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1 **Prognostic Impact of Mechanical Activation Delay by Cross Correlation Analysis in Heart**  
2 **Failure Patients with narrow QRS treated with Cardiac Resynchronization Therapy: an**  
3 **Echocardiography Guided Cardiac Resynchronization Therapy (EchoCRT) Trial Sub-**  
4 **study**

5 **Short Title: Association of activation delay by tissue Doppler imaging with outcomes after**  
6 **CRT.**

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50

51 **Abstract**

52

53 **Background:** Cross Correlation Analysis (CCA) using tissue Doppler imaging (TDI) shown to  
54 be associated with outcome after cardiac resynchronization therapy (CRT) in heart failure (HF)  
55 patients with wide QRS. However, its significance in narrow QRS patients treated with CRT is  
56 unknown.

57 **Objectives:** The aim of the current study was to investigate the association of mechanical  
58 activation delay by CCA with study outcome in HF patients enrolled in the EchoCRT trial.

59 **Methods:** Baseline CCA could be performed from TDI in the apical views in 807 of 809  
60 (99.7%) enrolled patients while 6-months follow-up could be performed in 610 of 635 (96%)  
61 patients with available echocardiograms. Patients with a pre-specified maximal activation delay  
62  $\geq 35$  ms were considered to have significant delay. The study outcome was HF hospitalization or  
63 death.

64 **Results:** Out of 807, 375 (46%) patients did not have delayed mechanical activation at baseline  
65 by CCA. Patients without delayed mechanical activation randomized to CRT-On had an  
66 increased risk of poor outcome (HR 1.70, 95% CI 1.13-2.55,  $P=0.01$ ) in comparison to those  
67 with CRT-Off with a significant interaction term ( $P=0.04$ ) between delayed mechanical  
68 activation and device randomization for the endpoint. Among patients with paired baseline and  
69 follow-up data with no events before 6-months follow-up ( $n=541$ ), new-onset delayed  
70 mechanical activation in the CRT-On group showed significant increase in unfavorable events  
71 (HR 3.73, 95% CI 1.15-12.14,  $P=0.03$ ).

72 **Conclusions:** In the EchoCRT population, absence of delayed mechanical activation by CCA  
73 was significantly associated with poor outcomes possibly due to the onset of new delayed  
74 mechanical activation with CRT pacing. (Echocardiography Guided Cardiac Resynchronization  
75 Therapy [EchoCRT] Trial; [NCT00683696](#))

76 **Key words:** heart failure, cardiac resynchronization therapy, echocardiography, dyssynchrony,  
77 tissue Doppler imaging.

78 **Condensed Abstract**

79 In the current study we applied cross correlation analysis method (CCA) to assess mechanical  
80 activation delay in the population of echocardiography guided cardiac resynchronization therapy  
81 (EchoCRT) trial in which CRT was implanted in patients with narrow QRS ( $<130$ ms). CRT was  
82 fatal to patients with no activation delay at baseline which was possibly due to the pacemaker  
83 induced new activation delay.

84 **Abbreviation List**

85 CRT = cardiac resynchronization therapy

86 ECG = electrocardiographic

87 HF = heart failure

88 LVEF = left ventricular ejection fraction

89 TDI = tissue Doppler imaging

90           Several studies in the past have demonstrated that the assessment of mechanical  
91 dyssynchrony by echocardiography can supplement current electrocardiographic (ECG) criteria  
92 (wide QRS  $\geq 120$  ms) in selection of CRT candidates leading to an overall reduction in the non-  
93 responders rate.(1-3) However, conventional methods of identifying dyssynchrony based on  
94 segmental time-to-peak measurements have failed when applied in randomized trials for  
95 selecting patients for CRT with narrow QRS (<130ms).(4,5)

96           The largest CRT trial conducted on narrow QRS (<130 ms) patients - echocardiography  
97 guided cardiac resynchronization therapy (EchoCRT) - demonstrated that HF patients with  
98 narrow QRS (<130 ms) do not respond to CRT despite the presence of baseline mechanical  
99 dyssynchrony by time-to-peak methods by either tissue Doppler longitudinal velocity or speckle  
100 tracking radial strain.(4) In fact, an increased incidence of mortality was observed in patients  
101 randomized to CRT-On in comparison to the control group and the trial was stopped due to  
102 futility without achieving its complete target population. Another trial - The Resynchronization  
103 therapy in narrow QRS (RethinQ) - performed before EchoCRT with similar design where  
104 mechanical dyssynchrony was one of the selection criteria, also showed no benefit of CRT in HF  
105 patients with narrow QRS.(5)

106           More recently, it was shown that peak-to-peak measures of mechanical dyssynchrony  
107 may be influenced by contractile heterogeneity or scar not responsive to CRT.(6) Patterns of  
108 myocardial mechanics that have been shown to reflect electrical delay have shown very  
109 promising results and seem to better identify a true substrate for CRT response.(6-8) These  
110 newer methods seem superior to the conventional time-to-peak methods.(7,9) Among these, one  
111 approach is assessment of mechanical activation delay by cross correlation analysis (CCA) using  
112 tissue Doppler Imaging (TDI).(7,10) Presence of a delayed mechanical activation by CCA in the

113 wide QRS patients is associated with improved prognosis as well as response after  
114 CRT.(7,10,11) However, its significance is unknown in HF patients with narrow QRS (<130 ms)  
115 treated with CRT. Accordingly, the objective of the current study was to assess the association of  
116 delayed mechanical activation by the CCA method both at baseline and follow-up after  
117 randomization to clinical outcomes in patients enrolled in the EchoCRT trial.

## 118 **Methods**

### 119 *Study Population*

120 The current study is a pre-specified sub-study of the EchoCRT trial. All the patients  
121 included in the EchoCRT trial had left ventricular ejection fraction (LVEF)  $\leq$  35%, QRS  
122 duration of  $\leq$  130 ms, severe symptomatic HF with New York HF Association (NYHA) class III-  
123 IV symptoms, LV end diastolic diameter  $\geq$  55 mm, and echocardiographic evidence of  
124 mechanical dyssynchrony by time-to-peak methods. Methods used to identify dyssynchrony in  
125 this study were presence of TDI based opposing wall delay of  $\geq$  80 ms in the apical 4-chamber or  
126 3-chamber view, and radial strain delay  $\geq$  130 ms between the septum and the posterior walls in  
127 the LV mid-segment short axis view. All the patients included in the trial were older than 18  
128 years and provided informed consent for inclusion in the trial. It was a multicenter randomized  
129 trial in which patients were included between a period of 2008 to 2013 and involved 112 centers  
130 from 22 different countries. Patients with bradycardia pacing or atrial fibrillation with in the past  
131 few months were excluded. The main study results along with a detailed study protocol have  
132 been published.(4) All the patients included received a CRT device with defibrillator capacity  
133 (CRT-D) (Biotronik Lumax, Berlin, Germany) and randomized in 1:1 fashion to CRT-On and  
134 CRT-Off after a successful implantation of the device. For the current sub-study, 807 (99.7%) of

135 809 were included with the baseline data and 610 (96%) of 635 patients were included with  
136 paired data at both baseline and 6-months follow-up.

### 137 *Cross correlation analysis*

138 All the echocardiograms were performed using a single vendor ultrasound system GE  
139 Vivid 7 or E9, Horton, Norway. To reduce variability the offline TDI based analysis was  
140 performed on a single GE EchoPAC system (version BT 11, Horton, Norway) by a single  
141 observer blinded to the patient data. CCA has been illustrated in detail in our previous  
142 publications (Figure 1).(7,10,11) Briefly, regions of interest (7 x 15 mm) were placed on the base  
143 segments of the opposing walls in all three apical views and the resulting velocity data were  
144 imported on an automated excel sheet with a pre-written algorithm to perform CCA analysis.  
145 Subsequently, velocity data were converted to acceleration data by using time differentiation. A  
146 baseline correlation coefficient was calculated between the acceleration curves from two  
147 opposing walls during systole in each of the three apical views without time-shift. These  
148 acceleration curves were then time-shifted against each other frame-by-frame to maximum of 15  
149 frames in both directions to calculate a correlation coefficient again. The time-shift resulting in  
150 the maximum correlation between the opposing walls was termed as maximum activation-delay  
151 (AD-max). Patients were classified as having significant activation delay if the AD-max was  
152  $\geq 35$ ms in any of the three apical views based on our previous work.(7,10) Systole was identified  
153 by calculating the aortic valve opening and closure timings from a pulse Doppler signal in the  
154 APLAX view. Activation delay by CCA was measured at both baseline and 6-months. For the  
155 analysis of the patients with paired CCA data, patients were divided into the following four  
156 groups based on the presence or absence of mechanical activation at baseline and follow-up:

157 1. No activation delay: no activation delay at both baseline and at follow-up.

