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Can genetic-based advice help you lose weight? Findings from the Food4Me European randomized controlled trial^{1,2}

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Abbreviations: Body mass index (BMI), Fat-mass associated gene (FTO); Food frequency questionnaire (FFQ), Healthy eating index (HEI), Physical activity level (PAL), Personalized Nutrition (PN), Proof-of-principle (PoP); Randomized controlled trial (RCT), Sedentary behavior (SB), Waist circumference (WC)

Key Words: *FTO*, genotype, weight, personalized nutrition, randomized controlled trial

1 **ABSTRACT**

2 **Background**

3 There is limited evidence on whether genotype-tailored advice provides extra benefits in reducing
4 obesity-related traits than conventional one-size fit all advice.

5 **Objective**

6 The objective was to determine if disclosing information on *FTO* genotype risk had a bigger effect
7 on reduction of obesity-related traits in risk carriers than non-risk carriers across different levels
8 of personalized nutrition.

9 **Design**

10 683 participants (51% women; age range 18-73 y, body mass index ≥ 25.0 or waist circumference
11 (WC) >88 cm and >102 cm for women and men) from the Food4Me randomized controlled trial
12 were included in this analysis. Participants were randomized to four interventions arms (Level 0:
13 Control group, Level 1: “Dietary” group; Level 2: “Phenotype” (BMI, WC, metabolic markers)
14 group, Level 3: “Genetic” group). *FTO* (SNP rs9939609) was genotyped at baseline in all
15 participants but only those randomized to Level 3 were informed about their genotype. Level 3
16 participants were stratified into risk (AA/AT) and non-risk carriers (TT) of the *FTO* gene for these
17 analyses. Height, weight and WC were self-measured and reported at baseline, months 3 and 6.

18 **Results**

19 Changes in adiposity markers were larger in participants who were informed that they carried the
20 *FTO* risk allele (Level 3 AT/AA carriers, n=139) compared with the non-personalized group
21 (Level 0, n=171), but not compared with the other personalized groups (Level 1, n=153 and Level
22 2, n=173). Reductions in weight and WC at month 6 were greater for *FTO* risk carriers (BMI, n=
23 139 and WC, n=71) compared with non-carriers (BMI, n=47 and WC, n=27) in Level 3 (-2.28 kg

24 [-3.06, -1.48] vs. -1.99 kg [-2.19, -0.19], $P=0.037$; and -4.34 cm [-5.63, -3.08] vs. -1.99 cm, [-4.04,
25 -0.05] $P=0.048$, for weight and WC, respectively).

26 **Conclusions**

27 Larger body weight and WC reductions were observed for risk carriers compared with non-risk
28 carriers of the *FTO* gene. However, adding genotypic information to the tailored feedback did not
29 enhance the effect of intervention compared with personalization based on diet or diet and
30 phenotype alone.

31

32 INTRODUCTION

33 Over the past 30 years the prevalence of obesity has increased markedly with 17% of European
34 adults(1) and 9% of adults globally now being obese (2). Obesity is a major risk factor for non-
35 communicable diseases (NCDs) including type 2 diabetes (T2D), cardiovascular diseases (CVDs)
36 and many cancers (3, 4). This emphasizes the importance of initiatives aimed at changing lifestyle
37 to prevent and to reduce excess body weight (5). Although previous intervention strategies have
38 mainly focused on “one size fits all” approaches to change dietary and PA behaviors, recent studies
39 have used personalized approaches, e.g. tailored web-based interventions (6-10). There is mixed
40 evidence about the effect of personalized interventions compared with conventional interventions
41 in achieving behavioral changes, but results for weight loss seem promising (11-14).

42 Reductions in cost and time needed for genome sequencing and enhanced ability to extract relevant
43 information, e.g. disease risk, have fuelled interest in use of personal genetics to tailor
44 interventions (15, 16). However, the effectiveness of genetic-based information in facilitating
45 behavior change is unclear. A recent systematic review called for more, and larger, randomized
46 controlled trials (RCTs) to determine whether DNA-based advice motivates people to make
47 appropriate behavioral changes (17).

48 Variants in the first intron of the fat mass and obesity associated (*FTO*) gene strongly associated
49 with development of obesity (10, 18-20). Individuals homozygous for the *FTO* risk allele AA
50 (rs9939609) weighed on average 3 kg more and had 1.7-fold increased odds of being obese
51 compared with those homozygous for the lower-risk allele TT (21). Although there is increasing
52 evidence that the *FTO* genetic susceptibility to obesity can be modulated by lifestyle factors such
53 as physical activity (PA) (10, 22, 23), there is a lack of evidence on whether disclosing information
54 on *FTO* genotype would motivate individuals to adopt more healthy lifestyles to reduce weight

55 (24). A recent study showed that feedback on *FTO* risk increased readiness to control weight in
56 young and healthy adults, but no evidence of actual behavior change was found (25). The current
57 study is part of the Food4Me intervention trial which was designed to investigate the effectiveness
58 of different levels of personalised nutrition, including dietary, phenotypic and genotype based
59 advice, on improving diet and health-related outcomes (14). The genotype based advice within the
60 Food4Me trial used 5 different genetic variants each associated with a specific nutrient or
61 phenotypic marker. However, the current study focuses on the effect of disclosing information
62 about *FTO* genotype which was the only variant for which personalised advice for weight loss was
63 provided. Thus, the aim of the present study was to assess the impact of disclosing personalised
64 *FTO* based information on changes in obesity-related markers, and to investigate whether changes
65 in obesity markers were different from those observed in other interventions groups who received
66 non-genotype based personalised nutrition advice.

