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1 Title:  
2 Equine Piroplasmosis status in the United Kingdom: an assessment of laboratory  
3 diagnostic submissions and techniques  
4

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59 **Abstract**

60 Equine piroplasmosis (EP) has historically been of minor concern to UK equine  
61 practitioners, primarily due to a lack of competent tick vectors. However, increased  
62 detection of EP tick vector species in the UK has been reported recently. EP screening  
63 is not currently required for equine importation, and when combined with recent  
64 relaxations in movement regulations, there is an increased risk regarding disease  
65 incursion and establishment into the UK.

66 This study evaluated the prevalence of EP by both serology and polymerase chain  
67 reaction (PCR) among 1,242 UK equine samples submitted for EP screening between  
68 February and December 2016 to the Animal and Plant Health Agency and the Animal  
69 Health Trust. Where information was available, 81.5 % of submissions were for the  
70 purpose of UK export testing, and less than 0.1 % for UK importation. Serological  
71 prevalence of EP was 8.0 %, and parasite DNA was found in 0.8 % of samples.

72 A subsequent analysis of PCR sensitivity in archived clinical samples indicated that  
73 the proportion of PCR-positive animals is likely to be considerably higher. We  
74 conclude the current threat imposed by UK carrier horses is not adequately monitored  
75 and further measures are required to improve national biosecurity and prevent  
76 endemic disease.

77 **Introduction**

78 The UK has historically remained free from endemic equine piroplasmosis (EP),  
79 despite a near ubiquitous global presence (1). Consequently, the disease has been of  
80 minimal concern to the UK equine practitioner and diagnostic testing has not been  
81 undertaken routinely, even in horses presenting with classical clinical signs such as  
82 haemolytic anaemia.

83 The basic pathology of EP together with the life-cycle of its causative pathogens,  
84 *Theileria equi* and *Babesia caballi*, are well described in the literature (1, 2, 3).  
85 Following inoculation by an infected tick vector, the protozoan parasite invades host  
86 erythrocytes, with additional invasion of host leukocytes in the case of *T. equi*. The  
87 parasite replicates in the equine erythrocytes leading to rupture of the infected cell.  
88 This releases parasite merozoites into the circulation, which further invade and  
89 replicate within erythrocytes, perpetuating the infection. Within the tick host,  
90 transmission of *T. equi* is through the transtadial route, while for *B. caballi* transtadial  
91 and transovarian transmission both occur (3). The clinical presentation of infection  
92 with one or both of these parasites is similar. Acute cases typically present with  
93 anaemia, pyrexia, lethargy, dehydration and anorexia with death occurring in severe  
94 or neglected cases (1, 2, 3). In chronic disease, clinical signs are less severe, with  
95 animals displaying variable anaemia, malaise, anorexia, weight loss and reduced  
96 performance (1, 2, 3). Infection with *T. equi* has been detrimentally associated with  
97 athletic performance (4) and has a significant impact on the racing industry of  
98 endemic areas (5). An association also has been claimed between EP and reduced  
99 fertility and abortion, with a reported 11 % of South African thoroughbred abortions  
100 being attributed to *T. equi* infection (6).

101 Importantly, the insidious nature of chronic and subclinical forms of the disease can  
102 lead to the creation of a latent carrier state that is particularly common in endemic  
103 regions. This has important implications for biosecurity. It is reported that *B. caballi*  
104 carrier status is self-limiting with clearance achieved four years post-infection (7), but  
105 this may be due to infection entering a latent stage (1). Clearance of *B. caballi*  
106 infection can be achieved through treatment with imidocarb dipropionate (8).  
107 *Theileria equi* carrier status is thought to be life-long and can be maintained despite  
108 medical treatment (9). The unmonitored importation of these carrier animals to  
109 different regions of the UK, compounded by a lack of tick control and prolonged co-

110 grazing and mixing with naïve individuals, presents a potential means by which the  
111 infection could become established in the UK.

112 Although EP seropositive equids have been imported and present in the UK for many  
113 years, the lack of endemic EP in the British Isles has historically been attributed to a  
114 small and geographically limited vector tick population (10). Up to 33 tick species  
115 have been identified as known or potential vectors for EP (11), but *Dermacentor*  
116 *reticulatus* is the only confirmed EP vector species currently established in the UK.  
117 *Dermacentor reticulatus* populations were thought to be limited to areas in western  
118 Wales and Devon, however recent studies have documented geographical expansion  
119 of the species, with recognised populations now present in Essex (12). The  
120 epidemiological importance of these new *D. reticulatus* vector populations in the  
121 transmission of tick-borne disease was highlighted in a recent canine piroplasmiasis  
122 outbreak in the Essex area (13).