- 158 2. Improved activation delay: activation delay at baseline but not at follow-up  
159 3. Persistent activation delay: activation delay at baseline and at follow-up  
160 4. New activation delay: no activation delay at baseline but activation delay at follow-  
161 up.

## 162 *Study outcome*

163 The outcome variable of this study was the primary end-point of all-cause death or first  
164 HF hospitalization within a period of 3.5 years.

## 165 *Statistics*

166 All the statistical analyses were performed by an independent Statistical Centre at the  
167 Robertson Centre for Biostatistics, University of Glasgow. Baseline characteristics were  
168 compared with the use of analysis of variance tests or chi-square tests for continuous and  
169 categorical variables respectively. Hazard ratios for CRT-On and CRT-Off with 95% confidence  
170 intervals were calculated with the Cox proportional hazards models for treatment effect and  
171 country of recruitment as a covariate. The interaction between delay subgroup and randomized  
172 treatment group was tested in a Cox model that included delay subgroup and treatment main  
173 effect and interaction terms. Time-to-event curves were estimated using the method of Kaplan  
174 and Meier.

## 175 **Results**

176 Among the 807 patients with baseline CCA analysis data, they were equally distributed  
177 with 404 (50.1%) patients in the CRT-Off group and 403 (49.9%) in the CRT-On group. Of  
178 these 807 patients, time-to-peak dyssynchrony data was available in 806 patients. Among these,  
179 420 (52%) patients had dyssynchrony by both radial strain and TDI opposing wall delay, 201

180 (25%) had dyssynchrony by lone TDI, and rest 185 (23%) patients had dyssynchrony by lone  
181 radial strain. A significant mechanical activation delay by CCA was observed in 223 (55%)  
182 patients among the CRT-Off patients and in 209 (52%) among the CRT-On patients. The  
183 baseline characteristics of the patients in the CRT-Off and CRT-On based on activation delay are  
184 summarized in Table 1. No significant differences were observed between the groups for the  
185 baseline characteristics.

### 186 *Association of baseline mechanical activation delay by CCA to long-term outcome*

187 The trial was stopped due to futility on advice of the independent data and monitoring  
188 board. The median follow-up period was 1.15 years (interquartile range 0.48 to 2.05 years). HF  
189 hospitalizations and all-cause death were observed in 216 (27%) patients by the time the trial  
190 was stopped. Separately, there were 187 HF hospitalizations and 29 deaths in the follow-up  
191 interval of 3.5 years. On dividing the patients into four groups, it was observed that patients with  
192 no mechanical activation delay by CCA in the CRT-On group suffered the highest number  
193 (32%) of events (Figure 2). Among patients with no mechanical activation delay, patients  
194 randomized to CRT-On group had an increased risk of an unfavorable outcome in comparison  
195 to those with CRT-Off with a HR 1.7 (95% CI 1.13-2.55, P=0.01; Figure 3). However, among  
196 patients with presence of activation delay, no significant difference was observed for events  
197 among the two CRT randomization groups (HR 0.96, 95% CI 0.66-1.40, P=0.84). Importantly,  
198 there was a significant interaction term between activation delay by CCA and randomization to  
199 CRT device for the outcome events (P=0.04).

### 200 *Changes in mechanical activation delay associated with outcome*

201 At 6-months follow-up, echocardiographic data for the CCA was available in 610 (96%)  
202 patients out of 635 patients with follow-up echocardiograms. After excluding patients who had

203 already suffered HF hospitalization before the 6 months follow-up analysis, a final number of  
204 541 patients were available for follow-up analysis. Among these, 274 (51%) had CRT-Off and  
205 267 (49%) were from the CRT-On group. The distribution of the four groups based on  
206 mechanical activation delay at baseline and follow-up among patients with CRT-Off vs CRT-  
207 On was similar: no activation delay (31% vs. 30%), improved activation delay (27% vs. 31%),  
208 persistent activation delay (27% vs. 23%), and onset of new activation delay (15% vs.16%).

209 A total of 102 patients suffered either HF hospitalization or death from 6 months until  
210 completed follow-up excluding events that occurred in the first 6 months. The event rate was  
211 significantly higher among patients with a new mechanical activation delay observed on the 6  
212 months echocardiogram in the CRT-On group in comparison to the CRT-Off group (30% vs  
213 12%; HR 3.73, 95% CI 1.15-12.14, P=0.03; Figure 4). No significant difference was observed  
214 for the outcome events between the other three groups based on randomization.

## 215 **Discussion**

216 This pre-specified sub-study of the EchoCRT trial of HF patients with narrow QRS width  
217 shows that the absence of mechanical activation delay by CCA at baseline and new onset  
218 activation delay observed in follow-up in patients treated with CRT was significantly associated  
219 with poor clinical outcomes. These results support the notion that delayed activation by CCA is  
220 measuring a different mechanical phenomenon than time-to-peak dyssynchrony. These  
221 observations may provide new insight in the interpretation of EchoCRT trial and mechanistic  
222 working of CRT in general.

223 The EchoCRT trial used the best documented methods for dyssynchrony for selection of  
224 patients at the time of study design, i.e. both longitudinal TDI velocity and 2D STE radial strain  
225 time to peak assessment. In HF patients with wide QRS, these methods have been demonstrated

226 to be of additive prognostic value.(1,2,12) Moreover, single center studies using these methods  
227 have shown that narrow QRS HF patients having echocardiographic dyssynchrony treated by  
228 CRT device have improvement in HF symptoms and LV reverse remodeling comparable to  
229 patients with wide QRS.(13,14) Meanwhile, questions have been raised regarding the specificity  
230 of these methods.(4-6,10) Time to peak measurements alone do not provide any information on  
231 the nature of the wall deformation such as whether differences are due to scarring or activation  
232 timing differences.(6) Although time-to-peak differences due to abnormalities in the myocardial  
233 tissue is demonstrated to have prognostic significance in various types of  
234 cardiomyopathies,(15,16) it is not correctable by CRT specifically in the absence of concomitant  
235 electrical dyssynchrony.(4,5) Our results of the current analysis strengthen the view that peak-to-  
236 peak methods are relatively nonspecific for detecting true dyssynchrony responsive to CRT, as  
237 only one-half of the patients included in EchoCRT trial had significant mechanical activation  
238 delay by CCA. Mechanical activation delay by CCA may be less susceptible to differences in  
239 mechanical motion patterns not caused by delayed activation.(7,10) CCA analysis in wide QRS  
240 complex patients undergoing CRT have proven beneficial in identifying responders having both  
241 wide and intermediate QRS durations and has been demonstrated to be able to evaluate  
242 resynchronization efficacy to obtain maximum CRT benefit.(7,10,11)

243 Unlike CCA method which is more of a quantitative approach, other methods which are  
244 qualitative in nature for the assessment of dyssynchrony, such as identification of typical  
245 contraction pattern (9) and apical rocking (17) are proposed to identify the true left bundle  
246 branch block (LBBB) patients with activation delay. Both these methods have shown excellent  
247 additional value in identifying potential responders to CRT in patients with left bundle branch  
248 block (LBBB) which is principally due to exclusion of patients who are misdiagnosed as LBBB

249 by ECG. However, this unique contraction pattern of the opposing walls described by Risum et  
250 al (9) is specific to patients with true LBBB and would be physiologically implausible in other  
251 kinds of cardiomyopathy. On the other hand, dyssynchrony by CCA quantifies the activation  
252 delay between two opposing walls rather than relying on a specific contraction pattern and thus  
253 could be applicable in patients other than LBBB. It has not only demonstrated to be superior to  
254 TDI time-to-peak in wide QRS patients in predicting survival after CRT but has also shown  
255 promising results in the intermediate QRS (120-149 ms) patients.(7)

256         It seems, however, that even when selecting patients with the stricter CCA-criteria for  
257 mechanical activation delay, there is no convincing positive effect of CRT in HF patients with  
258 narrow QRS. One possible explanation could be that mechanical activation delay in the setting  
259 of narrow QRS needs not represent a substrate amenable to CRT. The follow-up CCA-analysis  
260 agrees with this interpretation, as CRT was inefficient in correcting mechanical activation delay  
261 in a large group of patients. Even though CCA is less susceptible to other motion differences  
262 between LV walls, it is likely that mechanical activation can be delayed for other reasons than  
263 delays in electrical activation, such as differences in electro-mechanical coupling. It should also  
264 be considered that the study sample size was reduced by premature termination of the trial, and  
265 there are relatively wide confidence limits to these subgroup estimates of treatment effect.

