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1 Spatiotemporal reconstruction of the introduction of hepatitis C virus into Scotland and its  
2 subsequent regional transmission

3

4 Anna L. McNaughton<sup>a</sup>, Iain Dugald Cameron<sup>a</sup>, Elizabeth B. Wignall-Fleming<sup>a</sup>, Roman Biek<sup>a,b</sup>, John  
5 McLauchlan<sup>a</sup>, Rory N. Gunson<sup>c</sup>, Kate Templeton<sup>d</sup>, Harriet Mei-Lin Tan<sup>a</sup>, E. Carol McWilliam  
6 Leitch<sup>a#</sup>

7

8 MRC-University of Glasgow Centre for Virus Research, Glasgow, UK<sup>a</sup>; IBAHCM, University of  
9 Glasgow, Glasgow, UK<sup>b</sup>; West of Scotland Specialist Virology Centre, Glasgow Royal Infirmary,  
10 Glasgow, UK<sup>c</sup>; Edinburgh Specialist Virology Centre, Edinburgh, UK<sup>d</sup>

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12 Running Head: HCV transmission in Scotland

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14 #Address correspondence to E. Carol McWilliam Leitch, carol.leitch@glasgow.ac.uk

15 ALM, IDC and EBW-F contributed equally to this work.

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19 **Abstract**

20 A more comprehensive understanding of hepatitis C virus (HCV) transmission dynamics could  
21 facilitate public health initiatives to reduce the prevalence of HCV in people who inject drugs. We  
22 aimed to determine how HCV sequences entered and spread throughout Scotland and to  
23 identify transmission hotspots. A Scottish dataset with embedded demographic data was created  
24 by sequencing the NS5B of 125 genotype (Gt) 1a and 166 Gt3a samples and analysed alongside  
25 sequences from public databases. Applying Bayesian inference methods, we reconstructed the  
26 global origin and local spatiotemporal dissemination of HCV in Scotland. Scottish sequences  
27 mainly formed discrete clusters interspersed between sequences from the rest of the world;  
28 the most recent common ancestors of these clusters dated to 1942-1952 (Gt1a) and 1926-1942  
29 (Gt3a), coincident with global diversification and distribution. Extant Scottish sequences  
30 originated in Edinburgh (Gt1a) and Glasgow (Gt3a) in the 1970s but both genotypes spread  
31 from Glasgow to other regions. The dominant Gt1a strain differed between Edinburgh (cluster  
32 [C]2), Glasgow (C3) and Aberdeen (C4) whereas significant Gt3a strain-specificity only occurred  
33 in Aberdeen. Specific clusters initially formed separate transmission zones in Glasgow that  
34 subsequently overlapped occasioning city-wide co-circulation. Transmission hotspots were  
35 detected with 45% of samples from patients residing in just nine of Glasgow's 57 postcode  
36 districts. HCV was introduced into Scotland in the 1940s concomitant with its worldwide  
37 dispersal likely arising from global-scale historical events. Cluster-specific transmission hubs  
38 were identified in Glasgow, the key Scottish city implicated in HCV dissemination. This fine-scale  
39 spatiotemporal reconstruction improves understanding of HCV transmission dynamics in  
40 Scotland.

41 **Importance**

42 HCV is a major health burden and the leading cause of hepatocellular carcinoma. Public health  
43 needle exchange and “treatment as prevention” strategies targeting HCV are designed to reduce  
44 prevalence of the virus in people who inject drugs (PWID), potentially mitigating the future burden  
45 of HCV-associated liver disease. Understanding HCV transmission dynamics could increase the  
46 effectiveness of such public health initiatives by identifying and targeting regions playing a  
47 central role in virus dispersal. In this study we examined HCV transmission in Scotland by  
48 analysing the genetic relatedness of strains from PWID alongside data inferring the year  
49 individuals became infected and residential information at a geographically finer-scale  
50 resolution than in previous studies. Clusters of Scotland-specific strains were identified with  
51 regional specificity and mapping the spread of HCV allowed the identification of key areas  
52 central to HCV transmission in Scotland. This research provides a basis for identifying HCV  
53 transmission hotspots.

54 Hepatitis C virus (HCV) currently infects an estimated 180 million people throughout the world.  
55 Following a short acute phase, the virus enters an asymptomatic, chronic stage which can  
56 persist for decades before the potential onset of severe sequelae such as liver cirrhosis and  
57 hepatocellular carcinoma. HCV-associated liver disease is expected to grow exponentially in the  
58 UK over the next decade and will become a major health care burden (1). In developed  
59 countries, HCV transmission occurs predominantly through injecting drug use (IDU). Currently  
60 there is no vaccine against HCV and the new, highly effective direct acting antivirals (DAAs) are  
61 extremely costly. Prevention of new infections is therefore considered the most cost-effective  
62 policy for reducing overall HCV prevalence in PWID (2).

63 HCV is a significant public health risk in Scotland where the HCV incidence is twice that  
64 of the rest of the UK (3). Of the seven recognised genotypes of HCV, the most prevalent in  
65 Scotland are Gt1 (49%) and Gt3 (46%) (3). Programmes aimed at reducing transmission of the  
66 virus, such as needle exchange initiatives, have been implemented in Scotland since the early  
67 1990s and resulted in decreases in HCV prevalence levels of approximately 16% over six years;  
68 these measures however have proven insufficient to completely control the epidemic (4).  
69 “Treatment as prevention” schemes have been successful in reducing transmission of HIV (5)  
70 and a similar strategy treating HCV-infected PWID with the DAA Telaprevir (Vertex  
71 Pharmaceuticals, Switzerland), interferon and ribavirin has recently commenced in Scotland (J.  
72 Dillon, personal communication). Mathematical models predict that DAA treatment of as little  
73 as 2% of HCV-positive PWID in Edinburgh could reduce the incidence of infection by 26% in 15  
74 years (2). Better tools are required to monitor the effect of intervention strategies on patterns  
75 of local HCV incidence and transmission, including the potential spread of antiviral resistance.

76 Tremendous advances have been made in recent years inferring viral transmission  
77 dynamics from sequence data, in part through the development of powerful statistical methods  
78 (6). As an extension of this methodology, phylogeographical approaches combine viral genetic  
79 data with information on the time and place of infection in order to reconstruct viral spread  
80 and to quantify viral transmission (7, 8). Transmission hotspots, areas of high incidence of an  
81 infective agent thought to drive its spread, have been exploited to devise novel prevention and  
82 control approaches for various infectious diseases such as cholera and malaria (9). While in-  
83 depth studies of HCV transmission dynamics combining sequence data and residential  
84 information are currently limited, some have successfully used social network data as a  
85 substitute for geographical information. A recent study in Australia (10) was the first to identify  
86 a positive association between HCV strain genetic relatedness and reported injecting  
87 relationship. An earlier study in Brazil (11) showed that HCV transmission dynamics in Sao Paulo  
88 differed according to genotype and that social factors play an important role in the spread of  
89 the virus. These studies demonstrate the great potential of phylogeographic inference to  
90 quantify HCV spread from viral sequence data.

91 In this study we applied Bayesian inference methods to embedded phylogenetic and  
92 demographic data with a threefold purpose: (i) to reconstruct the introduction of the epidemic  
93 HCV genotypes 1a and 3a into Scotland, (ii) to infer the spatiotemporal dispersal of sequences  
94 within Scotland and (iii) to identify hotspots of transmission. Scottish sequences tended to form  
95 discrete clades within global trees with most recent common ancestors (MRCAs) suggestive of  
96 multiple concurrent introductions of HCV in the 1940s. Although a degree of regional strain-  
97 specificity has been maintained to the present time, Glasgow was the major source of strains

98 disseminated to neighbouring regions. Transmission zones in Glasgow expanded markedly  
99 within a single decade resulting in eventual co-circulation of genotypes and strains. A small  
100 number of districts within Glasgow were identified as key centres of transmission.

101

## 102 **MATERIALS AND METHODS**

103 **Study design.** We first created a Scottish dataset by sequencing a partial NS5B region of 125  
104 Gt1a and 163 Gt3a anonymised samples from throughout Scotland collected between 2011 and  
105 2014. Subsequently we assembled a dataset comprising sequences from the rest of the world  
106 (RoW) by retrieving and collating all sequences from the NCBI and Los Alamos HCV databases  
107 with information on country of origin and sample year, and covering the same genomic region  
108 as the Scottish dataset. This resulted in a RoW dataset of 381 Gt1a and 47 Gt3a sequences,  
109 three of which were from Edinburgh and were included in the Scottish dataset. The sampling  
110 locations of the RoW dataset were United States of America (N=320; US), Switzerland (N=45;  
111 CH), Germany (N=14; DE), Brazil (N=1; BR) and China (N =1; CN) for Gt1a and China (N=16),  
112 India (N=9; IN), Pakistan (N=1; PK), Japan (N=1; JP) and United Kingdom, not Scotland (N=17; UK  
113 other) for Gt3a. We reconstructed the origin and spatial distribution of Scottish sequences  
114 within a local and a global context by analysing the embedded phylogenetic, geographical and  
115 epidemiological data contained in these datasets.

