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## A LIE-RINEHART ALGEBRA WITH NO ANTIPODE

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*The aim of this note is to communicate a simple example of a Lie–Rinehart algebra whose enveloping algebra is not a Hopf algebroid, neither in the sense of Böhm and Szlachányi, nor in the sense of Lu.*

**Key Words:** Hopf algebroids; Lie algebroids; Lie–Rinehart algebras.

**2010 Mathematics Subject Classification:** 16T05; 57T05; 16S30.

### 1. INTRODUCTION

The enveloping algebra of a Lie algebra is a classical example of a Hopf algebra. Hence it is natural to ask whether the enveloping algebra of a Lie algebroid [12] or more generally of a Lie–Rinehart algebra [13] carries the structure of a Hopf algebroid. It turns out that they always are *left bialgebroids* (introduced under the name  $\times_R$ -bialgebras by Takeuchi [15]), see [16], and in fact *left Hopf algebroids* (introduced under the name  $\times_R$ -Hopf algebras by Schauenburg [14]), see [6, Example 2]; see also [5, 11].

However, there is also the definition of a Hopf algebroid due to Lu [9] and the one due to Böhm and Szlachányi [2] (the latter will be called *full Hopf algebroids* from now on), which both assume the existence of an antipode satisfying certain axioms. The aim of the present paper is to communicate a concrete example of a Lie–Rinehart algebra whose universal enveloping algebra is not a Hopf algebroid in either of these two settings.

This clarifies further the relation between the three concepts: it is well-known and easily seen that every full Hopf algebroid is a left Hopf algebroid, see [2]. In [1], an example of a full Hopf algebroid was given that is not a Hopf algebroid in the sense of [9], but to the best of our knowledge, it is not known whether every Hopf algebroid in the sense of Lu satisfies the axioms of a full or at least of a left Hopf algebroid, and whether every left Hopf algebroid admits an antipode satisfying

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either of the definitions of [2, 9] (a counterexample announced in [8, Remark 3.12] did not appear in print).

In the light of [8, Proposition 3.11], it is known that the enveloping algebras of Lie algebroids [3] and of the Lie–Rinehart algebras associated to Poisson algebras [4, Section (3.2)] are full Hopf algebroids. However, here we prove the following theorem.

**Theorem 1.1.** *Let  $K$  be a field,  $R := K[x, y]/\langle x \cdot y, x^2, y^2 \rangle$ ,  $L$  be the 1-dimensional Lie algebra with basis  $\{\alpha\}$ , and  $E \in \text{Der}_K(R)$  be the derivation with  $E(x) = y, E(y) = 0$ .*

1. *There is a Lie–Rinehart algebra structure on  $(R, L)$  with  $R$ -module structure on  $L$  given by  $x \cdot \alpha = y \cdot \alpha = 0$  and anchor map given by  $\rho(\alpha) = E$ .*
2. *There is no right  $V(R, L)$ -module structure on  $R$  that extends multiplication in  $R$ .*
3.  *$V(R, L)$  is neither a full Hopf algebroid, nor a Hopf algebroid in the sense of [9].*

The note is structured as follows. In Section 2, we recall some basic definitions and prove the implication  $2. \Rightarrow 3.$  of Theorem 1.1. In Section 3, we provide a construction method of Lie–Rinehart algebras whose enveloping algebras satisfy part 2, for which the Lie–Rinehart algebra from 1 is a basic example.

## 2. BACKGROUND

This section contains background on Lie–Rinehart algebras [13], see also [4, 7, 8, 11] for more information. For the corresponding differential geometric notion of a Lie algebroid see [12] and for example [10] for further details.

We fix a field  $K$ . An unadorned  $\otimes$  denotes the tensor product of  $K$ -vector spaces.

