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1 Abstract

2 Objective: Development, initial validation and reliability testing of a shortened version of a web-
3 based questionnaire instrument to measure generic health-related quality of life (HRQL) in
4 companion dogs, to facilitate smartphone as well as online delivery.

5 Methods: The original 46 items were reduced using expert judgement and factor analysis (FA).

6 Items were removed on the basis of item loadings and communalities on factors identified
7 through FA of responses from owners of healthy and unwell dogs, intra-factor item correlations,
8 readability of items in the UK, USA and Australia and ability of individual items to discriminate
9 between healthy and unwell dogs. Some evidence for validity was established by FA and in a
10 subsequent field trial using a “known groups” approach. Test–retest reliability was assessed
11 using intraclass correlation coefficients (ICC).

12 Results: The instrument comprises 22 items, each of which is rated by dog owners using a 7
13 point Likert scale. Factor analysis revealed a structure with four HRQL domains
14 (Energetic/Enthusiastic, Happy/Content, Active/Comfortable, and Calm/Relaxed) accounting for
15 72% of the variability in the data compared with 64% for the original instrument. The field test
16 involving 153 healthy and unwell dogs demonstrated very good discriminative properties (15%
17 misclassification) and ICC values of greater than 0—7.

18 Conclusions and Clinical Relevance: The 22 item shortened form possesses improved
19 measurement properties compared with the original instrument and can be accessed via a
20 mobile phone app. This is likely to increase the acceptability to dog owners of the use of the
21 instrument for assessment of HRQL in veterinary practice as a routine wellness measure in
22 healthcare packages and as a therapeutic monitoring tool.

23

24 Keywords : Canine, Quality of Life, measurement, smartphone app

25

26 **Introduction**

27 The measurement of health-related quality of life (HRQL) plays an increasingly
28 important part in human medicine to detect disease (discriminative purposes) and to
29 measure change in health status over time (evaluative purposes) (Fayers & Machin,
30 2013). Structured questionnaire instruments to measure HRQL of people are
31 developed and tested using well established psychometric methodology (Streiner &
32 Norman, 2008; Abell et al., 2009; Brod et al.2009). These instruments are designed for
33 self-report by the subject, but where self-report is not possible (e.g. infants and the
34 cognitively impaired) they are completed by an observer who knows the subject well.
35 Instruments can be disease specific, focusing on a particular condition, or they can be
36 generic, designed to be used in a variety of circumstances. Instruments to measure
37 HRQL in companion animals generally consist of questions for the pet owner, who is
38 well placed to report upon the often subtle changes in behaviour, attitude and
39 demeanour that occur with chronic disease. The majority of HRQL instruments that
40 have been developed for companion animals are disease specific [Freeman et al.,
41 2005 (cardiac disease); Yazbek & Fantoni, 2005 (cancer); Budke et al., 2008 (spinal
42 cord injuries); Favrot et al., 2010 (atopic dermatitis); Lynch et al., 2011 (cancer); Noli et
43 al., 2011 (skin disease); Niessen et al. 2012 (diabetes mellitus. However, this paper
44 describes the shortening of a 46 item generic instrument (VetMetrica,
45 www.newmetrica.com) which measures the impact of chronic pain and non-painful
46 physical chronic diseases on the dog's quality of life (xxxx). Disease-specific
47 instruments may be more responsive to clinical change, but generic instruments can be
48 valuable indicators of a range of impacts associated with disease and its treatment, and

49 may be the only option when a patient is suffering from more than one condition, as is
50 often the case in older companion animals. A substantial use for the instrument exists
51 within the veterinary practice, including raising the profile of preventative veterinary
52 medicine within a health and wellness model, where regular use of the instrument between
53 routine visits for vaccination enhances communication with clients and establishes stronger
54 bonds with them as partners in their animals' healthcare. Furthermore it improves disease
55 detection, including chronic disease which is often unrecognised and unreported, The validity of
56 the instrument is currently being tested for the purposes of measuring clinical change in
57 response to treatment for a variety of chronic conditions and initial results are encouraging (Yam
58 et al., 2016). Users report that being able confidently to demonstrate a deteriorating QOL to
59 owners would help to facilitate end-of-life decision-making for individual dogs. Other than the
60 subject of this paper, only 2 generic HRQL instruments to measure HRQL in dogs have
61 been published (Wojciechowska et al., 2005; Lavan, 2013), but one was shown not to
62 distinguish healthy from sick dogs (Wojciechowska et al., 2005) and the use of the
63 other (Lavan, 2013) is restricted to use in healthy dogs.