116 **Creation of the Scottish Dataset.** Samples from HCV-infected individuals were obtained  
117 from two diagnostic labs, the West of Scotland Specialist Virology Centre (WoS-SVC) and the  
118 Edinburgh Specialist Virology Centre (ESVC). Ethical approval was obtained from the NHS

119 Greater Glasgow and Clyde Biorepository (application 140) and South East Scotland SAHSC  
120 Human Annotated BioResource (reference No.10/S1402/33) respectively. To maintain  
121 confidentiality, identifiers and clinical data other than partial postcode (PC) data, year of birth,  
122 year commenced injecting and likely route of infection were delinked. Samples diagnosed at the  
123 WoS-SVC were from patients attending clinics throughout Scotland between July 2013 and  
124 March 2014. Extracted RNA was reverse transcribed and amplified using genotype-specific  
125 primers (Table 1) in a nested PCR covering a 695bp (Gt1a) or 679bp (Gt3a) region of the NS5B.  
126 The ESCV samples were collected between April and December 2011, and were treated  
127 similarly but amplified using a combined reverse transcription (RT)-PCR procedure. Amplified  
128 products were visualised by electrophoresis to confirm product presence and size, then Sanger-  
129 sequenced. All samples were screened for the presence of Gt1a/Gt3a co-infections utilising  
130 genotype-specific primers and samples from individuals with co-infections (4.1% of samples)  
131 were excluded from the cohort.

132         An estimation of the average age drug use commenced (21 years) was calculated from  
133 the detailed data on date of birth and year IDU commenced available for 34 Edinburgh subjects.  
134 There was a wide range of ages for the commencement of IDU (14-39 years of age) although  
135 the mean, median and mode (21, 19 and 19 years respectively) ages of these individuals  
136 confirmed that older age groups were unusual. This was applied to all other samples in the  
137 Scottish dataset to give the estimated year of infection (EYI) for these individuals and used in  
138 the phylogeographical analysis within Scotland. As screening of blood samples commenced in  
139 1989 in the UK it is highly likely that individuals who became infected with HCV after this time  
140 acquired the infection through IDU. To enrich for PWID, only samples from subjects born in

141 1969 or later or those born before 1969 but who reported drug use as the main risk factor were  
142 included in the analyses on transmission within Scotland.

143 **Study subject demographics.** The mean age of individuals was similar for the Gt1a group (38.6  
144 years [SD, 9.0]) and the Gt3a (41.0 years [SD, 9.8]) groups. Information on residential PC district  
145 was captured for all study participants and the finer spatial resolution contained in PC sector  
146 data was available for 85% of samples.

147 **Temporal phylogenetic analyses.** Scottish sequences were aligned and edited where required  
148 using SSE version 1.1 (12) and added to the imported RoW sequences to form the global  
149 dataset. Bayesian MCMC inference was implemented in BEAST v1.8.0 (13) and the output  
150 inspected by the software Tracer v1.6 (14). The HKY nucleotide substitution model (15) with  
151 gamma rate heterogeneity was used throughout with a relaxed uncorrelated lognormal  
152 molecular clock. A Bayesian skyline coalescent model (16) was used as a flexible demographic  
153 prior in all analyses with chain lengths of 200 million. The global, RoW and Scottish datasets  
154 were analysed for each genotype.

155 **Phylogeographic analyses.** Geographical regions in the UK are divided into postcodes,  
156 analogous to zip codes, and consist of six or seven alphanumeric characters (e.g. G61 1QH or  
157 EH16 3JG) normally representing a single street. The first letter/s denote the PC region (e.g. G  
158 or EH), the first grouping is the PC district (e.g. G61) and an additional digit is used for PC  
159 sectors (e.g. G61 1). There are 16 PC regions, 476 PC districts and 1274 PC sectors in Scotland.  
160 Regional PCs included in this study were Glasgow, Paisley (PA), Kilmarnock (KA), Motherwell  
161 (ML), Edinburgh (EH), and Aberdeen (AB) for both genotypes, and Dundee (DD) additionally for  
162 Gt3a. Other regions were not included in the analyses due to small sample numbers ( $N \leq 5$ ).

163 Maps with PC regions (<http://free-postcode-maps.co.uk/>) or without (<https://maps.google.co.uk/maps/>) were downloaded and utilised with the Scottish dataset. Samples indicating the  
164 cluster group and EYI were located on maps according to PC information; PC districts were used  
165 on maps of Scotland and, for finer resolution, PC sectors were used for Glasgow maps.  
166  
167 **Nucleotide sequence accession numbers.** All newly generated sequences from this study were  
168 submitted to GenBank and were assigned the accession numbers KR071882 to KR072203. The  
169 HCV sequences that were included in the RoW dataset were downloaded from GenBank and  
170 are shown in the supplemental table S1. The three GenBank sequences used in the Scottish  
171 dataset were AF516368-AF516370.

## 172 **RESULTS**

173 **MCMC analysis.** The MRCAs of the global datasets (Table 2) was 1888 (95% highest posterior  
174 density intervals [HPD], 1857-1914) for Gt1a and 1899 for Gt3a (95% HPD, 1857-1914). Lineages  
175 expanded exponentially, with 90% of Gt1a lineages emerging between 1940 and 1965 (Fig. S1);  
176 Gt3a lineages emerged over a longer time period (1935-1975) in two distinct periods peaking in  
177 1940 and 1960 (Fig. S1). The dates of the Scottish and RoW datasets analysed separately for  
178 Gt1a (1918 and 1907 respectively) and Gt3a (1901 and 1897 respectively) paralleled the values  
179 of the global dataset. The evolutionary rate of Gt1a was  $1.88 \times 10^{-3}$  substitutions/site/year  
180 (s/s/y) (95% HPD,  $1.53-2.29 \times 10^{-3}$  s/s/y), similar to the evolutionary rate of Gt3a ( $1.65 \times 10^{-3}$   
181 s/s/y; 95% HPD,  $1.19 \times 10^{-3}-2.14 \times 10^{-3}$ ).

182 **Scottish clusters.** For the purposes of this study Scottish clusters were defined as clades  
183 containing  $\geq 5$  Scottish sequences of which  $> 70\%$  of sequences were from Scotland. The Gt1a  
184 global dataset contained four Scottish clusters (Table 3), designated C1 to C4, interspersed

185 between groups of RoW sequences (Fig. 1A). The most abundant Scottish Gt1a clusters were C2  
186 (N=34, 89% Scottish sequences) and C3 (N=43, 100% Scottish sequences). The MRCAs of the  
187 Gt1a Scottish clusters ranged from 1942 to 1952 (Table 3). It was difficult to interpret the  
188 positioning of the Scottish Gt3a sequences in the context of the global setting as there were  
189 many more Scottish sequences (N=200) than available RoW sequences (N=44, Fig. 1B). We  
190 identified seven Scottish clusters (C1 to C7) containing between 7 and 76 sequences; 72-97% of  
191 sequences in each of these clusters originated from Scotland (Table 3). The Gt3a Scottish  
192 clusters had MRCAs ranging between 1926 and 1942.

193 **Phylogeographical analyses.** The frequency of Scottish clusters in each of the major PC regions  
194 was determined. As there was little difference in the types and frequencies of clusters isolated  
195 in the PC regions Glasgow, PA, KA and ML, this data was additionally analysed together as west  
196 central Scotland (WCS, Fig. 2). The types and frequencies of Gt1a sequence clusters differed  
197 between AB, EH and WCS (Fig. 2A). The predominant clade in AB was C4 (35%), in EH it was C2  
198 (65%) and in WCS it was C3 (51%). 35% of AB sequences belonged to non-Scottish clades  
199 compared to 15% in EH and 12% in WCS. Although none of the EH sequences occurred in clades  
200 unique to that area, the proportion of clades differed considerably from the other regions. The  
201 predominant EH clade C2 (65%) was less frequent in WCS (23%) and AB (12%), and the minor  
202 EH clade C3 (5%) occurred more frequently in WCS (51%) and AB (12%). Geographic-specific  
203 clustering patterns were observed for Gt3a only as regards AB sequences (Fig. 2B). The  
204 predominant AB clade C1 (46%) was represented less in EH (5%) and WCS (7%). Conversely  
205 clade C6 was not observed in AB but constituted 9%, 18% and 22% in EH, DD and WCS  
206 respectively.