**Definition 2.1.** A Lie–Rinehart algebra consists of the following elements:

1. A commutative  $K$ -algebra  $(R, \cdot)$ ;
2. A Lie algebra  $(L, [-, -]_L)$  over  $K$ ;
3. A left  $R$ -module structure  $R \otimes L \rightarrow L$ ,  $r \otimes \xi \mapsto r \cdot \xi$ ,  $r \in R, \xi \in L$ ; and
4. An  $R$ -linear Lie algebra homomorphism  $\rho : L \rightarrow \text{Der}_K(R)$  satisfying

$$[\xi, r \cdot \zeta]_L = r \cdot [\xi, \zeta]_L + \rho(\xi)(r) \cdot \zeta, \quad r \in R, \xi, \zeta \in L. \quad (2.1)$$

The map  $\rho$  is referred to as the anchor map.

There are two fundamental examples: if  $R$  is any commutative algebra, one can take  $L$  to be  $\text{Der}_K(R)$  with its usual Lie algebra and  $R$ -module structure, and  $\rho = \text{id}$ . The other extreme is  $R = K$  and  $\rho = 0$ ,  $L$  being any Lie algebra.

In his paper [13], Rinehart generalised the construction of the universal enveloping algebra of a Lie algebra to Lie–Rinehart algebras, see Section 2 therein for the precise construction. The result is an associative  $K$ -algebra  $V(R, L)$  that is generated by the (sum of the) images of a  $K$ -algebra map

$$R \longrightarrow V(R, L)$$

and a Lie algebra map

$$(L, [-, -]_L) \longrightarrow (V(R, L), [-, -]), \quad \xi \longmapsto \bar{\xi},$$

where  $[-, -]$  denotes the commutator in  $V(R, L)$ . As Rinehart, we do not distinguish between an element in  $R$  and its image in  $V(R, L)$  which is justified as the first map is always injective. The construction is such that in  $V(R, L)$  one has for all  $r \in R, \xi \in L$

$$[\bar{\xi}, r] = \rho(\xi)(r), \quad r\bar{\xi} = \overline{r \cdot \xi}, \quad (2.2)$$

where the product in  $V(R, L)$  is denoted by concatenation.

As indicated in the introduction,  $V(R, L)$  has the structure of a left Hopf algebroid. Its counit endows  $R$  with the structure of a left  $V(R, L)$ -module, in such a way that the induced action of  $r \in R$  is given by multiplication, and the induced action of  $\xi \in L$  is given by the anchor map. The following fact is well known and yields the implication  $2. \Rightarrow 3.$  in Theorem 1.1.

**Lemma 2.2.** *If  $H$  is either a full Hopf algebroid or a Hopf algebroid in the sense of Lu, with antipode  $S : H \rightarrow H$ , left counit  $\varepsilon : H \rightarrow R$ , and source and target maps  $s, t : R \rightarrow H$ , then defining for  $h \in H, r \in R$*

$$rh := \varepsilon(S(h)s(r)) \quad (2.3)$$

*yields a right  $H$ -module structure on  $R$  for which the underlying left  $R$ -action on  $R$  is given by left multiplication.*

*Proof.* The canonical left action of a left bialgebroid  $H$  on the base algebra  $R$  is given by  $hr := \varepsilon(hs(r)) = \varepsilon(ht(r))$ , and the antipode of a Hopf algebroid is an algebra antihomomorphism (see [1, Proposition 4.4] respectively [9, Definition 4.1] for the two different notions). Hence (2.3) defines a right action of  $H$  on  $R$ . Finally, one has  $S \circ t = s$  (see [1, Definition 4.1 (iii)] respectively [9, Definition 4.1.2.]), so  $rt(q) = \varepsilon(S(t(q))s(r)) = \varepsilon(s(q)s(r)) = qr$  for all  $q, r \in R$ .  $\square$

### 3. A LIE-RINEHART ALGEBRA WITHOUT FLAT RIGHT CONNECTION ON $R$

We now prove Theorem 1.1, 1. and 2. We begin by considering more generally Lie-Rinehart algebras  $(R, L)$  whose  $R$ -module structure on  $L$  is given by a character  $\chi : R \rightarrow K$ .