67

68 **METHODS**

69 **Study design**

70 Subjects were participants of the Food4Me Proof-of-Principle Study, a 6-month web-based RCT
71 on personalized nutrition conducted across 7 European countries (Germany, Greece, Ireland, the
72 Netherlands, Poland, Spain, and the UK). As outlined elsewhere (26), 1607 adults aged ≥ 18 years
73 were included in the study. Exclusion criteria included no or limited access to the Internet,
74 following a prescribed diet or having altered nutritional requirements because of medical
75 conditions. Participants were screened online between August 2012 and August 2013; the
76 characteristics of these individuals have been reported elsewhere (27).

77 **Intervention arms**

78 Full details of the study design have been published elsewhere (26). Briefly, participants were
79 randomly allocated to one of 4 groups: Level 0: standard, non-personalized dietary and PA
80 guidelines; Level 1: personalized advice based on current weight, diet and PA; Level 2:
81 personalized advice based on current weight, diet, PA and phenotype (e.g. waist circumference
82 [WC], blood cholesterol); and Level 3: personalized advice based on current weight, diet, PA,
83 phenotype and genotype information for 5 genetic variants (*FTO*, *FADS1*, *TCF7L2*, *APOE(ε4)*,
84 *MTHFR*). All data were collected remotely (i.e. at home) at baseline, month 3 and month 6
85 following standardized operating procedures (26).

86

87 Following analysis of data collected at baseline and 3 months, participants received personalized
88 feedback on their weight, diet and PA (Levels 1-3) or non-personalized guidelines (Level 0),
89 depending on their randomization group, at both time points. The personalized feedback was based
90 on pre-defined algorithms incorporating anthropometric, dietary and PA (Levels 1-3), phenotypic
91 (Levels 2-3), and genotypic (Level 3 only) data. Results in the personalized feedback reports were
92 indicated for each anthropometric, dietary, PA (Levels 1-3), and phenotypic (Levels 2-3) item, on
93 3-color graded lines (green, good; amber, improvement recommended; and red, improvement
94 strongly recommended). In addition, all Level 3 participants received information on whether they
95 carried the risk variant for 5 nutrition- and lifestyle-related genes (Table 1). The feedback provided
96 for each of these five genetic variants is described in **Table 1** (26). The target nutrients or
97 phenotypic markers related to these genotypic variants and for which participants received
98 personalised advice were body weight for the *FTO* gene, omega 3 fatty acids intake for the *FADS1*
99 gene, fat intake for the *TCF7L2* gene, saturated fat intake for the *APOE(ε4)* gene, and folate for
100 the *MTHFR* gene. However, for the purposes of this study, we have included only those

101 participants who received genotype-based advice for the *FTO* gene, and who were advised to
102 reduce their body weight (**Table 1, Supplemental Figure 1 and 2**).

103 For *FTO*, the following message was included in reports delivered to Level 3 participants:

104 *“A specific variation of this gene is associated with a greater need to maintain a healthy body*
105 *weight and engage in physical activity. A healthy weight combined with exercise may provide*
106 *added health benefits for these individuals”*,

107 and Level 3 participants were informed about their *FTO* rs9939609 status i.e. whether they carried
108 or not the risk allele (‘yes’ or ‘no,’ respectively). However, this feedback did not include any
109 numerical information about how much extra weight an individual with a risk-conferring variant
110 of *FTO* would be expected to carry (Supplemental Figure 1 and 2). Each personalized report
111 (Levels 1-3) contained a specific message related to body weight, which, for Level 3 participants
112 only, referred to *FTO*. For example, an AA/AT Level 3 participant with increased BMI and WC
113 would read:

114 *“We recommend reducing your body weight and waist circumference to a healthy normal*
115 *range because you have a genetic variation that can benefit by reducing these two obesity-*
116 *related markers”*.

117 **Data collection**

118 Participants consented to self-report their measures via the Internet and to send biological samples
119 (buccal swabs for DNA extraction) by post, using pre-paid, stamped and addressed envelopes. To
120 ensure that procedures were similar in all recruiting centres, standardised operating procedures
121 were prepared for all measurements, and researchers underwent centralised training. Moreover, to
122 enable participants to collect and report the required information and to collect, process and
123 dispatch the biological samples correctly, participants were given detailed instructions, and video

124 demonstrations were available on the Food4Me website (www.food4me.org), in their own
125 language (26).

126 **Ethical approval and participant consent**

127 1607 participants were randomized into the study and were recruited between August 2012 and
128 August 2013 from the following centers: University College Dublin (Ireland), Maastricht
129 University (The Netherlands), University of Navarra (Spain), Harokopio University (Greece),
130 University of Reading (United Kingdom, UK), National Food and Nutrition Institute (Poland) and
131 Technical University of Munich (Germany). The Research Ethics Committees at each University
132 or Research Centre delivering the intervention granted ethical approval for the study. The
133 Food4Me trial was registered as a RCT (NCT01530139) at Clinicaltrials.gov. All participants
134 expressing an interest in the study were asked to sign online consent forms at two stages in the
135 screening process. These consent forms were automatically directed to the local study investigators
136 to be counter-signed and archived (26).

