

1 **Evaluating the effectiveness of a seasonal spawning area closure**

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6 **Abstract**

7 Fish that aggregate at predictable locations and times to spawn are often vulnerable to over-  
8 exploitation. Seasonal closures have often been implemented in an attempt to alleviate such  
9 impacts but the effectiveness of these measures is rarely tested. This study evaluates the  
10 effectiveness of a spawning closure for Atlantic cod (*Gadus morhua*) in the Firth of Clyde off the  
11 Scottish West Coast (ICES area VIa). This closure was introduced in March 2001 as an  
12 emergency measure to allow as many cod as possible to spawn and avoid the build-up of  
13 displaced effort from another spawning closure. Genetic, tagging and otolith microchemistry  
14 investigations indicate that cod inhabiting the Clyde are reproductively isolated from other resident  
15 groups in the central and northern part of the Scottish West Coast stock. This study used a  
16 beyond-BACI (Before-After, Control-Impact) approach to compare population trends of the Clyde  
17 spawning aggregation before and after the introduced area closure, using two other sub-population  
18 spawning grounds as control areas. There was no evidence of local recovery in terms of  
19 abundance, biomass or reduced mortality in the Clyde more than a decade after establishing the  
20 closure. Mortality may have remained high because young cod are still caught as bycatch in the  
21 *Nephrops* fishery in the area and the predation rate may have increased due to an expanding  
22 whiting population. Considering the state of the already severely depleted Clyde sub-population  
23 when the closure was implemented the measure appears to have been too little and too late. The  
24 tendency to implement such spawning closures on nearly collapsed stocks may be why these  
25 measures often appear to have been ineffective.

26

27 **Key words**

28 Fisheries closure; Spawning aggregations; Atlantic cod; BACI

29

30 **Introduction**

31 Catch control measures for commercial species are often at a spatial scale greater than that of  
32 local population dynamics, making individual spawning components vulnerable to extirpation  
33 (Stephenson, 1999; Hutchinson, 2008; Armstrong *et al.*, 2013). Area closures have been  
34 suggested as a tool to support fisheries management, particularly for areas where key life history  
35 stages congregate (Halliday, 1988; Murawski *et al.*, 2000; Pickett *et al.*, 2004), such as spawning  
36 aggregations. Spawning aggregations often occur at times and places that are predictable making  
37 them vulnerable to exploitation (Sadovy and Domeier, 2005). Aggregative behaviour can cause  
38 localised increases in catchability which can lead to higher fishing mortality (Halliday, 1988; van  
39 Overzee and Rijnsdorp, 2015). High catch rates during spawning can mask overall stock declines  
40 as the aggregation fisheries exhibit catch per unit (CPUE) hyperstability (Rose and Kulka, 1999;  
41 Erisman *et al.*, 2011). This is where catch rates remain high even when the actual stock  
42 abundance is in steep decline through the spatial concentration of fish and fishery (Hilborn and  
43 Walters, 1992). Consequently, many spawning aggregations have at first appeared inexhaustible  
44 and this has led to their depletion (Ames, 2004) and in some cases near extirpation (Beets and  
45 Friedlander, 1998; Aguilar-Perera, 2006; Erisman *et al.*, 2011; Armstrong *et al.*, 2013). Therefore  
46 the introduction of a spawning area closure timed to the period when fish aggregate to spawn can  
47 reduce fishing mortality directly, whilst permitting sustainable exploitation outside of the spawning  
48 period (Murawski *et al.*, 2000). However, for a spawning closure to have a net benefit to  
49 population growth there should be a reduction in the annual fishing mortality (Heppell *et al.*, 2006).  
50 Hence, if fish are not particularly susceptible to capture during spawning or there is a change in  
51 fishing effort that negates the seasonal reduction in mortality, a spawning closure may have no  
52 effect (Gruss *et al.*, 2013; Gruss and Robinson, 2015).

53

54 If the catchability is greater during the spawning period than at other times of the year, then  
55 reducing overall fishing effort through the introduction of a spawning area closure can benefit the  
56 fish population by reducing fishing mortality (Gruss *et al.*, 2013; Gruss and Robinson, 2015) and

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57 also by reducing disturbance (Morgan *et al.*, 1997). Disturbance from fishing can alter spawning  
58 aggregation behaviour and interrupt the spawning process with some fish not returning to spawn  
59 until after the disturbance has stopped (Dean *et al.*, 2012). Loss of spawning areas may impact  
60 recruitment since the diversity and location of sites where eggs are released may help mitigate  
61 against the effects of local mortality events and promote favourable egg and larval transport  
62 (Marteinsdottir, 2000; Jonasson *et al.*, 2009). Re-colonisation of extirpated spawning grounds may  
63 take many generations in species where spawning migrations are related to social learning, as  
64 inexperienced recruits learn the routes to grounds by following older experienced individuals  
65 (Rose, 1993). High fishing mortality on spawning individuals will also lead to a size and age  
66 truncation, which can affect the viability of offspring produced and the timing of spawning  
67 (Birkeland and Dayton, 2005; Wright and Trippel, 2009). Ultimately, the removal of larger  
68 individuals during spawning may also create a strong selection pressure for fish that mature at a  
69 smaller size and younger ages and so may have evolutionary consequences (Law, 2007; Devine  
70 *et al.*, 2012). Therefore the cessation of fishing of spawning aggregations can lead to a recovery of  
71 demographic structure (Wright and Trippel, 2009), sex ratios (Beets and Friedlander, 1998),  
72 prevent the extirpation of distinct spawning components (Ames, 2004; Armstrong *et al.*, 2013) and  
73 reduce negative selection pressures (Law, 2007).

74

75 Despite theoretical models predicting potential benefits of spawning area closures for fish  
76 conservation (Sadovy and Domeier, 2005; Gruss *et al.*, 2014) this management approach remains  
77 controversial due to the frequent lack of clear objectives, monitoring and empirical impact studies  
78 (Sadovy and Domeier, 2005; STECF, 2007; Gruss *et al.*, 2014). Although many spawning area  
79 closures have been established, the effectiveness of this approach has rarely been evaluated (van  
80 Overzee and Rijnsdorp, 2015). Whilst potential impacts of spawning fidelity and effort  
81 redistribution have been examined there is still comparatively few empirical studies of spawning  
82 closures. The Before/After, Control/Impact (BACI) survey design has been widely accepted as an  
83 appropriate method of directly assessing the effects of area closures (Claudet and Guidetti, 2010;  
84 Ojeda-Martinez *et al.*, 2011; Osenberg *et al.*, 2011; Fenberg *et al.*, 2012). Of those studies that  
85 have examined the effect of area closures to protect spawning aggregations (Beets and

86 Friedlander, 1998; Murawski *et al.*, 2000; Rhodes and Sadovy, 2002; Pet *et al.*, 2005), none have  
87 used a BACI survey design.