64

65 Instrument development is an iterative process, in which instruments are refined and
66 re-tested with new populations in new contexts, and instruments developed to measure
67 HRQL in people have been shortened to improve their usefulness, for example
68 shortening of the generic SF36 item HRQL instrument for people to the SF12 item
69 version (Cheak-Zamora, 2009). Guidelines for shortening existing composite scales
70 such as those designed to measure HRQL are scarce. In 1997, Coste et al. reported
71 that the process of scale shortening lacked rigorous methodology and this was
72 confirmed by Stanton et al (2002). Criteria used previously to select items for retention

73 include expert judgement (Osse et al., 2007), the identification of items that discriminate
74 best (Reid et al., 2013) and the use of statistical techniques such as Factor Analysis
75 (FA) (Las Hayas et al. 2010; Reid et al., 2013). Factor Analysis is a statistical technique
76 that analyses the relationships between variables, in this case questionnaire item
77 responses, and clusters them into a small number of homogenous groups which can
78 then be used for analysis. Groupings of variables revealed by such analysis, which in
79 respect of healthcare are also related on clinical or other grounds, are termed factors
80 and the association between a variable and factor is expressed as a factor loading of
81 the variable (values between 0 and 1), where the higher the loading the closer the
82 association. The communality of a variable is the portion of the variance of that variable
83 that is accounted for by the common factors (DeVellis, 2011). Although FA is capable
84 of providing any number of factor models for a given data set, there are established
85 methods which can be used to identify how many factors could sensibly be extracted,
86 including the scree test and the Kaiser criterion (Coste et al., 2005). However, it is up to
87 the instrument developer to decide upon the most satisfactory factor model, the number
88 of factors it contains, and name these according to the interpretation of their associated
89 items. Importantly, a good factor model is one in which the derived factors are readily
90 interpretable and which accounts for a reasonable amount of the variance in the data
91 set from which it was created (StatSoft Inc., 2003). With current software programs, it is
92 possible to rapidly perform FA with various values for the number of factors to be
93 extracted and select the model that is most sensible on clinical or other grounds
94 (StatSoft. Inc., 2003) The most common type of factor analysis is Exploratory Factor
95 Analysis (EFA) where the factor loadings of each item are used to determine the factor

96 structure of a data set collected for the purpose of instrument development.
97 Confirmatory Factor Analysis (CFA) determines whether analysis of a new data set
98 performs in the same way with items loading, as predicted, on the expected number of
99 factors, thus testing the validity of the factor solutions obtained from the EFA (Floyd &
100 Widaman, 1995).

101

102 In addition to its use in instrument development and shortening, FA is one of the most
103 commonly used procedures in the validation of psychological measures (Nunnally &
104 Bernstein, 1994; Floyd & Widaman, 1995). Validity (criterion, content and construct)
105 provides evidence that the instrument measures what it was designed to measure.
106 Criterion validity is the agreement of a new instrument with some existing “gold
107 standard”. Content validity ensures the appropriateness and completeness of the items
108 within the instrument and is established during its construction (Fayers & Machin,
109 2013). A number of approaches exist to examine the construct validity of a new
110 questionnaire, and these include factorial validity and known-groups validity. Factorial
111 validity is demonstrated if, after FA, an interpretable factor structure fits the construct
112 that the instrument was designed to measure (Johnston, 1998), a construct being
113 something that is not directly observable or measurable, like happiness for example. In
114 the context of this paper the construct being measured was HRQL which is the
115 subjective evaluation by an individual of its circumstances that include an altered health
116 state and related interventions (Wiseman Orr et al., 2006). In the known groups
117 approach to determine the construct validity of an instrument, predictions are made
118 about how scores obtained with the instrument will differ between groups and these