207 **Gt1a transmission in Scotland.** The Scottish Gt1a sequences were derived from individuals  
208 residing in 71 PC districts and 85 PC sectors. Sequences indicating strain cluster were  
209 represented on maps according to the residential PC district and EYI of the participant (Fig. S2).  
210 The earliest Gt1a sequences in this study (1970-1981) occurred predominantly in EH and mainly  
211 comprised clade C2 (75%). Glasgow became the focus of Gt1a transmission in the following 5  
212 years, particularly clade C3, and sequences subsequently spread to the neighbouring regions  
213 PA, KA and ML. Gt1a sequences were not apparent in AB until 1994 with the AB-specific clade  
214 C4. Overall the initial dominant clades in WCS (C3), EH (C2) and AB (C4) persisted and remained  
215 the dominant clades throughout the 42 year period of the study.

216 **Transmission of Gt1a sequences in Glasgow.** The dominant Gt1a clades in Glasgow (C2 and C3)  
217 were mapped by residential PC sector to obtain a finer resolution of dispersal within the city  
218 (Fig. 3). Initially C3 sequences were mainly dispersed in the west of the city but transmission  
219 switched to the eastern suburbs in 1988 and after 2000 spread to northern and south-western  
220 districts. Clade C2 was detected later than C3 in Glasgow, with an initial transmission zone in  
221 the south-east and northern suburbs before expanding to inner western suburbs.

222 **Gt3a transmission in Scotland.** Sequences from Gt3a Scottish clusters belonged to 98 PC  
223 districts and 126 PC sectors. Samples were classified by strain cluster and represented on maps  
224 by residential PC district and EYI (Fig S3). Before 1980 Gt3a sequences were mainly from  
225 individuals residing in the Glasgow PC area and this genotype did not spread to EH until the  
226 following decade. Transmission did not expand to the other regions of Scotland until the 1990s,  
227 later than Gt1a.

228 **Transmission of Gt3a sequences in Glasgow.** In Glasgow transmission of Gt3a clade C5  
229 occurred in northern PC sectors before 1983 (Fig. 3). In the following decade the transmission  
230 zone expanded to include more northern suburbs and areas in the east and south of the city.  
231 After 1998 C5 was mainly transmitted in central districts. Initial dispersal of clade C6 occurred in  
232 the inner northern suburbs of Glasgow and spread to the north-east and south-west of the city.  
233 Later transmission occurred mainly in semi-rural north-western regions.

234 **Co-circulation of Gt1a and Gt3a clusters in Glasgow.** The temporal dispersal of the two major  
235 Gt1a (C2 and C3) and Gt3a (C5 and C6) clusters within Glasgow was reconstructed (Fig. 4).  
236 Between 1974 and 1983, individual strains formed largely separate transmission networks  
237 within the city. These zones expanded in the next 5 years and began to overlap. Co-circulation  
238 of all 4 clades was apparent by 1994 and subsequent transmission occurred mainly within these  
239 regions, suggestive of self-sustaining networks.

240 **Hotspots of HCV infection in Glasgow.** There were a sufficient number of Gt1a (N=54) and Gt3a  
241 (N=94) samples from the Glasgow PC region to examine in more detail. Gt1a sequences were  
242 associated with 25/57 Glasgow PC districts and seven of these districts contained >50% of the  
243 sequences (Fig. 5). At a finer resolution, the predominant PC sector locations of Gt1a samples  
244 were G64-9 (7.4%) and G33-2 (5.9%) and the EYI in these sectors was 1997-2008 and 1988-2006  
245 respectively. 51% of cluster C2 sequences were derived from PC districts G32, G42 and G73  
246 whereas C3 was associated with PC districts G33 and G64 (12% each) (data not shown). The  
247 Gt3a Glasgow samples were from individuals residing in 35 of the 57 PC districts. Six of these  
248 districts each contained >5% of all sequences and accounted for 40% of the total sequences

249 (Fig. 5). PC sectors G33-9 (7.4%) and G21-2 (5.1%) contained the most samples and the EYI in  
250 these sectors were 1995-2004 and 1978-1994 respectively. Cluster C5 was associated with PC  
251 districts G21 and G51 (11% each) whereas C6 was associated with the G64 district (19%) (data  
252 not shown). Overall, 45% of samples were from patients residing in nine of Glasgow's 57 PC  
253 districts and 11% of samples were from just four of Glasgow's 241 PC sectors, representing a 7-  
254 fold increase from the expected.

255

## 256 **DISCUSSION**

257 Bayesian MCMC methods were used to reconstruct the introduction of HCV Gt1a and Gt3a  
258 extant sequences into Scotland from the global pool using the BSP coalescent model (16) which  
259 allows for variable population sizes over time. A Gt1a global dataset containing 506 sequences,  
260 the largest study of its type to date, was used to calculate the evolutionary rate of this genotype  
261 ( $1.88 \times 10^{-3}$  s/s/y) which was faster than previously determined. Calculations of evolutionary  
262 rates can be adversely affected by analysing small numbers of sequences and slower  
263 evolutionary rates ( $1.48 \times 10^{-3}$ ,  $1.0 \times 10^{-3}$  and  $9.05 \times 10^{-4}$  s/s/y) have been calculated in studies  
264 with smaller datasets [N=334 (17), N=111 (18) and N=176 (19) respectively]. Our results suggest  
265 that Gt1a coalesced in 1888 (1857-1914) which confirms a previous report [1900 (1802-1957)]  
266 (18) but pre-dates another comparable study [1920, (19)].

267 Gt1a lineage expansion principally occurred between 1940 and 1965 and subsequent  
268 sequence clustering displayed geographical-specificity. This time period coincides with the mass  
269 population mixing of World War II, the subsequent return of individuals to their countries of

270 origin and the first large-scale parenteral treatments. It can be speculated that these global-  
271 scale events were instrumental in the worldwide mixing and spread of a large pool of strains  
272 which then disseminated locally as a consequence of commonplace parenteral treatment and  
273 IDU of the 1960s. Examination of the Scottish dataset within the global context also supports  
274 this theory. The corresponding coalescence dates of the Scottish and global datasets, the  
275 intercalation of Scottish Gt1a clusters amongst RoW sequences and the similarity in dates  
276 between the MRCA of the Scottish clusters and global lineage expansion suggests extant  
277 lineages were introduced into Scotland from multiple independent sources concurrently with  
278 the diversification and dissemination of HCV worldwide.

279         Subsequent expansion of a proportion of lineages occurred within Scotland and there is  
280 no evidence of onward transmission or new introductions with the exception of the Aberdeen-  
281 specific clade C4, which was first detected in this study in the 1990s. Interestingly the MRCA of  
282 C4 with the RoW pool dates to 1928, pre-dating the emergence of other Scottish clusters from  
283 the global pool; it may have remained unobserved earlier due to the small sample numbers  
284 from Aberdeen or, more likely, this strain originates from a country not included in the RoW  
285 dataset. The lack of onward transmission of this strain to other Scottish regions suggests that  
286 Aberdeen may operate within a different network, perhaps linked more closely to international  
287 HCV transmission networks. Although a small city, Aberdeen is a major oil-producing centre  
288 with consequent international population links, including connections to regions where  
289 information on HCV is sparse such as central Asia.

290 The RoW dataset for Gt3a consisted of only 44 sequences. Although more NS5B  
291 sequences with data on sampling date and geographic origin were available from international  
292 databases, these sequences were short in length (approximately 300 bp) and/or only partially  
293 overlapped the genome region used in the current study. As short sequences can adversely  
294 affect the calculation of important parameters in MCMC analyses, they were not included in  
295 this analysis. The evolutionary rate of the Gt3a sequences from the global dataset was  $1.65 \times$   
296  $10^{-3}$  s/s/y which is slightly faster than previously noted [ $1.3 \times 10^{-3}$  s/s/y, (20)]. These sequences  
297 coalesced in 1899 (1865-1932) similar to previous studies [1905 (1851-1932), (21); 1920s, (22)],  
298 but another study has estimated that extant global Gt3a sequences coalesced much earlier,  
299 approximately 300 years ago (20). In the latter study however three sequences from Pakistan  
300 formed a distinct cluster which was phylogenetically distant from the remainder of the  
301 Pakistani sequences and sequences from other countries; without this outlying cluster the  
302 remaining global Gt3a pool coalesces approximately 80 years ago, supporting our findings.