88

89 Atlantic cod, *Gadus morhua*, are particularly relevant to the debate about spawning area closures  
90 as this measure has been applied to many stocks (Murawski *et al.*, 2000; Hu and Wroblewski,  
91 2009; Armstrong *et al.*, 2013). They are broadcast spawners (Hutchings *et al.*, 1999) that  
92 aggregate in high numbers to spawn (Rose, 1993; Rose and Kulka, 1999; Wright *et al.*, 2006a;  
93 Siceloff and Howell, 2013). Aggregations are persistent from year to year and form in specific  
94 locations for set periods of time. Cod exhibit a diversity of migratory behaviour associated with  
95 differing degrees of reproductive isolation among spawning aggregations (Knutsen *et al.*, 2003;  
96 Wright *et al.*, 2006b; Skjaeraasen *et al.*, 2011). Many resident populations often exhibit differences  
97 in life history traits over comparatively small spatial scales (Olsen *et al.*, 2004; Yoneda and Wright,  
98 2004; Wright *et al.*, 2011).

99 Cod off the West Coast of Scotland in ICES Area VIa are managed as a single stock (ICES, 2013).  
100 However evidence on the connectivity between nursery and spawning areas from otolith  
101 microchemistry and home ranges based on tag-recapture experiments suggest that this stock is  
102 composed of three sub-populations; the Clyde, Minch and South West (Wright *et al.*, 2006a;  
103 2006b). Cod from the Clyde were shown to be reproductively isolated having little detectable  
104 exchange with the northern spawning aggregations. Genetic evidence also supports this  
105 population structure as Clyde cod were found to have a greater affinity to those from the Irish Sea  
106 than the cod from the northern aggregations (Heath *et al.*, 2014). Different trends in spawning  
107 stock biomass (SSB) among the sub-populations further supports the existence of this population  
108 structure (Holmes *et al.*, 2014). A fishery closure was introduced to the Firth of Clyde in 2001 to  
109 coincide with the cod spawning period (6<sup>th</sup> March to 30<sup>th</sup> April) to allow as many cod as possible to  
110 spawn (Commission Regulation (EC) No 456/2001) and was subsequently continued by the  
111 Scottish Government (The Sea Fish (Prohibited Methods of Fishing) (Firth of Clyde) Order 2002) .  
112 The location was known as an important spawning area for cod identified by a high catch rate of  
113 mature individuals (age 3 and 4) (Armstrong *et al.*, 2006), and spawning individuals (Wright *et al.*,  
114 2006a) and the area is vulnerable to increased fishing efforts during the spawning period (Hislop,

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115 1986). In addition, the Clyde closure was intended to avoid an increase in local fishing mortality as  
116 a consequence of fishing effort being displaced from an Irish Sea closure (Commission Regulation  
117 (EC) No 304/2000). The closure has two zones (Figure 1), Area 2 prohibits gears that target fish  
118 and trawling for *Nephrops* (*Nephrops norvegicus*), but allows creeling and dredging for scallops  
119 (*Pecten maximus*) whilst Area 1 also prohibits gears targeting fish but permits trawling for  
120 *Nephrops*, creeling and scallop dredging.

121

122 Given the apparent reproductive isolation of Clyde cod, the seasonal closure was expected to  
123 reduce the sub-population mortality rate and aid the recovery of spawning stock biomass, although  
124 it was not expected to affect the other sub-populations within ICES Area VIa. The aim of this study  
125 was to investigate the rationale and effectiveness of the area closure. The rationale that the  
126 closure reduced catchability was examined from changes in commercial landings and fishing effort  
127 before and after the closure. Effectiveness, in terms of the closure allowing the recovery of the  
128 Clyde sub-population, was assessed by applying an asymmetric “beyond-BACI” design  
129 (Underwood, 1992) to analyse survey based indices of spawning stock biomass (SSB) and CPUE.  
130 The fine scale sub-population structure within the stock with a relatively long time series of  
131 standardised survey data, lends itself to a BACI analysis, by providing one sub-population with a  
132 putative impact (the area closure) and two comparable control spawning areas. To establish  
133 whether the closure had an effect on total mortality the same beyond-BACI methodology was  
134 applied to a linearised catch curve of the length composition for each sub-population, before and  
135 after the measure was introduced.

136

## 137 **Methods**

138 The three sub-populations and their associated spawning aggregations used in this study were  
139 identified from Wright *et al.* (2006b). Landings of cod and fishing effort (hours fished) data for each  
140 vessel type greater than 10m were extracted from the Marine Scotland FIN database by ICES  
141 rectangle (1° longitude x 0.5° latitude). Data were summed for multiple ICES rectangles  
142 corresponding to each of the three sub-populations (Table 1), then effort was displayed as monthly  
143 proportions for the period “Before” (1986-2000) and “After” (2001-2010) the Clyde cod closure was  
144 introduced. Landings per unit effort were calculated using a correction factor for each of the seven

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145 gear types based on that used by Wright *et al.*, (2006a) and displayed as corrected landings per  
146 unit effort (CLPUE) monthly total for each sub-population for each time period . The sum effort and  
147 landings for the two gears that accounted for most landings; light otter trawls (LTR) and *Nephrops*  
148 trawls (NTR) was calculated for each sub-population area for each year.

149

#### 150 **Seasonal and annual variation in catch rates**

151 Data on catch per unit effort and length composition were obtained from the 1<sup>st</sup> quarter (February  
152 to April) Scottish West Coast Bottom Trawl Survey conducted by Marine Scotland Science from  
153 1986 until 2010, during the March-April spawning period for cod. Due to a change in the survey  
154 design after this date later data were not used in the analysis. The surveys used a Grande  
155 Overture Vertical trawl with a high-headline bottom trawl fitted with a 20 mm cod end liner. The  
156 distance of the tow, wingspread and speed was recorded so that the catch per unit effort (CPUE)  
157 could be standardised to the number of cod caught at each 1 cm size class per hour. The surveys  
158 within ICES area VIa were replicated at a spatial scale of an ICES rectangle (1° longitude x 0.5°  
159 latitude). All trawls used in the Minch were within 65 km of the identified spawning site; 60 km of  
160 the SW spawning site and 35 km of the Clyde spawning site (Figure 1). Sample sizes for each  
161 sub-population can be seen in Table 1.

162

#### 163 **Changes in CPUE and SSB from scientific trawls**

164 Generalised Linear Models were used to test for different trends in both CPUE and SSB before  
165 (1986-2000) and after (2001–2010) the closure. As the closed area was expected to reduce  
166 fishing mortality of spawning cod only mature sized cod were used in the analysis. The length at  
167 which 25% of cod off the West coast of Scotland are mature is 35 cm according to Yoneda and  
168 Wright (2004), and so this length threshold was used in the estimation of mature cod CPUE. 25 %  
169 length at maturity rather than 50 % was used due to the low abundance of larger sized cod during  
170 the after period, which would not have allowed for a robust analysis. SSB was calculated by using  
171 data on length and weight from ICES Area VIa extracted from the DATRAS website. A linear model  
172 was fitted to the natural logarithm (base e) of the length and weight of all cod sampled. The  
173 intercept (-1.9307) and slope (2.9831) from this model were then used to calculate the weight from

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174 the measured length of all mature fish. The SSB for each trawl was then calculated by summing  
175 the total biomass of mature fish for each trawl.