137 **Anthropometric and lifestyle measures**

138 Body weight, height and WC were self-measured and self-reported by participants via Internet.
139 Participants were instructed to measure body weight after an overnight fast, without shoes and
140 wearing light clothing using a home or commercial scale, and to measure height, barefoot, using a
141 standardised measuring tape provided by the researchers. WC was measured at the mid-point
142 between the lower rib and the iliac crest using the provided tape (26). Central obesity was defined
143 as WC >88 cm for women and >102 cm for men. BMI ($\text{kg}\cdot\text{m}^{-2}$) was calculated from body weight
144 and height. Adiposity status was defined using World Health Organization (WHO) criteria for
145 BMI (underweight $<18.5 \text{ kg}\cdot\text{m}^{-2}$, normal weight $\geq 18.5 \text{ kg}\cdot\text{m}^{-2}$ to $\leq 24.9 \text{ kg}\cdot\text{m}^{-2}$, overweight ≥ 25.0
146 $\text{kg}\cdot\text{m}^{-2}$ to $\leq 29.9 \text{ kg}\cdot\text{m}^{-2}$ and obesity $\geq 30.0 \text{ kg}\cdot\text{m}^{-2}$). Self-reported measurements were validated in a

147 sub-sample of the participants across 7 European countries and showed a high degree of reliability
148 (26).

149 Physical activity level (PAL, defined as the ratio between total energy expenditure and predicted
150 basal metabolic rate (28)) and time spent sedentary ($\text{min}\cdot\text{d}^{-1}$) were estimated from tri-axial
151 accelerometers (TracmorD, Philips Consumer Lifestyle, The Netherlands).

152 **Genotyping**

153 Participants collected buccal cell samples at baseline using Isohelix SK-1 DNA buccal swabs and
154 Isohelix dried-capsules and posted samples to each recruiting centre for shipment to LGC
155 Genomics (Hertfordshire, United Kingdom). LGC Genomics extracted DNA and genotyped
156 specific loci using KASPTM genotyping assays to provide bi-allelic scoring of *FTO* single
157 nucleotide polymorphisms (SNPs) rs9939609 and rs1121980. These two SNPs showed a high
158 linkage disequilibrium ($r^2=0.96$) and therefore results for rs1121980 are not reported. No
159 significant deviation from the Hardy-Weinberg Equilibrium was observed for rs9939609 ($\chi^2=0.51$;
160 $P=0.48$).

161 **Statistical analyses**

162 In this analysis we included participants with $\text{BMI} \geq 25.0 \text{ kg}\cdot\text{m}^{-2}$ and/or high WC (>88 or >102 cm,
163 for women or men, respectively) at baseline, and for whom *FTO* genotype data were available, as
164 well as anthropometrics at month 3 or month 6. These individuals were advised to reduce their
165 weight and/or WC at baseline (Levels 1-3), or would have been advised to do so (Level 0) if they
166 had not been in the control group.

167 Results from descriptive analyses are presented as means and SD for continuous variables or as
168 percentages for categorical variables. All models were adjusted for baseline outcome value, age,
169 sex and country. Multiple regression analyses were used to determine significant changes from

170 baseline to month 3 and baseline to month 6 for *FTO* risk (AA/AT) as well as non-risk (TT)
171 carriers. To answer our first research question (“Does knowledge of *FTO* genotype influence
172 changes in body weight and WC in carriers and non-carriers of the *FTO* risk allele?”), we
173 compared Level 3 risk and non-risk carriers, for whom *FTO* genotype was disclosed, using
174 multiple regression analysis. Our secondary research question (“Is *FTO*-based personalized advice
175 more effective at reducing body weight and WC than non-personalized guidelines or, personalized
176 advice based on diet or diet and phenotype alone?”), was tested using multiple regression,
177 comparing Level 3 risk carriers (reference group) with changes observed in Level 0, Level 1, and
178 Level 2.
179 Multiple imputations by fully conditional specification methods (29) were used to address missing
180 data for body weight and WC. All statistical analyses were performed using Stata (version 14;
181 StataCorp, College Station, TX, USA) and significance was set at $P < 0.05$.

182

183 **RESULTS**

184 **Study participants**

185 A total of 5562 participants were screened online between August 2012 and August 2013; the
186 characteristics of these individuals have been reported in the supplemental material and elsewhere
187 (27). The first 1607 volunteers meeting the inclusion criteria were recruited to the RCT and
188 randomized to one of the four intervention arms (**Figure 1**) (26). Only participants advised to
189 reduce their body weight or WC at baseline (Levels 1-3), or controls who would have been advised
190 to do so if they had not been in Level 0, were included (n=683; Figure 1). Baseline characteristics
191 of these participants by intervention arm are shown in **Table 2**. In summary, 51% of the
192 participants were women, mean age 43.3 (range 18 to 73 years) and mean BMI 29.3 (range 25.0

193 to 61.7) kg.m⁻². After 3 and 6 months, 10% and 14% of participants randomized to the intervention
194 were lost to follow-up, respectively (Figure 1). However, intention-to-treat analyses were
195 performed and therefore missing data for body weight and WC at month 3 and month 6 were
196 imputed as described in the Methods section.

197

198 **Changes in adiposity marker in risk and non risk carriers of the *FTO* genotype**

199 For the overall cohort, irrespective of intervention arm (**Table 3**, analyses including all participants
200 from L0-L3), risk carriers of the *FTO* genotype (AT/AA, n=491) achieved significantly ($P=0.023$)
201 bigger weight reductions (-2.10 kg [95% CI: -2.49 to -1.70]) compared with non risk carriers (TT,
202 n=192) (-1.19 kg [95% CI: -1.79 to -0.59]) at month 6. Similarly, significant differences ($P=0.016$)
203 were observed between *FTO* genotypes for WC (-3.85 cm vs -2.46 cm for risk and non risk carriers,
204 respectively). However, no significant differences in changes for either body weight or WC
205 between carriers and non-carriers of the risk allele were observed at month 3 (**Supplemental Table**
206 **1**).