303 Although robust contextualisation of the Scottish Gt3a sequences within the global  
304 setting was not possible due to the excess of Scottish compared to RoW sequences within the  
305 dataset, the Scottish sequences did however form distinct clusters. Gt3a lineage expansion  
306 occurred in two periods of exponential growth, peaking in 1940 and 1960. The earlier time  
307 period parallels the MRCAs of the Scottish Gt3a clusters (1926 to 1942) and suggests lineage  
308 expansion during World War II was responsible for the introduction of HCV Gt3a into Scotland,  
309 similar to Gt1a. The second peak of Gt3a lineage expansion (1951-1975) suggests that migration  
310 from the Indian subcontinent may be the source of currently circulating Gt3a sequences in  
311 Scotland. Approximately 1% of the Scottish population originates from Pakistan where epidemic

312 Gt3a transmission has been shown to have occurred earlier than in any other country (22).  
313 Extant Gt3a strains in the UK are considered to originate from the HCV-endemic Indian  
314 subcontinent (23) via migration which peaked in the 1950s and 1960s and subsequently these  
315 sequences progressed into and expanded within the PWID community (20). Resolving which of  
316 the two time periods led to the extant pool of Scottish Gt3a strains would require an increase in  
317 global Gt3a sequences, particularly from endemic regions of the world.

318         The transmission of HCV Gt1a and Gt3a within Scotland's PWID community was  
319 reconstructed. Since the predominant strains differed between the different Scottish regions it  
320 is likely that they represent separate introductory events from the global pool. An alternative  
321 hypothesis for the regional specificity of HCV strains is the variability in the genetic make-up of  
322 the host population in Scotland, as revealed by a recent study which shows separate genetic  
323 clustering of individuals from Aberdeenshire and WCS (24). The earliest HCV sequences in the  
324 study were from individuals living in Glasgow (Gt3a) or Edinburgh (Gt1a) suggesting that these  
325 two major cities constituted initial hubs of infection. Although index Gt1a sequences occurred  
326 in Edinburgh, they were mainly transmitted within that region alone; in contrast widespread  
327 Gt1a transmission in Glasgow occurred ten years later but constituted the dominant cluster  
328 that subsequently spread to surrounding regions. Taken together this suggests that Glasgow is  
329 the key region driving HCV transmission in Scotland. An analysis of HCV infection hotspots in  
330 Glasgow was performed at a finer geographical precision than previously attempted. A  
331 disproportional amount of sequences were derived from individuals residing in a few PC sectors  
332 in a cluster-specific manner suggesting that these regions represent key individual networks

333 that play a central role in HCV transmission within the city. Targeting intervention and  
334 treatment initiatives to these regions could aid their overall effectiveness.

335           It is curious that despite the co-circulation of both genotypes within Glasgow, co-  
336 infection with multiple HCV genotypes is relatively rare in Scotland (25), with an overall rate of  
337 4.1% in the current study. This is supported by a recent modelling study (26) suggesting that  
338 HCV re-infections frequently result in spontaneous clearance and by empirical data from a  
339 number of studies showing re-infection rates in PWID of 2-9% (27-30). Other studies have  
340 suggested that re-infection is much more common in PWID [20-39% (31-33)] and differences  
341 may be due to the provision of needle exchange programmes in the regions studied or  
342 sensitivities of the assays used. If re-infection is a rare occurrence (26), it is unlikely that  
343 sequences from individuals infected before the nationwide dispersal of strains would be  
344 supplanted by a recently imported strain from a different region and newly-infected individuals  
345 would become the main source of such introduced strains. Since the 1990s only a few new  
346 lineages have been imported from the global pool that have undergone onward transmission,  
347 notably the Aberdeen-specific Gt1a cluster C4. It is interesting to speculate whether the  
348 continued geographical restriction of this clade is due to a later introduction into Scotland  
349 subsequent to the full implementation of clean needle strategies or to separate networks  
350 operating in Aberdeen.

351           The combination of epidemiology data and molecular phylogenetics has been previously  
352 used to construct injecting social networks for the investigation of HCV transmission dynamics  
353 (10). Researchers established an association between reported injecting relationship and HCV  
354 phylogeny but not between genetic and social distance. A disadvantage of this study type is that

355 transmission inference is based on phylogenetic data derived from strains of long-standing  
356 infection combined with information on current injecting partners, similar to the  
357 contemporaneous residential data used in the current study. Other investigators have taken  
358 phylogenetic clustering outcome as direct evidence of individual membership in a contact  
359 network (35-39).

360           Spatiotemporal analysis based on combined phylogenetic clustering data, EYI's and  
361 residential PC information for each sample was used in this study. There are limitations  
362 associated with utilising this data for time-correlated phylogeographic reconstruction of chronic  
363 viruses such as HCV. Firstly diagnosis normally occurs many years subsequent to infection. To  
364 overcome this drawback we calculated the average age individuals commenced IDU and  
365 surmised HCV infection within the first year of injecting. Studies have suggested that new  
366 initiates to injecting are particularly vulnerable to HCV infection, seroconverting in  
367 approximately 4 months (40). As well as new infections, PWID may experience multiple  
368 exposures to HCV, with the possibility that a new strain may infect and supplant the original  
369 strain, thus affecting the EYI. Recent modelling studies however suggest that HCV re-infection  
370 generally results in spontaneous clearance of the newly infecting strain (26) and this is  
371 supported by experimental data indicating a low incidence of co-infection with more than one  
372 HCV genotype (25). The second limitation arises from combining current residential with  
373 historical EYI data. Studies however suggest that PWID in the UK are not a highly mobile group  
374 of individuals (34, 41, 42). Nevertheless it is likely that the discernment of transmission  
375 networks at a finer temporal and spatial resolution could be achieved by either the availability

376 of residential data from the EYI or, alternatively, obtaining samples from newly-infected  
377 individuals in concert with contemporary residential information.

378           Although we observed a decade of increased transmission commencing in the mid-  
379 1980s, this observation may be subject to a degree of sample bias. The average age of HCV-  
380 infected individuals was 40 years, equivalent to an EYI in the mid-1990s. To enrich for samples  
381 from PWID, sequences from subjects with an EYI before 1989, the year widespread testing for  
382 HCV in blood products commenced in the UK, were excluded unless they specifically confirmed  
383 IDU; this has likely resulted in an augmentation of samples from patients born after 1969.  
384 Individuals born in the 1950s (EYI in the 1970s) are subject to naturally higher, age-associated  
385 mortality rates whereas more recently-acquired HCV strains may not be captured in this cohort  
386 of hospital-diagnosed individuals due to the extensive asymptomatic period of HCV infection.  
387 Previous epidemiological studies have however shown a similar pattern of peak HCV  
388 transmission occurring in Glasgow in the 1980's (4, 34).

389           Through this research we reconstructed the dispersal of HCV into and throughout  
390 Scotland and successfully identified transmission hotspots that could enable healthcare and  
391 outreach workers to effectively target intervention and treatment as prevention strategies and  
392 monitor the effectiveness of these methods. The accuracy of this strategy could be further  
393 improved by increasing the sample size from individual cities and analysing sequences from  
394 recently-infected individuals with current residential data. Another tool that could help improve  
395 HCV transmission inference studies is next generation sequencing (NGS) which has recently  
396 been investigated for analysing small-scale transmission events and within-patient connections  
397 (43-45). Minor sequence variants in one individual may predominate in a different individual, as

398 illustrated by studies of liver transplants in patients with HCV (46, 47), and NGS may enable the  
399 identification of connections involving minority variants which are not apparent using  
400 traditional sequencing techniques. We now intend to utilise NGS methodology to expand this  
401 study, investigating HCV transmission on a UK-wide basis.

402 In summary, heterochronous HCV sequences were used in this study to determine the  
403 timeline of introduction of extant Gt1a and Gt3a into Scotland from the global pool and to infer  
404 the spatiotemporal distribution of these sequences in the regional setting. Most Scottish  
405 sequences formed discrete clusters interspersed between RoW sequences and the MRCA of  
406 these Scottish clusters were dated to the period of global exponential lineage expansion.

407 Although the origin of the two epidemic HCV genotypes in Scotland differed (Gt1a, Edinburgh;  
408 Gt3a, Glasgow), transmission to other regions occurred predominantly from Glasgow.

409 Geographical specificity of transmitting clusters was apparent, particularly for Gt1a. In Glasgow  
410 individual clusters formed different transmission zones initially but these networks  
411 subsequently overlapped suggesting co-circulation of genotypes and clusters has occurred  
412 throughout the city since the 1990s. Hubs of infection were detected that likely play a key role  
413 in HCV transmission throughout the city; targeting intervention strategies to these regions  
414 could assist their effectiveness.