**Lemma 3.1.** *Let  $(R, \cdot)$  be a commutative  $K$ -algebra,  $(L, [-, -]_L)$  be a Lie algebra, and  $\rho : L \rightarrow \text{Der}_K(R)$  be a Lie algebra map. Define an  $R$ -module structure on  $L$  by  $r \cdot \xi := \chi(r)\xi$ , where  $\chi : R \rightarrow K$  is a character on  $R$ . Then  $(R, L)$  is a Lie-Rinehart algebra if and only if  $\rho$  is  $R$ -linear and  $\rho(\xi)(r) \in \ker \chi$  for all  $r \in R, \xi \in L$ .*

*Proof.* This follows as the Leibniz rule (2.1) takes the form

$$[\xi, \chi(r)\zeta]_L = \chi(r)[\xi, \zeta]_L + \chi(\rho(\xi)(r))\zeta$$

and hence by the  $K$ -linearity of the bracket becomes equivalent to  $\rho(\xi)(r) \in \ker \chi$ .  $\square$

Note that for these examples,  $[-.-]_L$  is even  $R$ -linear, so  $L$  is a Lie algebra over  $R$ . However, in general we have  $\rho \neq 0$ .

Assume now that  $(R, L)$  is a Lie–Rinehart algebra as in the above lemma, and that multiplication in  $R$  can be extended to a right  $V(R, L)$ -module structure on  $R$ . Denote by  $\partial(\xi) \in R$  the element obtained by acting with  $\xi \in L$  on  $1 \in R$  under this right action. This defines a  $K$ -linear map  $\partial : L \rightarrow R$ , and in  $V(R, L)$  we have

$$\rho(\xi)(r) = [\bar{\xi}, r] = \bar{\xi}r - r\bar{\xi} = \bar{\xi}r - \overline{r \cdot \bar{\xi}} = \bar{\xi}r - \chi(r)\bar{\xi},$$

so by acting with this element on  $1 \in R$ , one sees that this map  $\partial$  satisfies

$$\rho(\xi)(r) = \partial(\xi) \cdot (r - \chi(r)). \quad (3.1)$$

A  $K$ -linear map  $\partial$  with this property defines a right  $V(R, L)$ -module structure extending multiplication on  $R$  if and only if it satisfies the condition  $\partial([\xi, \zeta]_L) = \rho(\xi)(\partial(\zeta)) - \rho(\zeta)(\partial(\xi))$ . It also corresponds to a generator of the Gerstenhaber bracket on  $\Lambda_R L$ , see [4], but we shall not need these facts.

*Proof of Theorem 1.1, 1. and 2.* The first part is verified by explicit computation; the Lie–Rinehart algebra is of the form as in Lemma 3.1 with  $\chi$  given by  $\chi(x) = \chi(y) = 0$ .

For 2., take  $r = x$  and  $\xi = \alpha$  in (3.1). One obtains  $y = E(x) = \rho(\alpha)(x) = \partial(\alpha) \cdot x$ . However, there is no element  $z \in R$  such that  $y = z \cdot x$ .  $\square$

Carrying out Rinehart’s construction explicitly yields a presentation of the associative  $K$ -algebra  $V(R, L)$  in terms of generators  $x, y, \bar{\alpha}$  satisfying the relations

$$\bar{\alpha}x = y, \quad \bar{\alpha}y = x\bar{\alpha} = y\bar{\alpha} = x^2 = y^2 = xy = yx = 0.$$

Hence  $V(R, L)$  has a  $K$ -linear basis given by  $\{\bar{\alpha}^n, x, y\}_{n \in \mathbb{N}}$ . The source and target maps are both the inclusion of  $R$  into  $V(R, L)$ . Hence one can also see directly that  $V(R, L)$  admits no antipode:  $S$  would satisfy  $S(x) = x$ ,  $S(y) = y$  and one would have  $y = S(y) = S(\bar{\alpha}x) = S(x)S(\bar{\alpha}) = xS(\bar{\alpha})$ , but there is no element  $z \in V(R, L)$  such that  $y = xz$ .

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