207

208 **Effect of knowledge of *FTO* genotype on changes in obesity-related markers**

209 These findings are restricted to participants randomized to Level 3 and who received personalised
210 advice to reduce their body weight and/or WC. At month 3, body weight and WC were reduced
211 significantly for both risk and non-risk carriers of the *FTO* gene in Level 3 (**Supplemental Table**
212 **2**). However, there were no significant effects of disclosure of *FTO* risk on changes in obesity-
213 related markers at month 3 (**Supplemental Table 2** and **Supplemental Figure 3**). Furthermore,
214 in Level 3, nearly twice as many participants carrying the risk allele lost at least 5% body weight
215 as non-risk carriers (14.2 and 7.6 %, respectively) (**Supplemental Table 2**).

216 Similarly, body weight and WC were significantly reduced from baseline to month 6 in both risk
217 and non-risk carriers of the *FTO* risk allele, who were randomized to Level 3 (**Table 3**). Moreover,
218 significant differences were found between Level 3 risk and non-risk carriers of the *FTO* gene for
219 each of the obesity-related outcomes; reductions in body weight and WC were almost twice as
220 large in Level 3 risk carriers (-2.28 kg and -4.34 cm) compared with Level 3 non-risk carriers (-
221 1.19 kg and -1.99 cm) (**Table 3**). Furthermore, 16.2% of Level 3 non-risk carriers compared with
222 27.4% of the risk carriers, achieved a weight loss >5% at month 6. Similar results were observed
223 for WC (**Table 4**). Although there was no significant interaction between *FTO* genotype and
224 intervention arm for body weight ($P=0.641$) or WC ($P=0.523$), larger reductions in obesity-related
225 traits were observed for *FTO* risk carriers, compared with non-risk carriers, in Levels 0-2 where
226 participants had no knowledge of their genotype (**Figure 2**).

227

228 **Effect of *FTO*-based personalized advice on obesity-related markers compared with other** 229 **forms of personalization**

230 Significant reductions in WC were observed at month 3 in Levels 0 (-1.67 cm), 1 (-2.10 cm) and
231 2 (-2.14 cm) participants, who were not stratified by *FTO* genotype. However, these changes were
232 lower than those observed for Level 3 risk carriers (-3.47 cm). The WC reduction in Level 3 risk
233 carriers was significantly greater than for participants in Level 0 ($P=0.015$), Level 1 ($P=0.039$) and
234 for Level 2 ($P=0.046$) who were not stratified by their *FTO* genotype. However, none of these
235 findings remained significant after correction for multiple testing (using $P<0.01$). Participants in
236 Levels 0, 1 and 2 also showed significant reductions in weight (**Supplemental Table 3**). At month
237 6, there were significant reductions in body weight and WC for participants in all intervention
238 groups (**Table 5**).

239

240 **DISCUSSION**

241 **Main findings**

242 The main findings of this study were: a) both non-personalized and personalized forms of advice
243 were effective at reducing body weight and WC after a 6-month intervention and b) compared with
244 the control group, those in Level 3 who were *FTO* risk carriers had significantly greater reductions
245 in body weight (-1.34 vs -2.28 kg, $p=0.045$) and WC (-2.82 vs -4.34 cm, $p=0.046$). However, the
246 magnitude of changes observed in Level 1 and 2, who received non-genetic based personalized
247 advice, for body weight (-2.08 and -1.96 kg, respectively) and WC (-3.51 and -3.63 cm,
248 respectively) was similar to those observed in Level 3 *FTO* risk carriers ($p>0.05$).

249 **Comparison with other studies**

250 In the last decade, there has been growing interest in tailoring lifestyle interventions using personal
251 DNA information (30). It has been hypothesized that providing lifestyle advice based on genetic
252 information would motivate people to make behavioral changes favorable for disease prevention,
253 beyond what could be achieved with non-gene-based tailored programs. In a recent meta-analysis,
254 Hollands *et al.*,(31) reported no effect of adding DNA-based disease risk estimates compared with
255 a non-DNA based approach for interventions aiming at smoking cessation (six studies; $n=2663$),
256 improving diet (seven studies; $n=1784$), and increasing physical activity (six studies; $n=1704$). The
257 authors concluded that evidence supporting gene-based interventions for behavior change is
258 lacking. Existing data come from studies with predominantly high or unclear risk of bias, and
259 where the evidence was typically of low quality. Therefore, larger and better quality studies should
260 be performed to elucidate the effect of personalized advice based on genetic information (31).