266         The strongest signal of our analysis is the suggestion of a harmful effect of CRT isolated  
267 to patients with no activation delay at baseline by CCA. This is an important finding given the  
268 higher mortality observed in the CRT-On group in EchoCRT. Follow-up evaluation confirmed  
269 that especially patients without activation delay randomized to CRT-On who developed new  
270 activation delay had a significantly worse outcome, with an almost 4-fold increased risk of  
271 adverse events. Similar observation have been made regarding new or worsened activation delay

272 during CRT in patients with a wide QRS.(11,18-20) This finding of potential harm from CRT in  
273 patients without baseline mechanical activation delay also fits well with a previous study of  
274 CCA in intermediate to wide QRS HF patients treated with CRT, where lack of baseline  
275 activation delay was associated with a poor long-term outcome.(7)

276 There are several interesting perspectives in the present analysis. Firstly, when  
277 considering HF patients with narrow QRS  $\leq 130$  ms, it seems the prevalence of potential  
278 responders to CRT is quite low, and will be hard to identify, even with advanced methods such  
279 as CCA. Secondly, in HF patients with intermediate QRS 130-149 ms, the prevalence of  
280 potential responders is probably higher, and as the effect of CRT overall in this group is less  
281 well established, there could be a role for methods such as CCA to select patients for CRT in  
282 future trials. Thirdly, in HF patients with intermediate or broad QRS  $> 150$  ms, CCA seems an  
283 attractive method for detecting patients that are potentially harmed by CRT. This sets the stage  
284 for potential trials in the future of deferral of CRT in patients without mechanical activation  
285 delay, or trials of turning off CRT in patients where new-onset mechanical activation delay  
286 cannot be corrected by optimization.

### 287 ***Limitations***

288 The current study is a post-hoc study. Although it was a pre-specified sub-study which  
289 was approved before the study commenced, the method applied in the study was not a part of  
290 the patient selection process for the trial. Another limitation of the study was the lack of 6-  
291 months follow-up echocardiograms in many patients, 610 patients had 6-months follow  
292 echocardiograms for the CCA resulting into a loss of about 24% patients for the follow-up  
293 analysis. This was mostly due to the premature closure of the study.

### 294 **Conclusions**

295 In conclusion, the effect of CRT in HF patients with narrow QRS ( $\leq 130\text{ms}$ ) in terms of  
296 HF hospitalization and death depends on left ventricular mechanical activation delay determined  
297 by echocardiographic CCA. CRT specifically resulted in poor outcome in HF patients with  
298 narrow QRS and no activation delay by CCA at baseline which is most probably caused by the  
299 pacing-induced development of new activation delay. This study provides new mechanistic  
300 insight into effects of CRT pacing in HF patients which is of clinical significance.

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319 **Perspectives**

320 **Competency In Medical Knowledge:** This study demonstrates the limitation of the time-to-  
321 peak based dyssynchrony measures which are applied in the routine clinical practice. Nearly,  
322 45% patients did not have significant activation delay by cross correlation analysis (CCA) when  
323 applied on the patients selected in the EchoCRT trial who were included based on the  
324 dyssynchrony by time-to-peak based methods. CRT was particularly fatal to patients with  
325 narrow QRS who lacked activation delay at baseline by CCA due to the risk of pacemaker  
326 induced new activation delay.

327 **Translational Outlook:** Further randomized studies applying this method specifically in  
328 patients with intermediate QRS duration (120-140 ms) where the guidelines are unclear about  
329 CRT implantation would be beneficial.

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416 **Figure Legends**

417 ***Central illustration: Cross correlation analysis by Tissue Doppler Imaging and outcome in***  
418 ***narrow QRS patients treated with cardiac resynchronization therapy***

419 Left panel shows increased hospitalization due to HF and mortality in patients with no activation  
420 delay at baseline and implanted with CRT with a significant interaction between device  
421 randomization and activation delay for the end-points. Right Panel shows that patients with new  
422 activation delay after CRT in comparison to those with no CRT had poor outcome indicating the  
423 role of device induced activation delay in the poor prognosis.

424 ***Figure 1: Examples comparing dyssynchrony by time-to-peak and activation delay by cross***  
425 ***correlation analysis***

426 Two examples from the trial showing dyssynchrony by time-to-peak ( $\geq 80$  ms) opposing wall  
427 delay using the tissue Doppler imaging. However, only the patient in the upper panel has a  
428 significant activation delay ( $\geq 35$  ms) on cross correlation analysis (CCA). The patient in the  
429 lower panel has nearly no activation delay (6 ms). This can be visually appreciated when we  
430 compare the acceleration curves of the septum and lateral walls (third column) of the two panels.

431 ***Figure 2: Baseline activation delay and Outcome***

432 Bar diagram showing the incidence of events of heart failure hospitalization or death among the  
433 two CRT device randomization groups based on the activation delay.

434 ***Figure 3: Baseline activation delay and time to events***

435 Kaplan Meier curve showing the time to events for the four patient groups based on the presence  
436 or absence of activation delay at baseline and CRT device randomization.

437 ***Figure 4: Change in activation delay and Outcome after 6-months of CRT implantation***

438 Bar diagram showing the comparative incidence of outcome events between CRT-Off and CRT-  
439 On after 6-months of device implantation among the four patients groups based on the presence  
440 or absence of activation delay at baseline and 6-months follow-up. Only patients with no events  
441 in the first 6-months of device implantation were included in this analysis.

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450 **Table 1 Baseline Characteristics**

Variables	CRT-Off with No		CRT-On with No		CRT-Off with AD		CRT-On with AD	
	AD		AD					
	n	Statistics	n	Statistics	n	Statistics	n	Statistics
Age (years)	181	57.4 (11.72)	194	57.0 (13.07)	223	59.2 (13.12)	209	58.1 (12.77)
Males (n)	181	127 (70.17%)	194	145 (74.74%)	223	163 (73.09%)	209	149 (71.29%)
QRS width (ms)	180	104.0 (12.04)	192	106.1 (12.43)	221	106.7 (12.00)	205	105.9 (13.65)
Walking distance (m)	175	317.5 (118.93)	192	330.7 (123.38)	219	326.9 (124.84)	204	325.7 (114.31)
Quality of life score	181	55.2 (23.63)	194	51.5 (25.07)	221	47.5 (24.14)	208	51.3 (23.67)
NYHA Classification (n)	181		194		223		209	
I		1 (0.55%)		2 (1.03%)		2 (0.90%)		0 (0.00%)
II		5 (2.76%)		4 (2.06%)		7 (3.14%)		3 (1.44%)
III		170 (94%)		184 (95%)		204 (91%)		200 (96%)
IV		5 (2.76%)		4 (2.06%)		10 (4.48%)		6 (2.87%)
BNP (pg/ml)	99	244 (89-613)	109	242 (40-493)	94	290 (126-600)	91	224 (115-564)
NT-proBNP (pg/ml)	77	1071 (462-2203)	74	1121 (414-2444)	122	923 (529-1999)	110	1378 (556-2675)
Sitting SBP (mmHg)	181	118 (16)	194	118 (22)	223	122 (21)	209	117 (18)
Sitting DBP (mmHg)	181	73 (11)	194	73 (13)	223	73 (13)	209	73 (12)
BMI (kg/m <sup>2</sup> )	181	30 (7)	194	31 (15)	223	32 (16)	209	31 (7)
Ischemic cardiomyopathy (n)	180	93 (52%)	194	99 (51%)	223	120 (54%)	209	119 (57%)
MI > 3 months ago (n)	181	71 (39%)	194	69 (36%)	223	83 (37%)	209	98(47%)
PCI > 3 months ago (n)	181	56 (31%)	194	74 (38%)	223	74 (33%)	209	98 (47%)
CABG > 3 months ago (n)	181	35 (19%)	194	35 (18%)	223	39 (17%)	209	42 (20%)
Hypertension (n)	178	119 (67%)	194	124 (64%)	223	151 (68%)	205	137 (67%)
Congenital heart disease (n)	175	3 (1.7%)	192	3 (1.6%)	220	7 (3.2%)	206	3 (1.5%)
Prior ischemic stroke or TIA (n)	180	28 (16%)	193	19 (10%)	221	19 (9%)	207	30 (14%)

