



Porter, D. et al. (2016) Tumour necrosis factor inhibition versus rituximab for patients with rheumatoid arthritis who require biological treatment (ORBIT): an open-label, randomised controlled, non-inferiority, trial. *Lancet*, 388(10041), pp. 239-247.

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**Optimal management of RA patients who require Biologic Therapy (ORBIT) – a randomised controlled, non-inferiority study**

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37 **Abstract**

38  
39 **Background**

40 Tumour necrosis factor inhibition (TNFi) and B cell depletion are highly effective  
41 treatments for active rheumatoid arthritis (RA) but to date no randomised controlled trials  
42 have directly compared their safety, efficacy and cost effectiveness. This study was  
43 undertaken to test the hypothesis that using rituximab would be clinically non-inferior and  
44 cheaper compared to TNFi therapy in biologic-naive patients with RA.

45  
46 **Methods**

47 An open label randomised controlled trial of two strategies of treatment over 12 months  
48 in patients with active, sero-positive RA and an inadequate response to synthetic  
49 disease modifying anti-rheumatic drugs (DMARDs). Patients were randomised (1:1) to  
50 receive either rituximab or TNFi (either etanercept or adalimumab) as their first biologic  
51 DMARD. Patients switched treatment to the alternative mode of action biologic in the  
52 event of drug-related toxicity or lack/loss of response. The primary outcome measure  
53 was the change in 28 joint count disease activity score (DAS28-ESR) between 0 and 12  
54 months. The non-inferiority margin was specified as 0.6 DAS28-ESR units.

55  
56 **Findings**

57 295 patients were randomised and treated with either rituximab or TNFi therapy. At  
58 baseline, there were no significant differences between the groups in age, gender,  
59 disease duration, disease activity or intolerance to methotrexate. After 12 months, the  
60 change in DAS28-ESR for patients randomised to rituximab-first (-2.7) was non-inferior  
61 to that for patients randomised to TNFi-first (-2.6) with the difference lying within the pre-  
62 specified non-inferiority limit of 0.6 units (estimated difference -0.19, 95% CI -0.51, 0.13;  
63 p=0.24). No between-group differences were found for the proportion of patients  
64 achieving good response (rituximab 43% v TNFi 40%), DAS28-ESR remission (rituximab  
65 23% v TNFi 21%), ACR20 (rituximab 66% v TNFi 71%), ACR50 (rituximab 49% v TNFi  
66 45%) or ACR70 (rituximab 25% v TNFi 23%) response. There were no differences in the  
67 change in health assessment questionnaire (HAQ) score, Hospital Anxiety and  
68 Depression (HAD) score or health-related quality of life. A higher proportion of patients  
69 switched from TNFi therapy to rituximab than *vice versa* (rituximab 19% v TNFi 32.5%,  
70 p=0.008). The health related costs associated with the rituximab-first strategy were lower  
71 than the TNFi-first strategy (£8391 v £10,356 per patient, p<0.001). In summary, starting  
72 treatment with rituximab is non-inferior to initial TNFi therapy in biologic-naïve patients  
73 with sero-positive RA, and is cost saving over 12 months.

74  
75 **Funding**

76 The study was funded by Arthritis Research UK. Roche provided supplies of rituximab  
77 free of charge.

78  
79 **Trial Registration**

80 ClinicalTrials.gov NCT01021735

81  
82 **Key Words**

83 Rheumatoid arthritis; Tumour necrosis factor; etanercept; adalimumab; rituximab; cost  
84 effectiveness

## 88 **Background**

89 TNF inhibitor (TNFi) therapy is an integral component of the drug treatment of  
90 rheumatoid arthritis (RA) patients who fail to exhibit or maintain an adequate response to  
91 non-biologic Disease Modifying Anti-Rheumatic Drugs (nbDMARDs).<sup>1</sup> Five originator  
92 TNFi drugs (infliximab, etanercept, adalimumab, golimumab and certolizumab) have  
93 been granted marketing authorisation for the treatment of RA. They are effective in  
94 patients who are nbDMARD-naïve, respond inadequately to methotrexate (MTX-IR), or  
95 fail to respond to another TNFi (TNF-IR). Rituximab is an anti-CD20 monoclonal  
96 antibody that depletes a variety of pathophysiologic subsets within the B cell population.  
97 Rituximab is approved for use in TNF-IR patients<sup>2-4</sup> but it is also effective in patients who  
98 are nbDMARD-naïve or MTX-IR.<sup>5</sup> It is possible that rituximab is more or less effective  
99 than TNFi therapy in biologic-naïve patients but head to head trials have not been  
100 carried out. In placebo controlled studies, the overall response rates to TNFi or rituximab  
101 therapy are similar. However, important differences between the study populations make  
102 indirect comparison of limited usefulness, and the data are compatible with important  
103 clinical differences in safety, efficacy or cost effectiveness.

104  
105 All biologics are expensive and the relative cost effectiveness of each therapy needs to  
106 be considered, but there is considerable uncertainty associated with health economic  
107 modelling. For example in the UK, the cost of TNFi therapy is approximately £9-10,000  
108 per annum; rituximab costs ~£3,500 per treatment course, which needs to be repeated  
109 every 6-9 months giving an annual cost of £4700 - 7000. Were rituximab to prove as  
110 effective as TNFi therapy in biologic-naïve patients it could result in substantial  
111 reductions in healthcare costs. On the other hand, if TNFi therapy is more effective than  
112 rituximab therapy, it would be important to have good evidence to inform health  
113 technology appraisals which might otherwise conclude from the available evidence that  
114 rituximab offers a more cost-effective alternative.