119 predictions are then tested. For example, an instrument should be able to distinguish
120 correctly between groups that would be expected to have quite different scores, such
121 as healthy and unwell animals (Reid et al., 2013). In addition to testing an instrument's
122 validity, evidence should also be sought for its reliability which is necessary (although
123 not sufficient) for validity. A reliable instrument is one that will produce the same score
124 when an unchanging subject is measured at two time points by the same observer
125 (repeatability/intra-rater reliability), or when two people measure the same subject at
126 one time (reproducibility/inter-rater reliability) (Streiner & Norman, 2008).

127

128 In addition to validity and reliability, to be useful in a clinical setting an instrument must
129 also have utility - it must be acceptably quick, easy to understand and simple to use
130 (Teasdale & Jennett, 1974). In terms of speed and ease of use, electronic technologies
131 have offered much promise for health assessment including providing an acceptable,
132 and in many cases a preferable, alternative to paper, regardless of user age and
133 previous experience of computers (Greenwood et al., 2006). Access to such
134 assessment instruments may be via the web, and preferably in a form that is
135 compatible with mobile platforms. The use of smartphones has revolutionised the
136 communication landscape, providing real-time, on-demand communication and more
137 flexibility compared with other mobile technologies (Boulos et al., 2014). In human
138 healthcare, mobile health (m-health) applications are increasing, with many clinicians
139 and allied health workers already adopting smartphones successfully in a diverse range
140 of practices (Boulos et al, 2014). However, these apps must be carefully designed
141 to retain the utility of the instrument they deliver. Krebs et al (2015) surveyed US

142 health app users and ‘too much time to enter data’ with consequent loss of interest was
143 reported by 44.5% respondents as the reason why they had discontinued use of the
144 app. There appears to be potential animal health and welfare benefits to developing an
145 app which would provide robust measurement of canine HRQL as part of a veterinary
146 care package. An instrument for providing canine HRQL measurement has been
147 developed and evaluated (xxxx xxxx xxxx xxxx) however from a practical perspective,
148 the resulting 46 items were considered too many for presentation via an app. This
149 paper reports the process undertaken to shorten the 46 item instrument and to
150 investigate the measurement properties of the short form to facilitate development of an
151 app designed for owner completion as part of a care package provided by veterinary
152 surgeons.

153

154 **Materials & Methods**

155 **Original instrument**

156 A 46 item long form web-based questionnaire instrument to measure canine HRQL had
157 been developed from an original, novel, paper-based canine HRQL instrument, and
158 both the original and web-based version had previously been validated (xxxx; xxxx).
159 This generic instrument consisted of 46 questions for the dog owner, each of which
160 comprised a descriptor (eg ‘active’) with a 7-point Likert rating scale, 0 – 6 (with 0
161 meaning ‘not at all’ and 6 meaning ‘couldn’t be more’). During development of the 46
162 item instrument owner responses to the items were used to generate an HRQL profile
163 comprising scores in 4 QOL domains – named by the instrument developers as vitality,
164 pain, distress and anxiety in accordance with the items loading onto them. The 46 item

165 instrument was shown to have high utility, was easy to use, taking around 5 minutes to
166 complete online, and with automatic and instantaneous transformation of responses
167 into the scores profile.

168

169 ***Confirmatory factor analysis***

170 Previously, as part of a field test to determine the known groups validity and reliability of
171 the 46 item questionnaire instrument, owners of unwell dogs attending xxxxx and
172 selected Vets Now clinics and owners of dogs recruited from clinical, non clinical,
173 nursing and administrative staff members at xxxx deemed to be generally healthy by
174 author xx on the basis of history and lack of clinical signs completed at least 1 online
175 assessment using the 46 item instrument between January 2011 and April 2012 (xxxx).
176 The only inclusion criterion for unwell dogs was that the dog was suffering from a non-
177 acute condition that was expected to affect its QOL. Owners of unwell dogs were
178 recruited from the daily case load by a senior nurse in xxxxx as and when it was
179 logistically possible with no attempt made to control selection bias. Accordingly the
180 sampling was best described as cluster. Ethics approval was granted by the xxxxxxxx
181 and written consent was obtained from all owners.