176

177 Analysis of both SSB and CPUE started with a saturated model, including all interaction terms  
178 based on *a priori* hypotheses for the inclusion of a third order interaction ( $\beta_{7,spy}$ ) between period  
179 (p), sub-population (s) and year (y) (Equation 1).

180

$$181 \text{Log(Response)} = \beta_0 + \beta_{1,s} + \beta_{2,p} + \beta_{3,y} + \beta_{4,sp} + \beta_{5,py} + \beta_{6,sy} + \beta_{7,spy} \quad (1)$$

182 Both sub-population and period were modelled as factors, where sub-population (s) included three  
183 levels: (i) Clyde closed area, (ii) Minch control area, and (iii) SW control area. Period (p) included  
184 two levels for the time period (i) “before” the area closure from 1986 until 2000; and (ii) “after”  
185 including 2001 until 2010. Year (y) was modelled as a continuous variable. Generalised linear  
186 models were implemented using the glm() function in the R package “nLME” (Pinheiro *et al.*,  
187 2013). The model of best fit was identified using backward model selection from the fully saturated  
188 model using likelihood ratio tests (Zuur *et al.*, 2009), and checking residual plots. If the model of  
189 best fit includes the third order interaction (spy) this would indicate that the trend in response  
190 variable differed for each sub-population, and the trend differed for each sub-population for each of  
191 the time periods, “before” and “after” the area closure. A difference in trend for the Clyde sub-  
192 population in the “after” period compared to the other sub-populations could then be inferred as an  
193 effect of the closure.

194

### 195 **Changes in length composition and total mortality**

196 Changes in length composition “Before” and “After” the closure in each sub-population were  
197 compared using a Kolmogorov-Smirnoff (K-S) test from the function clus.lf() from the R package  
198 “fishmethods” (Nelson, 2014) applied to calculated CPUE per 5 cm length bin. Total mortality (Z)  
199 before and after the closure was calculated from the slope of a linearised catch curve, modelling  
200 the relationship between the natural logarithm of CPUE and length (Jensen, 1984) implemented  
201 using the function glmer() in the R package “lme4” (Douglas *et al.*, 2015). A general linear mixed  
202 model was used to analyse mortality using the following model structure:

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203

$$204 \quad Z = \beta_0 + \beta_1 s + \beta_2 p + \beta_3 l + \beta_4 sp + \beta_5 sl + \beta_6 lp + \beta_7 spl + b_0 + b_1 y + b_2 l \quad (2)$$

205

206 Both sub-population (s) and period (p) were modelled as factors as in the preceding analysis.  
207 Length was modelled as a continuous variable between 45 cm and 70 cm. This range was chosen  
208 as the catch curve indicated that smaller sizes were not fully vulnerable to the fishing gear and  
209 larger fish were not regularly caught in all areas. A random intercept and slope effect was included  
210 in the model to account for different mortality rates each year. Year was modelled as a random  
211 factor with 25 levels. A significant interaction effect would imply that the CPUE of different size fish  
212 changes for different sub-populations during the different time periods. The model of best fit was  
213 identified using backward model selection from the fully saturated model using likelihood ratio tests  
214 (Zuur *et al.*, 2009), and checking residual plots. If the model of best fit includes the third order  
215 interaction and a positive coefficient for the Clyde sub-population then this would indicate a  
216 reduction in total mortality (Z) and could be inferred as an effect of the area closure.

217

## 218 **Results**

### 219 **Seasonal and annual variation in catch rates**

220 CLPUE and proportion of fishing effort varied significantly over the year in all three sub-population  
221 areas (Kruskall-Wallis;  $p < 0.01$ ). In the Clyde and SW area there was a peak in the CLPUE and  
222 proportion of fishing effort related to spawning time during the “Before” period (Figure 2). In the  
223 “Before” period in the Clyde area, there was a 3 times difference in catchability (CLPUE) between  
224 the spawning and non-spawning period; 70% of annual landings were taken during these two  
225 months and 45% of the total annual effort for Light Otter Trawls in this area was accounted for  
226 during these two months (Figure 2). During the “After” period effort in the Clyde peaked in October  
227 although there was a small peak in cod landings during the spawning period. In the SW and  
228 Minch there was no peak in either landings or fishing effort around spawning time in the “After”  
229 period. Landings and effort by the light otter trawls decreased from the start of the study period  
230 until the end with a clear decline from the 1990s for all areas (Figure 3). Importantly, there was no  
231 redistribution of light otter trawl in the Clyde area following the closure, the effort was effectively  
232 removed from this area (Figure 8 Supplementary Information). The effort of *Nephrops* trawls

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233 remained fairly constant throughout the study period (Figure 9 Supplementary Information)  
234 although the landings of cod showed a decline in each of the areas, which was particularly steep  
235 after 2000. As a result, landings and effort by 2001 represented < 12% of the peak (Figure 3).

236

### 237 **Changes in CPUE and SSB from scientific trawl**

238 Model selection for estimating changes in CPUE and SSB did not support the three-way interaction  
239 term between year, time and sub-population. The absence of evidence supporting a three-way  
240 interaction indicates that there was no effect of the Clyde closure on CPUE or SSB. The model of  
241 best fit (Equation 3) for both CPUE and SSB supported interactions between time period and year,  
242 and sub-population and year (CPUE in Table 2 and SSB in Table 3). For the “Before” time period  
243 the gradient of the slope for CPUE (Figure 4) and SSB (Figure 5) was negative for all areas. For  
244 the “After” period the gradient of the slope was more negative, but the degree of decline was equal  
245 for each area, indicating no effect of the Clyde area closure.

246

$$247 \text{Log(Response)} = \beta_0 + \beta_1,s + \beta_2,p + \beta_3,y + \beta_4,py + \beta_5,sy \quad (3)$$

248

### 249 **Changes in length composition and total mortality**

250 There was no significant change in length structure in any of the three sub-populations before and  
251 after the closure (Kolmogorov-Smirnov test,  $p > 0.1$ ). In the Clyde population the most frequently  
252 caught length classes were 15-20 cm and 45-50 cm before and after the closure. The population  
253 did show signs of size truncation with no fish greater than 70 cm caught after 2001, whereas prior  
254 to 2001 cod up to the size of 100 cm were caught (Figure 6). Both the Minch and SW sub-  
255 populations also showed signs of size truncation and the most frequently caught size classes can  
256 be seen in Figure 6.

257

258 Model selection for the estimation of total mortality did not support the three-way interaction  
259 between sub-population, time and length. The model of best fit included the slope intercept random  
260 effect and both of the two way interactions between sub-population and period, and sub-population  
261 and length (Equation 4). Hence whilst there were different gradients for the slope for each sub-  
262 population, the gradient did not differ between the “Before” and “After” periods. This suggests that

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263 total mortality is different for each sub-population but that this did not change between the “Before”  
264 and “After” time period (Table 4). The steepest slope, which can be inferred as the highest rate of  
265 total mortality was for the Minch, followed by the Clyde and then the SW (Figure 7).