115  
116 The Optimal Management of RA patients who Require Biologic Therapy (ORBIT) study  
117 was designed to compare the efficacy, safety and cost-effectiveness of rituximab-first  
118 and TNFi-first strategies in biologic-naïve RA patients with active disease despite  
119 nbDMARD therapy. The hypothesis was that a treatment strategy that starts with  
120 rituximab, and switches to TNFi if required, would be non-inferior to a strategy that starts  
121 with TNFi therapy, and switches to rituximab if required. Further, the study sought to  
122 estimate the incremental cost effectiveness ratio (ICER) of the more effective drug (if it is  
123 associated with higher costs) or the total cost savings associated with prescribing the  
124 cheaper drug (if it is at least as effective as the more expensive drug).

## 125 **Methods**

126  
127 The study protocol was approved by the West of Scotland Research Ethics Committee  
128 and registered with ClinicalTrials.gov (NCT01021735). All participants provided written,  
129 informed consent. Patients were recruited between 2009 and 2013 from 35  
130 rheumatology departments in the United Kingdom. The study was an open label,  
131 randomised, controlled, non-inferiority trial comparing two strategies of biologic therapy  
132 in biologic naïve patients over 12 months.

## 133 **Randomisation and masking**

134  
135 Patients were randomised in a 1:1 ratio to treatment strategy groups using a telephone-  
136 operated Interactive Voice Response System. Minimisation was used to ensure similar  
137 numbers of methotrexate intolerant patients were allocated to each group. All patients,

138 treating clinicians and research nurse were aware of treatment allocation. Analyses were  
139 conducted by statisticians who were masked to treatment allocation.

140

#### 141 **Inclusion/exclusion criteria**

142 Adult patients (>18y) who fulfilled the 1987 ACR classification criteria for a diagnosis of  
143 RA were eligible for the study if they: 1. had active disease (DAS28-ESR>5.1) despite  
144 treatment with at least two nbDMARDs including methotrexate; 2. had not previously  
145 been treated with biologic therapy and; 3. were sero-positive for rheumatoid factor  
146 and/or anti-CCP antibodies. Patients were excluded if they: were pregnant or breast-  
147 feeding; were women of child-bearing potential (or men whose partners were women of  
148 child-bearing potential) who were unwilling to use effective contraception; had a history  
149 of another autoimmune rheumatic disease other than RA; had received recent ( $\leq 2$   
150 weeks) intra-articular or parenteral corticosteroids; had an active infection; had septic  
151 arthritis within a native joint within the last 12 months; had septic arthritis of a prosthetic  
152 joint within 12 months or indefinitely if the joint remained in situ; known HIV or hepatitis  
153 B/C infection; had latent TB infection unless they had completed adequate antibiotic  
154 prophylaxis; had malignancy (other than basal cell carcinoma) within the last 10 years;  
155 had New York Heart Association (NYHA) grade 3 or 4 congestive cardiac failure; had  
156 demyelinating disease; or had any other contra-indication to the study medications as  
157 detailed in their summaries of product characteristics.

158

#### 159 **Treatment**

160 In the rituximab-first group, patients commenced rituximab, followed by TNFi therapy if  
161 rituximab was stopped because of inefficacy or toxicity. The TNFi-first group used the  
162 reverse sequence, starting with TNFi therapy before rituximab. Lack (or loss) of  
163 response was defined by a failure to achieve (or maintain) an improvement in disease  
164 activity score (DAS28-ESR) of >1.2 from baseline. However, at all times during the  
165 course of the study, the final decision about treatment resided with the patient and  
166 physician. Thus, the study aimed to capture the variety of real life treatment pathways  
167 that patients might follow, measuring the outcomes and relating these back to the  
168 original (randomised) treatment strategy.

169

170 Patients randomised to the rituximab-first group were given rituximab 1g by IV infusion  
171 on days 1 and 15. Pre-medication with oral paracetamol 1g, chlorpheniramine 10mg IV  
172 and methylprednisolone 100mg IV was given 30 minutes before each rituximab infusion.  
173 Patients who responded to rituximab were re-treated with rituximab after 26 weeks if  
174 there was still persistent disease activity (DAS28-ESR>3.2). Patients who flared, with a  
175 rise in DAS28-ESR>1.2 from the lowest DAS28-ESR recorded, could receive early re-  
176 treatment but no sooner than 20 weeks after the previous infusion. Patients randomised  
177 to the TNFi-first group were prescribed adalimumab (40mg every other week, sc) or  
178 etanercept (50mg/week, sc) according to the patient's and rheumatologist's choice.

179

180 Patients' disease activity was assessed every month for one year. Response was  
181 defined as an improvement in DAS28-ESR>1.2; good response when the DAS28-ESR  
182 fell to <3.2; and remission when the DAS28-ESR fell to <2.6. Patients could be switched  
183 to the alternative treatment after 12 weeks (or at any visit thereafter) if response was not  
184 achieved or maintained. Patients could switch therapy if drug-related adverse events  
185 occurred. Patients could be treated with non-steroidal anti-inflammatory drugs,  
186 analgesics and nbDMARDs. Changes in concomitant medication and their doses were

187 allowed and were recorded. Oral corticosteroids could be prescribed at a dose not  
188 exceeding prednisolone 10mg/day (or equivalent), but the dose had to remain stable  
189 throughout the trial. Intra-articular and intra-muscular triamcinolone could be used, but  
190 not within four weeks of the 6 and 12 month assessments, and all injection(s) were  
191 recorded.