Diabetes (n)	181	69 (38%)	193	77 (40%)	222	84 (38%)	208	89 (43%)
Chronic lung disease (n)	180	33 (18%)	191	30 (16%)	220	45 (20%)	209	39 (19%)
Chronic kidney disease (n)	180	17 (9%)	192	30 (16%)	220	25 (11%)	209	36 (17%)
LV EF Biplane (%)	181	27.4 (5.3)	194	27.4 (5.5)	223	26.7 (5.6)	209	26.7 (5.8)
LV end diastolic diameter (mm)	181	66 (7)	194	67 (7)	223	67 (8)	209	67 (8)
ACE inhibitor or ARB (n)	181	177 (98%)	194	185 (95%)	223	206 (92%)	209	197 (94%)
Aldosterone antagonist (n)	181	105 (58%)	194	118 (61%)	223	132 (59%)	209	128 (61%)
Beta-blocker (n)	181	178 (98%)	194	183 (94%)	223	216 (97%)	209	203 (97%)
Diuretic agent (n)	181	160 (88%)	194	160 (82%)	223	191 (86%)	209	185 (88%)
MR grade (n)	180		192		221		206	
None/Trace		69 (38%)		64 (33%)		77 (35%)		69 (34%)
Mild		65 (36%)		80 (42%)		89 (40%)		83 (40%)
Moderate		25 (14%)		31 (16%)		34 (15%)		33 (16%)
Moderate/Severe		14 (8%)		11 (6%)		12 (5%)		14 (7%)
Severe		7 (4%)		6 (3%)		9 (4%)		7 (3%)
LV ESV (ml)	180	134 (47)	194	140 (49)	223	142 (54)	207	142 (49)
LV EDV (ml)	180	183 (57)	194	191 (58)	223	192 (65)	207	190 (55)
TDI (ms)	181	97 (39)	194	98 (34)	223	105 (34)	208	104 (31)
Speckle tracking (ms)	173	218 (109)	181	213 (100)	202	223 (102)	191	223 (99)

451 AD= activation delay; NYHA= New York Heart Association; BNP= brain natriuretic peptide; SBP=  
452 systolic blood pressure; DBP= diastolic blood pressure; BMI= body mass index, MI= myocardial  
453 infarction; PCI= percutaneous coronary interventions; CABG= coronary artery bypass surgery; TIA=  
454 transient ischemic attack; LV= left ventricular; EF= ejection fraction; ACE= angiotensin converting  
455 enzyme; ARB= angiotensin II receptor blocker; MR= mitral regurgitation; EDV= end-diastolic volume;  
456 ESV= end-systolic volume; TDI= tissue Doppler imaging

1 **Prognostic Impact of Mechanical Activation Delay by Cross Correlation Analysis in Heart**  
2 **Failure Patients with narrow QRS treated with Cardiac Resynchronization Therapy: an**  
3 **Echocardiography Guided Cardiac Resynchronization Therapy (EchoCRT) Trial Sub-**  
4 **study**

5 **Short Title: Association of activation delay by tissue Doppler imaging with outcomes after**  
6 **CRT.**

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49 **Total word count: 4290**  
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51 **Abstract**

52

53 **Background:** Cross Correlation Analysis (CCA) using tissue Doppler imaging (TDI) shown to  
54 be associated with outcome after cardiac resynchronization therapy (CRT) in heart failure (HF)  
55 patients with wide QRS. However, its significance in narrow QRS patients treated with CRT is  
56 unknown.

57 **Objectives:** The aim of the current study was to investigate the association of mechanical  
58 activation delay by CCA with study outcome in HF patients enrolled in the EchoCRT trial.

59 **Methods:** Baseline CCA could be performed from TDI in the apical views in 807 of 809  
60 (99.7%) enrolled patients while 6-months follow-up could be performed in 610 of 635 (96%)  
61 patients with available echocardiograms. Patients with a pre-specified maximal activation delay  
62  $\geq 35$  ms were considered to have significant delay. The study outcome was HF hospitalization or  
63 death.

64 **Results:** Out of 807, 375 (46%) patients did not have delayed mechanical activation at baseline  
65 by CCA. Patients without delayed mechanical activation randomized to CRT-On had an  
66 increased risk of poor outcome (HR 1.70, 95% CI 1.13-2.55, P=0.01) in comparison to those  
67 with CRT-Off with a significant interaction term (P=0.04) between delayed mechanical  
68 activation and device randomization for the endpoint. Among patients with paired baseline and  
69 follow-up data with no events before 6-months follow-up (n=541), new-onset delayed  
70 mechanical activation in the CRT-On group showed significant increase in unfavorable events  
71 (HR 3.73, 95% CI 1.15-12.14, P=0.03).

72 **Conclusions:** In the EchoCRT population, absence of delayed mechanical activation by CCA  
73 was significantly associated with poor outcomes possibly due to the onset of new delayed  
74 mechanical activation with CRT pacing. (Echocardiography Guided Cardiac Resynchronization  
75 Therapy [EchoCRT] Trial; [NCT00683696](#))

76 **Key words:** heart failure, cardiac resynchronization therapy, echocardiography, dyssynchrony,  
77 tissue Doppler imaging.

78 **Condensed Abstract**

79 In the current study we applied cross correlation analysis method (CCA) to assess mechanical  
80 activation delay in the population of echocardiography guided cardiac resynchronization therapy  
81 (EchoCRT) trial in which CRT was implanted in patients with narrow QRS (<130ms). CRT was  
82 fatal to patients with no activation delay at baseline which was possibly due to the pacemaker  
83 induced new activation delay.

84 **Abbreviation List**

85 CRT = cardiac resynchronization therapy

86 ECG = electrocardiographic

87 HF = heart failure

88 LVEF = left ventricular ejection fraction

89 TDI = tissue Doppler imaging

90           Several studies in the past have demonstrated that the assessment of mechanical  
91 dyssynchrony by echocardiography can supplement current electrocardiographic (ECG) criteria  
92 (wide QRS  $\geq 120$  ms) in selection of CRT candidates leading to an overall reduction in the non-  
93 responders rate.(1-3) However, conventional methods of identifying dyssynchrony based on  
94 segmental time-to-peak measurements have failed when applied in randomized trials for  
95 selecting patients for CRT with narrow QRS (<130ms).(4,5)

96           The largest CRT trial conducted on narrow QRS (<130 ms) patients - echocardiography  
97 guided cardiac resynchronization therapy (EchoCRT) - demonstrated that HF patients with  
98 narrow QRS (<130 ms) do not respond to CRT despite the presence of baseline mechanical  
99 dyssynchrony by time-to-peak methods by either tissue Doppler longitudinal velocity or speckle  
100 tracking radial strain.(4) In fact, an increased incidence of mortality was observed in patients  
101 randomized to CRT-On in comparison to the control group and the trial was stopped due to  
102 futility without achieving its complete target population. Another trial - The Resynchronization  
103 therapy in narrow QRS (RethinQ) - performed before EchoCRT with similar design where  
104 mechanical dyssynchrony was one of the selection criteria, also showed no benefit of CRT in HF  
105 patients with narrow QRS.(5)

106           More recently, it was shown that peak-to-peak measures of mechanical dyssynchrony  
107 may be influenced by contractile heterogeneity or scar not responsive to CRT.(6) Patterns of  
108 myocardial mechanics that have been shown to reflect electrical delay have shown very  
109 promising results and seem to better identify a true substrate for CRT response.(6-8) These  
110 newer methods seem superior to the conventional time-to-peak methods.(7,9) Among these, one  
111 approach is assessment of mechanical activation delay by cross correlation analysis (CCA) using  
112 tissue Doppler Imaging (TDI).(7,10) Presence of a delayed mechanical activation by CCA in the

113 wide QRS patients is associated with improved prognosis as well as response after  
114 CRT.(7,10,11) However, its significance is unknown in HF patients with narrow QRS (<130 ms)  
115 treated with CRT. Accordingly, the objective of the current study was to assess the association of  
116 delayed mechanical activation by the CCA method both at baseline and follow-up after  
117 randomization to clinical outcomes in patients enrolled in the EchoCRT trial.

## 118 **Methods**

### 119 *Study Population*

120 The current study is a pre-specified sub-study of the EchoCRT trial. All the patients  
121 included in the EchoCRT trial had left ventricular ejection fraction (LVEF)  $\leq 35\%$ , QRS  
122 duration of  $\leq 130$  ms, severe symptomatic HF with New York HF Association (NYHA) class III-  
123 IV symptoms, LV end diastolic diameter  $\geq 55$  mm, and echocardiographic evidence of  
124 mechanical dyssynchrony by time-to-peak methods. Methods used to identify dyssynchrony in  
125 this study were presence of TDI based opposing wall delay of  $\geq 80$  ms in the apical 4-chamber or  
126 3-chamber view, and radial strain delay  $\geq 130$  ms between the septum and the posterior walls in  
127 the LV mid-segment short axis view. All the patients included in the trial were older than 18  
128 years and provided informed consent for inclusion in the trial. It was a multicenter randomized  
129 trial in which patients were included between a period of 2008 to 2013 and involved 112 centers  
130 from 22 different countries. Patients with bradycardia pacing or atrial fibrillation with in the past  
131 few months were excluded. The main study results along with a detailed study protocol have  
132 been published.(4) All the patients included received a CRT device with defibrillator capacity  
133 (CRT-D) (Biotronik Lumax, Berlin, Germany) and randomized in 1:1 fashion to CRT-On and  
134 CRT-Off after a successful implantation of the device. For the current sub-study, 807 (99.7%) of

135 809 were included with the baseline data and 610 (96%) of 635 patients were included with  
136 paired data at both baseline and 6-months follow-up.

