in  
121 response to increased or decreased food availability, respectively, grow the fastest relative to their  
122 less flexible conspecifics [35]. A similar pattern is seen in a different fish species (qingbo,

123 *Spinibarbus sinensis*) where individuals with the most flexible SMR in response to changing food  
124 levels grow the most when food availability is high [47]. Moreover, in response to food scarcity,  
125 individual brown trout with the greatest reduction in SMR lose the least amount of fat in a simulated  
126 overwintering scenario [36]. If lowering metabolic rate is an adaptive response to food shortage, then  
127 this suggests that resource-poor conditions do not preclude an appropriate plastic response (i.e. the  
128 cost of plasticity is not too great, despite energetic limitations), as otherwise suggested (reviewed in  
129 [48, 49]). Phenotypes with more flexible metabolic rates may therefore be at a competitive advantage  
130 in an environmentally unstable future with more fluctuating food supplies, as also suggested by  
131 Canale & Henry [50].

132 Individual animals also differ in their metabolic rate flexibility in response to temperature  
133 changes, with potentially important life history consequences. Siberian hamsters (*Phodopus*  
134 *sungorus*) showed relatively consistent among-individual differences in the plasticity of their BMR  
135 (repeatability of BMR plasticity = 0.31), and those hamsters that maintained their BMR level and  
136 showed no sign of plasticity in response to cold exposure spent less time in torpor, compared to  
137 individuals that increased their BMR in the cold [51]. The fitness consequences of not entering torpor  
138 are hard to assess in a laboratory study in which food was readily available. Similarly, while  
139 individual zebra finches (*Taeniopygia guttata*) that exhibited a larger increase in their resting  
140 metabolic rate when exposed to the cold were better able to defend their body temperature [52] –  
141 suggesting a lower risk of hypothermia-induced mortality in more flexible phenotypes – this also  
142 assumes that there is sufficient food available to cover the increased energetic demand. In fish  
143 (barramundi, *Lates calcarifer*), individuals with low SMR, MMR and aerobic scope exhibited a  
144 larger increase in these metabolic rates relative to their high-metabolic-rate conspecifics when they  
145 were faced with elevated temperatures but, when challenged with hypoxia, the metabolic rates of the  
146 same individuals hardly changed [11]. Since both rapidly fluctuating temperatures and hypoxia occur  
147 in the barramundi's natural environment in tropical Australia, and may worsen in a climatically more  
148 extreme future, the low sensitivity of MMR and aerobic scope to hypoxia of low-metabolic-rate fish

149 could be advantageous as it allows these individuals to maintain their maximum aerobic capacity.  
150 However, reduced hypoxia sensitivity may trade off with a larger increase in the ‘cost of living’  
151 (SMR) at warmer temperatures.

152

### 153 **3. Physiological/cellular mechanisms underlying (changes in) metabolic rates**

154 In order to interpret variation in metabolism we need to understand the underlying processes that are  
155 responsible for oxygen consumption rates. Not all tissues or organs within the body have the same  
156 energy demand: for instance, cells in the brain, liver and kidney are likely to use more ATP per unit  
157 mass than skin or connective tissue [53], as will the intestines when digesting food [54]. A number of  
158 studies have therefore attempted (with mixed success) to test whether individual variation in whole-  
159 animal oxygen consumption can arise from differences in the relative size or activity of these body  
160 components. As an example, Vézina *et al.* [55] found that variation in both BMR and M-sum of red  
161 knots (*Calidris canutus*) was explained by variation in the residual mass of key organs after  
162 correction for body mass. Thus, individuals with relatively large hearts and muscles for their size  
163 tended to have higher BMRs, while a high M-sum was associated with proportionally large muscles,  
164 heart and stomach. A similar study of eels (*Anguilla anguilla*) showed that the combined relative  
165 mass of the heart, liver, spleen and intestine explained 38% of the among-individual variation in  
166 SMR, despite these organs only comprising 1.6% of the total body mass [56]. This both highlights  
167 the metabolic demands of these organs but also the potential difficulty in detecting their influence on  
168 whole-animal metabolic rate, since they can make up a surprisingly small percentage of the total cells  
169 (and hence mitochondria) in the body. If there is little variation among individuals in body  
170 composition and in relative organ size (as may be the case in laboratory studies if all animals have  
171 been kept in the same standardised benign conditions), then it is unlikely that measurements of organ  
172 size will explain variation in their whole-organism metabolic rates (but see [57]). This may help  
173 explain why other attempts to explain intraspecific variation in minimal or maximal metabolic rates  
174 have found weak or no correlations with relative organ size (e.g. [58, 59]).