192

### 193 **Outcome measures**

194 Demographic data were collected at baseline; disease activity (DAS28-ESR and CRP)  
195 was assessed every month; and physical function (HAQ score), mood (HAD score) and  
196 health related quality of life (EQ-5D) were recorded every three months. Patients were  
197 asked to complete a diary to capture health care costs and employment data during a  
198 one month period every 6 months. The primary outcome measure was the change in  
199 DAS28-ESR between baseline and 12 months. Secondary outcome measures included:  
200 DAS28-ESR remission, good response, moderate response and non-response;  
201 ACR20/50/70 response; area under the curve of DAS28-ESR between baseline and 12  
202 months; change in HAQ score; change in HAD score; change in EQ-5D; toxicity; and  
203 incremental cost effectiveness.

204

### 205 **Sample size and power calculations**

206 The study was powered to demonstrate non-inferiority of a rituximab-first strategy  
207 compared to TNFi-first strategy in the change from baseline DAS28 score after 12  
208 months of treatment. If the true treatment effect difference is zero, and assuming a  
209 standard deviation of 1.6 units for the change in DAS28 after 12 months,<sup>4</sup> then 151  
210 patients per group had 90% power to demonstrate non-inferiority between the study  
211 groups within a one-sided non-inferiority limit of 0.6 units which equates to the  
212 measurement error of DAS28-ESR.<sup>6</sup>

213

### 214 **Statistical Analysis**

215 The analysis of the primary outcome was carried out on the 'per protocol' population<sup>7</sup>  
216 and tested the null hypothesis that a rituximab-first strategy is inferior to a TNFi-first  
217 strategy, after adjustment for baseline DAS28-ESR using a linear regression model.  
218 Residuals were examined through residual plots and were found to be near-normal  
219 without any evidence of heteroscedasticity. The null hypothesis would be rejected if the  
220 upper limit of the 95% confidence interval in the difference in the mean change in  
221 DAS28-ESR (comparing rituximab-first to TNFi-first) was less than 0.6 units. If rituximab-  
222 first was found to be non-inferior to TNFi-first then the p-value and CI will be used in  
223 combination to assess whether rituximab-first is superior to TNFi-first therapy.  
224 Quantitative secondary outcomes were analysed in the intention to treat (ITT) population  
225 which was defined as those patients who were randomised and treated with at least one  
226 dose of study medication. For binary secondary outcomes the odds ratios of response  
227 were estimated from a baseline-adjusted logistic regression models. Adverse events  
228 were also analysed in patients who received at least one dose of study medication. No  
229 interim analyses were planned or undertaken. An independent data monitoring  
230 committee periodically reviewed the occurrence of all serious adverse events.

231

### 232 **Health Economic Analysis**

233 The economic analysis estimated the mean between-group difference in costs and  
234 quality-adjusted life years (QALYs) gained over 12 months. Costs were measured from  
235 the perspective of the health service, and the items of resource use collected included

236 costs of medicines, administering infusion, clinic visits, blood tests, radiology tests,  
237 endoscopy, other medicines used, and use of primary care and community services.  
238 Appropriate UK costs were applied using 2014 prices (Supplementary Table). QALYs  
239 were estimated from the area under the health utility curve, derived from EQ-5D  
240 questionnaire responses; the EQ5D was valued using UK time trade-off tariff values.  
241 Since all cost and QALY differences were estimated over the 12 month period from  
242 randomisation, discounting future costs and effects for societal time preference was not  
243 relevant.

244

245 Bootstrapping (5000 samples) and the method of recycled predictions were used to  
246 jointly estimate the mean between-group differences in QALYs and costs with 95%  
247 confidence intervals; these quantities are summarised and presented graphically in the  
248 incremental cost effectiveness plane.

249

### 250 **Role of the funding source**

251 The funders of the study played no part in study design, data collection, data analysis,  
252 data interpretation, writing the manuscript or the decision to submit the manuscript for  
253 publication.

254

## 255 **Results**

256 Three hundred forty four patients were screened for inclusion in the study, and 329 were  
257 randomised. 34 randomised patients (n= 21 to rituximab, and 13 to TNFi) did not receive  
258 any study medication because of inter-current illness or withdrawal of consent. The  
259 intention to treat population comprised 295 patients (144 rituximab-first, and 151 TNFi-  
260 first). 135 (94%) in the rituximab-first and 136 (90%) in the TNFi-first groups completed  
261 the follow-up period and were included in the per-protocol analysis of the primary  
262 outcome (Figure 1). In the TNFi-first group, 91 patients were treated with adalimumab  
263 and 60 were treated with etanercept. Baseline demographic characteristics and  
264 measures of disease activity were similar in the treatment groups (Table 1).

265

### 266 *Disease Activity Outcomes*

267

268 The per-protocol analysis demonstrated that the rituximab-first treatment strategy was  
269 non-inferior to the TNFi-first strategy, within the pre-specified non-inferiority limit of 0.6  
270 units. The baseline-adjusted between-group difference in the change in DAS28-ESR  
271 between baseline and 12 months follow-up (Figure 2) was estimated as -0.19 (95% CI -  
272 0.51, 0.13), p=0.24. The upper confidence limit was less than the pre-specified inferiority  
273 margin, allowing rejection of the null hypothesis that the rituximab-first strategy is inferior  
274 to a TNFi-first strategy. No significant between group differences in DAS28-ESR were  
275 observed at any time point, and there was no difference in the area-under-the-curve  
276 (AUC) for the improvement in DAS28-ESR over 12 months (Supplementary Figure 3,  
277 mean difference in AUC= 64 units (95% CI -20, 147), p=0.13).