266

$$267 \quad Z = \beta_0 + \beta_1 s + \beta_2 p + \beta_3 l + \beta_4 sp + \beta_5 sl + b_0 + b_1 y + b_2 l \quad (4)$$

268

## 269 Discussion

270 The Clyde seasonal closure did stop the seasonal build-up of fishing effort on spawning cod and  
271 probably prevented a build-up that might have been even more intense given the concurrent  
272 displacement of fishing effort from a similar closure in the Irish Sea. Before the closure, there was  
273 a clear seasonal peak in effort corresponding to the spawning time of cod in this area (Yoneda and  
274 Wright, 2004; Wright *et al.*, 2006a). This peak in effort corresponded with an increase in  
275 catchability as evident from the elevated corrected landings per unit effort (CLPUE) during the  
276 spawning months of March and April. The closure stopped this seasonal build up in effort, although  
277 increased catchability could still be seen from the elevated CLPUE in March and April. Hence even  
278 with the marked decline in local population abundance, catch rates remained high consistent with  
279 aggregations exhibiting hyperstability (Rose and Kulka, 1999; Erisman *et al.*, 2011). The seasonal  
280 trend in monthly CLPUE was consistent with an earlier study by Hislop (1986), which showed a 10-  
281 fold increase in LPUE during March and April for the time period 1971-80. Many fishers are known  
282 to capitalize on the predictable nature and high catch rate of such spawning aggregations by  
283 concentrating their effort on spawning fish (Sadovy and Domeier, 2005; Erisman *et al.*, 2012).  
284 Management measures to reduce mortality on cod have often included spawning closures for this  
285 very reason. For example, in the Gulf of Maine a series of large “Rolling Closures” were introduced  
286 (Armstrong *et al.*, 2013). In the Irish Sea seasonal closures were introduced in 2000 (Kelly *et al.*,  
287 2006) and temporary spawning closures have been applied in the North Sea (Holmes *et al.*, 2011).  
288 Consequently, the Clyde spawning closure seemed appropriate and would have been expected to  
289 benefit the local population of cod because this area encloses the major spawning component for  
290 this region (Wright *et al.*, 2006b).

291

292 This is the first study that has used a beyond-BACI (Underwood, 1992) approach to compare the  
293 trends within a spawning aggregation before and after the introduction of an area closure. In the  
294 wider field of fisheries area closures and marine protected areas the beyond-BACI methodology  
295 has been identified as the most robust method to monitor the trajectory of populations over time  
296 (Sale *et al.*, 2005; Claudet and Guidetti, 2010; Fenberg *et al.*, 2012). In this study spawning areas  
297 of three distinct sub-populations were used for the analysis, each of which has a high level of self-  
298 recruitment (Wright *et al.*, 2006b). Therefore any localised reduction in fishing mortality due to the  
299 spawning aggregation area closure would be expected to affect the local sub-population without  
300 influencing any of the control sub-populations. Particularly in spawning aggregation studies it is  
301 difficult to find representative control populations, which may be why other studies have been  
302 unable to take a similar approach to this study.

303

304 Despite the potential benefits of a seasonal closure there was no evidence of a local recovery on  
305 the Clyde cod sub-population more than a decade after its implementation. We can infer this  
306 because the beyond BACI approach (Underwood, 1992) allows us to account for before/after  
307 differences in both the area where management was implemented and other control sites that are  
308 likely to be exposed to the same natural drivers of change. There was a greater rate of decline in  
309 SSB and CPUE for all the three sub-populations after 2001 compared to before, but the change in  
310 rate of decline was the same for each of the three sub-populations. This implies that there was no  
311 detectable effect of the area closure on the Clyde sub-population of cod. Although spawning area  
312 closures have been used for a wide-range of species throughout the world's oceans, there have  
313 been few studies that have attempted to evaluate the effectiveness of this measure (see Van  
314 Overzee and Rinsdorp, 2015). Those empirical studies that have looked at the effects of spawning  
315 aggregation closures are mostly descriptive, comparing changes in length composition, sex ratios,  
316 abundance and biomass, but generally lack baseline and/or temporal data (Beets and Friedlander,  
317 1998; Murawski *et al.*, 2000; Rhodes and Sadovy, 2002; Pet *et al.*, 2005; Heppell *et al.*, 2012).  
318 Theoretical studies have suggested that a combination of spawning aggregation reserves and  
319 reduced fishing effort are required to maintain or promote the recovery of fish populations (Heppell  
320 *et al.*, 2006; Ellis and Powers, 2012), whilst others have suggested that the use of spawning  
321 aggregation closures over normal residence closures is dependent on the catchability during the

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322 spawning period (Gruss *et al.*, 2013; Gruss and Robinson, 2015). Model simulations show that  
323 redistribution of effort, particularly when it exceeds that which occurred prior to a closure, can  
324 negate any benefit of a spawning closure (Heppell *et al.*, 2006; Gruss *et al.*, 2013; Gruss and  
325 Robinson, 2015). However, for a highly exploited population where a seasonal closure removes a  
326 large proportion of fishing effort that targets spawners without redistribution of this effort this would  
327 be predicted to benefit population growth (Gruss *et al.*, 2013, 2014; Gruss and Robinson, 2015).  
328 This was expected to be the case for the Clyde spawning area closure where fishing effort was  
329 reduced and not redistributed, at least for the main gear targeting cod. Landings have similarly  
330 declined in all three sub-population areas although by 2006 estimated discards did exceed  
331 landings across the entire west of Scotland stock region (ICES, 2013).

332 As cod in the Clyde are largely self-recruiting (Wright *et al.*, 2006b), recovery depends on the  
333 intrinsic population growth rate of this sub-population. Without any fishing mortality the median  
334 population growth rate of cod from the Scottish west coast has been estimated to be 26% per year  
335 (Wright, 2014). Based on such a rate of population growth and in the absence of density  
336 dependent recruitment or fishing mortality, the local sub-population may have been expected to  
337 recover to near 1980s levels within 10 years of closure. It would be expected that such a fast  
338 recovery rate would be evident well within the study period based on estimates of the power to  
339 detect changes in cod abundance from surveys (Maxwell and Jennings, 2005). The lack of  
340 recovery in the Clyde sub-population after the introduction of the area closure may therefore  
341 indicate a number of possibilities such as sustained fishing mortality, increasing natural mortality,  
342 reproductive failure and/or low recruitment.

343

344 Total mortality or length composition did not change in the Clyde after the area closure was  
345 introduced, although there was evidence of size truncation. Reductions in mortality would have  
346 been expected to lead to a recovery in the length composition, such as in the study by Beets and  
347 Friedlander (1998) who found a recovery of length composition of the grouper, *Epinephelus*  
348 *guttatus*, after the introduction of a seasonal spawning area closure. Cod are vulnerable to fishing  
349 gears outside of the seasonal area closure and as there was not a substantial change in effort and  
350 landings by light otter trawls (Supplementary Information Figures 8 and 10) immediately associated  
351 with the Clyde closure and effort for the Nephrops trawls remained steady until 2009

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352 (Supplementary Information Figure 9), fishing mortality may not have been significantly reduced by  
353 this measure. Catchability remained high during the spawning period after the closure had been  
354 introduced, so it is likely that cod migrating to spawning sites still appeared particularly vulnerable.  
355 Whilst the demersal fishery ceased in the Clyde during the early 2000s there were still landings  
356 coming from the *Nephrops* trawl fishery, which has a derogation to fish all year in most of the  
357 closed area. Cod landings from the *Nephrops* fishery peaked in March and April both before and  
358 after the closed area was introduced indicating that some fishing induced mortality on spawning  
359 cod continued. Similarly reduced but continued fishing within a closure was not associated with any  
360 change in length composition or trend in abundance in an area closure designed to protect  
361 groupers (*Epinephelus fuscoguttatus* and *Plectropomus areolatus*) whilst aggregating to spawn in  
362 Komodo National Park, Eastern Indonesia (Pet *et al.*, 2005).