175

176 An alternative approach to determining the drivers of whole-animal metabolic rates is to  
177 examine variation in the functioning of key organs and tissues, rather than their size. One relevant  
178 measure is ‘metabolic intensity’, conceptually defined as the energy consumption per unit mass of  
179 tissue but in practice usually measured indirectly as either mitochondrial density or the activity of key  
180 rate-limiting mitochondrial enzymes [55]. Variation in both minimal and maximal metabolic rate  
181 amongst individuals has been found to correlate with differences in cytochrome c oxidase and/or  
182 citrate synthase activity in their mitochondria [55, 59], although these correlations are not always  
183 evident [56]. An alternative approach to quantifying mitochondrial function is to measure oxygen  
184 consumption rates of either isolated mitochondria or the mitochondria within samples of  
185 permeabilised tissue. Whilst care must be taken in the interpretation of these *in vitro* measurements,  
186 they can nonetheless reveal variation in mitochondrial performance that relates to variation in the  
187 metabolism of the animal from which they came. Thus, Salin *et al.* [60] showed that the SMR of  
188 individual brown trout was correlated with variation in the ‘leak’ respiration rate of their liver  
189 mitochondria, while MMR correlated with variation in the leak respiration of their muscle  
190 mitochondria. This leak respiration occurs when the mitochondria actively pump leaked protons back  
191 across the inner membrane in order to re-establish the proton gradient necessary for ATP production.  
192 Leak respiration is therefore a measure of the inefficiency of the mitochondria in producing ATP.  
193 The tissue-specificity of the correlations of mitochondrial leak respiration with SMR and MMR  
194 makes functional sense, since the liver is among the most metabolically active tissues under the  
195 conditions in which SMR is measured [53], while the muscles may contribute most to MMR [60].  
196 One of the messages of that study is that a high metabolic rate can indicate inefficiency at producing  
197 ATP, although there may be a benefit of producing fewer damaging reactive oxygen species (ROS)  
198 [61]. There may also be more general cellular drivers of metabolic rate, such as the lipid composition  
199 of cellular membranes: comparisons between endotherms and ectotherms, and among species of  
200 endotherms, have found that BMR or SMR (after correction for body mass) increases with the degree

201 of poly-unsaturation of cellular membranes (the ‘membrane pacemaker’ hypothesis of metabolism  
202 [62]).

203         Clearly, there are a number of traits that can apparently co-vary with metabolic rate, but  
204 relationships amongst them can be complex and they do not always vary in parallel: as an example,  
205 among-individual variation in the size of energy-demanding organs does not always correlate with  
206 variation in their metabolic intensity [55, 63]. As a consequence, while these cross-sectional  
207 correlational studies can suggest cellular drivers of metabolic rate, they are rarely conclusive. A more  
208 convincing approach is to explore relationships in animals whose metabolic rates have been either  
209 up- or down-regulated (as a result of artificial selection, experimental manipulations or natural  
210 changes in environmental conditions). This approach has shown that the link between membrane  
211 lipids and metabolism is unlikely to be causal, since artificial selection experiments that caused a  
212 significant shift in minimal metabolism also altered membrane lipid composition, but in the opposite  
213 direction to that predicted by the membrane pacemaker hypothesis [64]. Causality can also be tested  
214 through manipulations of supposed cellular drivers of metabolic rate: while dietary manipulations  
215 that alter membrane lipid composition have not resulted in changes in minimal metabolism – again  
216 contrary to the predictions of the membrane pacemaker hypothesis [65] – manipulations of  
217 mitochondrial leak respiration (through use of uncoupling agents) have led to changes in whole-  
218 animal metabolic rate, indicating a causal link between mitochondrial and whole-animal respiration  
219 rates [66].