123 EP has also been moving geographically closer to the UK in recent years, with an  
124 isolated *T. equi* outbreak in Ireland in 2009 (14), autochthonous cases of both *T. equi*  
125 and *B. caballi* reported in Holland in 2011 (15) and evidence of both parasites being  
126 well established in the Camargue of France (16). When combined with current  
127 policies mitigating restrictions of certain equine movements, such as the Tripartite  
128 Agreement of 2014 (17) and the proposed High Health High Performance (HHP)  
129 scheme (18), the threat of EP to the resident UK horse population is becoming of  
130 increasing concern.

131 The latest World Organisation for Animal Health (OIE) status of EP in the UK (July -  
132 December 2017) is ‘infection/infestation in domestic animals’, and ‘disease absent in  
133 wild animals’ (19). This reflects the presence of imported EP seropositive equids,  
134 with the absence of any autochthonous cases of endemic disease.

135 Currently EP is not reportable or notifiable in the UK and imported animals are not  
136 tested routinely, despite the fact that seropositive chronic carrier horses are known to  
137 act as reservoirs of parasite infection for suitable sympatric tick species if present  
138 (20). Serological testing in the UK is largely restricted to animals being exported to  
139 disease-free countries with compulsory import screening, such as USA, Australia and  
140 Japan, where the disease is notifiable and controlled.

141 It is useful to consider the diagnostic tests presently available for EP screening.  
142 Current OIE guidelines recommend the indirect fluorescent antibody test (IFAT) and  
143 the competitive enzyme-linked immunosorbent assay (cELISA) as the screening tests

144 for international trade (21), and the older complement fixation test (CFT) is still  
145 available and utilised commercially. Although sensitive, serological testing such as  
146 the cELISA does not reflect level of parasitaemia or provide information on the  
147 likelihood of onward transmission to feeding ticks, since antibodies persist for many  
148 months after apparent clearance of infection (22). Polymerase chain reaction (PCR)  
149 methods and, specifically, nested PCR are considered to be the best means of  
150 establishing parasite burden in equids (3). Despite the description of many PCR  
151 protocols in the literature, a commercial PCR screening assay for EP is not readily  
152 available to UK practitioners.

153 The main aim of this pilot study was to investigate the potential risk posed by  
154 seropositive horses resident in the UK, using follow-up nested PCR to determine  
155 animals with a parasite burden. A nested PCR protocol was developed and validated  
156 in-house using known positive field specimens. Results from UK diagnostic  
157 submissions for EP serology were also collated to facilitate estimation of the  
158 proportion of this sampled population that was serologically and PCR positive,  
159 therefore presenting a potential transmission risk to feeding tick species.

160

## 161 **Materials and methods**

162 This prospective study utilised routine samples submitted by UK practitioners for EP  
163 serology testing at the Animal and Plant Health Agency (APHA) and the Animal  
164 Health Trust (AHT), between February and December 2016. Serological testing  
165 performed comprised CFT, IFAT and cELISA either singularly or in combination as  
166 requested by the submitting veterinary surgeon. The CFT, which was only available at  
167 the APHA, was performed in accordance with OIE standards using an in-house  
168 protocol. The APHA also performed IFAT assays using an in-house protocol in  
169 accordance with OIE standards; titres  $\geq 1/80$  were reported as positive. IFATs  
170 requested on AHT submitted samples were performed at the APHA, although the  
171 results have been associated with the AHT for data consistency (Table 1). For  
172 cELISA testing, both the AHT and APHA used commercially available kits (*Babesia*  
173 *caballi* 273-2 and *Babesia equi* 274-2, VMRD, USA), with a result of  $\geq 40\%$   
174 reported as positive.

175 Following EP serological screening, all samples from both institutes were then  
176 forwarded to the University of Glasgow as anonymised clotted equine blood samples.  
177 They were then subjected to nested PCR, allowing subsequent comparison to the



178 serological test results supplied by each laboratory. As the samples were submitted for  
179 the primary purpose of serology testing, only clotted blood was available for PCR  
180 screening.