415

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418

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- 555

556 **FIGURE LEGENDS**

557 **FIG 1** Bayesian time-scaled trees of the global Gt1a (A) and Gt3a (B) datasets with branches  
558 colour-coded according to the country of origin of the sequences. Clusters identified as  
559 containing predominantly Scottish sequences are highlighted and designated C1-C4 (Gt1a) or  
560 C1-C7 (Gt3a).

561

562 **FIG 2** Geographical distribution of Gt1a (A) and Gt3a (B) clusters in Scotland. The type,  
563 frequency and number of samples of individual clusters in each geographical region is shown.

564

565 **FIG 3** Geographical distribution of sequences within Glasgow. Sequences from the major Gt1a  
566 (C2 and C3) and Gt3a (C5 and C6) clusters are indicated on maps by the last two digits of the EYI  
567 and colour-coded over three transmission periods. The residential PC sectors associated with  
568 sequences are outlined in black. Likely transmission zones over each time period are  
569 highlighted.

570

571 **FIG 4** Co-circulation of the major Gt1a and Gt3a clusters in Glasgow. Likely transmission zones  
572 of the predominant Gt1a (C2 and C3) and Gt3a (C5 and C6) clusters in Glasgow are highlighted  
573 over four time periods. Transmission zones are comprised of the residential PC sectors of the  
574 study participants and connecting regions.

575

576 **FIG 5** Map of Glasgow highlighting the predominant residential PC districts of individuals infected with  
577 Gt1a (blue), Gt3a (red) or areas predominant for both genotypes (purple). The percentages of samples  
578 associated with the districts are shown, coloured in blue (Gt1a) and red (Gt3a). Four PC sectors  
579 representing hotspots of transmission are shown within PC districts, coloured by genotype and with the  
580 associated percentage of samples derived from the sector. Insert shows a map of the complete Glasgow  
581 PC region with the nine predominant PC districts in colour.

582

583

584

585

586 **TABLE 1** HCV genotype-specific primers for nested PCR

<b>Genotype</b>	<b>Primer Sequence (5' to 3')</b>	<b>Genome Position<sup>a</sup></b>
1a	CRT ATG AYA CCC GCT GYT TTG AC	8254-8276
1a	CTC CAC AGT CAC TGA GAG CGA YAT	8276-8299
1a	AAT GCG CTR AGR CCA TGG AGT C	9016-8995
1a	CCT GGA GAG TAA CTR TGG AGT G	9040-9019
3a	CRT ATG AYA CCC GCT GYT TTG AC	8254-8276
3a	CTC NAC YGY CAC TGA RCA GGA YAT C	8276-8300
3a	CCA TGG AGT CTT TCA ATG ATT GCT G	9004-8980
3a	CTC TAC TGG AGA GTA ACT GTG GA	9044-9022

587 <sup>a</sup> The genome position with reference to strain H77

588

589 **TABLE 2** Measures of evolution of the HCV datasets

<b>Genotype</b>	<b>Dataset</b>	<b>N</b>	<b>MRCA<sup>a</sup></b>	<b>Rate (x 10<sup>-3</sup> s/s/y)<sup>b</sup></b>
1a	Global	506	1888 (1857-1914)	1.88 (1.53-2.29)
1a	Scottish	125	1918 (1882-1951)	1.81 (1.19-2.48)
1a	RoW <sup>c</sup>	381	1907 (1879-1934)	1.84 (1.39-2.28)
3a	Global	244	1899 (1865-1932)	1.65 (1.19-2.14)
3a	Scottish	200	1901 (1866-1935)	1.62 (1.15-2.15)
3a	RoW	44	1897 (1860-1934)	1.53 (1.04-2.05)

590

591 <sup>a</sup> The most recent common ancestor (MRCA) of datasets with the 95% HPD is shown in  
 592 parenthesis.

593 <sup>b</sup> The evolutionary rate. s/s/y, number of substitutions/site/year.

594 <sup>c</sup> RoW, rest of the world dataset.

595

596

597 **TABLE 3** Composition and MRCA of Scottish clusters

<b>Gt<sup>a</sup></b>	<b>Cluster</b>	<b>No. of Scottish sequences<sup>b</sup></b>	<b>No. of RoW sequences (UK other)<sup>c</sup></b>	<b>MRCA<sup>d</sup></b>
1a	1	14	1 (0)	1949 (1946-1953)
	2	34	4 (0)	1944 (1932-1956)
	3	43	0 (0)	1942 (1937-1951)
	4	8	0 (0)	1952 (1937-1962)
3a	1	27	6 (6)	1936 (1932-1940)
	2	5	2 (2)	1939 (1912-1956)
	3	12	1 (1)	1939 (1925-1954)
	4	6	2 (1)	1940 (1916-1960)
	5	72	4 (2)	1936 (1918-1956)
	6	33	1 (1)	1942 (1915-1963)
	7	36	5 (3)	1926 (1918-1947)

598

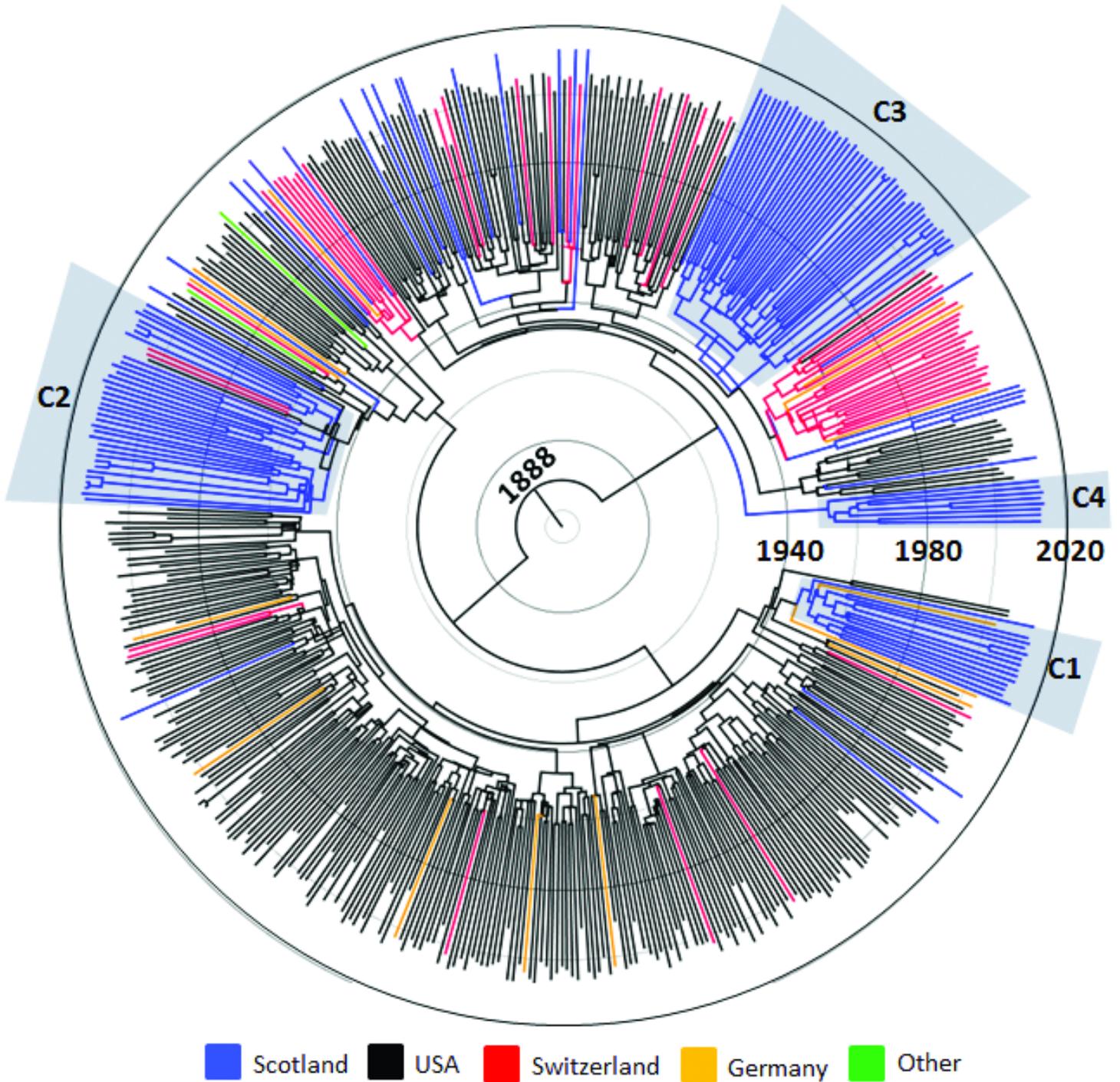
599 <sup>a</sup> HCV genotype.