220         While it has usually not been possible to track within-individual changes in the underlying  
221 traits (such as organ size, mitochondrial function, etc.) since measurement often requires the animal  
222 to be sacrificed, changes in whole-animal metabolic traits can nonetheless prove informative. For  
223 example, although there is often a correlation between an individual’s BMR and its M-sum [8],  
224 Barceló *et al.* [12] were able to demonstrate through environmental manipulations that BMR and M-  
225 sum are under independent control: while cold exposure led to an increase in both the BMR and the  
226 M-sum of white-throated sparrows (*Zonotrichia albicollis*), a diet shift only altered their BMR and

227 had no effect on their M-sum. Exploration of the body composition of these birds showed that in both  
228 experimental manipulations the increase in BMR was related to increases in the relative size of  
229 digestive and excretory organs, whereas the increase in M-sum after cold exposure was presumed to  
230 be due to changes in the metabolic intensity of the muscles (since there was no increase in their size)  
231 [12], a response that is thought to be, at least in part, driven by changes in gene expression of several  
232 key metabolic pathways [67]. BMR was also found to change faster than either M-sum or MMR in  
233 birds exposed to an abrupt shift in ambient temperature, possibly because of differences in the  
234 relative rates at which organs can change their size *versus* their metabolic intensity [68].

235         Within-individual changes in organ size can happen during ontogeny, with consequences for  
236 metabolic rate: there is a shift in endotherms from BMR being driven by the fastest-growing organs  
237 early in life (when growth is fastest) to it being more influenced by organs with high metabolic  
238 intensity later in development [69]. There are also reversible changes in relative organ size (and  
239 hence metabolic rate) when animals are faced with major energetic challenges such as long-distance  
240 migrations [70] or infrequent but large meals [71, 72]. Components of mitochondrial structure and  
241 function can also shift in response to changes in ATP requirement [1, 73] and/or resource availability  
242 [74-76], with the typical response being an increase in the efficiency of ATP production (measured as  
243 ATP produced per unit consumption of oxygen) when conditions are more challenging [74, 75].  
244 However, mitochondrial responses can differ between organs (and even between muscle types) of the  
245 same individual [74, 77], and increases in mitochondrial efficiency can come at a cost of increased  
246 rates of ROS production, which may explain why ATP production efficiency is not always  
247 maximised [76].

248         The process of acclimation can to some extent provide a buffer against the adverse effects on  
249 physiological processes of environmental change: several weeks' exposure to a higher ambient  
250 temperature reduces the thermal sensitivity of a range of physiological processes in ectotherms [22].  
251 Temperature acclimation in whole-animal metabolic rate is matched by acclimation in mitochondrial  
252 function [78, 79] through alterations to mitochondrial membrane fluidity, and cytochrome c oxidase

253 and/or citrate synthase activity, but this capacity for full acclimation may only be over a limited  
254 temperature range that corresponds to expected temperatures within the geographical range of the  
255 species or population [44, 79, 80]. This has implications for the ability of organisms to cope with  
256 climate change, since the thermal range over which full acclimation can occur may need to evolve in  
257 parallel with rises in ambient temperatures.

#### 258

#### 259 **4. Costs/benefits of metabolic plasticity in response to environmental change**

260 It is possible to identify clear benefits to plasticity in metabolic rates: it has short-term benefits in  
261 terms of energy savings when food is short and enhances growth when food is plentiful [35, 36, 47].  
262 Metabolic plasticity is also likely to increase resilience to climate change [22]. Given these benefits,  
263 there must be either costs or limitations that prevent metabolic plasticity from being greater than it is.  
264 There has been much discussion and speculation on the limits to plasticity in phenotypic traits in  
265 general [48, 81] and modelling exercises that explore how costs might influence the persistence of  
266 plasticity [82], but as yet there is little clear evidence of how significant the costs might be [48, 49].