181 For DNA extraction, 200 µl of clotted blood was mechanically agitated then  
182 enzymatically digested with proteinase K prior to extraction with the QIAamp DNA  
183 Mini Kit (Qiagen), using the manufacturer's recommended protocol. A total of 1,211  
184 samples were screened by nested PCR with a modified *Babesia/Theileria* 18S SSU  
185 rRNA catch-all primer set, with outer primers (23) and inner primers (24) as  
186 described previously. These primers were reported to effectively detect a range of  
187 *Theileria/Babesia* spp., including *T. equi* and *B. caballi* (23). Prior to sample  
188 screening, the reaction conditions were optimised in-house with known EP positive  
189 samples from Morocco, Gambia and Oman. Reaction conditions were an initial  
190 denaturation at 94 °C for 5 minutes, followed by 30 cycles of 94 °C for 45s, with  
191 annealing at 67 °C (external primers) or 57 °C (internal primers) for 60s, elongation at  
192 72 °C for 60s, and with a final extension at 72 °C for 5 minutes. A 1:10 dilution of the  
193 primary reaction product was used as a template for the secondary reaction. The final  
194 product was visualised on a 1 % agarose electrophoresis gel. The PCR product was  
195 purified (QIAquick PCR purification kit, Qiagen) prior to Sanger DNA sequencing  
196 (Eurofins Genomics, Germany).

197 Sequences were subject to BLAST comparison (<https://blast.ncbi.nlm.nih.gov/>) with  
198 the non-redundant NCBI database to achieve species identification.

199 In each case, the result of the nested PCR was then compared to the EP serological  
200 test result as supplied by the original laboratory. Although all data were anonymised,  
201 and information about sampled animals was unavailable, the reason for EP serological  
202 test submission was known for the majority of specimens. Additionally, an acute case  
203 of piroplasmiasis was confirmed during the study period, seen in a horse previously  
204 imported but now resident in the UK. Samples from this horse were used to compare  
205 the effect of coagulated and anti-coagulated blood samples on nested PCR  
206 performance.

207

## 208 **Results**

209 Serological test results and nested PCR results from the full 1, 242 UK laboratory EP  
210 submissions are presented in Table 1. In summary, 5.9 % of samples submitted during  
211 the study period were serologically positive for *T. equi* (n = 70), and 4.4 %

212 serologically positive for *B. caballi* (n = 52). Overall EP seroprevalence was 8.0 % (n  
213 = 96), with 27.1 % of these (n = 26) being seropositive for both parasites. *Theileria*  
214 *equi* parasite DNA was detected in 0.8 % (n = 10) of the samples from these  
215 laboratory submissions. Sanger sequencing revealed that all nucleotide sequences  
216 detected had 97-100 % identity to the relevant section of the 18S SSU rRNA gene of  
217 *T. equi*. *Babesia caballi* DNA was not detected in any sample.

218 The purpose of EP serology as stated on the submission form, and where permitted  
219 without breach of data confidentiality, is summarised in Table 2. Testing prior to  
220 potential export is highlighted as the predominant reason (81.5 % of submissions),  
221 with only a single animal for UK importation being tested. It is unknown what  
222 proportion of seropositive horses in the present dataset had previously been imported  
223 to the UK. Specific data regarding the testing purposes for the ‘other’ category were  
224 not available.

225 In order to evaluate the sensitivity of EP serology, a comparison was made between  
226 those animals positive on nested PCR and serological status (see Table 3). Only four  
227 of the ten samples identified to have parasite DNA present were found to be  
228 seropositive, with variations between cELISA, CFT and IFAT test results. It was not  
229 possible to infer statistical agreement between the different test types, as not all  
230 samples were subjected to each test.

231 The effect of sample submission type (coagulated versus anti-coagulated EDTA  
232 blood) on PCR test results is demonstrated in Figure 1, with samples from a  
233 confirmed UK case of EP submitted to the study. The affected horse (L1) in this case  
234 was imported several months previously and had developed clinical signs of anaemia  
235 and pyrexia, consistent with acute piroplasmiasis. After positive cELISA and IFAT  
236 serology for EP from AHT, a blood sample was collected for PCR analysis.

237 Importantly, both a clotted and an anti-coagulated (EDTA) jugular blood sample were  
238 collected at the same time and stored identically before submission. DNA extraction  
239 and nested PCR EP testing were performed concurrently and in triplicate on the  
240 submitted samples, and the results compared. The coagulated sample produced  
241 negative results in each case, whilst all three of the anti-coagulated sample replicates  
242 produced a strong band that was subsequently sequenced and confirmed to be *T. equi*  
243 in origin.