363

364 Across the Scottish west coast cod catches were less than a tenth of the peak by 2000 and SSB  
365 was below Blim (ICES 2013). As there is strong evidence that cod at low SSB can be subject to  
366 depensation, i.e. the Allee effect (Keith and Hutchings, 2012) the apparent ineffectiveness of the  
367 closure may reflect the poor state of the Clyde sub-population by the time this measure was  
368 implemented. Several mechanisms have been hypothesized as to how the Allee effect impacts  
369 marine fishes such as altered food-web dynamics (“cultivation-depensation”) (Walters and Kitchell,  
370 2001); increased predator mortality (Kuparinen and Hutchings, 2014) and reduced mating success  
371 (Rowe *et al.*, 2004).

372

373 It is possible that the change in the Clyde fish community from highly diverse to one dominated by  
374 whiting (*Merlangius merlangus*) could have increased the natural mortality of an already depleted  
375 population of cod. Since 1995, whiting, a piscivorous gadoid, has dominated the biomass of fish  
376 within the Clyde (Heath and Speirs, 2012). Young of the year whiting have been shown to  
377 compete with other gadoids for food and through predation on smaller size classes (Bromley *et al.*,  
378 1997) and adult whiting have also been shown to be a voracious predator of juvenile cod  
379 (Temming *et al.*, 2007). Hence a key predator and competitor of young cod may have impeded the  
380 recovery of cod. A recent study has also suggested that another key predator of cod, Grey seals  
381 (*Halichoerus grypus*) could be a contributing factor to the lack of recovery of cod off the west coast

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382 of Scotland (Cook *et al.*, 2015), although the population of this predator is relatively low in the  
383 Clyde.

384

385 Disturbance from fishing can change the behaviour of spawning fish compromising reproduction  
386 (Morgan *et al.*, 1997; Dean *et al.*, 2012). The reduction in targeted fishing on spawning cod is  
387 likely to have reduced the overall level of disturbance on the Clyde cod sub-population. However,  
388 whilst cod are unlikely to be spawning on the grounds targeted by *Nephrops* trawl fishing, as  
389 spawning cod tend to avoid mud (González-Irusta & Wright, in review), it is possible that shoals  
390 moving to those spawning sites could have continued to be disturbed. Recruitment success may  
391 have also decreased in Clyde cod as a result of poor environmental conditions and the combined  
392 effect of truncated size structure of the spawning stock (Stige *et al.*, 2006). A positive correlation  
393 between spawner mean age and offspring survival was found in the Irish Sea and North Sea cod  
394 (Wright, 2014). Possible reasons for an effect of spawner age on reproductive success include  
395 maternal effects on larval viability (Marteinsdottir and Steinarsson, 1998) and/or the potential for a  
396 mismatch between spawning and optimal conditions for larval survival (Wright and Trippel, 2009),  
397 as there are age related differences in the onset of cod spawning (Morgan *et al.*, 2013).

398 The GOIS (Goals, Objectives, Indices and Success Criteria) approach has been used to provide a  
399 framework for objective setting, planning, and governance of closed areas (Rice *et al.*, 2012).

400 The goal of the Clyde closure was to protect adult cod during the spawning period, but no explicit  
401 objectives or indices of success were defined at the time of the closure. STECF (2007) suggested  
402 that the criteria to indicate that the Clyde closure had been a success was the extent of reduction  
403 in fishing mortality on mature cod and a local increase in SSB. Based on these criteria the closure  
404 has not been a success. Even though there has been no sign of recovery of cod in the Clyde, the  
405 rationale for an area closure to protect spawning cod appears justified on the basis that it did  
406 reduce targeted fishing effort on spawning cod and prevented additional fishing effort displaced  
407 from the Irish Sea Closure. Considering the state of the already severely depleted population when  
408 the closure was introduced, it could be argued that a) the area closure was implemented too late,  
409 b) the closure alone was not sufficient and c) that it did not go far enough to protect spawning cod.  
410 We cannot change the past but we can address the future by managing populations within an  
411 ecosystem context, like that being discussed through the Clyde 2020 project (The Scottish

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412 Government, 2014). Spawning area closures alone are not enough to manage populations when  
413 numbers are too low to withstand environmental fluctuations and additional sources of mortality.  
414 Other measures will be required to protect all life stages and prevent unintentional sources of  
415 fishing mortality. However, the current Clyde spawning area closure permits disturbance of  
416 aggregations with derogations allowing the continued use of some types of fishing gear with the  
417 possibility of incidental bycatch of spawning cod. Hence, whilst it is unclear what combination of  
418 factors are preventing the recovery of the local cod population, at the very least what can be done  
419 is to allow those remaining to spawn undisturbed to improve the chances of successful  
420 reproduction.

421

#### 422 **Supplementary Information**

423 Supplementary material is available at ICESJMS online version of the manuscript giving further  
424 details on model selection.

425

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629 **Table Legends**

630 Table 1. List of ICES rectangles used for each sub-population. Values represent the number of  
631 trawl surveys conducted by Marine Scotland Science in quarter 1 within each sub-population area  
632 in each time period.

633

634 Table 2 . Output from the model of best fit for the response variable CPUE. Fixed effects show  
635 treatment contrast coefficients and diagnostics (z- and p-values) indicate the effect of each  
636 parameter level on the reference level, denoted as intercept. The reference levels for each term  
637 are: Time, After and Sub-population, Clyde.