278

279 After 6 and 12 months, there were no significant differences in the proportion of patients  
280 achieving ACR20, ACR50, ACR70, DAS28-ESR remission, good response, moderate  
281 response or non-response (Table 2). The groups showed similar improvements in EQ5D  
282 health utility, EQ5D VAS and the Anxiety and Depression Scores of the HAD Scale after  
283 6 and 12 month's follow-up. The rituximab-first group demonstrated a greater

284 improvement in HAQ over time (mean difference [95% CI] = -0.121 [-0.236, -0.006],  
285 p=0.039. Table 3).

286

### 287 *Treatment*

288

289 A significantly higher number of patients in the TNFi-first group switched to treatment  
290 with rituximab than the number of rituximab-first patients who switched to TNFi treatment  
291 (33% vs 19% respectively, p=0.008). In the rituximab-first group, 2 patients switched  
292 treatment due to toxicity and 25 due to inefficacy. In the TNFi-first group, 3 patients  
293 switched due to toxicity and 44 switched due to inefficacy. In the rituximab-first group, 57  
294 patients (39%) received 1 course of treatment, 77 (54%) received 2 courses and 10 (7%)  
295 received 3 courses. Of the 49 patients in the TNFi-first group who were switched to  
296 rituximab, 28 (57%) received 1 course and 21 (43%) received 2 courses.

297

298 In patients who switched treatment for inefficacy, there was no difference in DAS28-ESR  
299 at the point of switching (mean [SD] DAS28-ESR: rituximab-first 5.6 [0.9] v TNFi-first 6.3  
300 [1.0]), and there were similar improvements in DAS28-ESR between the switch and  
301 month 12 visits (mean [SD] change in DAS28-ESR: rituximab-first -1.3 [1.5] vs TNFi-first  
302 -1.6 [1.5], p=0.44). More patients in the TNFi-first group achieved a good response after  
303 switching to rituximab than *vice versa* but this was not statistically significant (rituximab-  
304 first 69% vs TNFi-first 86%, p=0.13), and there was no difference in DAS28-ESR at 12  
305 months in those who had switched (mean [SD]: rituximab-first 4.2 [1.5] vs TNFi-first 4.6  
306 [1.1], p=0.32).

307

### 308 *Adverse Events*

309

310 One hundred thirty seven (95%) patients in the rituximab-first group and 143 (95%)  
311 patients in the TNFi-first group reported at least 1 adverse event during the follow-up  
312 period (Supplementary Table 5). In the rituximab-first group a higher number of patients  
313 reported diarrhoea (14% vs 6%, p=0.03) whilst, in the TNFi-first group a higher number  
314 of patients reported injection site reactions (2% vs 11%, p=0.003). There were 37  
315 serious adverse events (SAE) reported in patients currently receiving rituximab (31  
316 randomised to rituximab-first arm, and six following a switch from TNFi therapy); of  
317 these, 15/37 were deemed to be possibly, probably or definitely related to the rituximab.  
318 26 patients experienced serious adverse events whilst receiving TNFi therapy (22  
319 randomised to TNFi-first arm, and four following a switch from rituximab) of which 12/26  
320 were deemed possibly, probably or definitely related to the TNFi therapy (p=0.27 for  
321 SAE occurring on rituximab vs TNFi). One patient in each group died during the study  
322 (rituximab – sepsis related to infected elbow prosthesis; TNFi – myocardial infarction).

323

### 324 *Health Economic Outcomes*

325

326 Healthcare-related costs, and Quality-Adjusted Life Years (QALYs) for each randomised  
327 group are shown in Table 4 and Supplementary Figure 4. The total healthcare-related  
328 costs were lower in the rituximab-first group (£9,405 vs 11,523, p<0.001). There was no  
329 difference in the mean AUC for EQ-5D (TNFi mean [SD] 0.519 [0.248] vs rituximab  
330 0.546 [0.212], p=0.235) indicating no difference in QALYs gained. Using generalized  
331 linear regression models, age was a significant determinant of cost and EQ-5D but  
332 gender, baseline DAS28-ESR, and methotrexate tolerance were not independently  
333 associated with either (data not shown). Absenteeism costs were slightly lower in the

334 rituximab-first group (£6,296 vs £7,662 TNF). Given the lack of evidence of a QALY  
335 difference between groups, and the clear reduction in healthcare-related costs in the  
336 rituximab-first group, the incremental cost effectiveness ratio between treatment  
337 strategies was not relevant to the analysis, and a rituximab-first strategy can be judged  
338 as the more cost-effective option.  
339

## 340 Discussion

341 Biologic DMARDs are the mainstay of therapy in moderate to severe RA. Many effective  
342 drugs are available that operate through discrete mechanisms of action. There is robust  
343 evidence for their efficacy in a variety of clinical settings; however, since there have  
344 been very few head-to-head clinical trials, there is a paucity of direct evidence about  
345 their comparative efficacy. The AMPLE study found that abatacept and adalimumab  
346 were similarly efficacious in biologic-naïve RA patients,<sup>8</sup> and the ADACTA study showed  
347 superiority of tocilizumab monotherapy compared to adalimumab monotherapy in  
348 biologic-naïve RA patients who were intolerant of methotrexate.<sup>9</sup> One study compared  
349 infliximab with etanercept, but was too small to provide reliable information about relative  
350 efficacy.<sup>10</sup> The RED-SEA study showed that adalimumab was non-inferior to etanercept  
351 in terms of persistence on therapy over 12 months, but was not powered to detect  
352 differences in efficacy.<sup>11</sup> The ORBIT study results are broadly similar to those reported in  
353 placebo-controlled randomized controlled trials of the individual drugs,<sup>2, 4-5, 12-16</sup> but it is  
354 the first head to head RCT comparing B cell depletion with TNF inhibition in RA, and  
355 convincingly shows that a rituximab-first strategy in biologic-naïve RA is non-inferior to a  
356 TNFi-first strategy. The only notable difference between the strategies was that a higher  
357 proportion of patients continued on initial rituximab therapy, without the need to switch  
358 therapy, when compared to those randomised to TNFi-first therapy (81% persistence on  
359 rituximab v 68% persistence on TNFi, p=0.008).