244

245 **Discussion**

246 Within the 1,242 samples submitted to the UK diagnostic services during the period  
247 February to December 2016 from horses resident in the UK, the overall  
248 seroprevalence of EP was 8.0 %. Although there is sparse information regarding EP  
249 seroprevalence in northern Europe, this is in line with similar datasets from Holland  
250 (15) and Switzerland (25) with 4 % and 7.3 % seroprevalence reported in these  
251 countries, respectively. Additional PCR-RLB performed by Butler *et al* (15) on  
252 EDTA blood detected *T. equi* DNA in 1.6 % of samples and did not detect any *B.*  
253 *caballi* DNA. However, this is not directly comparable to the current study's *T. equi*  
254 DNA detection rate of 0.8 % and absence of detectable *B. caballi* DNA, as the use of  
255 EDTA samples by Butler *et al.* (15) may have provided greater sensitivity.  
256 Additionally, the sampled equine populations are not directly comparable between  
257 these and the current study. Butler *et al* (15) performed a cross-sectional study of 300  
258 horses known to have been resident in the same location within Holland for at least  
259 one year. Sigg *et al* (25) reported that of their 689 sampled animals, 459 (66.6 %)  
260 were imported (having been brought to Switzerland up to five years prior to testing)  
261 and all of those had arrived from a European country. Seroprevalence was 8.5 % in  
262 these imported horses versus 4.8 % in indigenous horses (25). In both studies the  
263 previous movement history was limited or absent, making the geographical source of  
264 infection unclear. No geographical data or previous travel history was available for  
265 the current study samples due to data confidentiality.

266 Within the set of seropositive samples identified in this study, 27.1 % were found to  
267 be positive for both *T. equi* and *B. caballi*. This may be representative of exposure or  
268 infection by both parasites or serological false-positives (26); cross-reactivity with *B.*  
269 *caballi* has been noted at low titres with CFT and IFAT using serum from  
270 experimental *T. equi* infections (27). Due to a lack of further sampling and the  
271 absence of *B. caballi* identification by PCR, further investigation of this finding is  
272 beyond the scope of this study.

273 Discrepancies between IFAT, cELISA and nested PCR results have been reported in  
274 experimental infection (9), and this was noted in the present study. The discrepancies  
275 encountered were:

276 *i) Serologically negative, PCR positive samples.* It is shown in Table 3 that 6 of the 10  
277 samples where *T. equi* DNA was detected had negative serology results. Conventional  
278 logic would suggest that a detectable level of parasite DNA should promote a  
279 detectable immune response. The absence of seroconversion in the presence of

280 parasite DNA could either be due to an early stage of infection or a fluctuating  
281 parasitaemia, where samples were taken at a time of parasite proliferation but before  
282 the rise of a detectable antibody titre. This anomaly has been noted in the early course  
283 of experimental infection (9), and there is indication that CFT may be more sensitive  
284 than other serological methods in these early stages of infection (28). Disease  
285 recrudescence in EP has been noted to occur at times of increased stress and  
286 immunosuppression, such as may occur with increased handling, transport, co-  
287 infection and even lactation (29). This phenomenon results in parasitic multiplication  
288 and the development of clinical signs in previously disease-free carrier animals.  
289 Whilst recent movement may have resulted in parasite recrudescence in a proportion  
290 of the animals in this study, it is unlikely that all of them would have been free from  
291 detectable levels of antibodies because once established as carriers, animals  
292 seroconvert to EP (9).

293 The discrepancy between test modalities may have resulted also from the intrinsic  
294 limitations of the serological testing. Serological tests can give false-negative results  
295 (26) and this incongruity has been observed in previous studies. One example is a  
296 recent Venezuelan study which found *T. equi* to have a much higher PCR prevalence  
297 (61.8 %) than seroprevalence (14.0 %) (30). Additionally, Bhoora *et al* (31)  
298 postulated that genetic variation of the EMA-1 antigen, on which the cELISA used by  
299 APHA and AHT is based, may have prevented the detection of some South African  
300 strains of *T. equi* using this diagnostic technique.