### 137 *Cross correlation analysis*

138 All the echocardiograms were performed using a single vendor ultrasound system GE  
139 Vivid 7 or E9, Horton, Norway. To reduce variability the offline TDI based analysis was  
140 performed on a single GE EchoPAC system (version BT 11, Horton, Norway) by a single  
141 observer blinded to the patient data. CCA has been illustrated in detail in our previous  
142 publications (Figure 1).(7,10,11) Briefly, regions of interest (7 x 15 mm) were placed on the base  
143 segments of the opposing walls in all three apical views and the resulting velocity data were  
144 imported on an automated excel sheet with a pre-written algorithm to perform CCA analysis.  
145 Subsequently, velocity data were converted to acceleration data by using time differentiation. A  
146 baseline correlation coefficient was calculated between the acceleration curves from two  
147 opposing walls during systole in each of the three apical views without time-shift. These  
148 acceleration curves were then time-shifted against each other frame-by-frame to maximum of 15  
149 frames in both directions to calculate a correlation coefficient again. The time-shift resulting in  
150 the maximum correlation between the opposing walls was termed as maximum activation-delay  
151 (AD-max). Patients were classified as having significant activation delay if the AD-max was  
152  $\geq 35$ ms in any of the three apical views based on our previous work.(7,10) Systole was identified  
153 by calculating the aortic valve opening and closure timings from a pulse Doppler signal in the  
154 APLAX view. Activation delay by CCA was measured at both baseline and 6-months. For the  
155 analysis of the patients with paired CCA data, patients were divided into the following four  
156 groups based on the presence or absence of mechanical activation at baseline and follow-up:

157 1. No activation delay: no activation delay at both baseline and at follow-up.

- 158 2. Improved activation delay: activation delay at baseline but not at follow-up  
159 3. Persistent activation delay: activation delay at baseline and at follow-up  
160 4. New activation delay: no activation delay at baseline but activation delay at follow-  
161 up.

## 162 *Study outcome*

163 The outcome variable of this study was the primary end-point of all-cause death or first  
164 HF hospitalization within a period of 3.5 years.

## 165 *Statistics*

166 All the statistical analyses were performed by an independent Statistical Centre at the  
167 Robertson Centre for Biostatistics, University of Glasgow. Baseline characteristics were  
168 compared with the use of analysis of variance tests or chi-square tests for continuous and  
169 categorical variables respectively. Hazard ratios for CRT-On and CRT-Off with 95% confidence  
170 intervals were calculated with the Cox proportional hazards models for treatment effect and  
171 country of recruitment as a covariate. The interaction between delay subgroup and randomized  
172 treatment group was tested in a Cox model that included delay subgroup and treatment main  
173 effect and interaction terms. Time-to-event curves were estimated using the method of Kaplan  
174 and Meier.

## 175 **Results**

176 Among the 807 patients with baseline CCA analysis data, they were equally distributed  
177 with 404 (50.1%) patients in the CRT-Off group and 403 (49.9%) in the CRT-On group. Of  
178 these 807 patients, time-to-peak dyssynchrony data was available in 806 patients. Among these,  
179 420 (52%) patients had dyssynchrony by both radial strain and TDI opposing wall delay, 201

180 (25%) had dyssynchrony by lone TDI, and rest 185 (23%) patients had dyssynchrony by lone  
181 radial strain. A significant mechanical activation delay by CCA was observed in 223 (55%)  
182 patients among the CRT-Off patients and in 209 (52%) among the CRT-On patients. The  
183 baseline characteristics of the patients in the CRT-Off and CRT-On based on activation delay are  
184 summarized in Table 1. No significant differences were observed between the groups for the  
185 baseline characteristics.

### 186 *Association of baseline mechanical activation delay by CCA to long-term outcome*

187 The trial was stopped due to futility on advice of the independent data and monitoring  
188 board. The median follow-up period was 1.15 years (interquartile range 0.48 to 2.05 years). HF  
189 hospitalizations and all-cause death were observed in 216 (27%) patients by the time the trial  
190 was stopped. Separately, there were 187 HF hospitalizations and 29 deaths in the follow-up  
191 interval of 3.5 years. On dividing the patients into four groups, it was observed that patients with  
192 no mechanical activation delay by CCA in the CRT-On group suffered the highest number  
193 (32%) of events (Figure 2). Among patients with no mechanical activation delay, patients  
194 randomized to CRT-On group had an increased risk of an unfavorable outcome in comparison  
195 to those with CRT-Off with a HR 1.7 (95% CI 1.13-2.55, P=0.01; Figure 3). However, among  
196 patients with presence of activation delay, no significant difference was observed for events  
197 among the two CRT randomization groups (HR 0.96, 95% CI 0.66-1.40, P=0.84). Importantly,  
198 there was a significant interaction term between activation delay by CCA and randomization to  
199 CRT device for the outcome events (P=0.04).

### 200 *Changes in mechanical activation delay associated with outcome*

201 At 6-months follow-up, echocardiographic data for the CCA was available in 610 (96%)  
202 patients out of 635 patients with follow-up echocardiograms. After excluding patients who had

203 already suffered HF hospitalization before the 6 months follow-up analysis, a final number of  
204 541 patients were available for follow-up analysis. Among these, 274 (51%) had CRT-Off and  
205 267 (49%) were from the CRT-On group. The distribution of the four groups based on  
206 mechanical activation delay at baseline and follow-up among patients with CRT-Off vs CRT-  
207 On was similar: no activation delay (31% vs. 30%), improved activation delay (27% vs. 31%),  
208 persistent activation delay (27% vs. 23%), and onset of new activation delay (15% vs.16%).

209 A total of 102 patients suffered either HF hospitalization or death from 6 months until  
210 completed follow-up excluding events that occurred in the first 6 months. The event rate was  
211 significantly higher among patients with a new mechanical activation delay observed on the 6  
212 months echocardiogram in the CRT-On group in comparison to the CRT-Off group (30% vs  
213 12%; HR 3.73, 95% CI 1.15-12.14, P=0.03; Figure 4). No significant difference was observed  
214 for the outcome events between the other three groups based on randomization.

## 215 **Discussion**

216 This pre-specified sub-study of the EchoCRT trial of HF patients with narrow QRS width  
217 shows that the absence of mechanical activation delay by CCA at baseline and new onset  
218 activation delay observed in follow-up in patients treated with CRT was significantly associated  
219 with poor clinical outcomes. These results support the notion that delayed activation by CCA is  
220 measuring a different mechanical phenomenon than time-to-peak dyssynchrony. These  
221 observations may provide new insight in the interpretation of EchoCRT trial and mechanistic  
222 working of CRT in general.

223 The EchoCRT trial used the best documented methods for dyssynchrony for selection of  
224 patients at the time of study design, i.e. both longitudinal TDI velocity and 2D STE radial strain  
225 time to peak assessment. In HF patients with wide QRS, these methods have been demonstrated

226 to be of additive prognostic value.(1,2,12) Moreover, single center studies using these methods  
227 have shown that narrow QRS HF patients having echocardiographic dyssynchrony treated by  
228 CRT device have improvement in HF symptoms and LV reverse remodeling comparable to  
229 patients with wide QRS.(13,14) Meanwhile, questions have been raised regarding the specificity  
230 of these methods.(4-6,10) Time to peak measurements alone do not provide any information on  
231 the nature of the wall deformation such as whether differences are due to scarring or activation  
232 timing differences.(6) Although time-to-peak differences due to abnormalities in the myocardial  
233 tissue is demonstrated to have prognostic significance in various types of  
234 cardiomyopathies,(15,16) it is not correctable by CRT specifically in the absence of concomitant  
235 electrical dyssynchrony.(4,5) Our results of the current analysis strengthen the view that peak-to-  
236 peak methods are relatively nonspecific for detecting true dyssynchrony responsive to CRT, as  
237 only one-half of the patients included in EchoCRT trial had significant mechanical activation  
238 delay by CCA. Mechanical activation delay by CCA may be less susceptible to differences in  
239 mechanical motion patterns not caused by delayed activation.(7,10) CCA analysis in wide QRS  
240 complex patients undergoing CRT have proven beneficial in identifying responders having both  
241 wide and intermediate QRS durations and has been demonstrated to be able to evaluate  
242 resynchronization efficacy to obtain maximum CRT benefit.(7,10,11)