360  
361 Rituximab is only approved for use in patients who have failed TNFi therapy. An  
362 application to extend the license to biologic-naïve patients was rejected by the European  
363 Medicines Agency because of the rare occurrence of progressive multi-focal  
364 encephalopathy (PML). In this study, there were no differences observed in the rate,  
365 severity or relationship to study drug in serious adverse effects during the study period.  
366 This observation does not preclude the possibility of relevant differences in rare, but very  
367 serious, toxicity or differences in toxicity associated with long-term use. There were no  
368 cases of PML or demyelination, but two patients died - one from serious sepsis following  
369 rituximab therapy, and one from myocardial infarction on TNFi therapy.

370  
371 The majority of patients in the rituximab-first group (93%) received four or fewer  
372 infusions (i.e. two courses) of rituximab. During the study period, the costs associated  
373 with the rituximab-first strategy were substantially lower than those in the TNFi-first  
374 group (mean annual cost per patient: rituximab-first £8391, TNFi-first £10,356). In the  
375 UK, widespread adoption of a rituximab-first strategy, in preference to TNFi-first therapy,  
376 would currently translate into very substantial budgetary savings for health services with  
377 no measurable loss of efficacy. However, the healthcare-related costs were dominated  
378 by drug acquisition and administration costs, which may vary significantly according to  
379 local procurement agreements. The availability of TNFi biosimilars at lower acquisition  
380 costs, or the use of lower doses of drugs (e.g. rituximab 500mg per infusion<sup>17</sup>) would  
381 also affect the relative cost effectiveness of the two strategies. There are other options  
382 that are available for biologic-naïve RA patients who require biologic therapy, and it is

383 possible that another drug/strategy would be even more cost effective than rituximab.  
384 The AMPLE study found that abatacept and adalimumab are equally efficacious, but as  
385 abatacept is more expensive than TNFi therapy, it is almost certain that a rituximab-first  
386 strategy will be more cost effective than an abatacept-first strategy. Because tocilizumab  
387 monotherapy is more effective than adalimumab therapy in patients who are unable to  
388 tolerate methotrexate, it is possible that tocilizumab is more cost effective than rituximab  
389 in this patient population and this requires further study. The TACIT study compared the  
390 efficacy of combination conventional DMARD therapy with TNFi in patients who met the  
391 British Society for Rheumatology/National Institute for Clinical Excellence (BSR/NICE)  
392 eligibility criteria for the use of TNFi therapy, and found that using combination DMARD  
393 was non-inferior to TNFi therapy, and substantially more cost effective.<sup>18</sup> A significant  
394 proportion (~40%) of patients randomised to combination DMARD therapy eventually  
395 required TNFi therapy, and the implication of the ORBIT study is that further savings  
396 could be made if patients who fail to make an adequate response to combination  
397 nbDMARD therapy were then treated with rituximab rather than TNFi therapy.

398  
399 Our study has limitations: a wide range of clinical outcome measures were captured, but  
400 no radiographic outcomes were recorded. It is possible that a TNFi-first strategy would  
401 be associated with more or less radiographic joint damage, than a rituximab-first  
402 strategy. Secondly, the study was limited to patients who were sero-positive for  
403 rheumatoid factor and/or anti-cyclic citrullinated protein antibodies. Since response to  
404 rituximab is modestly greater in sero-positive patients<sup>19</sup> the results of this study should  
405 only be extrapolated to sero-negative patients with caution. Thirdly, patients who were  
406 intolerant of methotrexate were eligible for the study, even though rituximab is only  
407 approved for use in combination with methotrexate. However, this represents real life  
408 experience, and excluding patients who were intolerant of methotrexate would have  
409 limited the study's generalizability. Minimisation techniques were employed to ensure  
410 similar numbers of methotrexate-intolerant patients were randomised to each group, so  
411 this is unlikely to have significantly influenced the results. Fourthly, this was an open  
412 label study. Both patients and assessors were aware of the patients' treatment allocation  
413 and therefore there is a possibility of bias. There is no evidence that such bias existed,  
414 or which treatment arm was favoured if it did. A double-blind study design would have  
415 been more complex and costly, and been dependent on funding from three  
416 pharmaceutical companies, for example to provide matched placebo self-injection pen  
417 devices. The benefits accruing from delivering a true-to-life, investigator-initiated,  
418 charitably-funded RCT (and minimising the involvement of the pharmaceutical industry)  
419 were deemed to be more important and thus were given priority in study design. Fifth,  
420 when a patient had not responded, or lost response, the study team was advised to  
421 consider switching but treatment decisions were at the discretion of the treating  
422 physician in discussion with the patient – it is possible, therefore, that patients were kept  
423 on ineffective therapy but (on the other hand) the study will have captured usual  
424 practice. Disease activity at the point of switching was not significantly different in the  
425 two groups, arguing against any systematic bias in this regard. Finally, the 12 month  
426 follow-up period means that the study is unable to provide a comparative description of  
427 either strategy's long-term efficacy or safety. RA may affect an individual over several  
428 decades, and from a lifetime perspective, other factors are highly relevant – for example,  
429 the rates of long term drug continuation, the ability of each strategy to influence disease  
430 progression, and any effect on life expectancy.