261 The evidence in favor of gene-based lifestyle advice is limited. Arkadianos *et al.* reported that
262 participants in a traditional weight management diet group and participants receiving a
263 nutrigenetically tailored diet both lost similar amounts of weight at 100-300 days of follow up.
264 Thereafter, participants in the nutrigenetic group were significantly more likely to maintain their
265 weight loss compared with the control group (32). In contrast, there were no short-term (~3
266 months) or longer-term (~1 year) changes in self-reported anxiety, or exercise, in generally healthy
267 adults receiving information from a commercial direct-to-consumer genome-wide risk test (33,
268 34). However, this study reported changes in fat intake for those individuals who received
269 increased obesity risk feedback (33). Frankwich and colleagues observed no between-group
270 differences in weight loss in a small study of American veterans randomly assigned either to a
271 genetics-guided therapy group, where participants received one of four diets (balanced, low-
272 carbohydrate, low-fat or Mediterranean) based on their risk status for seven obesity-related SNPs
273 (*APOA2*, *ADIPOQ*, *FTO*, *KCTD10*, *LIPC*, *MMAB* and *PPARG*), or to a standard therapy group,
274 where participants followed a balanced diet (35). Furthermore, Meisel *et al.* showed that healthy
275 individuals receiving feedback on *FTO* status in their weight control advice felt more prepared to
276 control their weight but this had no greater effect on behavior than weight control advice alone
277 (25). Our results are in line with studies outlined above. We observed that the magnitude of weight
278 and WC reductions was similar in all three groups receiving personalized advice; adding gene-
279 based advice did not seem to promote adiposity changes beyond what was achieved by tailored
280 feedback based on diet or diet and phenotype alone.

281 Although differences in weight and WC reductions were almost twice as large in individuals
282 informed of their risk for *FTO*, compared with those informed of their absence of *FTO*-related
283 risk, there was no clear evidence that risk knowledge played a role. Surprisingly, *FTO* risk carriers,

284 irrespective of their intervention group, had greater improvements in obesity-related markers than
285 non-risk carriers. This was an unexpected and rather counter-intuitive finding. All other factors
286 being equal (same environment), one would expect that individuals who are genetically (and/or
287 epigenetically) predisposed to obesity would have to make greater efforts to counter this
288 predisposition and to achieve similar weight loss as other obese individuals who are not genetically
289 predisposed. Alternatively, the fact that carriers of the *FTO* risk allele were slightly heavier than
290 non-risk carriers may mean that they have greater motivation to lose weight when compared with
291 participants with no copies of the *FTO* risk variant, who were lighter at baseline. For example, in
292 a relatively small study of 51 obese or overweight U.S. veterans, Frankwich and colleagues
293 observed that participants who had low-risk polymorphisms for obesity lost more weight than all
294 other participants at 8 weeks and had significantly greater reductions in BMI and WC at 24 weeks
295 (35). However, these findings are in disagreement with a recent meta-analysis conducted using
296 9563 individual participant data from eight randomized controlled trials. This study found that the
297 *FTO* genotype had no detectable effect on weight loss in overweight and obese adults in response
298 to lifestyle or drug-based intervention (36).

299

300 **Strengths and limitations**

301 The Food4Me study is the largest Internet-based intervention on personalized nutrition to date.
302 Innovative aspects of the Food4Me Study include the creation of algorithms for delivering tailored
303 lifestyle advice based on participant characteristics including behavioral, phenotypic and
304 genotypic information. Another strength of the study was the delivery of the intervention across 7
305 European countries via the Internet and application of a remote system for data and biological
306 sample collection. Our Internet-based platform was effective in retaining participants; 85%

307 completed month 6 follow up and there was > 98% compliance with DNA testing, which is high
308 compared with previous web-based survey research (37) and web-based (34) or face-to-face (25)
309 genetic-based interventions. In a study of direct-to-consumer genomic testing, Bloss *et al.* reported
310 44% and 63% dropouts at months 3 and 12, respectively (33, 34). Moreover, the profile of those
311 interested in participating in the Food4Me intervention study was similar to that of European adults
312 (26), most of whom would benefit from improved diet and more PA. Finally, we used multiple
313 imputation procedures to address missing data and so maximized the amount of useful information
314 available from the 683 participants in the part of the Food4Me Study.

315 Our limitations include that we did not investigate how participants perceived the DNA-based
316 feedback. Given that Food4Me was an intervention targeting multiple, dietary and lifestyle
317 behaviors, the impact of the genotypic results might have been diluted by the volume of other
318 information provided. Moreover, the genetic feedback was “only” a positive reinforcement, i.e.
319 that participants with the higher-risk genotype would benefit more by reducing their weight and
320 WC. The greater risk for obesity and associated co-morbidities was not stressed in the reports and
321 it is possible that the impact of such feedback would have been stronger. Additionally, some of the
322 analyses performed by intervention arm and *FTO* genotype in this investigation of secondary
323 outcomes may not have the statistical power to detect biologically/ clinically-relevant differences
324 in adiposity. Larger studies are needed to corroborate these findings. Finally, height, weight, and
325 WC were self-reported but a concurrent validation study showed that the self-reported
326 anthropometric measures were reliable (38).

327 **Conclusion**

328 Larger reductions in body weight and WC were observed for risk carriers compared with non-risk
329 carriers of the *FTO* gene. However, changes in these obesity-related traits were similar in all

330 groups receiving personalized advice. Adding genetic information to the tailored feedback did not
331 enhance the effectiveness of the intervention, compared with personalization based on diet or diet
332 and phenotype alone. Our personalized Internet-based intervention was effective at recruiting and
333 retaining participants. This offers promise as a scalable and sustainable route to improve behaviors
334 with important public health benefits (11).