243 Unlike CCA method which is more of a quantitative approach, other methods which are  
244 qualitative in nature for the assessment of dyssynchrony, such as identification of typical  
245 contraction pattern (9) and apical rocking (17) are proposed to identify the true left bundle  
246 branch block (LBBB) patients with activation delay. Both these methods have shown excellent  
247 additional value in identifying potential responders to CRT in patients with left bundle branch  
248 block (LBBB) which is principally due to exclusion of patients who are misdiagnosed as LBBB

249 by ECG. However, this unique contraction pattern of the opposing walls described by Risum et  
250 al (9) is specific to patients with true LBBB and would be physiologically implausible in other  
251 kinds of cardiomyopathy. On the other hand, dyssynchrony by CCA quantifies the activation  
252 delay between two opposing walls rather than relying on a specific contraction pattern and thus  
253 could be applicable in patients other than LBBB. It has not only demonstrated to be superior to  
254 TDI time-to-peak in wide QRS patients in predicting survival after CRT but has also shown  
255 promising results in the intermediate QRS (120-149 ms) patients.(7)

256         It seems, however, that even when selecting patients with the stricter CCA-criteria for  
257 mechanical activation delay, there is no convincing positive effect of CRT in HF patients with  
258 narrow QRS. One possible explanation could be that mechanical activation delay in the setting  
259 of narrow QRS needs not represent a substrate amenable to CRT. The follow-up CCA-analysis  
260 agrees with this interpretation, as CRT was inefficient in correcting mechanical activation delay  
261 in a large group of patients. Even though CCA is less susceptible to other motion differences  
262 between LV walls, it is likely that mechanical activation can be delayed for other reasons than  
263 delays in electrical activation, such as differences in electro-mechanical coupling. It should also  
264 be considered that the study sample size was reduced by premature termination of the trial, and  
265 there are relatively wide confidence limits to these subgroup estimates of treatment effect.

266         The strongest signal of our analysis is the suggestion of a harmful effect of CRT isolated  
267 to patients with no activation delay at baseline by CCA. This is an important finding given the  
268 higher mortality observed in the CRT-On group in EchoCRT. Follow-up evaluation confirmed  
269 that especially patients without activation delay randomized to CRT-On who developed new  
270 activation delay had a significantly worse outcome, with an almost 4-fold increased risk of  
271 adverse events. Similar observation have been made regarding new or worsened activation delay

272 during CRT in patients with a wide QRS.(11,18-20) This finding of potential harm from CRT in  
273 patients without baseline mechanical activation delay also fits well with a previous study of  
274 CCA in intermediate to wide QRS HF patients treated with CRT, where lack of baseline  
275 activation delay was associated with a poor long-term outcome.(7)

276 There are several interesting perspectives in the present analysis. Firstly, when  
277 considering HF patients with narrow QRS  $\leq 130$  ms, it seems the prevalence of potential  
278 responders to CRT is quite low, and will be hard to identify, even with advanced methods such  
279 as CCA. Secondly, in HF patients with intermediate QRS 130-149 ms, the prevalence of  
280 potential responders is probably higher, and as the effect of CRT overall in this group is less  
281 well established, there could be a role for methods such as CCA to select patients for CRT in  
282 future trials. Thirdly, in HF patients with intermediate or broad QRS  $> 150$  ms, CCA seems an  
283 attractive method for detecting patients that are potentially harmed by CRT. This sets the stage  
284 for potential trials in the future of deferral of CRT in patients without mechanical activation  
285 delay, or trials of turning off CRT in patients where new-onset mechanical activation delay  
286 cannot be corrected by optimization.

### 287 ***Limitations***

288 The current study is a post-hoc study. Although it was a pre-specified sub-study which  
289 was approved before the study commenced, the method applied in the study was not a part of  
290 the patient selection process for the trial. Another limitation of the study was the lack of 6-  
291 months follow-up echocardiograms in many patients, 610 patients had 6-months follow  
292 echocardiograms for the CCA resulting into a loss of about 24% patients for the follow-up  
293 analysis. This was mostly due to the premature closure of the study.

### 294 **Conclusions**

295 In conclusion, the effect of CRT in HF patients with narrow QRS ( $\leq 130\text{ms}$ ) in terms of  
296 HF hospitalization and death depends on left ventricular mechanical activation delay determined  
297 by echocardiographic CCA. CRT specifically resulted in poor outcome in HF patients with  
298 narrow QRS and no activation delay by CCA at baseline which is most probably caused by the  
299 pacing-induced development of new activation delay. This study provides new mechanistic  
300 insight into effects of CRT pacing in HF patients which is of clinical significance.

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319 **Perspectives**

320 **Competency In Medical Knowledge:** This study demonstrates the limitation of the time-to-  
321 peak based dyssynchrony measures which are applied in the routine clinical practice. Nearly,  
322 45% patients did not have significant activation delay by cross correlation analysis (CCA) when  
323 applied on the patients selected in the EchoCRT trial who were included based on the  
324 dyssynchrony by time-to-peak based methods. CRT was particularly fatal to patients with  
325 narrow QRS who lacked activation delay at baseline by CCA due to the risk of pacemaker  
326 induced new activation delay.

327 **Translational Outlook:** Further randomized studies applying this method specifically in  
328 patients with intermediate QRS duration (120-140 ms) where the guidelines are unclear about  
329 CRT implantation would be beneficial.

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416 **Figure Legends**

417 ***Central illustration: Cross correlation analysis by Tissue Doppler Imaging and outcome in***  
418 ***narrow QRS patients treated with cardiac resynchronization therapy***

419 Left panel shows increased hospitalization due to HF and mortality in patients with no activation  
420 delay at baseline and implanted with CRT with a significant interaction between device  
421 randomization and activation delay for the end-points. Right Panel shows that patients with new  
422 activation delay after CRT in comparison to those with no CRT had poor outcome indicating the  
423 role of device induced activation delay in the poor prognosis.

424 ***Figure 1: Examples comparing dyssynchrony by time-to-peak and activation delay by cross***  
425 ***correlation analysis***

426 Two examples from the trial showing dyssynchrony by time-to-peak ( $\geq 80$  ms) opposing wall  
427 delay using the tissue Doppler imaging. However, only the patient in the upper panel has a  
428 significant activation delay ( $\geq 35$  ms) on cross correlation analysis (CCA). The patient in the  
429 lower panel has nearly no activation delay (6 ms). This can be visually appreciated when we  
430 compare the acceleration curves of the septum and lateral walls (third column) of the two panels.

431 ***Figure 2: Baseline activation delay and Outcome***

432 Bar diagram showing the incidence of events of heart failure hospitalization or death among the  
433 two CRT device randomization groups based on the activation delay.

434 ***Figure 3: Baseline activation delay and time to events***

435 Kaplan Meier curve showing the time to events for the four patient groups based on the presence  
436 or absence of activation delay at baseline and CRT device randomization.

437 ***Figure 4: Change in activation delay and Outcome after 6-months of CRT implantation***

438 Bar diagram showing the comparative incidence of outcome events between CRT-Off and CRT-  
439 On after 6-months of device implantation among the four patients groups based on the presence  
440 or absence of activation delay at baseline and 6-months follow-up. Only patients with no events  
441 in the first 6-months of device implantation were included in this analysis.

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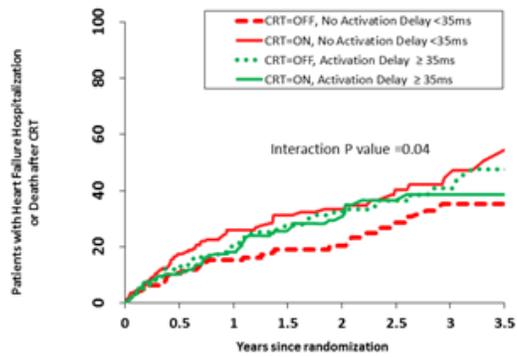
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449 **Table 1 Baseline Characteristics**