182

183 Factor analysis was carried out (Minitab v.16) on the first questionnaire completed by
184 each owner. A principal components method of FA with a varimax rotation was
185 performed. Input variables were all item ratings. Loadings were sorted, and items with
186 loadings of <0.3 were excluded (Floyd & Widaman, 1995). Guided by a scree test and
187 the Kaiser criterion, the interpretability of a range of factor models was examined. A

188 factor model was sought that accounted for an acceptable amount of the variability in
189 the data, was readily interpretable, and did not include any factors containing only 1 or
190 2 items (Norman & Streiner, 1994).

191

192 **Reduction of items**

193

194 Using the results of the CFA, any item with a loading onto any factor <0.5 was removed
195 (Shevlin & Miles, 1998). Thereafter any item with a communality of <0.5 was removed
196 (Velicer et al., 1982; MacCallum et al., 1999). The remaining items were considered for
197 removal on the basis of their correlation with other domain items, readability and ability
198 to discriminate between healthy and unwell dogs. A Pearson Coefficient was calculated
199 for each item and those with a correlation of ≥ 0.80 or ≤ 0.20 with other item(s) in the
200 same domain were considered for removal on the basis that they were too similar to
201 others in the domain and therefore extraneous (≥ 0.80) or not related to the underlying
202 construct of the domain (≤ 0.20) (Boyle, 1991; Coste et al., 1995). To ensure that the
203 instrument could be used in non-UK English-speaking countries, in which some words
204 might have slightly different meanings and common uses than in the UK, the suitability
205 of the items was tested by means of two small surveys, one in USA ($n = 9$) and one in
206 Australia ($n = 15$). These asked adult respondents to identify items that they
207 considered not to relate to dogs: respondents were dog owners identified and
208 contacted via email by authors xxxx or by veterinary surgeons abroad. In addition, in the
209 absence of established readability metrics for individual words (rather than continuous
210 prose), a number of novel approaches were used to test that items would be readily

211 understood by most adults in the UK. For example, items were reviewed by a class of
212 9-year old schoolchildren and by a group of adult literacy tutors, and their inclusion
213 in two dictionaries for children aged 9-12 years was checked. All decisions to remove
214 items were made primarily on the basis of the surveys in USA and in Australia, but the
215 UK studies showed that many of those items would also cause difficulty to some UK
216 readers, and showed that none of the remaining items would cause such difficulty. To
217 identify items that could discriminate well between unwell dogs and healthy dogs,
218 histograms of item responses for each item for healthy and for unwell dogs, each
219 plotted on a single graph, were constructed, and those considered by the authors not to
220 discriminate well, on the grounds that the two histograms looked very similar, were
221 removed. Initial screening was carried out by 2 authors (xx and xx) and where there
222 was disagreement regarding discrimination a final judgement was made by the third
223 author, a statistical expert.

224

225 ***Factor analysis of the items retained for the shortened instrument***

226 Using the same data set as was used for the CFA and selection of items, those items
227 retained for the shortened instrument were extracted and subjected to FA as for the
228 CFA, with the exception that items with loadings of <0.5 were excluded. Two, 3 and 4
229 factor models were explored to determine the optimum factor structure for the
230 shortened instrument and an algorithm, based on the item-factor associations of the
231 selected factor model, was derived in order to generate a domain score for each of the
232 resultant factors/domains.