301 *ii) High-titre serologically positive, PCR negative results.* It was anticipated that a  
302 high serological titre would be associated with the presence of circulating parasite  
303 DNA and a positive PCR result. However, this was not seen in 15 high-titre ( $\geq 1/640$ )  
304 IFAT positive samples that were evaluated (data not shown). Titre values for the  
305 cELISA were not available. A potential reason for this became evident following a  
306 private sample submission to the project from an imported horse (L1). This horse was  
307 undergoing veterinary evaluation following presentation with acute anaemia and  
308 pyrexia. Tested in triplicate, Figure 1 shows that template DNA derived from EDTA  
309 blood samples provided clear positive bands, while the clotted blood samples were  
310 consistently negative. The reasons for this may include the degradation or reduction  
311 of available parasite DNA within the clotted samples and transfer of inhibitors during  
312 DNA extraction. Regardless of the exact cause, this clearly demonstrates a significant

313 reduction in PCR sensitivity using clotted blood samples, although the full extent of  
314 this requires validation in additional cases.

315 All PCR screening in this study was performed on clotted blood samples, using the  
316 residual sample following serological evaluation. These were the only diagnostic  
317 specimens available to the group in this instance. Given the evidence presented in  
318 Figure 1, if clotted blood samples cannot provide a repeatable PCR positive result for  
319 EP from a horse with active disease and acute clinical signs, then this has important  
320 implications for reported negative PCR results. Despite the screening data initially  
321 appearing consistent with results from comparable studies in other countries, the  
322 availability of primarily clotted blood samples in this study is likely to have  
323 significantly underestimated the number of *T. equi* PCR positive carrier animals in the  
324 sample set. This may also explain the complete absence of *B. caballi* detection by  
325 PCR despite serological detection among the samples. Consequently, we recommend  
326 avoiding the use of clotted blood samples for PCR screening.

327 *iii) Low-titre serologically positive, PCR negative samples.* Typically these may  
328 simply represent previous disease exposure, though in the case of EP it could signify a  
329 latent carrier state that lacks sufficient circulating parasite for DNA detection.

330 Alternatively, these could be serological false-positive results, an issue inherent with  
331 serological testing (26). However, given the apparent reduction of PCR sensitivity in  
332 this study, no further interpretation can be made on these samples.

333 Another conspicuous finding of this study is the apparently low uptake of EP testing  
334 in horses in the UK following importation (Table 2). Strikingly, only a single sample  
335 of 1,097 submitted to APHA was for the purpose of determining EP status at time of  
336 importation to the UK, strongly suggesting that there is widespread lack of awareness  
337 or indifference to EP biosecurity within the UK veterinary and equine industries. The  
338 most common purpose cited for sample submission was pre-export testing. This  
339 implies that the main driver for EP screening is to meet mandatory requirements for  
340 foreign export and not clinical investigation, and highlights the more stringent EP  
341 biosecurity controls imposed by other non-endemic countries such as USA, Australia,  
342 New Zealand and Japan.

343 In summary, this study shows that a small but important proportion of equids residing  
344 in the UK are seropositive for EP, and that parasite DNA is detectable in a further  
345 proportion of these. Given the diagnostic limitations imposed in this study, namely  
346 the use of remnant clotted material following serological testing, it is likely that

347 piroplasmosis DNA is present in a higher proportion of UK equids than reported here.  
348 As it is known that carriers of EP may undergo disease recrudescence at times of co-  
349 infection, stress and immunosuppression, UK veterinary practitioners should be aware  
350 that EP should be a differential diagnosis for horses presenting with characteristic  
351 clinical signs in this country, which may include pyrexia, lethargy and evidence of  
352 haemolysis.

353 Although a detailed distribution of EP vector tick species within the UK is not fully  
354 known, the presence of equids positive for parasite DNA in tick-infested pasture  
355 should be considered a potential risk for disease transmission to co-grazing equids,  
356 and this requires assessment. The authors note that the factors of reduced restrictions  
357 on international equine movement and an absence of any UK formal import screening  
358 for EP, coupled with the limitations of current testing methods, present a continued  
359 risk to the UK equine population and industry. This study suggests that a combined  
360 approach of serology and parasite DNA detection is required to provide the most  
361 efficacious EP screening protocol. It is also suggested that in the event of positive  
362 animals being identified in the UK, follow-up screening of co-grazing animals and  
363 ticks could be considered as a means of local and national disease surveillance. The  
364 authors believe that a change in attitude towards the disease and national EP  
365 biosecurity is required before endemic disease establishment creates a complex  
366 problem that is more difficult to resolve.