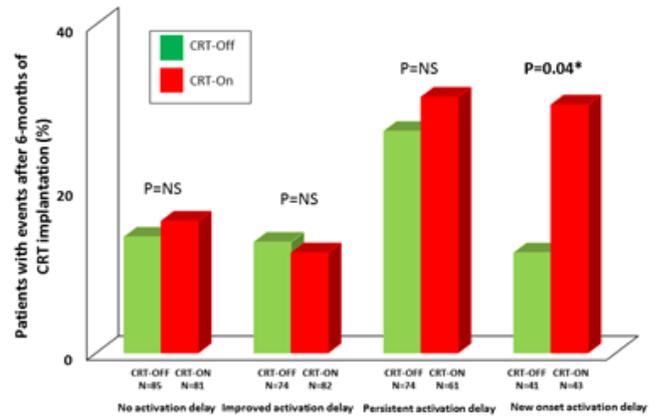
Variables	CRT-Off with No		CRT-On with No		CRT-Off with AD		CRT-On with AD	
	AD		AD					
	n	Statistics	n	Statistics	n	Statistics	n	Statistics
Age (years)	181	57.4 (11.72)	194	57.0 (13.07)	223	59.2 (13.12)	209	58.1 (12.77)
Males (n)	181	127 (70.17%)	194	145 (74.74%)	223	163 (73.09%)	209	149 (71.29%)
QRS width (ms)	180	104.0 (12.04)	192	106.1 (12.43)	221	106.7 (12.00)	205	105.9 (13.65)
Walking distance (m)	175	317.5 (118.93)	192	330.7 (123.38)	219	326.9 (124.84)	204	325.7 (114.31)
Quality of life score	181	55.2 (23.63)	194	51.5 (25.07)	221	47.5 (24.14)	208	51.3 (23.67)
NYHA Classification (n)	181		194		223		209	
I		1 (0.55%)		2 (1.03%)		2 (0.90%)		0 (0.00%)
II		5 (2.76%)		4 (2.06%)		7 (3.14%)		3 (1.44%)
III		170 (94%)		184 (95%)		204 (91%)		200 (96%)
IV		5 (2.76%)		4 (2.06%)		10 (4.48%)		6 (2.87%)
BNP (pg/ml)	99	244 (89-613)	109	242 (40-493)	94	290 (126-600)	91	224 (115-564)
NT-proBNP (pg/ml)	77	1071 (462-2203)	74	1121 (414-2444)	122	923 (529-1999)	110	1378 (556-2675)
Sitting SBP (mmHg)	181	118 (16)	194	118 (22)	223	122 (21)	209	117 (18)
Sitting DBP (mmHg)	181	73 (11)	194	73 (13)	223	73 (13)	209	73 (12)
BMI (kg/m <sup>2</sup> )	181	30 (7)	194	31 (15)	223	32 (16)	209	31 (7)
Ischemic cardiomyopathy (n)	180	93 (52%)	194	99 (51%)	223	120 (54%)	209	119 (57%)
MI > 3 months ago (n)	181	71 (39%)	194	69 (36%)	223	83 (37%)	209	98(47%)
PCI > 3 months ago (n)	181	56 (31%)	194	74 (38%)	223	74 (33%)	209	98 (47%)
CABG > 3 months ago (n)	181	35 (19%)	194	35 (18%)	223	39 (17%)	209	42 (20%)
Hypertension (n)	178	119 (67%)	194	124 (64%)	223	151 (68%)	205	137 (67%)
Congenital heart disease (n)	175	3 (1.7%)	192	3 (1.6%)	220	7 (3.2%)	206	3 (1.5%)
Prior ischemic stroke or TIA (n)	180	28 (16%)	193	19 (10%)	221	19 (9%)	207	30 (14%)
Diabetes (n)	181	69 (38%)	193	77 (40%)	222	84 (38%)	208	89 (43%)

Chronic lung disease (n)	180	33 (18%)	191	30 (16%)	220	45 (20%)	209	39 (19%)
Chronic kidney disease (n)	180	17 (9%)	192	30 (16%)	220	25 (11%)	209	36 (17%)
LV EF Biplane (%)	181	27.4 (5.3)	194	27.4 (5.5)	223	26.7 (5.6)	209	26.7 (5.8)
LV end diastolic diameter (mm)	181	66 (7)	194	67 (7)	223	67 (8)	209	67 (8)
ACE inhibitor or ARB (n)	181	177 (98%)	194	185 (95%)	223	206 (92%)	209	197 (94%)
Aldosterone antagonist (n)	181	105 (58%)	194	118 (61%)	223	132 (59%)	209	128 (61%)
Beta-blocker (n)	181	178 (98%)	194	183 (94%)	223	216 (97%)	209	203 (97%)
Diuretic agent (n)	181	160 (88%)	194	160 (82%)	223	191 (86%)	209	185 (88%)
MR grade (n)	180		192		221		206	
None/Trace		69 (38%)		64 (33%)		77 (35%)		69 (34%)
Mild		65 (36%)		80 (42%)		89 (40%)		83 (40%)
Moderate		25 (14%)		31 (16%)		34 (15%)		33 (16%)
Moderate/Severe		14 (8%)		11 (6%)		12 (5%)		14 (7%)
Severe		7 (4%)		6 (3%)		9 (4%)		7 (3%)
LV ESV (ml)	180	134 (47)	194	140 (49)	223	142 (54)	207	142 (49)
LV EDV (ml)	180	183 (57)	194	191 (58)	223	192 (65)	207	190 (55)
TDI (ms)	181	97 (39)	194	98 (34)	223	105 (34)	208	104 (31)
Speckle tracking (ms)	173	218 (109)	181	213 (100)	202	223 (102)	191	223 (99)

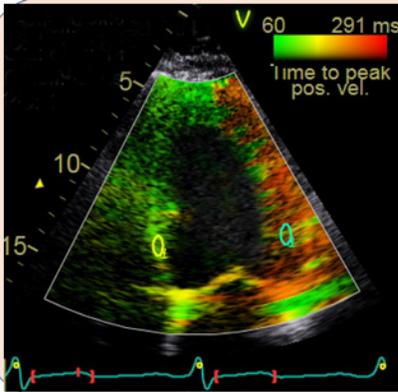
450 AD= activation delay; NYHA= New York Heart Association; BNP= brain natriuretic peptide; SBP=  
451 systolic blood pressure; DBP= diastolic blood pressure; BMI= body mass index, MI= myocardial  
452 infarction; PCI= percutaneous coronary interventions; CABG= coronary artery bypass surgery; TIA=  
453 transient ischemic attack; LV= left ventricular; EF= ejection fraction; ACE= angiotensin converting  
454 enzyme; ARB= angiotensin II receptor blocker; MR= mitral regurgitation; EDV= end-diastolic volume;  
455 ESV= end-systolic volume; TDI= tissue Doppler imaging



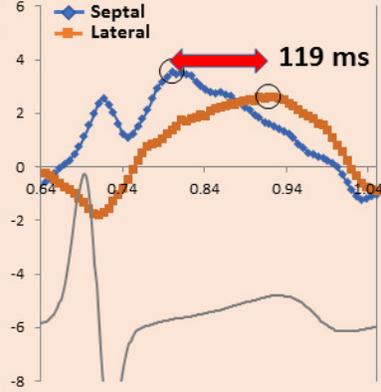
CRT=OFF, No activation delay:	181	139	109	76	57	35	24	7
CRT=ON, No activation delay:	194	139	104	70	52	32	21	10
CRT=OFF, activation delay:	223	162	126	89	62	36	20	8
CRT=ON, activation delay:	209	157	118	84	50	32	20	9



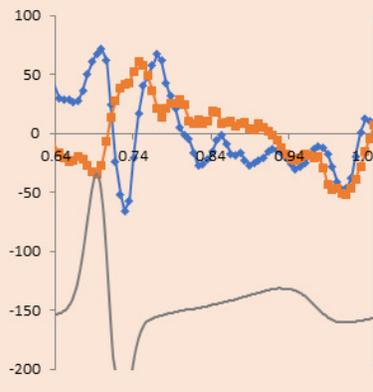
**Tissue Doppler  
Tissue Synchronization Images**