267       These putative costs can be divided into two types: those of maintaining the potential to adjust  
268 metabolism, and those associated with actually undergoing a change in metabolism. Maintaining the  
269 capacity for plasticity might be expensive in terms of the machinery needed to monitor the  
270 environment (or the organism's state) and to then adjust/regulate the phenotype accordingly [81]. In  
271 the case of metabolic rate, it seems unlikely that the monitoring required for adjustment of  
272 metabolism would be greater than that needed to regulate other aspects of nutritional state, suggesting  
273 that this cost may not be significant. But the capacity to alter metabolism may be traded off against  
274 other traits important for fitness. This has been found in other contexts where behavioural flexibility  
275 traded off with foraging performance [83], and there is circumstantial evidence that selection for  
276 greater plasticity in metabolic rates in animals invading colder environments has led to reduced burst  
277 locomotor performance, suggesting that such trade-offs may exist [41], although there appears not to  
278 be any experimental evidence of this to date. It has also been suggested that plasticity in

279 physiological traits may come at the expense of the ability to express an extreme phenotype [84], but  
280 this has yet to be demonstrated in the context of metabolic flexibility.

281         It is possible that there might be pleiotropic effects that link metabolism and other traits, so  
282 constraining or imposing indirect costs on metabolic flexibility. However, while metabolic rate is  
283 clearly the result of many complex interacting factors that link mitochondria, tissues and organs,  
284 there is little clear evidence of how they individually or collectively may constrain the capacity of the  
285 animal to alter its metabolism. Indeed, the extent to which different physiological traits can vary  
286 apparently independently of one another is surprising [85], although links between different  
287 metabolic attributes within individuals – such as SMR and MMR – may be masked under benign  
288 conditions and only revealed in environments where constraints on trait variation are more  
289 pronounced [11]. Nonetheless, there may be limits to flexibility – for example, the extent to which  
290 mitochondria can ramp up ATP generation due to the risk of greatly increased ROS production [1].  
291 The costs of altering metabolic processes to cope with a changed environment must also be balanced  
292 against the benefits: maintaining the means for extensive up- or down-regulation of metabolic  
293 physiology is only likely to be beneficial if the animal is likely to encounter significant fluctuations  
294 in energy supply or demand (e.g. if adopting a lifestyle of very irregular but large meals [86]). The  
295 cost of these metabolic adjustments may be reduced where they are programmed to follow either  
296 seasonal or life-history changes in energy demand [43], and where appetite changes in parallel [87,  
297 88].

298

## 299 **5. Possible evolutionary responses of metabolism to environmental change**

300 How might we expect rates of metabolism to respond to long-term changes in the environment? A  
301 recent review found that metabolic rates had an overall average narrow-sense heritability of 0.19  
302 (reported range: 0–0.72), so have the potential to evolve [6]. Indeed, evolutionary changes in  
303 metabolic rates have been demonstrated experimentally through selection experiments that have  
304 caused marked changes in BMR in 10-25 generations in rodents [89, 90], while the rapid evolution of

305 SMR has been demonstrated in natural populations of Trinidadian guppies (*Poecilia reticulata*) in  
306 response to changes in predation pressure [91] and selection on resting metabolic rate has been  
307 suggested to drive the evolution of metabolic rate plasticity in this species [92]. SMR has also been  
308 found to be under selection in a wild population of snails (*Helix aspersa*) where individuals with low  
309 to intermediate SMR had higher survival, independent of other performance traits (locomotion speed  
310 and dislodgement force) [93]. It is not only resting metabolism that may be under selection: low-food  
311 environments were recently found to select for higher maximum metabolic rates in juvenile Atlantic  
312 salmon (*Salmo salar*), presumably because of the positive association between metabolic rate and  
313 competitive ability in that species [94].

314         Given this scope for rapid evolutionary changes in metabolic rates, what responses are likely  
315 in a warming world? Since minimum levels of metabolism (SMR) have been found to be more  
316 plastic than maximum levels (MMR) in ectotherms, and exhibit greater thermal compensation  
317 (reduction) in response to chronic warming [29], it seems likely that the gradual elevation of  
318 metabolic rate incurred by global climate warming will drive an evolutionary reduction of at least  
319 ectothermic SMR. On the other hand, since those individuals with a relatively low SMR are faced  
320 with a proportionally greater increase in their metabolism when faced with an acute warming event  
321 [11], the evolution of minimum metabolic rates in a warming world may depend on the relative  
322 frequency of extreme warming events (heat waves), since these may impose different (and potentially  
323 opposite) selection pressures than do gradual changes in average temperatures. Along the same lines,  
324 since measurements of narrow-sense heritabilities of active metabolic rates are significantly higher  
325 than those of resting metabolism [6], this suggests that upper limits to metabolic rate are less  
326 dependent on environmental conditions, which reflects the findings of Sandblom *et al.* that maximum  
327 levels were more fixed than resting in a population of fish faced with warming [29]. Interestingly,  
328 measured heritabilities of metabolic rate appear to be higher in endotherms than in ectotherms [6],  
329 perhaps because the environmental impacts (particularly from temperature) are greater on ectotherm  
330 metabolism than that of endotherms.