431

432 In conclusion, initial therapy with rituximab is clinically non-inferior to and more cost  
433 effective than initial therapy with a TNFi drug in sero-positive RA patients who are  
434 eligible for biologic therapy in the UK.

435

### 436 **Competing Interests**

437 DP has received research funding, honoraria, and consultancy fees from Roche, Abbvie,  
438 Pfizer, UCB, BMS and MSD.

439 JvM has no competing interests

440 JD has received research funding from Pfizer, honoraria from Abbott, Janssen and MSD  
441 and support to attend academic meetings from Abbott and Pfizer

442 RM has received support to attend scientific meetings from UCB, Roche and Abbott

443 JMcl has received sponsorship to attend academic meetings from Roche, Pfizer and  
444 Abbott.

445 EMcR has received research funding, honoraria, and consultancy fees from Roche,  
446 Abbott, Pfizer, UCB, BMS and MSD.

447 JP has no competing interests

448 CDB received research funding and consultancy fees from Roche, Pfizer, Novartis  
449 Actelion and UCB

450 AMcC has no competing interests

451 AW has received consultancy fees from Roche, Pfizer and Abbvie

452 CP has received research funding and/or consultancy fees from Abbvie, BMS, Janssen,  
453 MedImmune, Pfizer, Roche, Sanofi and UCB

454 EC has received research funding and consultancy fees from Roche, UCB, Pfizer,  
455 Abbvie and BMS

456 IMcl has received research funding and consultancy fees from Roche, UCB, Pfizer,  
457 Abbott and BMS

458

### 459 **Authors' contribution**

460 DP (corresponding author) has full access to all the data and had the final responsibility  
461 for the decision to submit the manuscript for publication.

462 Study design: all authors

463 Data collection DP, JvM, JD, RM, JMcl, EMcR, JP, CB, JH, CP, PT, EC, IMcl

464 Analysis: AM, MM and AW designed the statistical and economics analyses, and  
465 analysed the data in discussion with the clinical authors

466 Interpretation: all authors

467 Writing: all authors

468

### 469 **Acknowledgements**

470 The study was funded by Arthritis Research UK but was not involved in the design,  
471 conduct, analysis or reporting of the clinical trial. Roche provided rituximab free of  
472 charge, and funding for the collection of a parallel biobank, but was not otherwise  
473 involved in the design, conduct, analysis or reporting of the clinical trial. The biobank is  
474 hosted by the Experimental Medicine and Rheumatology Biobank at Queen Mary  
475 University, London. The study team gratefully acknowledges the contributions of:  
476 Yasmeen Ahmad, Sangeetha Baskar, Kuntal Chakravarty, Bhaskar Dasgupta, Louise  
477 Dolan, Nagui Gendi, Richard Haigh, John Isaacs, Alison Kinder, Vinod Kumar, Alan  
478 MacDonald, Kirsten McKay, David Marshall, David McCarey, Anne McEntegart, Jenny  
479 Nixon, Mark Perry, Tanya Potter, Fouz Rahmeh, Ruth Richmond, Thalia Roussou,  
480 Richard Smith, Jaap van Laar, Richard Watts, Anthony Woolf (principal investigators);  
481 Ann Tierney (Scottish Collaborative Arthritis Research Network co-ordinator); Chris

482 Edwards (Chair, Trial Steering Committee); and Roger Sturrock (Chair, Independent  
483 Data Monitoring Committee).

484

485

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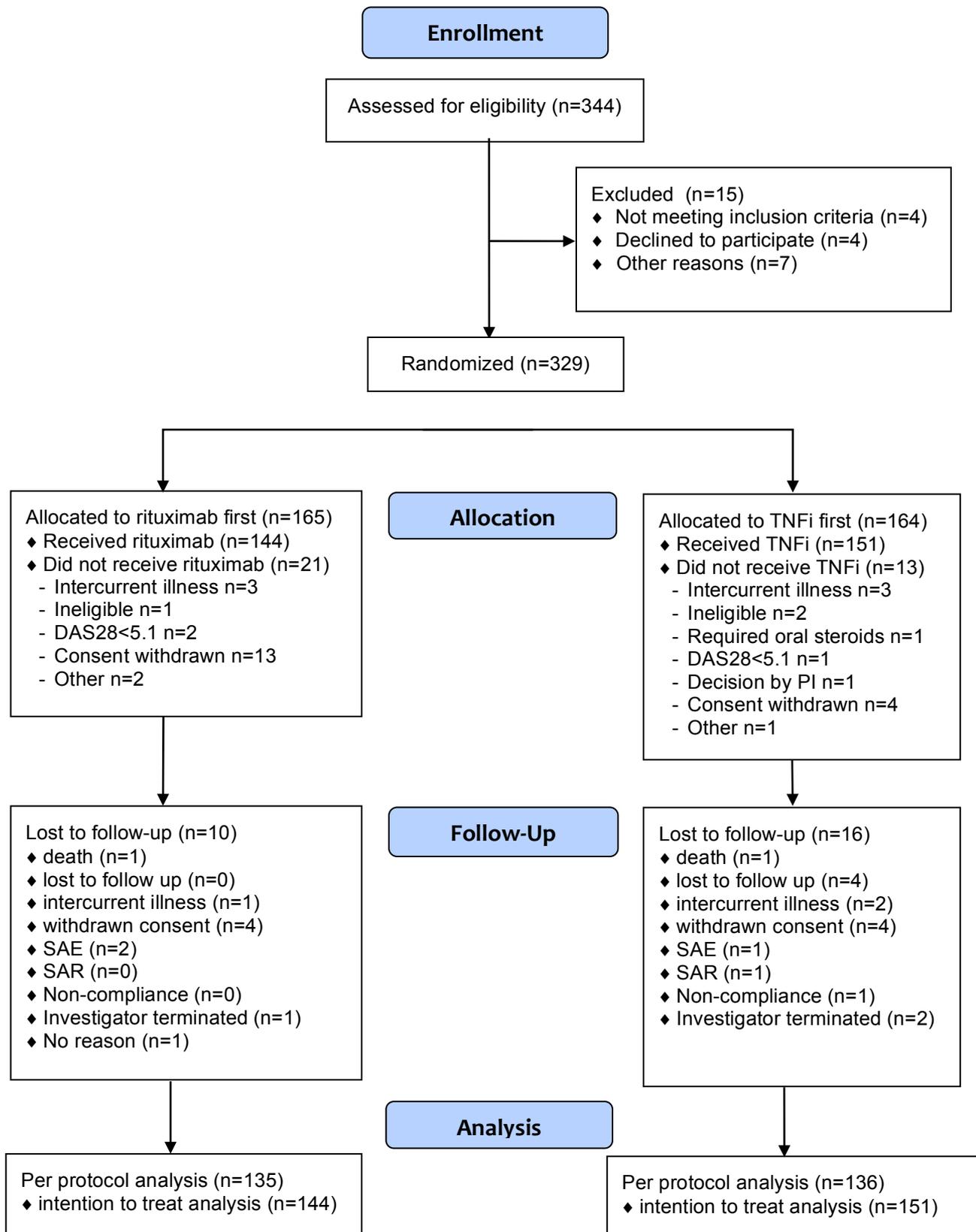
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**Figure 1** Consort diagram