233 ***Field test of the shortened instrument***

234 A different group of owners of healthy dogs, and owners of unwell dogs recruited at
235 xxxxxxxxxxxx and according to the clinical judgement of 3 vets in general practice and a
236 pharmaceutical veterinary advisor completed at least 1 online assessment using the
237 shortened instrument between February and April 2014. No attempt was made to
238 control selection bias, but each owner was confirmed as the primary carer of the dog.
239 Using the first assessment completed for each dog, descriptive statistics were used to
240 identify differences between the healthy and unwell groups, and this was followed by
241 formal statistical analysis using non-parametric Mann-Whitney tests due to the non-
242 normality of the data. Linear discriminant analysis was carried out to determine the
243 accuracy of the instrument in differentiating healthy from unwell dogs. The same owner
244 of a number of healthy dogs completed 2 assessments, 2 weeks apart, and test–retest
245 reliability was assessed using the intraclass correlation coefficient (ICC). A one-way
246 random model was assumed where the subjects are assumed random (Shrout & Fleiss
247 1979).

248

249 **Results**

250 ***Confirmatory factor analysis***

251 Factor analysis was carried out on responses from owners of 88 unwell dogs and 34
252 healthy dogs (Table 1) who participated in the field test to determine the validity of the
253 original 46 item instrument. Forty-seven dog breeds were represented (Table 2). The
254 result of the FA gave 4 factors with similar items loading on to the 4 factors that had
255 been derived for the 46 item instrument during EFA (xxxx). The confirmatory factor
256 solution accounted for 63% of the variance in the data which was similar to that of the

257 EFA (64%).

258

259 ***Reduction of items***

260 Eight items – sluggish, confident, unsociable, contented, alert, obedient, reluctant, and
261 frightened – were removed on the basis of their loading and communality in the
262 confirmatory FA, and the remaining 38 items were considered for exclusion on the
263 basis of their correlation with other domain items, their readability and their ability to
264 discriminate between unwell and healthy dogs. Figure 1 shows the difference between
265 an item that was judged independently by authors xx and xx to discriminate well
266 between unwell and healthy dogs (A - uncomfortable) and one that they judged did not
267 (B – subdued). Table 3 lists the 24 items removed from the 46-item long form
268 instrument along with the reasons for their removal.

269

270 ***Factor analysis of the 22 items retained for the shortened instrument***

271 The 4 factor model accounted for more of the variance than the 2 and 3 factor models
272 and was the most interpretable model, with factors very similar in terms of their item
273 loadings to those of the 46 item instrument. These 22 item factors were named as
274 domains of HRQL by the authors in accordance with the items loading onto them
275 (Energetic/Enthusiastic (E/E), Happy/Content (H/C), Active/Comfortable (A/C),
276 Calm/Relaxed (C/R). The 4-factor model accounted for 72% of the variance. The
277 scoring algorithm derived for these 4 domains of HRQL was based on item–factor
278 associations. For ease of interpretation, all domains were named positively and the
279 scoring algorithm provided that higher scores in all domains were associated with better

280 HRQL.

281

282 ***Field test of the shortened instrument***

283 Owners of 53 unwell dogs and 100 healthy dogs (Table 1) completed 1 assessment
284 and, of these, 49 owners of healthy dogs completed 2. Forty-two dog breeds were
285 represented with no breed predominating (Table 2) A comparison of median scores
286 and the interquartile range (IQR) for healthy and unwell dogs for each of the domains
287 (Table 4) showed clear differences for E/E, H/C and A/C, but less so for C/R. However,
288 the results of the Mann Whitney tests (Table 5) demonstrated a significant difference
289 ($p < 0.05$) between the scores for healthy and unwell dogs in all domains. The
290 variability, represented by the IQR and the extent of the tails of the distribution, was
291 large in all domains for the unwell dogs compared with that of the healthy dogs, with the
292 exception of C/R where the variability was similar between the groups (Figure 2). Linear
293 discriminant analysis showed that the 22 item short instrument correctly classified 89%
294 of the healthy dogs and 77% of those that were unwell with an overall misclassification
295 rate of 15%. For those owners who completed 2 assessments the ICC (95%
296 confidence intervals) for all domains was Energetic/Enthusiastic 0.75 (0.60 – 0.85);
297 Happy/Content 0.75 (0.60 – 0.85); Active/Comfortable 234 0.75 (0.60 – 0.85);
298 Calm/Relaxed (0.57 – 0.84).