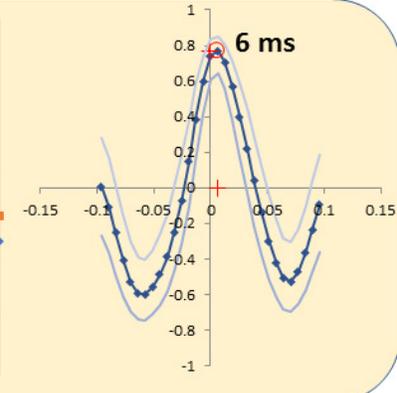
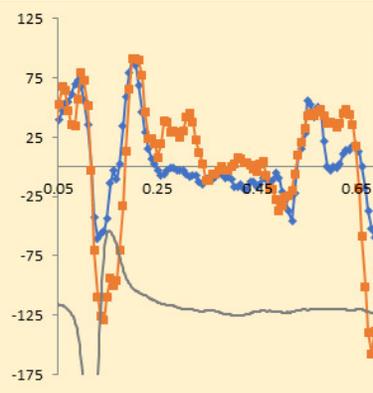
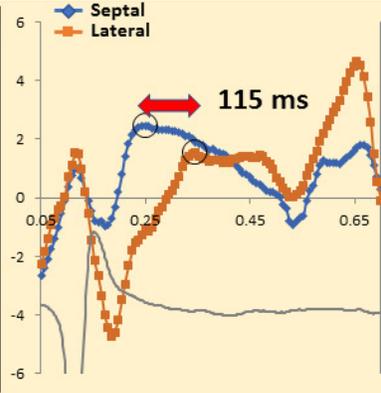
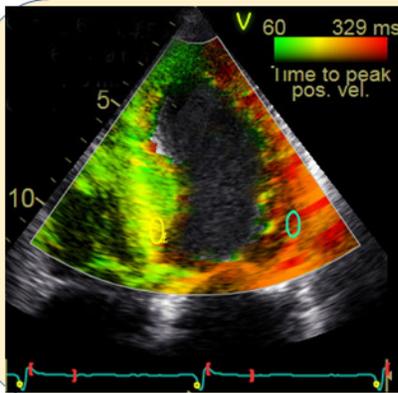
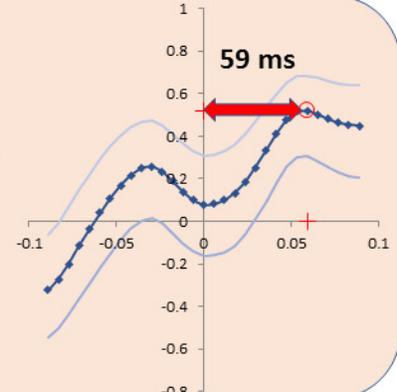
**Tissue Doppler  
Velocity Curves**

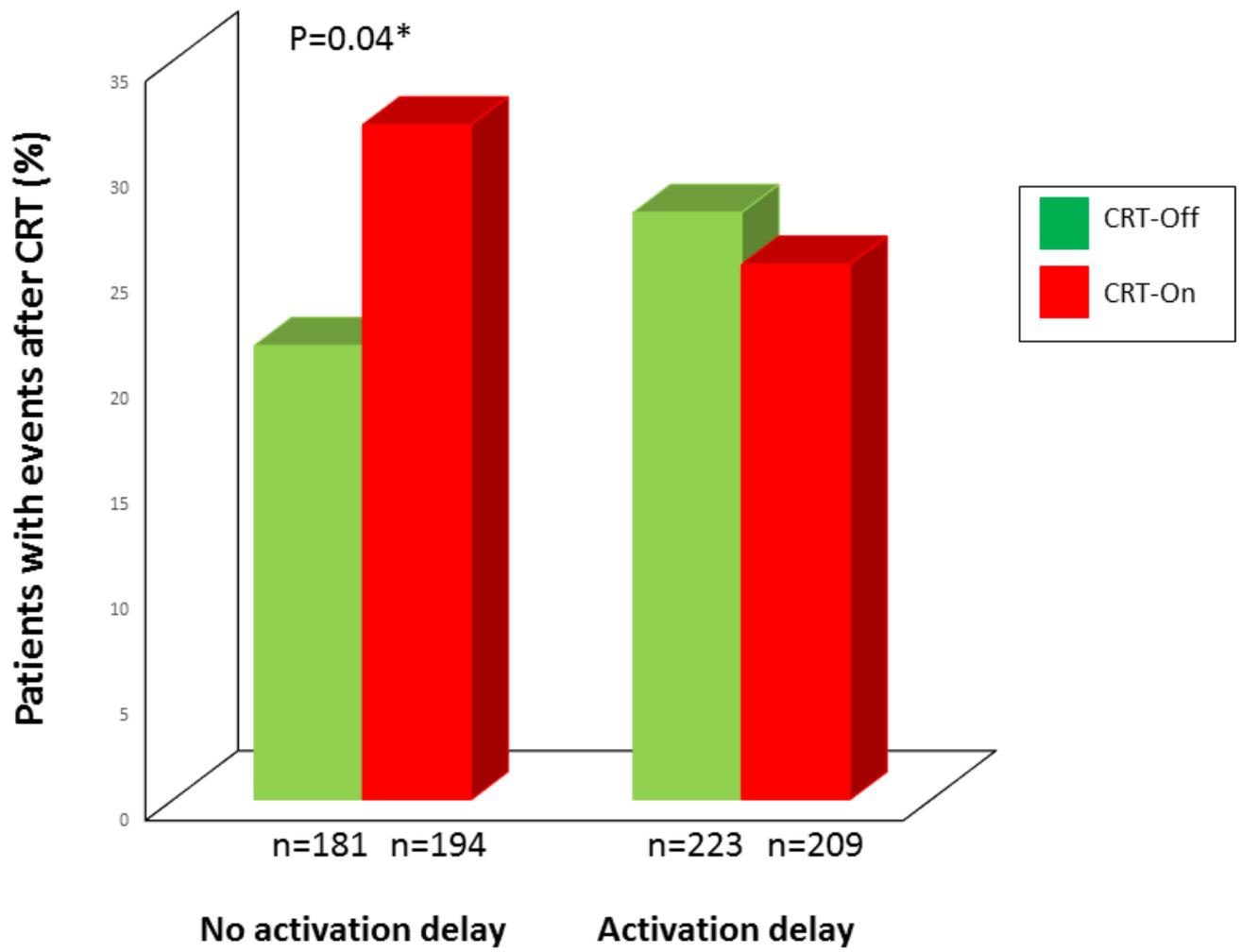


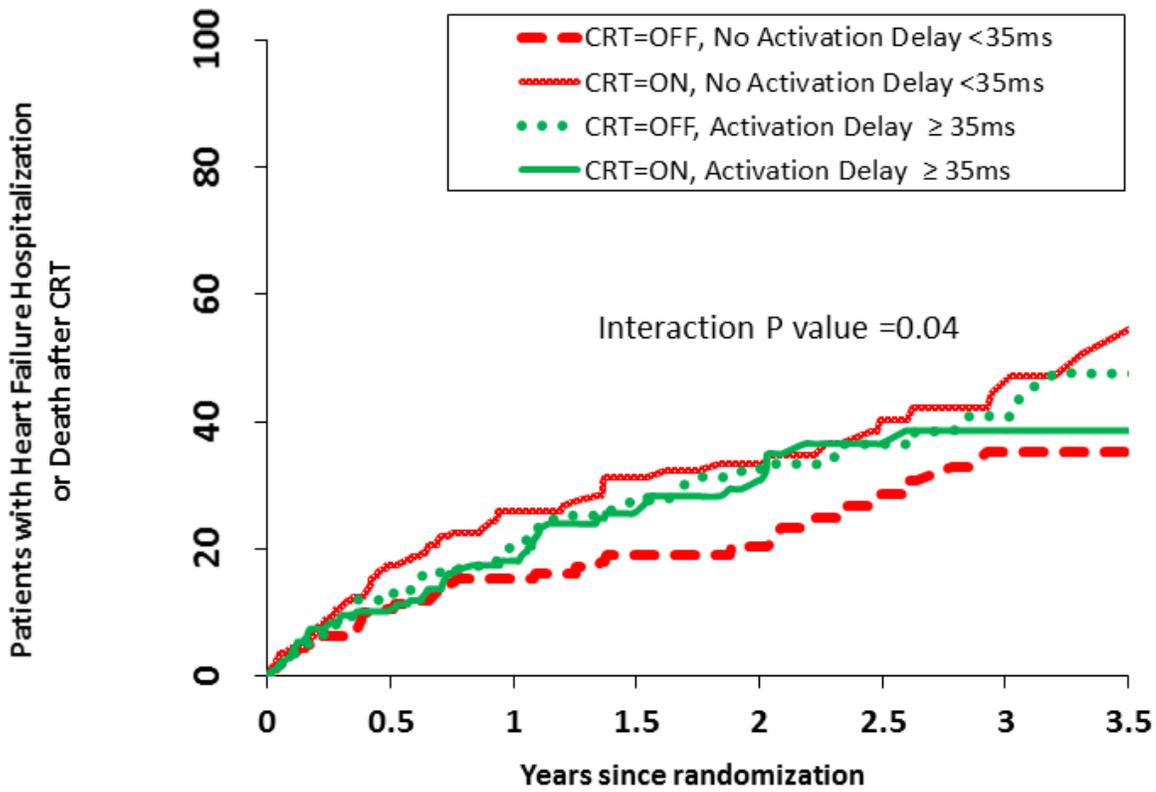
**Tissue Doppler  
Acceleration Curves**



**Activation Delay by  
Cross Correlation Analysis**







CRT=OFF, No activation delay:	181	139	109	76	57	35	24	7
CRT=ON, No activation delay:	194	139	104	70	52	32	21	10
CRT=OFF, activation delay:	223	162	126	89	62	36	20	8
CRT=ON, activation delay:	209	157	118	84	50	32	20	9