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337 ERG, LB, YM, IT, CAD, JAL, JAM, WHMS, HD, MG and JCM contributed to the research
338 design. CCM, CFMM, RSC, SNC, COD, CW, HF, RF, ALM, SK, CPL, GM, AS, MCW and JCM
339 conducted the intervention. CFMM, WHMS, CCM contributed to physical activity measurements.
340 CCM, CFMM and KML performed the statistical analyses for the manuscript. CCM, CFMM,
341 KML, WHMS and JCM drafted the paper. All authors contributed to a critical review of the
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Table 1. Genetic feedback delivered to participants randomized to Level 3¹

Genes	Targeted recommendation	Nutritional influences associated with some variations of this gene	Do you have the genetic variation that can be modified by dietary change?
<i>FTO</i>	Reduce body weight	A specific variation of this gene is associated with a greater need to maintain a healthy body weight and engage in physical activity. A healthy weight combined with exercise may provide added health benefits for these individuals.	Yes / No
<i>FADS1</i>	Increase Omega 3 intake	People with a specific variation of this gene can benefit by increasing their intake of the healthy omega-3 fat found in oily fish. Increasing omega-3 intake has been associated with an improvement in factors relating to cardiovascular health in these individuals.	Yes / No
<i>TCF7L2</i>	Reduce fat intake	A specific variation of this gene is associated with improved weight loss when following a low fat diet compared to other weight loss diets. Reducing dietary fat may enhance weight loss in these individuals.	Yes / No
<i>ApoE(e4)</i>	Reduce saturated fat intake	A specific variation of this gene is associated with a greater need to maintain healthy cholesterol levels. Decreasing saturated fat intake has been associated with an improvement in cholesterol and factors relating to cardiovascular health in these individuals.	Yes / No
<i>MTHFR</i>	Increase folate intake	People with a specific variation of this gene can benefit by increasing their intake of the vitamin folate. Increasing folate intake (found in green leafy vegetables) has been associated with an improvement in factors relating to cardiovascular health in these individuals.	Yes / No

¹Genetic information provided to participants randomized to the “Level 3” and who received personalised advice based on diet, phenotypic markers and these genetic markers.

Table 2. Baseline characteristics of the Food4Me participants with high BMI or WC by intervention arm¹

	Level 1 “Control”	Level 1 “Diet”	Level 2 “Diet + Phenotype”	Level 3 <i>FTO</i> Non-risk (TT)	Level 3 <i>FTO</i> Risk (AT/AA)
Total (n) BMI ≥ 25.0 kg.m ⁻²	171	153	173	47	139
Total (n) WC >88 or >102 cm for women and men respectively	84	82	96	27	71
Sex - women (%)	53.8	49.0	47.4	48.9	54.6
Age (years)	42.9 (12.2)	44.2 (11.4)	43.9 (12.1)	42.2 (13.3)	43.7 (11.9)
Anthropometrics					
Weight (kg)	85.1 (12.6)	87.5 (15.0)	87.3 (12.8)	83.9 (12.4)	86.1 (12.9)
BMI (kg.m ⁻²)	29.0 (3.8)	29.7 (4.5)	29.8 (3.9)	28.7 (3.1)	29.4 (4.3)
WC (cm)	95.7 (11.1)	96.0 (0.12)	96.9 (11.6)	94.2 (10.8)	96.1 (11.0)
Physical Activity					
PAL ²	1.69 (0.13)	1.72 (0.16)	1.70 (0.16)	1.71 (0.13)	1.69 (0.13)
Sedentary time (min.day ⁻¹)	761.9 (77.5)	761.9 (73.9)	761.0 (84.2)	756.5 (74.7)	767.7 (79.4)

¹Level 0 received non-personalized advice. Levels 1, 2, and 3 received personalized advice based on Diet, Diet + Phenotype, or Diet + Phenotype + Genotype, respectively. Baseline characteristics for all interventions arms include only participants with a BMI ≥ 25.0 and/or WC >88 cm and >102 cm for women and men, respectively.

²PAL, physical activity level (ratio between total energy expenditure and basal metabolic rate);

Table 3. Changes in obesity-related markers at month 6 in risk and non-risk carriers of the *FTO* genotype¹

	<i>FTO</i> non-risk (TT)	<i>FTO</i> risk (AT/AA)	<i>P</i> -value for difference in change between risk and non-risk carriers
Analysis including participants from L0-L3 ²			
Weight (kg)			
n	192	491	
Delta	-1.19*	-2.10*	<i>P</i> =0.023
(95% CI)	(-1.79, -0.59)	(-2.49; -1.70)	
WC (cm)			
n	107	252	
Delta	-2.46*	-3.85 *	<i>P</i> =0.016
(95% CI)	(-3.40, -1.51)	(-4.49; -3.21)	
Analysis restricted to participants in L3 ³			
Weight (kg)			
n	47	139	
Delta	-1.19*	-2.28*	<i>P</i> =0.037
(95% CI)	(-2.19, -0.19)	(-3.06, -1.48)	
WC (cm)			
n	27	71	
Delta	-1.99*	-4.34*	<i>P</i> =0.048
(95% CI)	(-4.04, -0.05)	(-5.63, -3.08)	

¹Data presented as delta and 95% confidence interval. Significant changes between baseline and month 6: **P*<0.01; Models were adjusted for country, age, sex, and baseline outcome measures. Intervention arm was included as an additional covariate in the analysis. Deltas were calculated as [month 6 – baseline]. ²These analyses pooled participants from all interventions groups (control, L1, L2 and L3) who were advised to loss body weight or to reduce their WC, irrespective of whether they were informed or not of their genetic risk. ³These analyses were restricted to those participants randomized to Level 3 and who were informed of their *FTO* genotype (risk or non risk) and who were advised to loss body weight or reduce their WC. Significant changes in the outcomes from baseline were tested using multiple regression analysis. Differences in the outcomes delta between risk and non-risk carriers were tested using regression analysis.