299

300 **Discussion**

301 A review article by Goetz et al in 2013 concluded that item reduction of an existing
302 scale must be based on rigorous methodology if the short-form instrument aims to

303 maintain the validity and other measurement properties of the parent instrument. To
304 that end they highlighted the importance of reporting the validity of the original scale,
305 documenting the reasons for item selection, preserving content validity and the
306 psychometric properties of the original scale and validating the short-form scale in an
307 independent sample. The shortening process described here followed these guidelines.

308

309 Construct validity of the original 46 item long form instrument had been demonstrated
310 using factorial validity and a known groups approach in dogs with a variety of chronic
311 conditions, and evidence of its reliability had been obtained (xxxx). Additionally, these
312 46 items have been shown to be able to generate a valid measure of HRQL in dogs
313 with osteoarthritis (unpublished) and lymphoma (unpublished), where both disease and
314 aggressive treatment may impact on a dog's QOL, and in obese dogs (Yam et al.,
315 2016), all of which support the validity of the 46-item instrument. Furthermore, the
316 validity of the original instrument was endorsed by the fact that the CFA performed as
317 part of this study produced a factor structure in a new data set that was similar to the
318 original in terms of factors, items and their loadings, and which accounted for a similar
319 amount of the variance (63% vs 64%).

320

321 Factor loadings of 0.3, 0.5 and 0.7 are generally considered to be low, medium and
322 high respectively (Shevlin & Miles, 1998) with loadings of >0.3 deemed to be the
323 minimum consideration level for exploratory factor analysis. Exclusion of items with
324 loadings <0.3 is commonly applied in instrument development (Floyd & Widaman,
325 1995). However, loadings of >0.5 are considered to be practically significant and

326 accordingly the first step in the item reduction process described here was to exclude
327 items which loaded < 0.5 . It has been suggested that factor structures are improved
328 when both loadings and communalities are higher (Velicer et al., 1982; MacCallum et
329 al., 1999) and so items with a communality < 0.5 were excluded as part of the
330 shortening process. Thirty-three percent (8/24) of the removed items were excluded by
331 this initial process and calculation of the Pearson Coefficient to exclude items that were
332 too similar and therefore extraneous accounted for a further 29% (7/24). Once highly
333 correlated items were identified, the process of choosing which to keep depended on
334 their discrimination and readability. For example, 'pained' and 'sore' had a correlation of
335 0.84, both distinguished well between healthy and unwell dogs, but on readability
336 grounds 'sore' performed better than 'pained', so 'pained' was excluded and 'sore'
337 retained. The groups used to test readability in the USA and Australia were small (9 &
338 15 dog owners respectively) and were not representative of the general dog owning
339 population which could be seen as a weakness in the study, but all 46 words had been
340 pretested previously in the UK (xxxx) and the purpose of the Australian and USA tests
341 was purely to identify cultural difference in relation to meaning; accordingly, the groups
342 were considered adequate.

343

344 For the purpose of establishing known groups construct validity, expert judgement has
345 been used previously to identify items that could discriminate between unwell and
346 healthy dogs (xxxx) and that process was repeated here. Although it was considered
347 unlikely that an item that was unable to discriminate well from unwell dogs would prove
348 useful in an evaluative context, that possibility cannot be discounted and removed

349 items may be reassessed if the instrument proves not to be responsive to clinical
350 change in further longitudinal studies, in order to develop a longer-form instrument for
351 evaluative purposes.

352

353 Factor analysis to determine the optimum factor model on which to base the HRQL
354 domains of the shortened 22 item instrument was carried out on results from 122 dogs
355 (88 unwell and 34 well). The literature includes a range of recommendations regarding
356 the minimum sample size necessary to obtain factor solutions that are adequately
357 stable, including the suggestion that there should be between 4 and 5 times as many
358 samples as variables (Floyd & Wideman, 1995). On that basis the sample size used
359 here was adequate. However, several workers including Velicer and Fava (1998),
360 found the influence of sample size to be reduced when factor loadings and
361 communalities were high, which was the case in our study where all loadings were >0.5
362 and 14/25 were >0.7 which is considered high. Similarly, 12/22 communalities were
363 >0.7 and according to MacCallum et al (1999) communalities of 0.6 are considered
364 high. Consequently, the factor model was considered stable.