638

639 Table 3. Output from the model of best fit for the response variable SSB. Fixed effects show  
640 treatment contrast coefficients and diagnostics (z- and p-values) indicate the effect of each  
641 parameter level on the reference level, denoted as intercept. The reference levels for each term  
642 are: Time, After and Sub-population, Clyde

643

644 Table 4 . Output from the model of best fit for the response variable estimating mortality (CPUE).  
645 Fixed effects show treatment contrast coefficients and diagnostics (t- and p-values) indicate the  
646 effect of each parameter level on the reference level, denoted as intercept. The reference level for  
647 each term are: Time, After and Sub-population, Clyde

648

649 **Tables**

650

651 Table 1

Sub-population	ICES Rectangle	Before	After	Total
Clyde	39E4 and 39E5	32	21	53
Minch	45E4, 45E3, 46E4	109	64	173
SW	42E3, 42E2, 41E2	41	39	80

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654 Table 2

CPUE	Standard			
	Estimate	Error	z value	p value
(Intercept)	2.085	0.289	7.222	<0.001
Time(Before)	-0.233	0.304	-0.765	0.444
Sub-population(Minch)	-0.202	0.054	-3.761	<0.001
Sub-population(SW)	-1.086	0.220	-4.929	<0.001
Year	-1.618	0.278	-5.81	<0.001
Time(Before) : Year	0.149	0.055	2.711	0.007
Year : Sub-population(Minch)	0.027	0.028	0.943	0.346
Year : Sub-population(SW)	-0.110	0.035	-3.098	0.002

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667 Table 3

SSB	Standard			
	Estimate	Error	z value	p value
(Intercept)	2.855	0.352	8.115	<0.001
Time(Before)	-0.582	0.367	-1.584	0.113
Sub-population(Minch)	-0.267	0.063	-4.229	< 0.001
Sub-population(SW)	-0.667	0.270	-2.471	0.013
Year	-1.697	0.335	-5.069	<0.001
Time(Before) : Year	0.180	0.064	2.832	0.004
Year : Sub-population(Minch)	0.066	0.035	1.884	0.060
Year : Sub-population(SW)	-0.165	0.044	-3.75	<0.001

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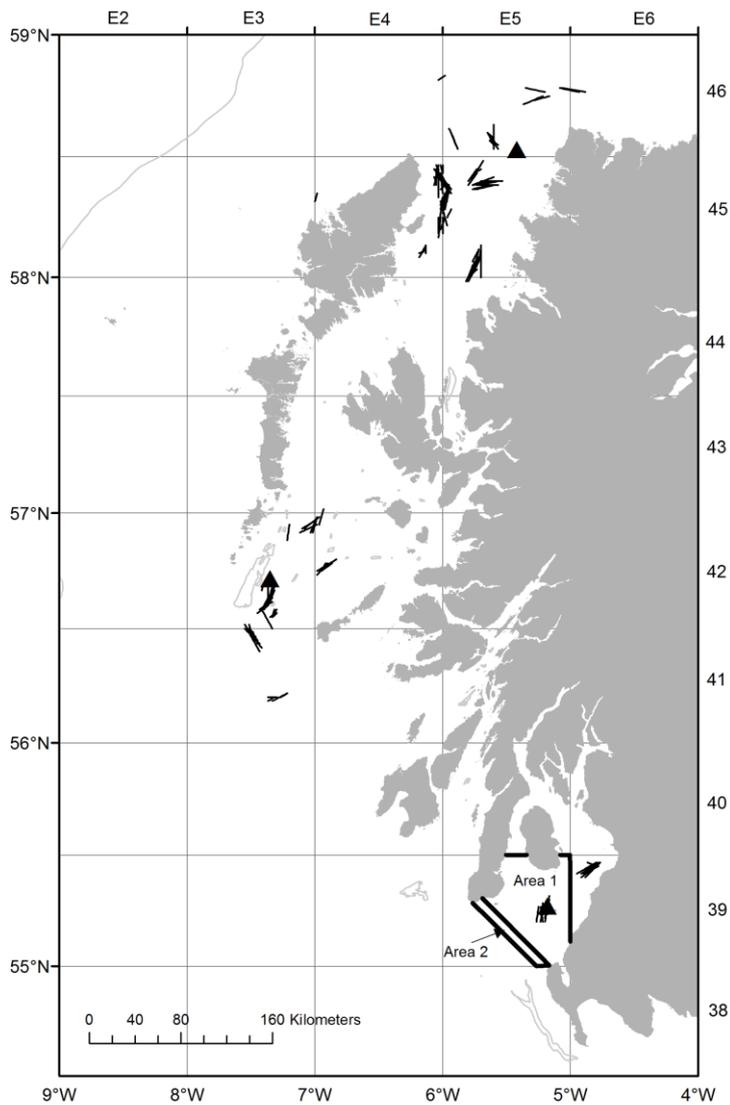
670

671 Table 4

Mortality	Value	Standard Error	t value	672
				p value
(Intercept)	1.243	0.513	2.422	0.016 <sup>673</sup>
Length	-0.026	0.009	-3.074	0.002 <sup>674</sup>
Sub-population(Minch)	-1.227	0.588	-2.086	0.038 <sup>675</sup>
Sub-population(SW)	-2.153	0.615	-3.498	<0.001 <sup>676</sup>
Time(Before)	0.095	0.201	0.472	0.641 <sup>677</sup>
Length:Sub-population(Minch)	-0.002	0.010	-0.157	0.875 <sup>678</sup>
Length:Sub-population(SW)	0.022	0.011	2.032	0.043 <sup>679</sup>
Sub-				682
population(Minch):Time(Before)	0.551	0.196	2.808	0.005 <sup>680</sup>
Sub-				684
population(SW):Time(Before)	0.361	0.215	1.683	0.093 <sup>681</sup>