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**Table 1** Baseline Characteristics - mean (SD) or %

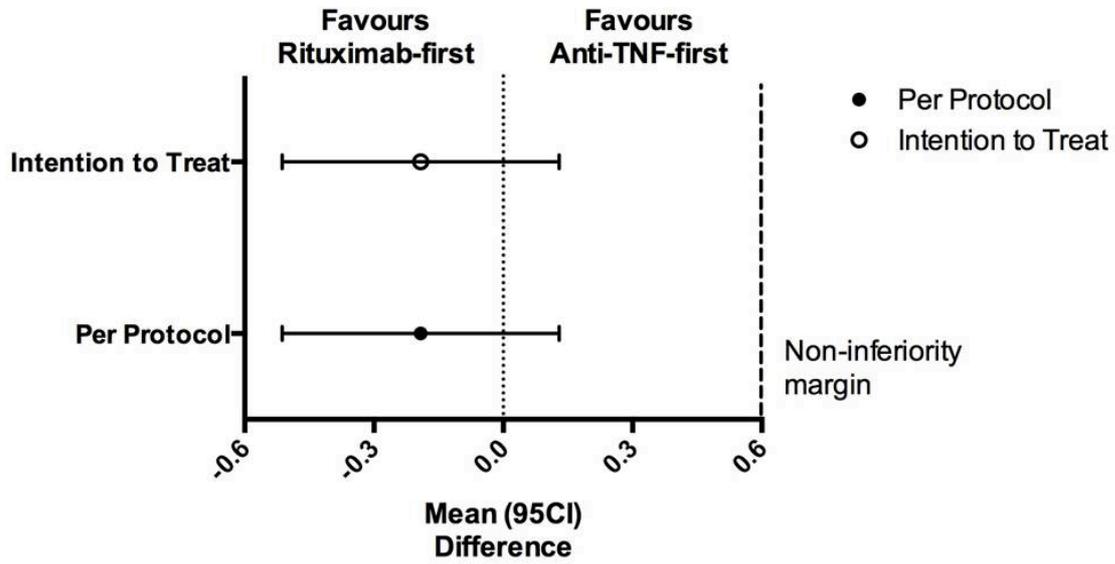
	<b>Rituximab-first</b> n = 144	<b>Anti-TNF-first</b> n = 151
<b>Age (years)</b>	57 (10)	57 (10)
<b>Gender - % female</b>	72%	72%
<b>Disease Duration (months)</b>	8.0 (7.4)	6.7 (7.1)
<b>DAS28-ESR</b>	6.2 (0.9)	6.2 (1.1)
<b>28 Tender Joint Count</b>	17 (7)	16 (7)
<b>28 Swollen Joint Count</b>	9 (5)	9 (5)
<b>Patient Global Health VAS (0-100)</b>	67 (17)	66 (19)
<b>Pain VAS (0-100)</b>	62 (18)	63 (22)
<b>Physician Global VAS (0-100)</b>	63 (17)	62 (19)
<b>CRP (mg/l)</b>	19 (24)	21 (22)
<b>ESR (mm/h)</b>	32 (24)	37 (28)
<b>HAQ (0-3)</b>	1.7 (0.6)	1.8 (0.7)
<b>EQ5D Health Utility Score</b>	0.34 (0.32)	0.30 (0.33)
<b>EQ5D VAS Score (0-100)</b>	48 (22)	43 (23)
<b>HADS Anxiety &gt;11</b>	29%	29%
<b>HADS Depression &gt; 11</b>	22%	23%
<b>Methotrexate Intolerance</b>	26%	25%
<b>Number of Concomitant DMARD*</b>	1.0 (1.0-2.0)	1.0 (0-2.0)

569 \* median (IQR)

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**Figure 2** Non-Inferiority Plot

Non-Inferiority Plot. Mean (95CI) Difference in Change in DAS28-ESR after 12 months



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**Table 2** Percentage of patients fulfilling response criteria after 6 and 12 months follow-up. Intention-to-treat population