365 A useful factor model captures a reasonable amount of the total variance in the data
366 from which it is derived, with higher figures representing better models. A perfect model
367 would account for 100% of the variance in the sample, but this would have the same
368 number of factors as variables and Norman & Streiner (1994) suggested that factors
369 should explain at least 50% of the total variance. The 64% and 62% of the variance
370 captured by EFA and CFA of the 46-item instrument compare well with that accounted
371 for in other proxy instruments to measure the QOL of infants (45%) (Manificat et al.,
372 1999), the QOL of older children (62%) (Varni et al., 2001), the behaviour and
373 temperament of guide dogs (63%) (Serpell & Hsu, 2001) and of pet dogs (57%) (Hsu &
374 Serpell, 2003). However, the 22 items comprising the shortened instrument accounted
375 for 72% of the variance compared with 62% for the CFA of the 46 items using the same
376 data set, indicating an improvement in the factor model. This could be a result of the
377 higher loadings (>0.5 vs >0.3) representing a closer association of the 22 items with the
378 factors compared with that of the 46 items as a result of the removal of less correlated
379 items which had contributed some measurement 'noise'. Further to this demonstration
380 of factorial validity, field-testing of the new instrument was designed to confirm that
381 shortening of the instrument had not diminished the psychometric properties of the
382 original. Known groups validity was demonstrated by the fact that scores in all 4
383 domains of HRQL were significantly different between healthy and unwell dogs. In
384 common with the 46 item instrument, the domain scores in the unwell dog group
385 showed more variation than those for the healthy dogs. The study protocol did not
386 ask clinicians to rate the severity of disease in the unwell dogs, but only specified
387 that cases should be selected on the basis that the condition was likely to affect the

388 QOL. However the wide interquartile range and the extent of the whiskers in Fig 2
389 in the unwell dogs would tend to suggest that there was a wide spread of disease
390 severity with resultant variability in their health status. Subjective evaluation of
391 general behavioural signs such as changes in appetite, activity and sociability have
392 long been reported as changing with ill-health, especially in food animals (Weary et al.,
393 2009), but to the authors' knowledge the HRQL domains reported here -
394 Energetic/Enthusiastic, Happy/Content, Active/Comfortable and Calm/Relaxed - have
395 not been specifically reported in companion animals as likely to change with health
396 status. However the SF 36 is a generic HRQL instrument for people designed to
397 measure physical and emotional components of health status and it contains the terms
398 'activity', 'calm and peaceful', 'full of life', 'energetic' and 'happy' (Ware, 1992). The
399 domain Calm/Relaxed shows more variability in the healthy dogs than was apparent for
400 the other 3 domains, which is perhaps not surprising given the spectrum of excitability
401 in the healthy dog population. There is also a smaller difference in median scores
402 between the healthy and unwell groups in that domain and more overlap in the
403 interquartile ranges. This may be accounted for by the fact that this domain contains
404 items (eg 'calm') that could reflect relatively stable personality traits, making it more
405 resistant than other domains to change with ill health.

406

407 Owners of healthy and unwell dogs for CFA, item reduction and EFA of the 22 item
408 shortened instrument and the field test for the 22 item instrument were drawn in part
409 from a university referral population which may raise some concerns regarding
410 respondent bias. However the authors consider that drawing from a variety of sources

411 where possible (1y care and referral) broadens the scope of the recruitment in clinical
412 studies where it is very difficult to control or selection bias. With respect to the use of
413 vets and vet nurses as respondents, who might be influenced by their professional
414 expertise, the questions in the instrument are related to owner observed behaviours
415 and do not involve any judgement related to health or welfare. Also the university staff
416 who took part in the study included a mix of administrative and non–veterinary teaching
417 staff in addition to vets and nurses. Because the answers to the questions in the
418 instrument involve an interpretation of behaviour on the part of the owner and that
419 interpretation is best made by the person that knows the dog best, only the primary
420 carer of the dog was recruited to the study. Additionally, where 2 assessments were
421 carried out the same owner completed these.