600 <sup>b</sup> Number of sequences contained in Scottish clusters.

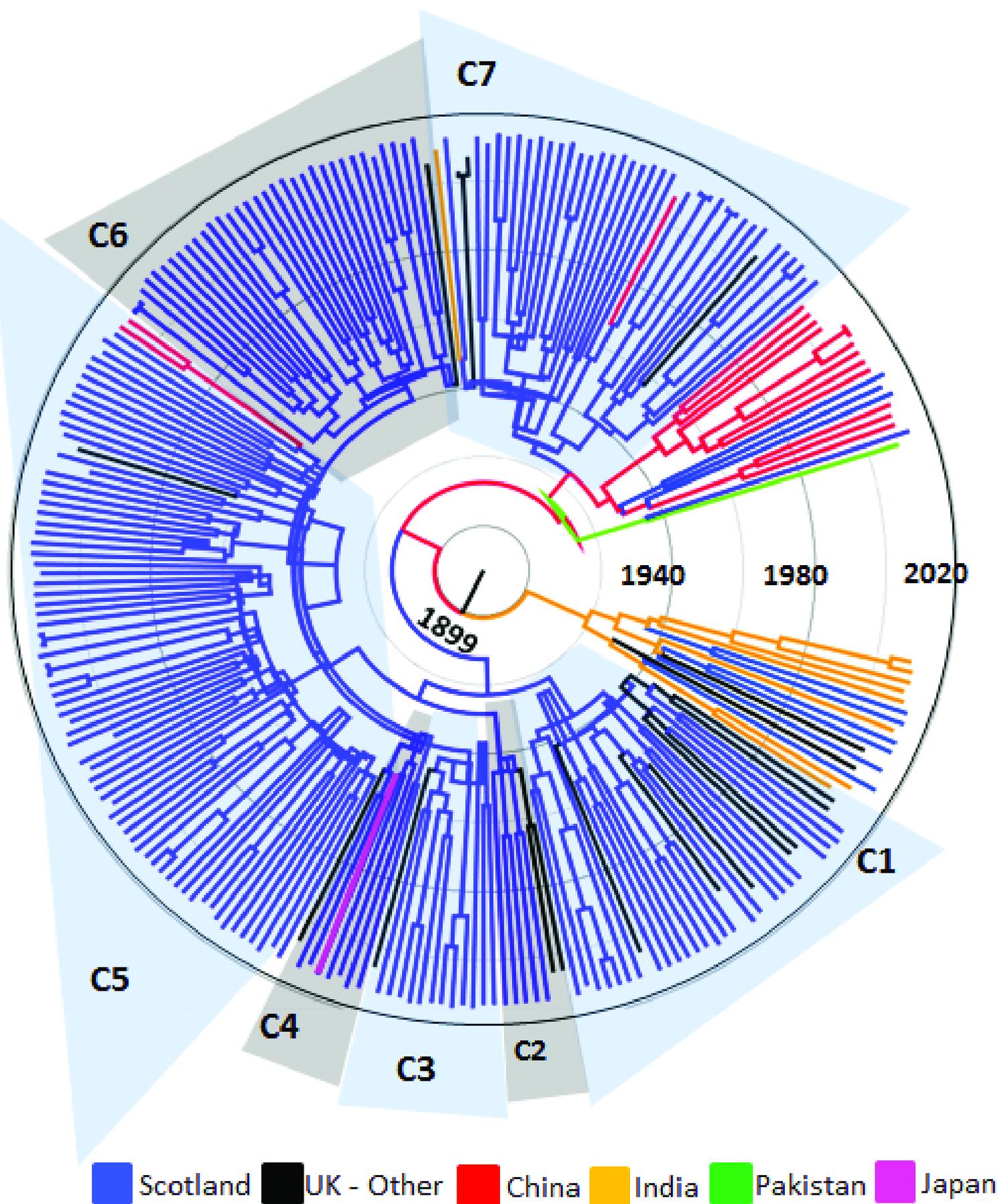
601 <sup>c</sup> Number of sequences in Scottish clusters originating from the rest of the world (RoW), with  
 602 sequences from other parts of the UK excluding Scotland (UK other) shown in parenthesis.

603 <sup>d</sup> The most recent common ancestor (MRCA) of each cluster with the 95% HPD shown in  
 604 parenthesis.