367

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374

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487

	No. of samples	<i>T. equi</i> serology (No. of positives/total no. of tests)					<i>T. equi</i> PCR	<i>B. caballi</i> serology (No. of positives/total no. of tests)					<i>B. caballi</i> PCR
		CFT	IFAT	cELISA	<b>Total unique seropositives</b>			CFT	IFAT	cELISA	<b>Total unique seropositives</b>		
<b>APHA</b>	1097	31/482	39/502	9/562	66/1050	<b>6.3 %</b>	7/1066	17/479	33/504	2/563	49/1049	<b>4.7 %</b>	0/1066
<b>AHT</b>	145	NA	4/9	4/145	4/145	<b>2.8 %</b>	3/145	NA	1/9	2/145	3/145	<b>2.1 %</b>	0/145
<b>Total</b>	1242	<b>6.4 %</b>	<b>8.4 %</b>	<b>1.8 %</b>	<b>5.9 %</b>		<b>0.8 %</b>	<b>3.5 %</b>	<b>6.6 %</b>	<b>0.6 %</b>	<b>4.4 %</b>		<b>0 %</b>

488 *Table 1. Breakdown by test type of EP positive results from samples screened between February and December 2016. The results are listed by*  
489 *submitting organisation and test type. As some samples were found to be positive by multiple serological methods; the 'Total unique*  
490 *seropositives' columns show the number of discrete positive samples for each species.*

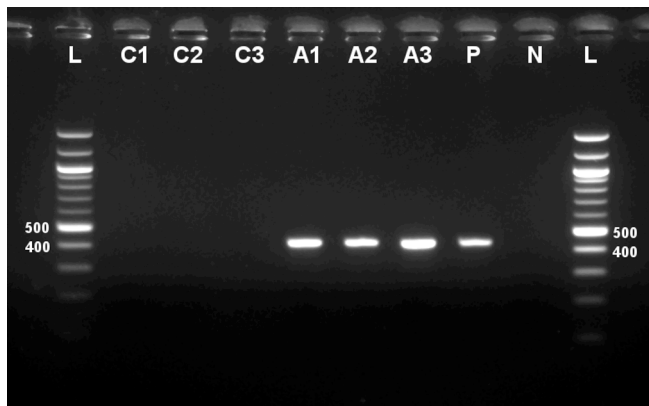
	Reason for EP testing			
	Import	Export	Other	Unknown
APHA	1/1097	894/1097	189/1097	13/1097
AHT	NA	NA	NA	145/145

491 *Table 2. Reason for sample submission as noted by the submitting veterinary surgeon. Most samples were submitted prior to intended export,*  
492 *highlighting that some countries require EP serology status to be determined prior to granting an importation licence. Notably only one sample*  
493 *was specifically submitted to determine EP serological status at time of importation to the UK.*

Samples positive by nested PCR							
ID	Organisation	CFT ( <i>T. equi</i> )	IFAT ( <i>T. equi</i> )	cELISA ( <i>T. equi</i> )	CFT ( <i>B. caballi</i> )	IFAT ( <i>B. caballi</i> )	cELISA ( <i>B. caballi</i> )
VLA12	APHA	NA	Negative	NA	NA	Negative	NA
VLA14	APHA	NA	Negative	NA	NA	Negative	NA
VLA15	APHA	NA	Negative	NA	NA	Negative	NA
VLA255	APHA	NA	<b>Positive</b>	NA	NA	<b>Positive</b>	NA
VLA265	APHA	<b>Positive</b>	<b>Positive</b>	<b>Positive</b>	Negative	NA	Negative
VLA269	APHA	NA	NA	Negative	NA	NA	Negative
VLA761	APHA	<b>Positive</b>	Negative	<b>Positive</b>	<b>Positive</b>	Negative	Negative
AHT18	AHT	NA	Negative	Negative	NA	Negative	Negative
AHT21	AHT	NA	Negative	Negative	NA	Negative	Negative
L1	AHT	NA	<b>Positive</b>	<b>Positive</b>	NA	Negative	Negative

494 Table 3. Serological data for samples found to be positive by nested PCR during the study. These samples were all positive for *T. equi* and  
495 negative for *B. caballi* on sequencing of the PCR product.

496 *Figure 1. An electrophoresis gel showing the final PCR product from sample L1. The expected fragment length for T. equi was 433 bp. Template*  
497 *DNA was extracted from clotted blood samples (C1-3) and from EDTA samples (A1-3). Controls using DNA extracted from known EP positive*  
498 *(P) and EP negative (N) horse blood are shown together with a 100 bp ladder (L).*



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