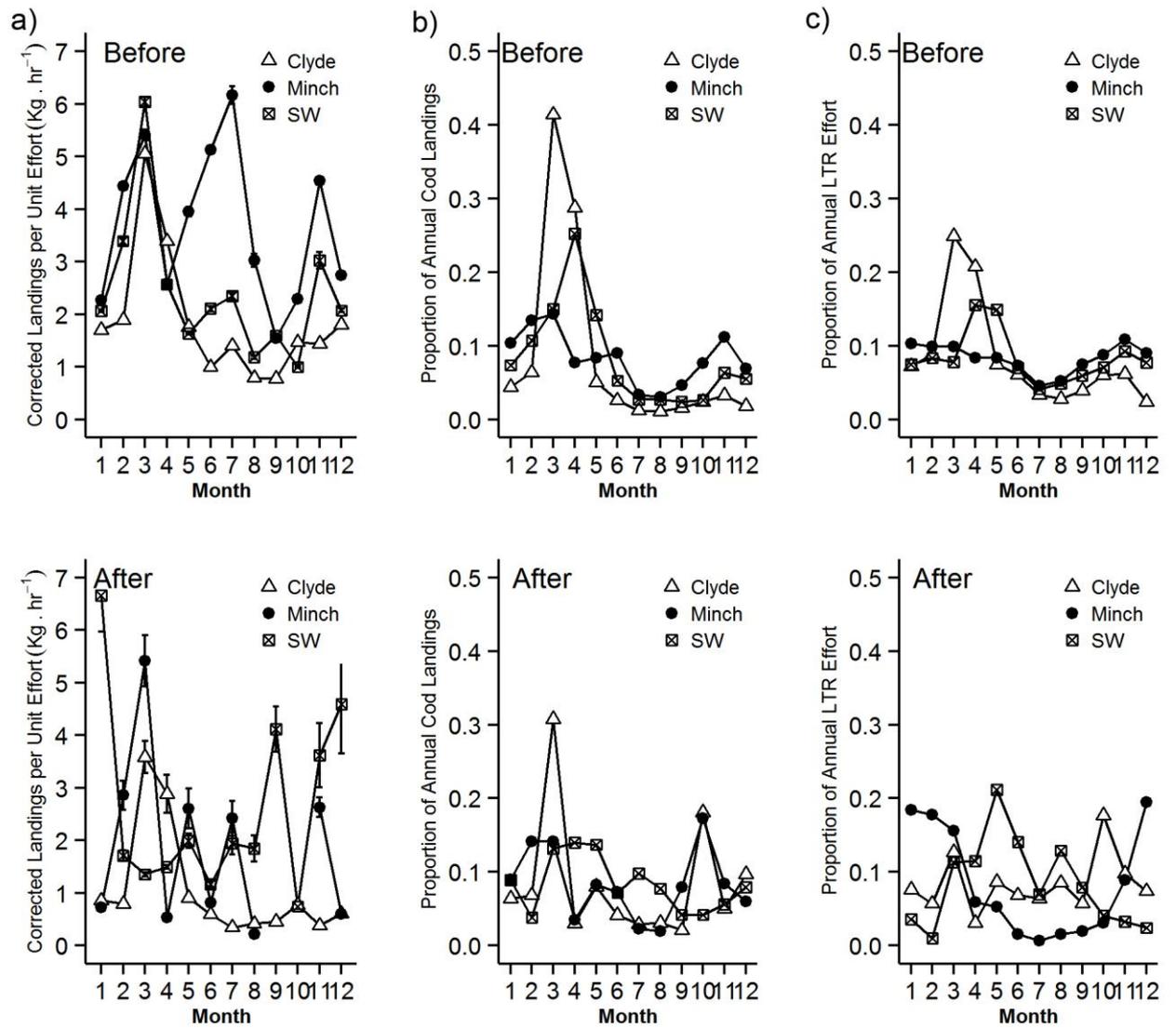
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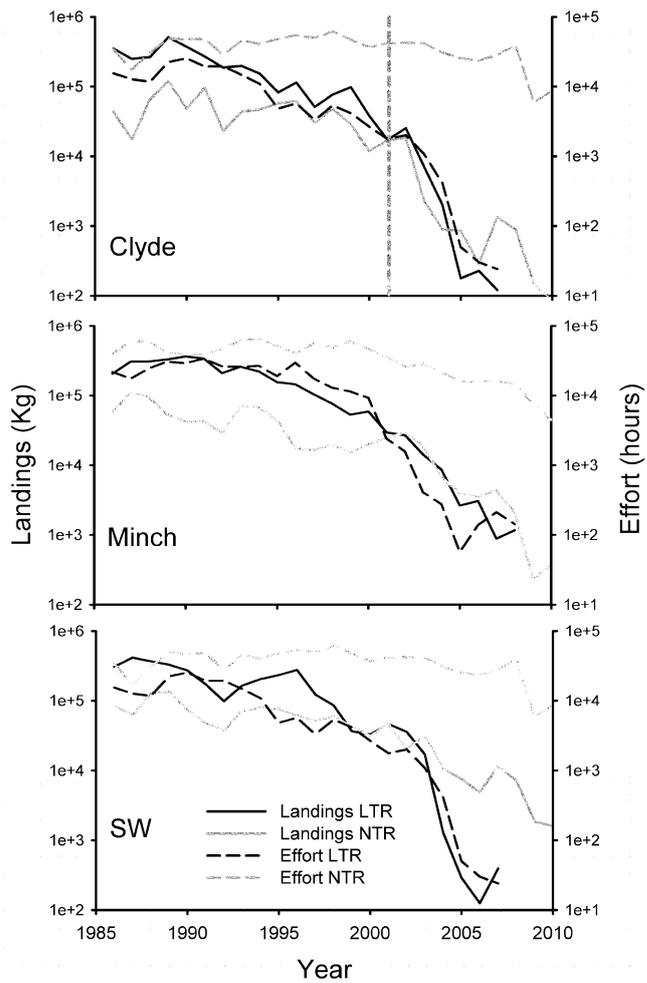
687

688 Figure 1 Map of survey area to the west of Scotland. Lines indicate all trawls conducted during the  
 689 study period and used in the analysis. Black triangles indicate spawning locations taken from  
 690 surveys conducted by (Wright et al., 2006a). The Clyde closure is split into two zones, Area 1  
 691 prohibits gear that targets fish and Area 2 prohibits gear that targets fish and *Nephrops* during the  
 692 spawning period.



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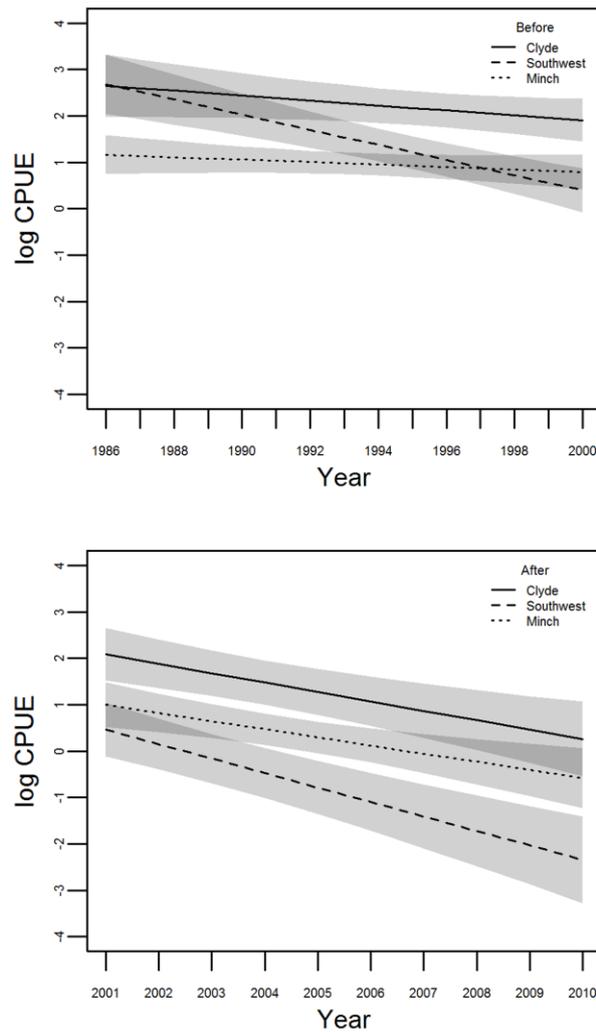
694 Figure 2 Solid lines show corrected landings per unit effort (CLPUE) (kg.h<sup>-1</sup>) for each location for  
 695 each month during the “Before” time period (upper graph) and “After” (lower graph) for all vessel  
 696 types. The dashed lines show the proportion of effort for each area, for each month for all gear  
 697 types during the “Before” time period (upper graph) and “After” (lower graph).