	Rituximab-first	Anti-TNF-first	Odds Ratio (95CI)
<b>DAS28 Remission</b>			
6 months	14%	16%	0.9 (0.4, 1.8)
12 months	23%	21%	1.1 (0.6, 2.1)
<b>Good response</b>			
6 months	29%	29%	1.0 (0.6, 1.8)
12 months	43%	40%	1.1 (0.7, 1.9)
<b>Moderate response</b>			
6 months	83%	76%	1.5 (0.8, 2.8)
12 months	87%	82%	1.5 (0.7, 2.9)
<b>No response</b>			
6 months	17%	24%	0.7 (0.4, 1.2)
12 months	13%	18%	0.7 (0.3, 1.3)
<b>ACR20 response</b>			
6 months	62%	66%	0.8 (0.5, 1.4)
12 months	66%	71%	0.8 (0.5, 1.4)
<b>ACR50 response</b>			
6 months	37%	41%	0.9 (0.5, 1.4)
12 months	49%	45%	1.2 (0.7, 1.9)
<b>ACR70 response</b>			
6 months	15%	17%	0.9 (0.5, 1.7)
12 months	23%	26%	0.8 (0.5, 1.5)

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Definitions: DAS remission = DAS28-ESR<2.6; Good response = DAS28-ESR<3.2, with improvement from baseline >1.2; Moderate response = DAS28-ESR = 3.2-5.1 and improvement from baseline 0.6-1.2 or DAS28-ESR>5.1 and improvement from baseline >1.2; No response = DAS28-ESR <5.1 and improvement from baseline <0.6 or DAS28-ESR >5.1 and improvement from baseline <1.2

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**Table 3** Mean (SD) change from baseline in functional ability, mood and health-related quality of life outcomes

	<b>Rituximab-first</b>	<b>Anti-TNF-first</b>	<b>P*</b>
<b>HAQ</b>			
6 months	-0.44 (0.6)	-0.31 (0.6)	0.039**
12 months	-0.49 (0.6)	-0.38 (0.5)	
<b>EQ5D Health Utility Score</b>			
6 months	0.2 (0.4)	0.3 (0.4)	0.90
12 months	0.2 (0.4)	0.3 (0.3)	
<b>EQ5D VAS</b>			
6 months	17 (30)	20 (28)	0.48
12 months	14 (34)	21 (32)	
<b>HAD depression</b>			
6 months	-2.0 (3.4)	-2.0 (3.4)	0.60
12 months	-2.1 (3.7)	-2.3 (3.4)	
<b>HAD anxiety</b>			
6 months	-1.7 (3.5)	-1.5 (2.9)	0.73
12 months	-2.0 (3.4)	-1.9 (3.2)	

592 HAD – Hospital Anxiety & Depression; HAQ – Health Assessment Questionnaire

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\* Treatment effect over time estimated from linear mixed effect model for rituximab-first vs TNFi-first adjusted for baseline variable and DAS28-ESR

\*\* Estimated difference (95% CI) = -0.121 (-0.236, -0.006)

603 **Table 4** Healthcare related costs and QALYs over 12 months  
 604

	TNFi-first	Rituximab-first	
Medicines, infusions, clinics	£10,356	£8,391	p<0.001*
Primary care	£370	£366	p=0.92
Blood tests, Xray	£163	£141	p=0.51
Total	£11,523	£9,405	p<0.001*
Bootstrap estimated mean cost difference (95% CI) = £1,999 (£2,755, £1440)			
<b>Quality-Adjusted Life Years (1-EQ-5D AUC)</b>			
QALYs	0.481	0.454	p=0.25

Bootstrap estimated mean QALY difference (95% CI) = 0.028 (-0.041, 0.094)

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 606 \* Wilcoxon  
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## Research in Context

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### **Evidence before this study**

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613 Biologic disease modifying anti-rheumatic drugs (DMARDs) are used in the treatment of  
614 moderate to severe rheumatoid arthritis (RA) following an insufficient response to  
615 conventional DMARDs. A Pubmed search was carried out on 1<sup>st</sup> February, 2016 using  
616 the search terms 'rheumatoid', 'rituximab', 'adalimumab', 'etanercept' and 'randomised  
617 controlled trial'. There have been several placebo controlled RCTs that have  
618 established the efficacy and safety of these biologic DMARDs; indirect comparisons of  
619 short term efficacy, effectiveness and drug continuation rates have suggested similar  
620 outcomes with rituximab and TNFi therapy, but no head to head comparisons have  
621 been undertaken.

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### **Added value of this study**

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625 The ORBIT study is the first head to head study that directly compares the efficacy,  
626 safety and cost effectiveness of two strategies of care, and shows that a rituximab-first  
627 treatment strategy is non-inferior to a TNFi-first strategy. Very similar effects on disease  
628 activity, physical function, mood and health-related quality of life were observed. Fewer  
629 patients needed to switch from rituximab to TNFi therapy than vice versa, and there  
630 were no significant differences in the incidence of serious adverse events. Using  
631 rituximab-first was associated with significantly lower health related costs using UK  
632 2015 prices

633

### **Implications of the available evidence**

635 The relative cost-effectiveness of rituximab-first or TNFi-first treatment was dominated  
636 by drug acquisition and administration costs, which are context dependent - the price of  
637 biologic drugs varies according to local procurement agreements, and these are likely to  
638 be substantially affected by the advent of biosimilars that are cheaper than the  
639 originator drugs. This study suggests the cheapest drug is likely to represent the most  
640 cost effective option. However, the study has a 12 month horizon, and RA is a condition  
641 that may affect people over several decades. Consequently, the long term  
642 consequences of any differences in disease progression, effect on life expectancy  
643 and/or drug discontinuation rates need to be evaluated.