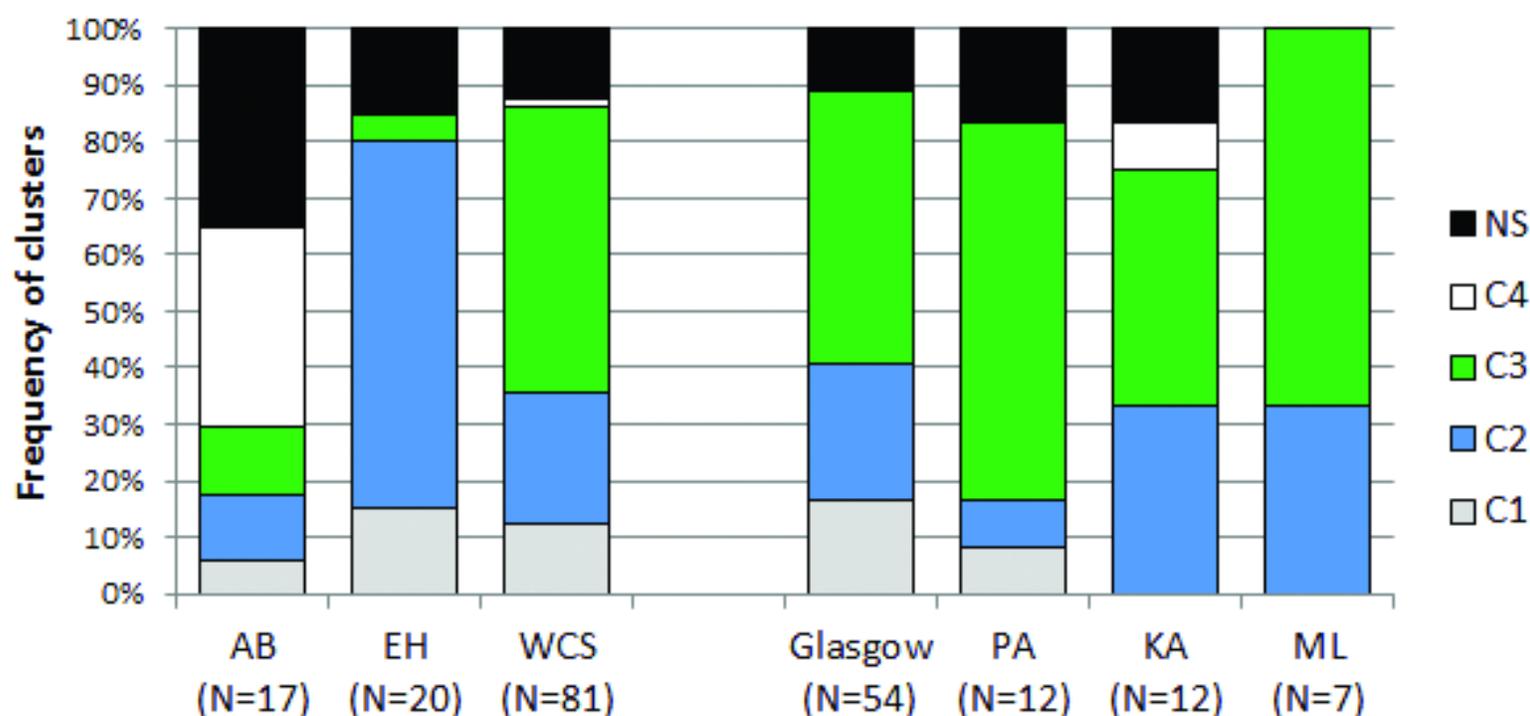
A



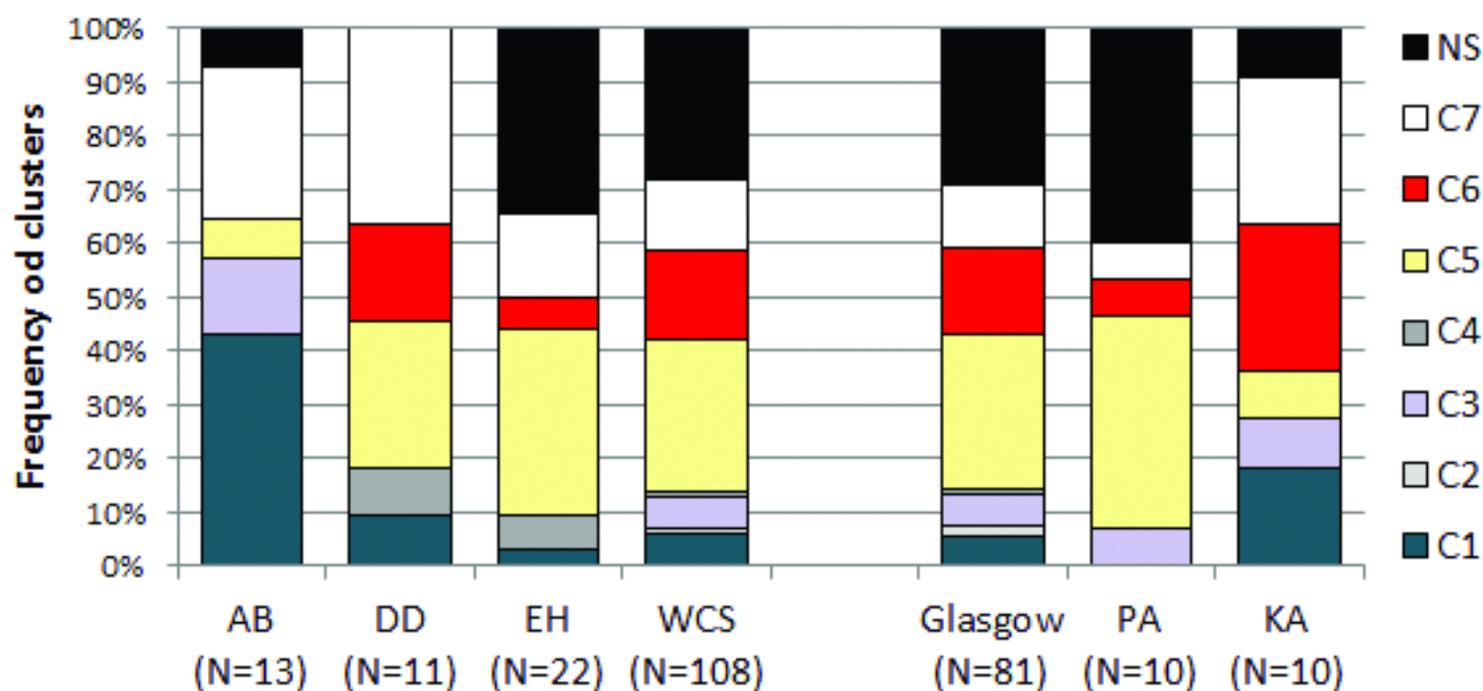
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**B**

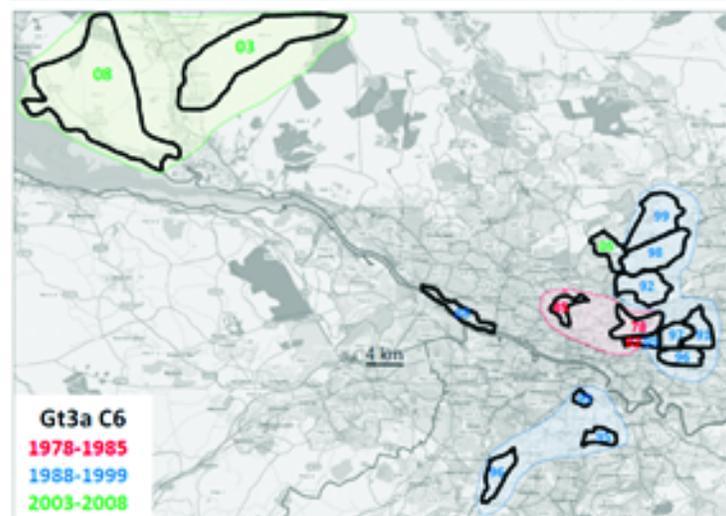
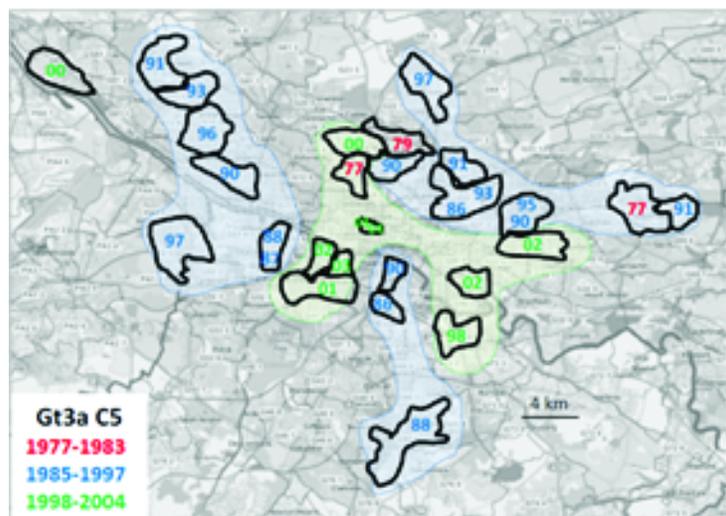
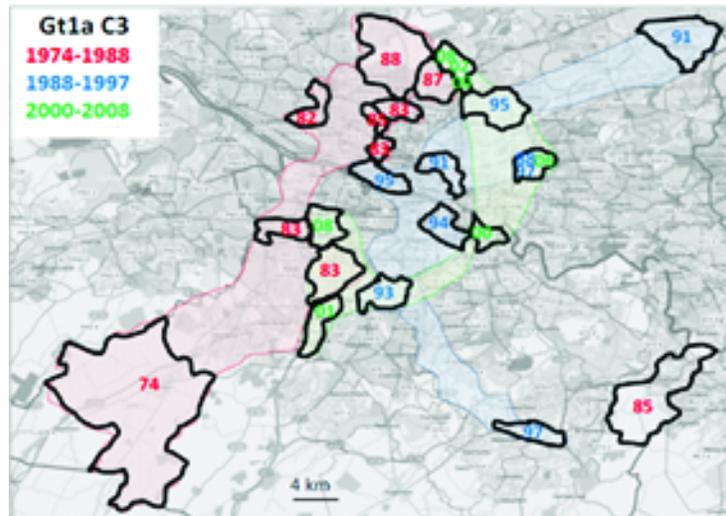
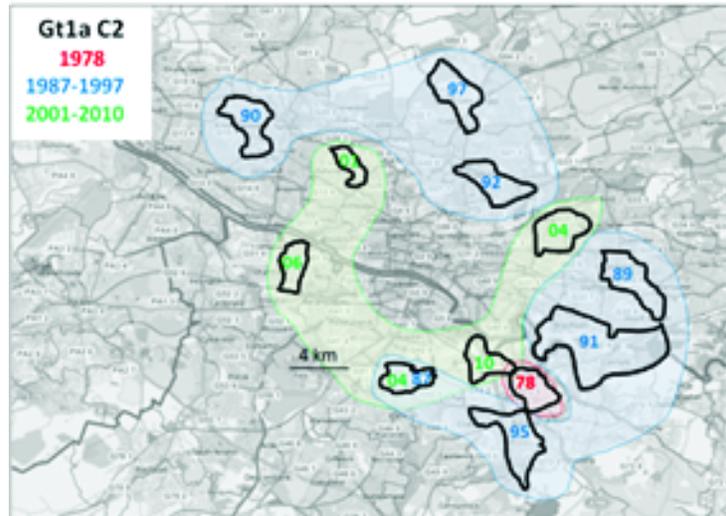
A