Table 4. Percentage of participants who achieved 2.5%, 5% and 10% weight loss or waist circumference (WC) reduction by intervention arm at month 6¹

	Level 1 “Control”	Level 1 “Diet”	Level 2 “Diet + Phenotype”	Level 3 <i>FTO</i> non risk (TT)	Level 3 <i>FTO</i> risk (AT/AA)
Weight (kg)					
n	171	153	173	47	139
2.5% to 4.9%	20.5	20.4	15.4	21.6	21.7
5.0% to 9.9%	13.0	11.8	18.8	16.2	21.8
≥10%	4.8	8.7	4.0	0	5.6
WC (cm)					
n	84	82	96	27	71
2.5% to 4.9%	20.0	16.7	24.2	13.5	16.3
5.0% to 9.9%	14.5	16.7	24.2	13.5	22.6
≥10%	6.2	9.5	6.8	2.7	8.1

¹Data presented as percentages. No formal comparisons between groups were made for results presented in this table. These analyses were restricted to participants who were advised to lose body weight or to reduce their WC.

Table 5. Changes in obesity-related markers at month 6 in Level 3 (*FTO* risk and non risk carriers) compared with participants in Levels 0, 1 or 2 who did not receive genotype advice¹

	Intervention arms					<i>P-value</i>		
	Level 0 “Control group”	Level 1 “Diet”	Level 2 “Diet + Phenotype”	Level 3 <i>FTO</i> non risk (TT)	Level 3 <i>FTO</i> risk (AT/AA)	L3 <i>FTO</i> risk vs Control	L3 <i>FTO</i> risk vs L1	L3 <i>FTO</i> risk vs L2
Weight (kg)								
n	171	153	173	47	139			
Delta	-1.34	-2.08	-1.96	-1.19	-2.28	0.045	0.752	0.602
(95% CI)	(-2.02, -0.66)*	(-2.83, -1.31)*	(-2.54, -1.37)*	(-2.19, -0.19)*	(-3.06, -1.48)*			
WC (cm)								
n	84	82	96	27	71			
Delta	-2.82	-3.51	-3.63	-1.99	-4.34	0.046	0.290	0.361
(95% CI)	(-3.86, -1.78)*	(-4.82, -2.21)*	(-4.54, -2.72)*	(-4.04, -0.05)*	(-5.63, -3.08)*			

¹Data presented as delta and 95% confidence interval. Significant changes between baseline and month 6: **P*<0.001. The *p*-value was corrected for multiple testing and significant differences were set as *p*<0.01. Models were adjusted for country, age, sex, and baseline outcome measures. Deltas were calculated as [month 6 – baseline]. Significant changes in the outcomes from baseline and differences within the each level were tested using multiple regression analysis. These analyses were restricted to participants in Levels 0, 1 and 2 who were advised to lose body weight and/or to reduce their WC and who were not stratified by *FTO* genotype for comparison with participants in Level 3 who were further stratified as *FTO* risk and non risk carriers.