331 An increase in the incidence of extreme environmental conditions may also be predicted to  
332 lead to an (evolutionary) increase in the use of torpor, aestivation or hibernation, since these are all  
333 means to save energy when conditions deteriorate through a controlled reduction in metabolic costs.  
334 This is supported by the observation that the highest proportion of species utilising torpor are found  
335 in regions with the most extreme climatic events [50]. Moreover, increased use of torpor occurs after  
336 extreme changes in the landscape, such as fire [95], and species utilising torpor have lower risk of  
337 extinction from environmental stress [96, 97]. Thus, the incidence, frequency and duration of torpor  
338 events can all be predicted to increase as a result of climate change.

339

## 340 **6. Directions for future research**

341 The costs and limits of phenotypic plasticity are still largely unknown, despite its importance for  
342 coping with and evolving in new environments [98, 99]. Given that among-individual variation is the  
343 raw material on which natural selection can operate, more empirical research is needed to investigate  
344 how and why plasticity in metabolic rates varies among members of a population. What are the costs  
345 of having a flexible metabolic rate, which must be traded off against its more obvious benefits? One  
346 possibility is that this plasticity constrains or co-varies with plasticity in other key organismal traits  
347 [100]. For instance, while a range of physiological traits (metabolic rate, haematocrit, corticosterone  
348 and immune function) can apparently change independently of one another [85], metabolic rate may  
349 constrain plasticity in behaviour [101]. Given the importance of behaviour for responding to a  
350 changing environment [102, 103], investigations are needed of the links between metabolic and  
351 behavioural plasticity. Ideally, these should be combined with a more integrative approach by which  
352 we can determine the mechanisms responsible for (variation in) plasticity, such as variation in the  
353 thermal sensitivity of mitochondria [18, 19]. The value of understanding this kind of underlying  
354 mechanism lies in our then having a much greater ability to predict organismal responses to new  
355 environmental conditions, beyond those for which we have empirical data – which could prove  
356 invaluable in a changing world. New approaches are being developed that allow appropriate samples

357 to be taken repeatedly from the same animal (e.g. [104]), which can greatly aid in our understanding  
358 of within-individual changes in the mechanisms underlying metabolic rate plasticity.

359 We also need to consider the life stage at which plasticity is occurring. Burggren [105]  
360 recently suggested that more emphasis should be placed on the phenotypic plasticity of juvenile or  
361 developing organisms in response to the more extreme and stochastic weather events associated with  
362 climate change, since plasticity in adult individuals is irrelevant if extreme events such as heat waves  
363 would have killed off these individuals before they reached maturity. Age- and size-dependent  
364 differences in metabolic responses to warming and ocean acidification have indeed been reported for  
365 marine molluscs [106], but the majority of studies consider just a single life stage (which is usually  
366 not early-stage juvenile).

367 Extending our research to field conditions may also prove fruitful for a fuller understanding  
368 of how and when plasticity is important for responding to environmental change. The continuing  
369 development and miniaturisation of accelerometers and heart rate tags allows for continuous and  
370 long-term monitoring of metabolic rate proxies within individuals, which can provide important  
371 information about physiological performance and plasticity in free-roaming animals [107, 108].  
372 Field-based studies also have the benefit of testing animal responses in a context where  
373 environmental conditions (such as temperature, humidity, salinity and food supply) fluctuate rather  
374 than remain artificially constant (as in most laboratory studies). Studies conducted at constant  
375 temperature have proved to be inaccurate at predicting responses to fluctuating conditions [109];  
376 moreover, as well as being more natural, these fluctuations in environmental parameters can be more  
377 relevant to animal performance than long-term averages [110] and may have profound effects on the  
378 ability of animals to acclimate and evolve in a changing world.

379

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389  
390 **References**  
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