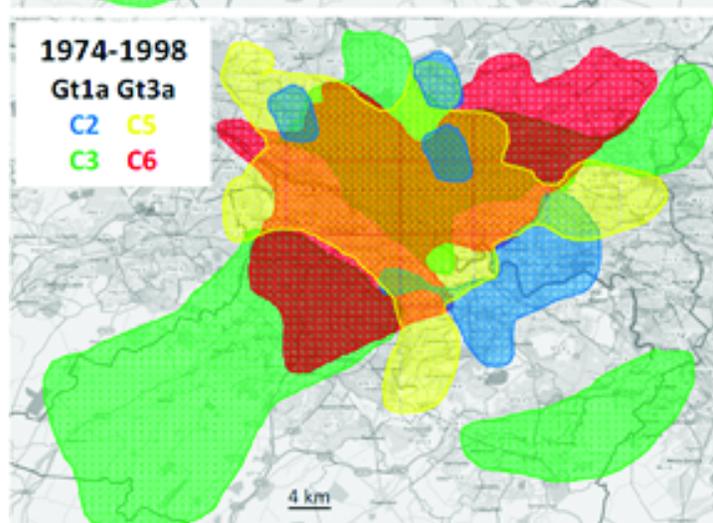
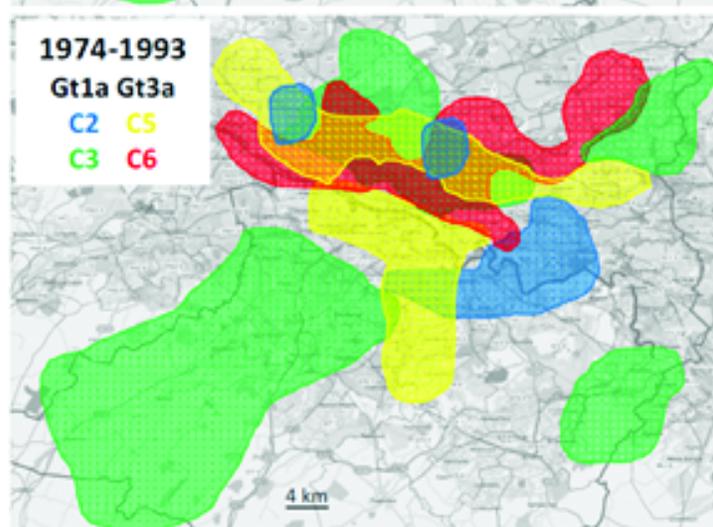
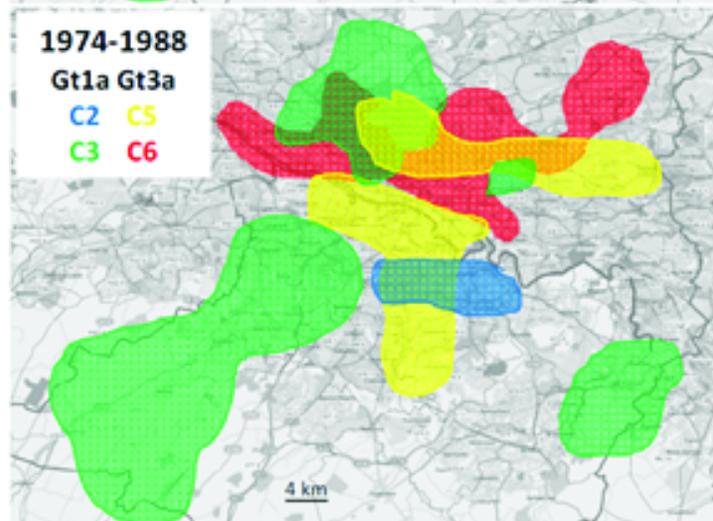
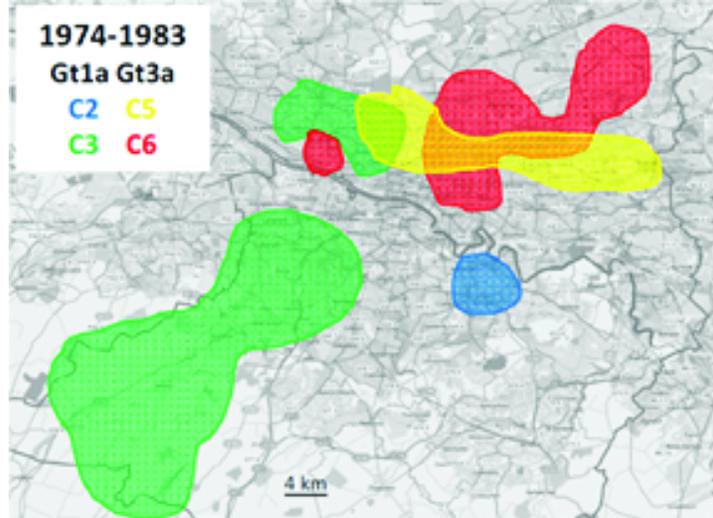
B



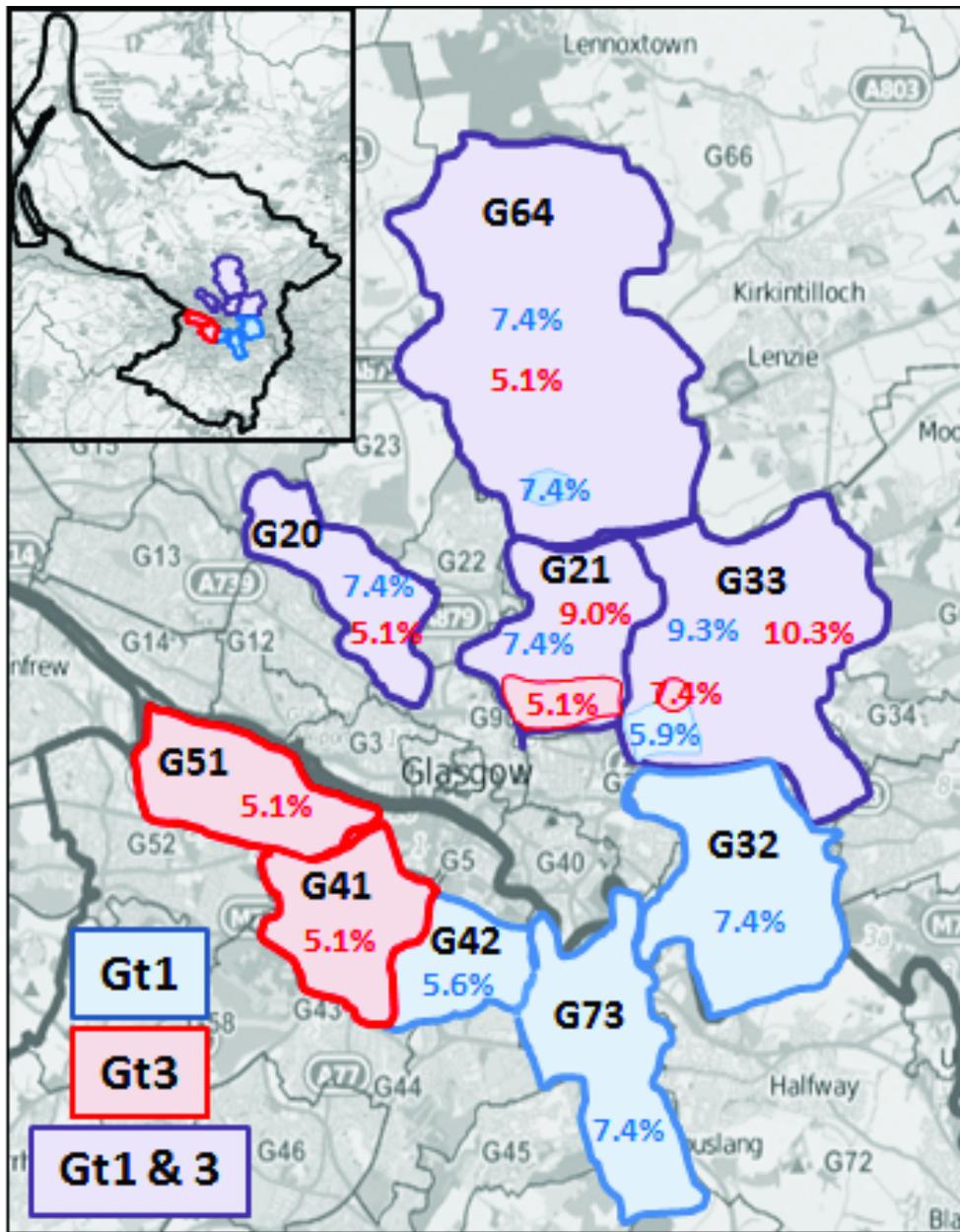
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**FIG 3** Geographical distribution of sequences within Glasgow. Sequences from the major Gt1a (C2 and C3) and Gt3a (C5 and C6) clusters are indicated on maps by the last two digits of the EYI and colour-coded over three transmission periods. The residential PC sectors associated with sequences are outlined in black. Likely transmission zones over each time period are highlighted.



**FIG 4** Co-circulation of the major Gt1a and Gt3a clades in Glasgow. Likely transmission zones of the predominant Gt1a (C2 and C3) and Gt3a (C5 and C6) clusters in Glasgow are highlighted over four time periods. Transmission zones are comprised of the residential PC sectors of the study participants and connecting regions.



**FIG 5** Map of Glasgow highlighting the predominant residential PC districts of individuals infected with Gt1a (blue), Gt3a (red) or areas predominant for both genotypes (purple). The percentages of samples associated with the districts are coloured in blue (Gt1a) and red (Gt3a). Four PC sectors representing hotspots of transmission are shown within PC districts, coloured by genotype and with the associated percentage of samples derived from the sector. Insert shows a map of the complete Glasgow PC region with the nine predominant PC districts in colour.