422

423 Although there was a discrepancy between the types of cases included in each field
424 test, one of which provided the data for the CFA, item reduction and EFA of the
425 shortened instrument (primarily referral), with the other providing the data for the field
426 test of the shortened instrument (primarily 1st opinion) all dogs were suffering from a
427 condition, usually chronic, likely to affect their QOL. General practitioners regularly treat
428 cases such as osteoarthritis, obesity, diabetes, cardiac failure, chronic skin disease and
429 cancer in 1st opinion practice and accordingly the authors suggest that in this context
430 the impact of any differences is not likely to be significant. The discriminant analysis
431 with cross-validation of the 22 item short form indicated an overall misclassification rate
432 of 15% with 89% of healthy dogs and 77% of unwell dogs classified correctly. These
433 results compare well with those reported for a proxy instrument for pain measurement

434 in communicatively impaired children that correctly classified 92.9% of children with no
435 pain and 71.3% of children in pain with an overall misclassification rate of 13% and
436 which was considered by its developers to have reasonable ability to distinguish
437 between pain and no-pain episodes (Stallard et al., 2002). Misclassifications in the
438 study reported here may have been a result of measurement error, or may have
439 occurred because the QOL of some of the healthy dogs was compromised at the time
440 of measurement for reasons other than poor health, or because some of the dogs that
441 were unwell may in any case have been experiencing a good quality of life at the time
442 of measurement. For example an unpublished study demonstrated that a group of dogs
443 diagnosed with multicentric lymphoma subtype A had, at the time of first presentation to
444 xxxxxxxxxxxx significantly higher HRQL scores than a group with subtype B. It seems
445 likely that all of these reasons for misclassification would be true to some extent.
446 Scores on the instrument are not intended to replace clinical evaluation, but should be
447 regarded as a valuable adjunct, replacing subjective owner report with valid and
448 reliable measurement of change at one time point and over time.

449

450 The ICC values for the domains were >0.7 , indicating that test–retest reliability
451 conducted with a 2-week interval for the web instrument was good (Rosner 2005). It
452 was assumed that the health status of control dogs would not change over the 2-week
453 period between the completion of questionnaires, and respondents would not
454 remember their previous responses. This result indicated that the reliability of the
455 shortened instrument was improved compared with the original where ICC values were
456 >0.6 . However, the current test was carried out with 49 owners compared with 16 for

457 the original and this may have contributed to the improved result (xxxx)

458

459 Best practice in instrument design dictates that when a questionnaire instrument is

460 presented in a new way, for example moving from paper to web, or re-design of

461 presentation, it is recommended that the instrument be re-tested in its new form to

462 ensure that changes in format or design have not altered its measurement properties.

463 For logistical reasons, field testing of the shortened 22 item instrument was carried out

464 using a web-based platform and not via a mobile phone application. However, since

465 this paper was submitted for publication initially the shortened instrument has been

466 incorporated in a smartphone app and has been shown to discriminate well between

467 healthy and unwell dogs (unpublished).

468

469 In conclusion, the measurement of companion animal HRQL has much to offer the

470 veterinary practitioner in terms of improved client communication and relations. This

471 study has provided evidence for the instrument's ability to detect the HRQL impact of

472 disease and work is ongoing to establish its usefulness in therapeutic monitoring and as

473 a tool to facilitate the identification of humane endpoints for individual dogs. These

474 capabilities are becoming more and more necessary in clinical practice as medical

475 advances facilitate the keeping of animals with painful chronic disease for longer, and

476 evidence based medicine requires that robust measures of clinical impact be

477 developed. This study has provided initial evidence for the reliability and validity of the

478 shortened instrument. However, it is important to emphasise that validity is not

479 determined by a single statistic, but by a body of research supporting claims that the

480 instrument is valid for particular purposes, with defined populations and in specified
481 contexts (Streiner and Norman, 2008). Accordingly, future research will seek to provide
482 such evidence, including evidence for its responsiveness in longitudinal studies.

483

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