698

699 Figure 3. Solid lines indicates the sum landings of cod in kilograms and the dashed lines indicate  
 700 the sum of the effort in number of hours fished for each location for each year. Black lines are the  
 701 sum total for Light Otter Trawls (LTR) and the grey lines are the sum total for Nephrops Trawls

702 (NTR). Vertical dashed line in the Clyde graph indicates the year the area closure was

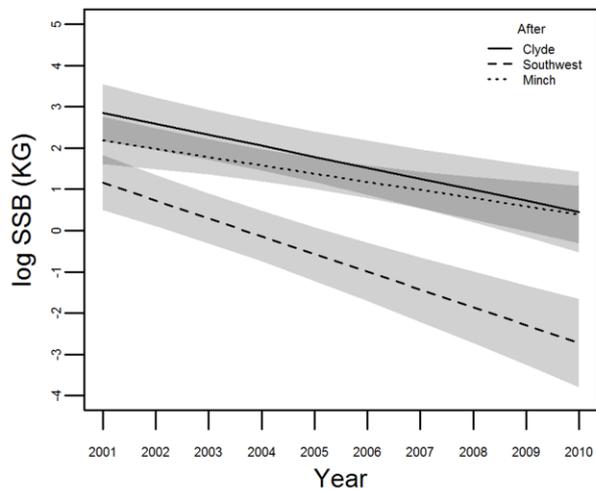
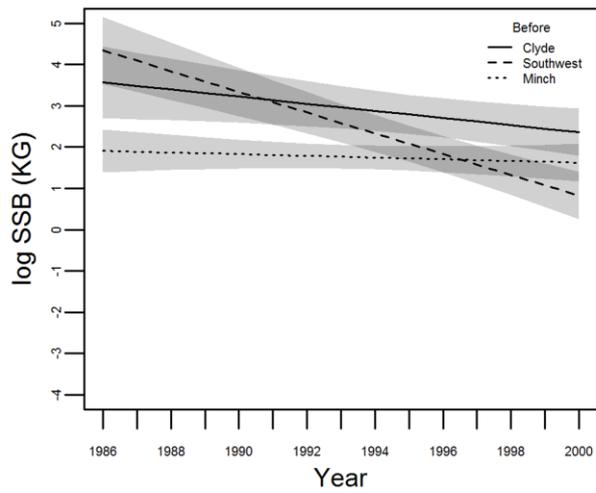


703 implemented

704 Figure 4. Fitted values taken from the model of best fit of the logarithm Catch per unit Effort

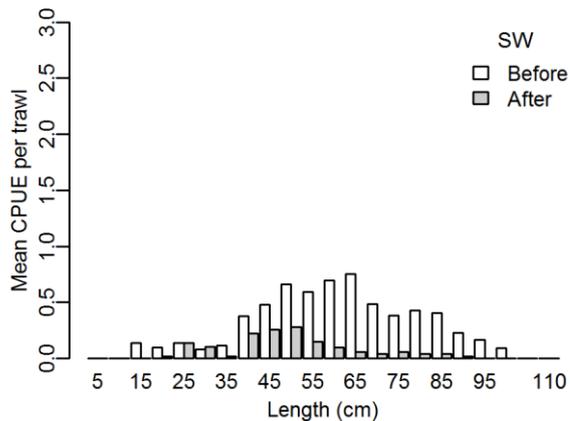
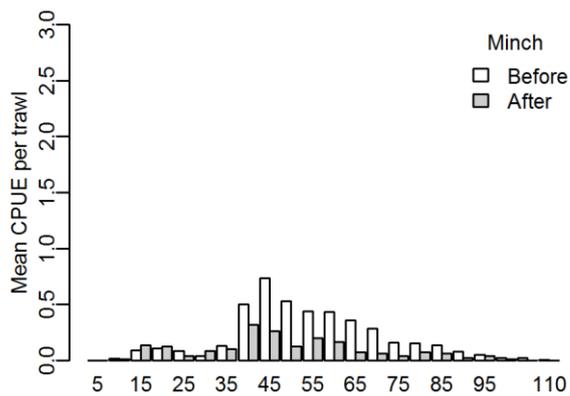
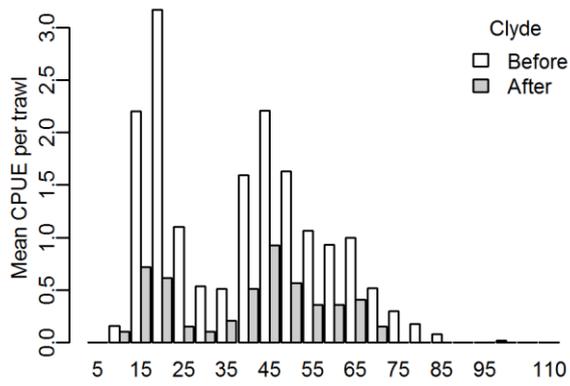
705 (CPUE) versus year for each sub-population with 95% confidence intervals shaded in grey. The

706 upper figure is for the "Before" time period and the lower figure is for the "After" time period.



707

708 Figure 5. Fitted values taken from the model of best fit of the logarithm of Spawning Stock Biomass  
 709 (SSB) versus year for each sub-population with 95% confidence intervals shaded in grey. The  
 710 upper figure is for the "Before" time period and the lower figure is for the "After" time period.



711

712 Figure 6. Length frequency plots of mean number of cod caught per trawl in 5cm length bins for  
 713 each sub-population for each time period. The top figure is the Clyde, middle is the Minch and the  
 714 bottom is the SW.

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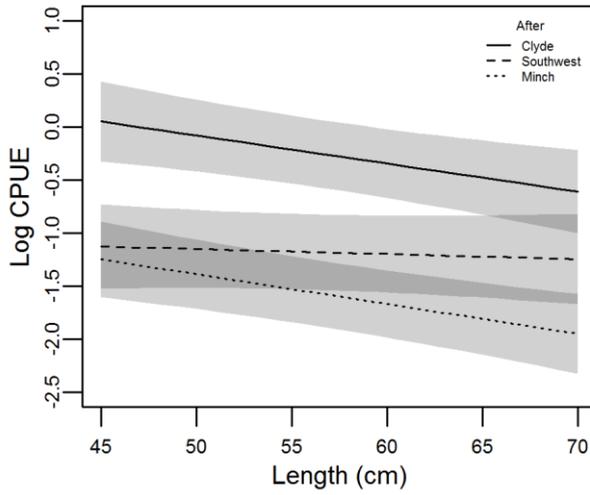
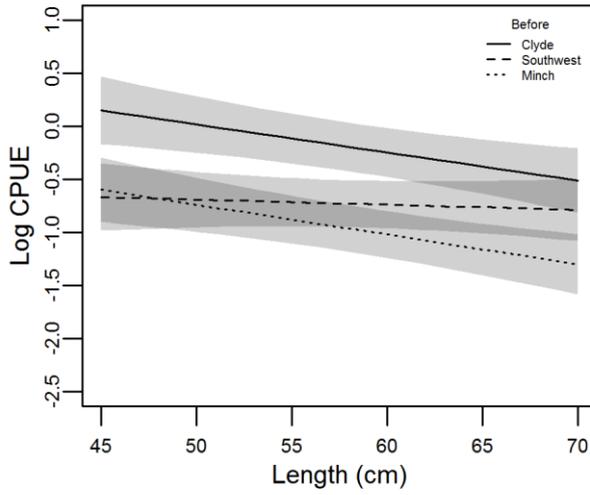
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724 Figure 7. Fitted values taken from the model of best fit for the logarithm of Catch per unit effort

725 (CPUE) for each sub-population across length from the time period “Before” (upper figure) and

726 “After” (lower figure).

727

728

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