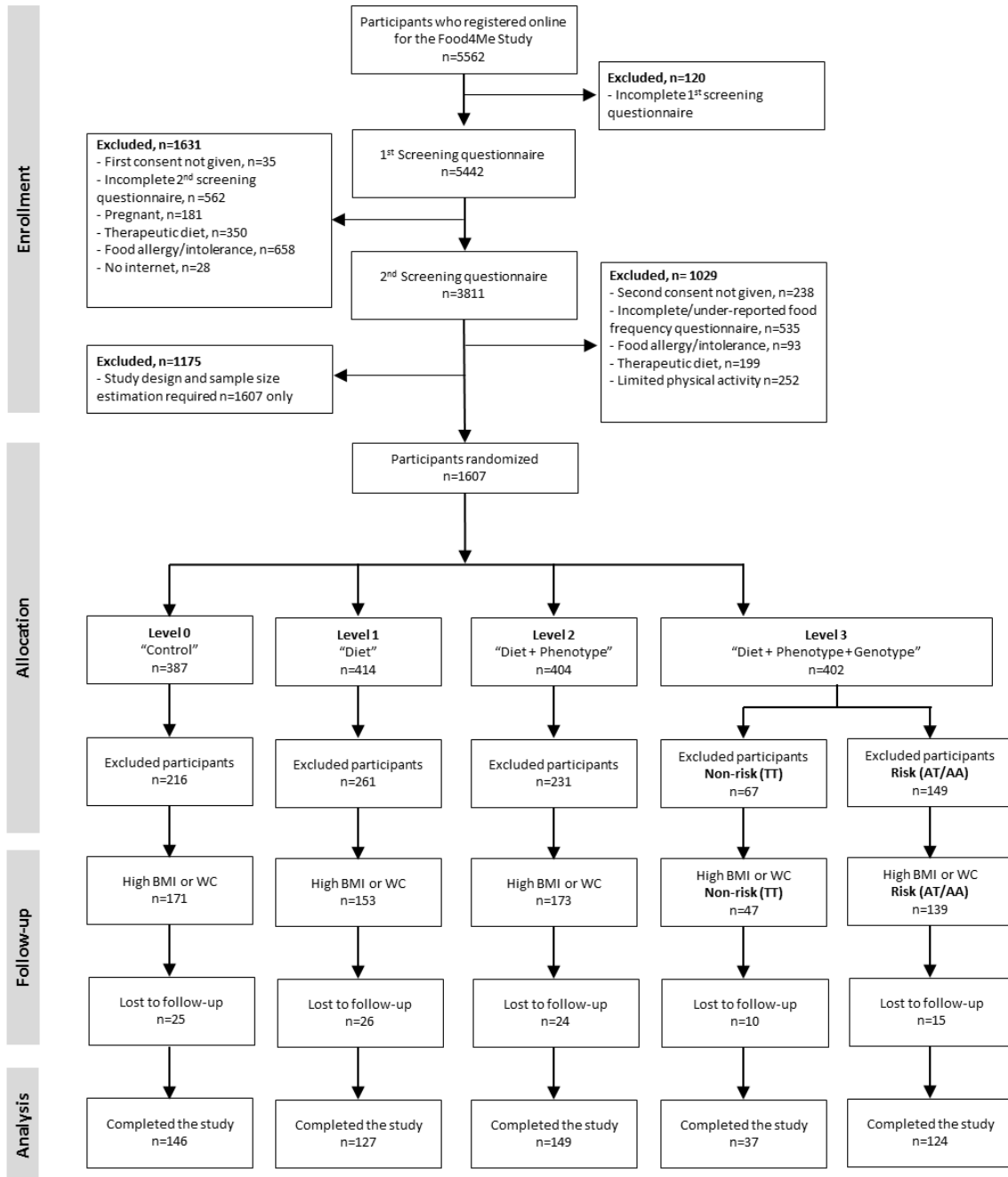


Figure 1. CONSORT diagram

BMI, body mass index; WC, waist circumference. Participants in Level 0 received non-personalized advice, whereas participants in Levels 1-3 received personalized advice, during the intervention. Participants in Levels 1-3 with high BMI ($\geq 25 \text{ kg}\cdot\text{m}^{-2}$) or WC (>88 or 102 cm for women or men, respectively) at baseline were advised to reduce their body weight. For analyses, Level 3 was stratified based on *FTO* genotype (TT: non-risk and AA, AT: risk).

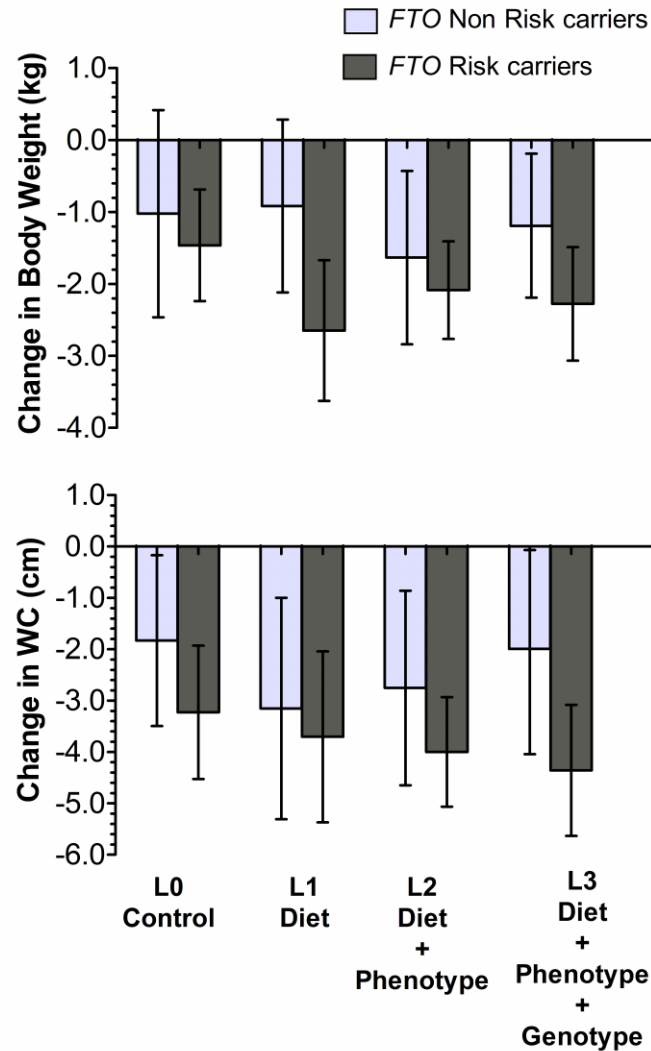


Figure 2. Changes in obesity-related markers at month 6 by intervention arm and *FTO* genotype

Data are presented as mean delta from baseline and 95% confidence interval. Non risk and risk carriers across all intervention Levels reduced their waist circumference at month 6 compared with month 0 ($P < 0.001$). Similar reductions were observed for body weight except for non risk carriers in Levels 0 and 1. No significant interactions were observed between intervention arm and *FTO* genotype for any of the outcomes. Analyses were adjusted for age, sex, country and outcome values at baseline. WC, waist circumference. The interaction between intervention arm and *FTO* genotype was tested using regression analysis ($P = 0.641$ and $P = 0.523$ for body weight and WC, respectively). Participants included in the analysis were restricted to those advised to reduce their body weight and/or WC. Numbers of participants included for body weight non risk and risk carriers, respectively,

Celis-Morales CA - AJCN. First published ahead of print April 5, 2017 as doi: 10.3945/ajcn.116.145680.

were L0 n=46, 124; L1 n=50, 101; L2 n=48, 125; L3 n=47, 139. Numbers for WC non risk and risk carriers, respectively, were L0 n=24, 59; L1 n=28, 54, L2 n=28, 68; L3 n=27, 71.